1 Sarcomatoid variant of Chromophobic Renal Cell Carcinoma and outlining of targeted

2 therapy in it

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5 Abstract

Chromophobic carcinoma (CRCC) is a rare subtype of renal cell carcinoma, accounting for only 6 7 5.9% of epithelial kidney tumors. This study reports the findings studied in chromophobic renal cell carcinoma case with sarcomatoid differentiation in a 66-year-old patient admitted in FSBI. 8 9 This study concludes that, the criteria of aggressive behavior for chromophobic renal cell carcinoma include the following characteristics: the size of the tumor more than 7.0 cm; 10 presence of necrosis; grade III according to Paner et al classification; sarcomatoid differentiation 11 (more than 30.0%); positive reaction with CD10; nuclear expression of p53 in more than 80.0% 12 of tumor cells; Ki67 in more than 9.0% of tumor cells. In this case, the indication for targeted 13 therapy was sarcomatoid differentiation (in more than 10.0% of the tumor) and a strong reaction 14 15 with VEGF-A (5-6 points).

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19 Introduction.

Chromophobic carcinoma (CRCC) is a rare subtype of renal cell carcinoma, accounting for only 5.9% of epithelial kidney tumors. In WHO classification, chromophobic renal cancer was included in 2004, and sarcomatoid transformation of this tumor was first described by Akhtar and et al. in 1997 [1], it is observed only in 9.0% of all CRCC cases [2]. The aim of this case report is to study the morphological features of sarcomatoid chromophobic renal cell carcinoma and to analyze the criteria for its aggressive behavior and outlining of clue for targeted therapy based on observation in the case study and review of literature.

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28 Materials and methods.

The leftside nephrectomy with resected descending colon and retroperitoneal lymphadenectomy was the specimen which was studied. The surgery was carried out in "Russian scientific center of surgery named after academician B. V. Petrovsky".

The tumor specimen was fixed in 10% neutral formalin, which on hardening were put into the 32 paraffin. From each paraffin block, 5-7 microns thick sections were cut. The prepared paraffin 33 sections were stained with hematoxylin and eosin.Immunohistochemical study was performed on 34 sections from paraffin blocks. The slides were stained in automatic mode (Bond-Max, Leica) 35 with the following antibodies: multicytokeratin (clone AE1/AE3, Dako), cytokeratin 7 (clone 36 RN7, Leica), epithelial-related antigen (clone E29, Dako), CD117 (clone 104D2, Dako), E-37 cadherin (clone NCH-38, Dako), epithelioid antigen (clone MOC-31, Dako), BerEp4 (clone Ber-38 EP4, Dako), RCC (clone SPM314, Dako), CD10 (Dako, clone SS2/36), S100 (clone S1/61/69, 39 Leica), CD15 (clone Carb-3, Dako), vimentin (clone V9, Dako), SMA (clone 1A4, Dako), α-1-40 antitrypsin (Polyclonal clone, Dako), CD68 (clone 514H12, Leica), NSE (clone BBS/NC/VI-41 H14, Dako), CD34 (clone QBEnd/10, Leica), VEGF-A (Gene Tex, clone EP1176Y), Ki67 42 (clone MIB-1, Dako), p53 (clone DO-7, Dako) (for the last two markers, the percentage of the 43 44 number of tumor cells with nuclear expression among 1000 cells was determined in the sarcomatoid and carcinomatous parts of the tumor). 45

46 The method of semi-quantitative determination of VEGF-a in the cytoplasm of tumor cells was

47 used [3,4]. At the same time, at least 10 fields of sarcomatoid and carcinomatous areas in the

tumor were studied with magnification x400, the number of VEGF-positive tumor cells was
calculated: 0 – no staining, 1 point (1-25% positive cells), 2 points (26-50% positive cells), 3
points (more than 50% positive cells). The intensity of VEGF receptor staining was estimated: 0no staining, 1 point (weak staining), 2 points (moderate staining), 3 points (strong staining).
Scores of the number of positive cells and staining intensity of VEGF-A are summarized. The
score was divided into: 0 (negative reaction), 1-2 (weak reaction), 3 (moderate reaction), from 4
to 6 (strong reaction).

