

2 **INVESTIGATING FACTORS HINDERING FARMERS'**  
3 **PARTICIPATION AND LOOK FOR AGRICULTURAL**  
4 **DEVELOPMENT AND FOOD SECURITY IN ETHIOPIA**

5 **DEBELLA DERESSA BAYISSA<sup>1</sup>**

6 *DEPARTMENT OF RURAL DEVELOPMENT AND AGRICULTURAL EXTENSION,*  
7 *INSTITUTE OF COOPERATIVE AND DEVELOPMENT STUDIES, UNIVERSITY OF AMBO,*  
8 *P.O.BOX-19, AMBO, ETHIOPIA*

9 **ABSTRACT**

10  
11 Agriculture is one of the key drivers of Ethiopia's long-term development and food security. It supports  
12 85% of the total population, constitutes 43% of GDP and 80% of export value. For the country to  
13 reach middle-income prestige by 2025 and make significant inroads against food insecurity, strategic  
14 choices and concerted and strategic investments in agricultural sector are vigorous. The government  
15 of Ethiopia has put maximum efforts to increase agricultural output. However, increments in  
16 agricultural production and productivity, the expected benefits, have not been achieved yet. Low  
17 agricultural production and productivity is the major cause of food insecurity in the country emanating  
18 from lack of suitable technologies for beneficiaries, low adoption of agricultural innovations, and lack  
19 of active participation of farmers in agricultural research. Therefore, this study examines critical  
20 factors that hinder farmers' participation in agricultural research in Ethiopia. A total sample size of 39  
21 respondents comprising 16 farmers, 14 researchers and 9 development agents were interviewed  
22 purposively based on snowball sampling technique. Qualitative research design was used in this  
23 research. Data were collected using semi-structured interviews, focus group discussions and  
24 observations and analysed descriptively. The empirical results reveal that lack of sufficient time, bad  
25 experiences in the past, perception of farmers' for researchers, farmer's attitude for research, the type  
26 of research, lack of stakeholder's willingness to learn from one another, loose integration of  
27 indigenous and scientific knowledge and insignificant change of new technologies to material wealth  
28 critically hindered farmer's participation in agricultural research to bring innovation in agriculture.  
29 Innovation in agriculture comes from the interaction of the different actors that are working in  
30 agriculture since each actor brings their own knowledge and results in social learning. Insignificant  
31 innovation in agricultural research results in food insecurity in the country.

32 **Keywords:** *Agricultural Research Agricultural Innovation; Farmer; Food Security; Participation.*

33 **1. INTRODUCTION**

34 Agriculture is one of the key drivers of Ethiopia's long-term development and food security. It supports  
35 85% of the total population, constitutes 43% of GDP and 80% of export value. For the country to  
36 reach middle-income prestige by 2025 and make significant inroads against food insecurity, strategic  
37 choices and concerted and strategic investments in agricultural sector are vigorous. More than 90% of  
38 agricultural production is driven by smallholder farmers in the country. Given forecast of population  
39 growth, without expanding cultivated land, the average size of land per farmer in highland areas will  
40 be reduced to 0.7hectares by 2020 bringing additional pressure on food security in the rural areas.  
41 Livestock and crop productivity, based on county comparisons, although improving, still remains by  
42 far below the potential. The agricultural growth domestic product per hectare of the cultivated land is  
43 half of Morocco or Kenya. In 2007, the figure was USD 1,150 per hectare for Morocco, USD 1, 190

---

1Corresponding author: E-Mail: [dabalaataf@gmail.com](mailto:dabalaataf@gmail.com) or [dabalataaf@gmail.com](mailto:dabalataaf@gmail.com)

44 per hectare for Kenya, and 587 per hectare for Ethiopia. Modelling the inferences of projection of  
45 population growth, if Ethiopia remains on its present productivity path, food insecurity would climb to  
46 over 50 million people reducing growth domestic product per farming household by 20% by 2020(1).