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56 **Case Report.**

We report our findings studied in chromophobic renal cell carcinoma case with sarcomatoid differentiation. A 66-year-old patient was admitted in FSBI "Russian scientific center of surgery named. Acad. B. V. Petrovsky " on July 6, 2017. Since March 2017, patient noted the appearance and rapid growth of tumor formation in the left half of the abdomen. Ultrasound examination and computed tomography revealed that the patient had a volumetric heterogeneous formation with uneven contours originating from the left kidney, measuring 35.0 cm in size.

On 10 July 2017, the patient underwent left nephrectomy with resection of the descending colon and widened retroperitoneal lymphadenectomy. During exploration it was noticed that the entire left half of the abdomen was occupied by a solid tumor of the size of 35,0x35,0x20,0cm, the descending colon was sprawled on the lateral edge of the neoplasm. The upper pole of the tumor was seen to be extending from the lower edge the body and tail of the pancreas till the the spleen.

The gross specimen comprised of part of the colon of length of 20.0 cm, adipose tissue and 68 kidney and the overall size of the mass was 45,0x35,0x18,0 cm. In the cut section, the renal 69 70 tissue was found to be replaced by gray-brown mass, of the size 40,0x29,0x16,0 cm (figure 1A), with light brown patch of mass found to be extending in the renal pelvis, and the renal vein. The 71 tumor mass showed multiple foci with necrotic changes. The maximum thickness of uninvolved 72 73 renal tissue at the periphery of the tumor mass was 1.5 cm. The tumor had a soft and spongy texture, visually extending into the wall of the colon, without changing its mucous layer. 74 Separately, para-aortic lymphnodes and fatty tissue were also received and 6 lymph nodes 75 varying from 0.5 to 4.0 cm size were found in dissected mesentery. 76

77 On microscopic examination, the sections from tumor mass showed heterogenous areas, with alternation of epithelial and sarcomatoid differentiation (figure 1B). More than 80% of 78 epithelioid sites comprised of large polygonal cells with light foamy cytoplasm, forming solid, 79 trabecular and alveolar patterns. The cell membrane was clearly visible and resembled the cells 80 of plant origin (figure 1B). Epithelial cells were smaller in size with eosinophilic granular 81 cytoplasm present in a small amount. The nuclei of both types of cells were hyperchromic, 82 wrinkled, with coarse chromatin and noticeable nucleoli. In appearance, the nuclei of tumor cells 83 84 were similar to raisins (raisinoid nuclei). Around the nuclei there was an area of enlightenment (perinuclear halo) (figure 1B). Mitosis in the epithelioid areas of the tumor were not determined. 85 Adjacent to the sarcomatous area there was an increase in the nuclear-cytoplasmic ratio (nuclei 86 enlarged 3 or more times), uneven distribution of chromatin and cell aggregation with fusion of 87 nuclei (figure 1G). Thin and wide fibrous septa, focal infiltration by lymphocytes, macrophages 88 and eosinophils, as well as medium-sized blood vessels with thickened walls were seen in the 89 90 stroma.

91 Sarcomatoid component of the tumor mass occupied about 70.0% of renal tissue. Areas of the 92 tumor infiltrating the wall of the colon (figure 1D), as well as lymph nodes with metastases 93 (figure 1E) (4 of 6 lymph nodes) showed sarcoma-like changes showing packed spindle-shaped 94 cells with polymorphic or multi-lobed nuclei and large number of mitoses. There were seen double-nuclei and multinucleated cells resembling tuton cells (figure 2A). In the interveningstroma were seen the necrotic changes and focal hemorrhages (figure 2B).

Immunohistochemical study of the carcinomatous component of the tumor showed positive 97 reaction with the following markers: cytokeratin 7 (membrane expression) (figure 2B), epithelial 98 membrane antigen, CD117 (expression in the cytoplasm and on the cell membrane) (figure 99 2G),E-cadherin (figure 2D), MOC-31, BerEp4. There was a significant negative reaction seen 100 with the following markers: RCC, CD10, vimentin, S100, CD15. Cells in sarcomatoid areas of 101 the tumor expressed vimentin (figure 2E), SMA, CD10 (figure 2G), α -1-antitrypsin (figure 2B), 102 103 CD68 (multinucleated and Tuton like cells) (figure 2i). There was found a negative reaction with the following markers: RCC, CD117, cytokeratin 7-type, NSE, CD34. Ki67 proliferation 104 index in carcinomatous component of tumor was equal to 2.0-5.0% (figure 3A), at the border 105 with sarcomatoid sites -20.0-30.0% (figure 3b), in sarcomatoid component -70.0% (figure 3b). 106

p53 in the carcinomatous component of the tumor was found in 20.0% of cells (figure 3G),
adjacent to sarcomatous area, tumor cells were 60.0% (figure 3D), in the sarcomatoid
component, there were 85.0% of tumor cells (figure 3E). In carcinomatous component there was
a significant negative response with VEGF-A (figure 3G), in areas adjacent to sarcomatoid areas
there was seen weak response with VEGF-A (ballroom 2) (figure 33). The sarcomatoid
component showed a strong reaction with VEGF-A (score 5) (figure 3i).

113 Correlating the clinical data with histopatholgical and immunohistochemical data, the results of 114 our study concluded that the final diagnosis of our case was chromophobic renal cell carcinoma,

115 with sarcomatoid differentiation, infiltrating into the muscle layer of the descending colon and

116 metastasing into 4 lymph nodes of the paranephric fat.

117 **Discussion:**

Each year, more than 40,000 new patients with renal cell carcinoma are reported in the United 118 States [5], of which 3,000 patients have histopathological findings suggestive of chromophobic 119 renal cell carcinoma [6]. Chromophobic renal cell carcinoma was first described by Thoenes et 120 al. in 1985 [7]. In comparison with other subtypes, these cancers have the best prognosis and are 121 rarely progressive and they rarely metastasize. Distant metastases are described only in 4.0% of 122 cases of chromophobic renal cell carcinomas [7]. 5-year survival rate of patients with CRCC is 123 124 96.0%. However, in the presence of sarcomatoid differentiation, the prognosis is only 35.0%, and 2-year survival is seen in 25.0% cases [8, 9]. Renal tumors with sarcomatoid features were 125 originally called sarcomas. And the majority of them were seen against the background of renal 126 127 cell carcinoma (RCC). Therefore, such tumors were called sarcomatoid RCC, which were 128 categorized as separate subgroup [10]. Most reports states indicate frequency of sarcomatoid renal tumors to be 1.0-9.0%, however, it varies greatly depending on the stage of renal cell 129 130 cancer [10]. In patients with stage 4, 5.0-20.0% of tumors has sarcomatoid differentiation, and they often metastases. The probability of metastasis is very high, if more than 30.0% of the 131 primary tumor consists of sarcomatoid cells [10]. The incidence of sarcomatoid differentiation 132 also depends on the histological type of tumor. Sarcomatoid elements occur in 3.0% of papillary 133 RCC, 8.0% of light-grade RCC and 9.0% of chromophobe type of cancers [2]. Chromophobic 134 renal cancers with sarcomatoid differentiation are most often metastasized into lungs, subclavian 135 lymph nodes, mediastinum, liver and pelvic bones [11]. 136