47 In Ethiopia, the agricultural sector has the highest potential for improving the livelihood of the society.  
48 A considerable increase in agricultural produce and output is anticipated to be recognized by  
49 instigating interventions intended at speeding-up the adoption and assimilation of improved  
50 agricultural technologies and management practices. Still the country needs to adopt innovative and  
51 modern strategies to agricultural knowledge creation, dissemination and use. These require the  
52 engagement of farmers' in agricultural research for agricultural innovation and knowledge creation.  
53 Sources of agricultural knowledge include indigenous knowledge and scientific research. After the  
54 sourcing, creation or accumulation of knowledge through the engagement of farmers' in the research  
55 processes, the knowledge has to be disseminated to other stakeholders to support innovation process  
56 in agricultural innovation to bring development and food security in the country(2).

57 Most agricultural research projects fail for the reason that when research projects are planned, local  
58 people or farmers, culture, and socio-economic features are not considered that lead to outside  
59 agents not being able to create and recommend suitable technologies that are well-suited with the  
60 beneficiaries (3). Failure and poor adoption of agricultural research projects are results of lack of  
61 active participation of farmers in all phases of the research projects. Farmers are not given chances to  
62 actively engage themselves in all decisions that affect their lives directly (4). Experts and government  
63 officials support the idea of farmers' participation in agricultural research in philosophies, however  
64 practically there is no common consensus. Involving local knowledge or target group has limitations  
65 such as solutions that are based on limited technical knowledge, limited scientific understanding of  
66 processes and dissemination of results may be limited to specific socio-economic or gender groups  
67 (5). The use of top-down approach is one of the key factors resulting in failure of agricultural research  
68 projects. The approach constructs on farmers' experiences instead of building farmers capabilities  
69 and promoting empowerment (6).

70 Development works which employ the top-bottom strategy with insignificant input and engagement of  
71 farmers have long been known as an unsustainable and poor pathway to farmers' development and  
72 empowerment (7). Bottom-up strategies that view farmers as partners, use local experiences and  
73 make an effort to empower farmers have been encouraged in the past decades. Farmers'  
74 participation in agricultural research recognizes the significant role farmers' play in the failure or  
75 success of an agricultural research project. It distinguishes farmers' engagement in identifying farming  
76 problems as well as solutions for sustainable agricultural development. The bottom-up approach has  
77 shifted from instructing beneficiaries to coaching and collaborating farmers to identify and solve local  
78 agricultural restraints (8, 9).

79 Participatory Technology Development (PTD) is one of the key arenas for social learning and helps  
80 stakeholders to contribute their share in the innovation system. Participatory technology development  
81 has different types of participation hierarchy in research especially in agriculture. These are nominal  
82 (farmers' labour and land are used), consultative (farmers' opinions are required), action-oriented  
83 (farmers are engaged in implementing portions of the research), decision-making (farmers take part in  
84 decision making processes) and collegial participation (research strengthen farmers' own research).  
85 In PTD, participation has seven grades of participation. These are positivist theoretical research (the  
86 least inclusive type of approaches), passive information sharing (farmers are informed of the  
87 processes and outcomes of the research), consultative stage (farmers are consulted and their needs  
88 may be included in the research design), on-farm testing (researchers continue to dominate the  
89 research processes but farmers' expertise is recognized), evaluation (farmers are involved in  
90 assessing the process and results of the research), collaborative planning (scientists join hands with  
91 farmers in defining problems and in designing the research process), and partnership (farmers and  
92 scientists engage in a long term mutual learning and research process). Both of these typologies are

93 linear and they have the shortcoming that does not reflect the diversity and dynamics of agricultural  
94 research. Stakeholder participation in agricultural research should take into account the dynamic and  
95 complexity of agricultural research processes and diversity of stakeholder engagement in various  
96 research contexts. Stakeholders' participation in research has to be from the planning phase to the  
97 evaluation phase (10).

## 98 **BENEFITS OF FARMER'S PARTICIPATION IN AGRICULTURAL RESEARCH**

99 Farmer's participation in agricultural research has a number of benefits including the development of  
100 agricultural technologies that brings improvement in the lives of farmers. " Success is often not found  
101 in the agricultural technology alone, but rather in its grounding in and building of human and social  
102 capital- confidence, knowledge, networks, and capacity-which then allow technologies to have full  
103 effect on livelihoods" (11). Farmer's participation in agricultural research enables them to acquire the  
104 following basic benefits that bring innovation in agriculture.

105

### 106 **1. INNOVATIONS AND IMPROVED PRACTICES**

107 The basic attention of development-oriented agricultural research is the development of institutional  
108 and technical innovations and improved practices (12). Traditional agricultural research projects may  
109 deliver "turnkey" elucidations that can be seen on demonstration farms. In these circumstances  
110 farmers merely have the choice to reject or adopt the innovations, without the opportunity to adapting  
111 the technology to their explicit farming system. The research would requisite to come up with a  
112 "basket of choices" from which the beneficiaries can choose the solution that best suit to their  
113 conditions (13).

### 114 **2. CREATION OF AWARENESS AND KNOWLEDGE**

115 Increased awareness and knowledge among the different stakeholders can be a key result of  
116 agricultural research project. They are frequently called to as "disembodied" things as they are not an  
117 essential part of institutional or technical innovation (11). These effects can cover a wide range from  
118 knowledge on a commodity or specific theme to awareness of underlying relationships in agro  
119 systems to knowledge on how value chains or whole systems function. Agricultural research may  
120 enhance farmers' awareness about the negative or positive effects some practices have on the  
121 ecological amenities of a watershed on downstream residents. Farmers' participation in agricultural  
122 research enables them to blend their indigenous knowledge with "expert" scientific knowledge in a  
123 synergic or complementary way (14). It is recognized that researchers and farmers have diverse  
124 comparative benefits in creating knowledge. For example, Maori farmers from New Zealand, in a  
125 research project, were insisting that their own traditional knowledge - obtained through long term  
126 experience and passed down through elders would be merged with researchers' technical knowledge  
127 rather than being by it (15).

### 128 **3. DEVELOPMENT OF SKILLS**

129 Farmers' skills can improve significantly through their engagement in agricultural research. These  
130 contain technical and diagnostic skills obtained through the application of water saving irrigation  
131 scheme. Organizational or managerial skills are often learned through participation. Farmer's  
132 participation in agricultural research improves problem solving capacities and experimental skills of  
133 farmers in agricultural innovation (16).

### 134 **4. SOCIAL CAPITAL DEVELOPMENT AND EMPOWERMENT**

135 Today most specialists in agricultural research give due attention to a functional role of participation  
136 (17), even though the question of power relationships in participatory strategies is still of significant  
137 relevance, especially when the research emphasizes on marginalized groups specially the poor.  
138 Engaging farmers in agricultural research regularly has an influence on social capital formation. For  
139 example, the potential for joint action between partaking stakeholders can be increased in the  
140 development of the research process (18).

141 **5. ENHANCEMENT OF LIVELIHOODS**

142 Farmer's participation in agricultural research project increases resilience of the resident livelihoods to  
143 outside shocks and improve the capability of local institutions and stakeholders to adapt to altering  
144 circumstances (19).

145 Low performance of agriculture does not only threaten livelihood but it also accelerates environmental  
146 degradation, affects production capacity of natural resources bases and fails to address malnutrition  
147 and poverty( 20). In order to increase the performance of agricultural sector, different programmes are  
148 introduced by different countries. This includes rural development, food security and farmers  
149 participation in agricultural research. Participation and empowerment are the most critical issues in  
150 development programs. Participation is the engagement of marginalized groups in development  
151 operations that intend to build peoples abilities to control and access of resources, opportunities and  
152 benefits towards self-reliance and to better standard of living. Farmer's participation plays a critical  
153 role in poverty alleviation and economic development. Lack of farmers' participation in decision  
154 making to use or implement agricultural policies could lead to failure in agricultural development (21).  
155 Active participation of farmers in agricultural research is hindered by people's lack of skills,  
156 knowledge, capital, knowledge, ignorance, shortage of incentives to those who participate and lack of  
157 capable organization (22).The critical relationship between farmer's engagement in agricultural  
158 research projects on one hand, and poverty alleviation and economic development on the other hand,  
159 cannot be over emphasized. Without participation there is no program and without program there is  
160 no development (23). Without farmers' active participation in agricultural research projects, there  
161 would be little success to bring food security and development.