Most often, the sarcomatoid part of CRCC is represented by malignant fibrous histiocytoma or 137 fibrosarcoma. However, there may be other subtypes of sarcomatous tissues like 138 osteosarcomatous, chondromatous and rhabdomyosarcomatous types. They were first described 139 by Hes et al. in 1999 [1]. The distribution of sarcomatoid areas in the tumor may be 140 monomorphic or heterogeneous [12], with sarcomatoid elements ranging from 1.0 to 100.0% 141 CRCC (in most cases - less than 50.0%) [13]. An important feature of chromophobe renal cell 142 carcinoma is the mutation of the transcription factor p53 (in 32.0-42.3% of all CRCC cases), 143 which plays an important role in the sarcomatoid transformation of the tumor [6, 14]. 144

Sarcomatoid component has a higher mutation rate of p53 than carcinomatous component (79.0% and 14.0%, respectively). The presence of mutation p53 can be seen with pronounced nuclear expression in more than 80.0% of tumor cells [14, 15]. At the same time, not only by immunohistochemical detection method but p53 expression results were also confirmed by molecular genetic studies in 85.0% of cases [13]. In our own observation, the number of tumor cells expressing p53 was 85.0% in the sarcomatoid component and 20.0% in the carcinomatous component.

152 Types of mutations seen typically in CRCC are: VHL (34,6%), CDKN2A (26,9%), NF2 (19,2%)

153 [12]. BRAF and KRAS gene mutations can be detected in 20.0% of cases [16].

In addition to sarcomatoid differentiation and high frequency of p53 expression, the signs of aggressive behavior of chromophobe type of renal cell carcinomas are tumor size over 7.0 cm, necrosis [11], proliferation index over 9.0% [3, 11]. In our case Ki67 expression was seen in 2.0-5.0% of carcinomatous component and 70.0% of sarcomatoid component. The approximate size of the involved area was 40,0x29,0x16, 0 cm and the tumor showed marked necrotic changes.

In majority of cases chromophobic renal cell carcinoma unlike clear cell carcinoma did not expresses CD10. However, this marker was found positive in 26.0% of CRCC cases in one study (including in the tumor cells of our case), which is a sign of aggressive behavior of the tumor [17]. At the same time, the internal control can be seen as a strong membrane staining of CD10

in the epithelium of proximal tubules and glomeruli, as well as in the Bowman's capsule [18].

In contrast to the above sign's hyperchromatic nuclei, nuclear polymorphism, and the visualization of the nucleoli do not have a predictive value. However, based on these histopathological features according to Furman classification, 80.0% of CRCC are estimated as grade III or grade IV [11].

In 2010, Paner et al. suggested a 3-point system for evaluation of Chromophobic type of renal cell carcinoma, which more accurately reflects the stage and outcome of the disease (table 2) [19]. According to this classification 74,0% of Chromophobic type of renal cell carcinoma have the first degree of differentiation (grade I). It is important to note that the first and second degree of differentiation of CRCC is not related to the clinical outcome of the disease. Only the third

degree of differentiation reflects a high probability of disseminated cancer or recurrence [19].

174 Table 2: 3-point system for the evaluation of Chromophobic type of renal cell carcinoma

175 (Classification by Paner et al. [19]

Histological findings	Grade I	Grade II	Grade III	
Uneven distribution	_	+	+	
of tumor cells				
Nuclear anaplasia	Size uneven, with raisin-like surface wrinkles	Certain nuclear polymorphism	Intensive anaplasia, multilobular nuclei	
Increased nuclear	_	Nuclear size increase	Gigantic tumor cells	
size in the tumor		more 3 times		
cells				
Heterogeneity of	_	+	+	
nuclear chromatin				
Contact of tumor nuclei	_	+	+	
Sarcomatoid tumor cells	_	_	+	

The fact of presence of heterogeneous components with carcinomatous and sarcomatoid elements present in the tumor in our case is interesting. The signs of the first, second and third degree of differentiation according to the classification of Paner et al were noted [19]. The invasive component of the tumor with lesions in the colon, as well as lymph nodes with metastases were presented exclusively in grade III.

The prognostic significance of the Paner et al [19] classifications is questioned as it is critiqued 183 that an additional criterion for grading of CRCC [20] is required. According to the 184 recommendations of ISUP 2013, CRCC is not graded yet, however, studies have concluded that 185 the percentage of sarcomatoid elements in the tumor is necessarily considered as an essential 186 criterion [20]. According to the literature it is believed that renal cell carcinoma is resistant to 187 chemotherapy. However, sarcomatoid CRCC have highly effective targeted therapies that work 188 by inhibiting the VEGF (vascular endothelial growth factor) [4]. Proteins belonging to the VEGF 189 family are glycoproteins that stimulate the formation of new blood vessels and lymph vessels 190 and increase vascular permeability. The family includes 6 growth factors: VEGF-A, VEGF-B, 191 VEGF-C, VEGF-D, VEGF-E and placental growth factor (PLGF) [21].VEGF-A plays an 192 important role in pathological angiogenesis [4]. Under its influence the tumors are formed with 193 194 abnormally branched blood vessels that imbalance the ratio of the number of arterioles, veins and capillaries. Between the endothelial cells is formed a wide gap through which the plasma flows 195 into the tumor tissue. As a result, compression of the tumor blood vessels occurs and hypoxia 196 197 develops [22].

It is known that the frequency and intensity of VEGF staining increases with an increase in the 198 199 stage of renal cell carcinoma, with the invasion of the tumor into the pararenal fatty tissue and renal vein [4, 23]. The concentration of VEGF reaches a maximum at 2nd and 3rd degree of 200 differentiation according to the Furman, but reduced in 4th degree, especially when there is 201 sarcomatoid differentiation seen in tumor [3]. According to other studies, the 4th degree of 202 tumor differentiation by Furman is accompanied by an increase in VEGF expression [4, 24]. In 203 204 targeted therapy, VEGF suppression is overwhelming when sarcomatoid CRCC therapy include 205 bevacizumab (a monoclonal antibody to VEGF-A) and sunitinib (which belongs to the tyrosine 206 kinase inhibitors, drug is the 1st line drug therapy for CRCC) [12, 25]. Anti-VEGF drugs block the growth of abnormal blood vessels, reduce their density, and reduce the size of gaps between 207 208 endothelial cells [22]. At the same time, the concentration of the targeted drug is very important, 209 as well as its ratio to the amount of VEGF. With a high concentration of the drug or a low 210 content of VEGF, excessive "pruning" of blood vessels occurs, which leads to hypoxia in the 211 tumor and dissemination of the cancer cells [26]. It is known that in cases of CRCC with 212 sarcomatoid differentiation, when treatment is done with sunitinib in combination with gemcitabine, 63.0% of cases showed a complete response or stabilization of the disease [26, 27]. 213

It is studied that the number of sarcomatoid cells is important for determining the treatment protocol. Chemotherapy with tyrosine kinase inhibitors should be performed only in cases when sarcomatoid elements are more than 10.0% in these tumor [27, 28].

Currently, in CRRC with sarcomatoid differentiation, renal cell carcinoma has a correlation 217 between the degree of expression of VEGF and the effectiveness of anti-VEGF targeted drugs. 218 219 According to some studies before chemotherapy it is very important to assess the level of 220 expression of VEGF-A. Only a strong expression of VEGF-A (5-6 points) has prognostic value and hence it is a marker of treatment efficacy for targeted drugs [4]. Other study states that the 221 degree of response to treatment with bevacizumab does not correlate with the expression level of 222 VEGF-A [29].It is possible that such contradictory results are responsible for making it 223 impossible to use Furman classification for Chromophobe renal cell carcinomas. 224

Treatment with tyrosine kinase inhibitors sometimes leads to necrosis and cavitation in the tumor without changing its size. As a result, when computed tomography is done, an erroneous conclusion about the lack of effectiveness of therapy is interpreted. Keeping it in mind, attempts are being made to use an alternative method like immunohistochemical expression of VEGF to assess the therapeutic response in sarcomatoid variant of chromophobic renal cell carcinoma [30].In our study due to presence of sarcomatoid differentiation (grade III),a strong reaction with VEGF-A (score 5) was observed. Hence, the patient was referred to Cancer institution for anti VEGE therapy