162 The government of Ethiopia has put maximum efforts to increase agricultural output. However,  
163 increments in agricultural production and productivity, the expected benefits, have not been achieved  
164 yet. Low agricultural production and productivity is the major cause of food insecurity in the country  
165 emanating from lack of suitable technologies for beneficiaries, low adoption of agricultural  
166 innovations, and lack of active participation of farmers in agricultural research (24, 25).

167 Therefore, the objective of this study was to investigate critical factors hindering farmers' participation  
168 in agricultural research in Ethiopia. The research findings, hopes to inform recommendations to policy  
169 makers and public authorities to contribute to solve the problems which hinder active participation of  
170 farmer's in agricultural research with the aim to solve practical problems at grassroots levels in  
171 agricultural innovation in the country. Agricultural Innovation System (AIS) was used as a theoretical  
172 framework to guide this research.

173 **2. RESEARCH METHODOLOGY**

174 Contemporary researchers in social sciences have started to put more attention on the use of  
175 qualitative research methods, i.e., methods by means of which one can study non-quantitative  
176 characteristics of empirical phenomena (like categories, meanings, assumptions and understanding  
177 underling peoples' languages and practices). Data were generated primarily from knowledge institutes  
178 (Wallaga University, Ambo Plant Protection Research Centre), Development agents and Farmers  
179 from Western Oromia region through in-depth interviews. A total sample size of 39 respondents  
180 comprising 16 farmers, 14 researchers and 9 development agents were interviewed purposively  
181 based on snowball sampling technique. In qualitative research the sample size for the interview  
182 depends on the aim of the research. Most qualitative research uses purposive sampling which is  
183 explicitly selecting interviewees who it is intended will generate appropriate data. It is to contain  
184 information rich cases for in-depth study. Purposive sample sizes are often determined on the bases  
185 of theoretical saturation (the point in data collection when new data no longer bring additional insights  
186 to the research questions). Purposive sampling is therefore the most successful when data review  
187 and analysis are done in conjunction with data collection. Snowball sampling (known as chain referral  
188 sampling) is a type of purposive sampling in which informants with whom contact has already been

189 made use their social networks to refer the researcher to the people who could potentially participate  
190 in or contribute to the study.

191 Focus group discussions (FGD) were used in this research since it has the advantage over one-to-  
192 one interviews of providing access to interaction among the participants and give some insight in how  
193 knowledge and innovation was produced. It was also used to augment the individual interview.  
194 Moreover, FGD can be a critical way of researching some sensitive matters such as dissatisfaction of  
195 farmers with researchers. Facilitating a qualitative research interview is a hard work and difficult to  
196 write down responses while maintaining eye contact, providing encouragement and planning the  
197 prompt, probe or link to the next topic of interest, listening and other activities. Therefore, the interview  
198 was recorded on memory recorder. Interviews were fully transcribed and coded applying principles of  
199 grounded theory (26, 27). Also, observation at meetings took place in the role of observer-as-  
200 participant (28), in which the researcher relates to and is known to the subjects under study as a  
201 researcher. Several documents such as meeting minutes, policy documents and internal evaluations  
202 were analysed. Triangulation between different data sources took place to ensure validity (29).

203 The best methodological answer to sample size in qualitative research is a grounded theory  
204 approach. The grounded theory approach is a qualitative research method that uses a systematic set  
205 of analytical, interpretative, and coding procedures, to develop an inductively derived grounded theory  
206 about a phenomenon. Grounded theory emerged in reaction to the formerly common practice of  
207 considering research only as a means of testing hypotheses. That means that the research started  
208 with theory that was subsequently tested. Grounded theory was developed as a systematic approach  
209 to develop theory on the basis of empirical research. The theory is then the 'finding' of the research.  
210 Grounded theory approach advocates theoretical sampling or including interviewees (the incidents  
211 and events that interviewees and other sources do provide) in the sample on the bases of both an  
212 emerging hypothesis from on-going data analysis, an understanding of the field and a delicate attempt  
213 to test such hypotheses. The objective is to keep sampling and analysing data until nothing new is  
214 being generated. This point is called saturation and the techniques are called sampling to saturation.  
215 When sufficient data are gathered it reaches theoretical saturation. In qualitative research statistical  
216 significance of relations between the empirical phenomena which are being described is not a major  
217 criterion. A better criterion is what has been called sociological significance (26, 27).

### 218 **3. RESULTS AND DISCUSSION**

219  
220 Ethiopia is one of the countries that is not yet achieved food security at household levels. Most of the  
221 mass of the marginalized and poor farmers are struggling to secure their basic needs for their family  
222 on daily bases. For the country, more than any programs, granting food security at household level is  
223 one of the most urgent programs to be achieved. To achieve this food security program, a number of  
224 urgent actions have to be implemented. The urgent actions needed to let the different programs to  
225 run effectively and to get the confidence of farmers in the study areas were many and diverse.  
226 Conducting research that is relevant to farmers need by participating them in agricultural research,  
227 integrating the use of indigenous knowledge with scientific knowledge in agricultural technology  
228 development by engaging farmers in agricultural research process, changing the attitude of  
229 researchers from negative to positive for farmers and engaging farmers in decision making that  
230 matters in their life are some of the most urgent actions need to let the food security program to run  
231 effectively and helps to get the confidence of farmers in agricultural research and development in the  
232 country. Having positive attitude for farmers can be achieved by a number of factors. Researchers  
233 have to go and work alongside farmers in their farms practically by identifying their problems. By  
234 doing these important activities, researchers can win the trust of farmers.

235  
236 Farmers in the country are engaged both in rearing of animals and production of plants. Knowledge  
237 institutes were involved in the production of skilled manpower besides the production of technologies

238 that solve problems of farmers to bring food security in the country. Both knowledge institutes and  
239 farmers were needed to work together to create and develop knowledge that is relevant to the needs  
240 of the different stakeholders that are working in the development of the country. Researchers in the  
241 study areas conducted agricultural research that had little room for farmers' participation mostly for  
242 publication. The relationship between farmers and researchers were not closer and stronger because  
243 of lack of active participation of farmers in agricultural research to bring innovation in Ethiopian  
244 agriculture to bring food security for the marginalized and poor farmers. In principle the joint-venture  
245 of farmers and researchers is sharing the common vision to bring development in the country by  
246 conducting demand-driven and problem solving research by integrating the indigenous and scientific  
247 knowledge that both actors have in agriculture to bring innovation in agriculture. However, due to lack  
248 of farmers' active participation in agricultural research to bring innovation, food security is not yet  
249 achieved in the country. Instead of conducting demand-driven and problem solving research,  
250 researchers were conducting research that had no or little practical application in the lives of farmers.  
251 Lack of active farmers' participation in agricultural research limited innovation in Ethiopian agriculture  
252 and this hammered food security in the country.

253

254 **The great actions of the government to help farmers are like training development workers to help**  
255 **farmers in technology dissemination, establishing farmers training centres, mobilizing farmers to**  
256 **conserve natural resources, assigning extension workers to village to live and work with farmers,**  
257 **investing money in research to conduct research to alleviate farmers problems, and establishing**  
258 **social services like clinics, clean water, roads, telecommunication facilities, and schools for farmers**  
259 **are among many. However, the desired results in terms of bringing radical change to feed the every**  
260 **rocketing mouth of individuals were not achieved yet.**

261 Hence, the research findings revealed a number of critical factors that hindered farmers' participation  
262 in agricultural research to bring innovation in agriculture. Innovation in agriculture is a base for food  
263 security. These farmers' engagement inhibiting factors are presented and discussed as follows briefly.

### 264 **3.1 KIND OF RESEARCH**

265 Some of the researchers in the study area conducted basic research that had no room for farmer's  
266 participation. Researchers used the conventional research strategy that was based on identification of  
267 problems from others research recommendations and literatures. This type of problem identification  
268 for research from literatures resulted in conducting research that had less relevance to farmers need.  
269 Once the technology was developed, farmers were asked or forced to use the technologies that were  
270 not relevant to their specific agro-ecological condition and their problems. This researcher oriented  
271 research topic development hindered farmers engagement in the research process. Researchers did  
272 not regularly develop research topics that were applied in type. Researchers mostly focused on basic  
273 research that did not have room for farmer's participation in the research process to bring impact on  
274 farmers live. Even when the research was of applied type, there was a problem of conducting the  
275 research on farmer's farm to participate farmers in the whole research process. Researchers conduct  
276 research on-station that excluded farmers from participating in the whole research process. This type  
277 of research that was not applied under farmer's condition hindered farmers from participating in the  
278 agricultural process and inhibited innovation in agriculture to bring food security. Empirical studies  
279 (10, 11, 12, 14) reveal that participatory approaches are basically realistic in adaptive and applied  
280 stages of agricultural research. Basic research i.e. theoretical or experimental research intended at  
281 obtaining knowledge for comprehending of some phenomena without any specific use of the research  
282 in view - seems to have less potential for implementing a participatory method. Hence, it hinders  
283 farmer's participation in research to bring innovation in agricultural research to bring food security.