- anti-VEGF therapy.
- Table 3. Expression levels of VEGF, p53 and Ki67 in chromophobic renal cell carcinoma with
- sarcomatoid features in areas according to the classification of Paner et al. [19]. (in our study is
- as follows)

Stage of	VEGF-A			P53, %	Ki67, %		
differentiation	Number of	Color	Summary				
	positive cells	intensity,	of scores				
	(scores)	(scores)					
Ι	0	0	0	20	2-5		
II	1	1	2	60	20-30		
III	3	2	5	85	70		

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237 Clear expression of prognostic markers based according to the classification of Paner et al.

indicates its important role in evaluating the effectiveness of treatment with tyrosine kinase
inhibitors and bevacizumab.

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241 Conclusion:

Thus our study conclude that, the criteria of aggressive behavior for chromophobic renal cell carcinoma include the following characteristics: the size of the tumor more than 7.0 cm; presence of necrosis; grade III according to Paner et al classification; sarcomatoid differentiation (more than 30.0%); positive reaction with CD10; nuclear expression of p53 in more than 80.0% of tumor cells; Ki67 in more than 9.0% of tumor cells. In our case, the indication for targeted therapy was sarcomatoid differentiation (in more than 10.0% of the tumor) and a strong reaction with VEGF-A (5-6 points).

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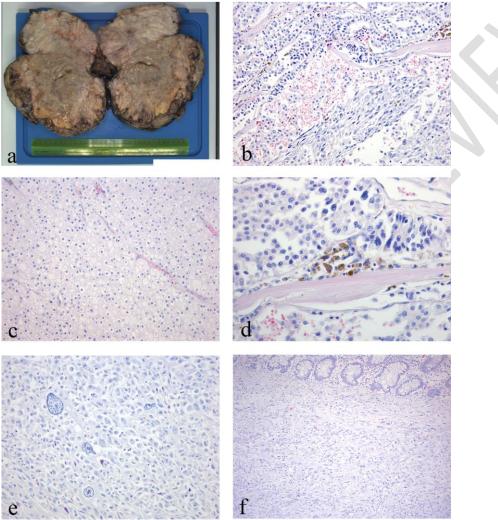
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376 Figures

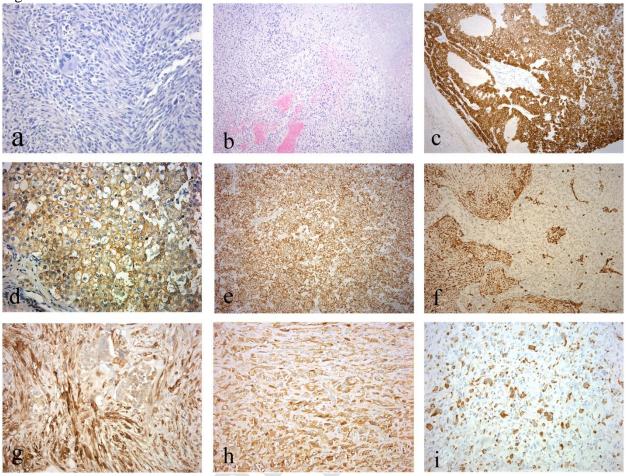
377 Sarcomatoid chromophobe renal cell carcinoma (Figure 1)



- 379 Chromophobic renal cell carcinoma with sarcomatoid differentiation:
- a Gross specimen shows capsulation and gray-brown coloration and tumor size is 40,0x29,0x16,0 cm with partial replacement of the renal tissue;
- b tumor tissues shows alternating epithelioid (right) and sarcomatoid (left) differentiation;
- c- epithelioid areas are represented by bright polygonal cells , hyperchromatic nucleus, with prominent
 nucleoli and perinuclear halos (grade I according to Paner et al. classification).
- d- adjoining tissue with sarcoma-like areas with marked increase in nuclear-cytoplasmic ratio and
 aggregation of cells with fusion of nuclei (grade II according to Paner et al. classification).
- aggregation of cells with fusion of nuclei (grade II according to Paner et al. classification).
- e- the sarcomatoid components of the tumor appeared as tightly packed cells with spindle shape or
 polymorphic forms or multinuclear type of cells (grade III according to Paner et al. classification)
- f- sarcomatoid type of tumor areas are infiltrating into the wall of the colon;

b - f – sections stained with hematoxylin and eosin; b,c,e – X200; d – X400; f-X100.

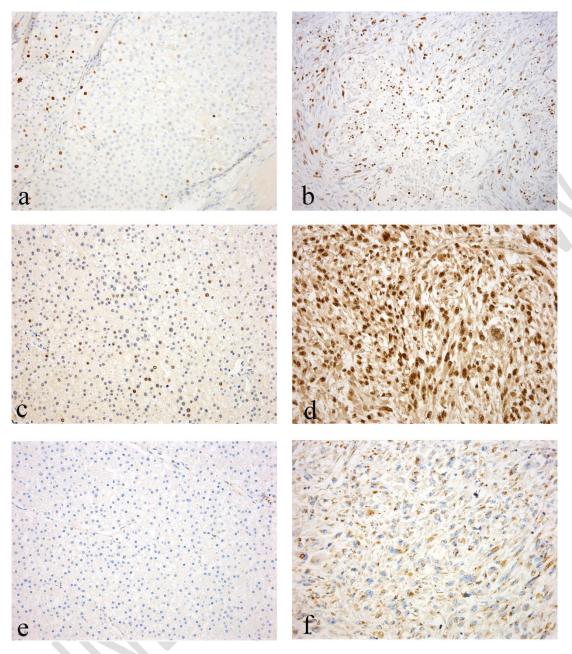
391 Figure2



- 393 Sarcomatoid chromophobe renal cell carcinoma:
- a-sarcomatoid component of the tumor is represented by densely packed cells of fusiform and
- 395 polymorphic shape along with multi-nucleated cells;
- b-shows tumor showing necrotic changes (in the upper right corner) and focal hemorrhages;
- 397 c-shows positive reaction with cytokeratin 7 in the carcinomatous component in the tumor;
- d CD117 expression in the cytoplasm and cell membrane of tumor cells in the carcinoma component inthe tumor;
- 400 e positive reaction with cytokeratin 7 in the carcinomatous component; with expression of E-cadherin in401 carcinomatous component;
- 402 f-tumor cells of the sarcomatoid component (left) showing expression of vimentin, the expression of
- 403 vimentin in the carcinomatous component (right) is negative;
- 404 g-shows positive expression with CD10 in the sarcomatoid component;
- 405 h- sarcomatoid component of tumor cells showing expression of α -1-antitrypsin;
- i-shows multi-nucleated and tuton-like cells in the sarcomatoid component is determined by a positivereaction with CD68;
- 408 a, b, hematoxylinand eosin; c-i, immunohistochemical reaction; b X 100, the rest X200.
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415 Figure 3



Expression of prognostic markers according to Paner et al. classification in Chromophobic renal 417 cell carcinoma with varying degrees of differentiation (our case study). The proliferation index 418 of Ki67 in the carcinomatous component (grade I) (a) is 2.0%, in the sarcomatoid component 419 (grade III) (b) is 70.0%; p53 in the carcinomatous component of the tumor (grade I) (c) is 420 421 expressed in 20.0% of cells, the sarcomatoid component (grade III) (d) is seen in 85.0% of tumor cells; in the carcinomatous component (grade I) (e), there is a negative expression with VEGF-a, 422 in the sarcomatoid component (grade III) (f) there is a strong expression VEGF-a (score 5);a - f -423 immunohistochemical reaction; X200. 424