### 284 **3.2 PERCEPTION OF FARMERS' FOR RESEARCHERS**

285 Farmers in the study areas have had their own agricultural experiences which they inherited from their  
286 ancestors. They have their own indigenous knowledge to solve their own agricultural problems.

287 Farmers have practical skills that helped them to solve the problems that were common in their  
288 agriculture. Farmers evaluated technologies that were developed in research in relation to the  
289 practical applicability of the technologies under their own field conditions. Farmers valued  
290 researcher's knowledge and skills in terms of the real-world applicability in solving their problems.  
291 Farmers perceived researchers as white-collars who did not want to make their hands dirty, teachers  
292 who talked mostly things in theory, and people who ignore farmer's indigenous knowledge and have  
293 less interest to hear farmer's ideas. Farmers looked researchers as bosses and fear to work with  
294 them. Researchers were not working with farmers in a friendly and collegial manner. These all  
295 perceptions and factors hindered farmer's participation in agricultural research and inhibited  
296 innovation in agriculture in the country. The research findings affirmed that farmers observe the  
297 behaviour of researchers, label their social status and use this in their engagement in the research  
298 process. Farmers may see researchers as teachers who need to instruct them, ignorant outsiders,  
299 facilitators of a mutual and continuous learning process and experts who provide them support. These  
300 perceptions will always have a strong bearing on the participation of farmers in research process to  
301 work with researchers. These perceptions are most critical factors for the failure or success of  
302 research and are critically hinder farmer's participation in agricultural research (10, 18, 19).

### 303 ***3.3 FARMERS' OUTLOOK FOR RESEARCH***

304 Farmers in the study areas were adapted to the use of the traditional way of farming and rearing of  
305 animals that they learnt from their fathers and grand-fathers. For farmers in the study areas, research  
306 activities were the western way of farming that they looked the work as a difficult and complex activity  
307 to perform. For them, agricultural research was a special type of agricultural work that was performed  
308 by educated people. Farmers thought that their engagement in research had no value because they  
309 could not contribute anything in the research process. They thought that they did not know about  
310 scientific knowledge and they did not have western mentality. Farmers did not believe that research  
311 solve their problems in agriculture. These types of perceptions for research critically hindered farmer's  
312 participation in agricultural research and limited innovation in Ethiopian agriculture. According to the  
313 works of (10, 19) that farmers perceive not all research projects whether conventional, participatory or  
314 a combination of both as relevant to their local problems. Farmers participate in agricultural research  
315 when they believe an improved profitability of their cropping system. Farmers are willing to participate  
316 in research if there is a problem that they want to solve and if they think that they can impact the  
317 research process.

### 318 ***3.4 LACK OF GOOD EXPERIENCES IN THE PAST***

319 Most of the farmers in the research areas had bad experiences in the past in relation to the use of  
320 technologies produced through research. Farmers were told that the use of new agricultural  
321 technologies would double or triple their agricultural outputs. Farmers were given false promise from  
322 extension workers and government agents about the success of agricultural technologies. In contrary,  
323 the yield of agricultural outputs did not double or triple because of the use of new agricultural  
324 technologies. Farmers sold their cattle to purchase the agricultural inputs with the assumption that the  
325 yield could double. However, farmers did not get the yield to cover their expense and their field were  
326 failed and they suffered from lack of good return from the use of agricultural technologies.  
327 Inappropriate technologies were also given to farmers for adoption. These technologies which were  
328 not appropriate to the given agro ecological zones failed and farmers concluded that new  
329 technologies were not working under their farm condition. This emanated from lack of considering the  
330 local problems before the introduction of the new technologies. Failures of technologies had risk on  
331 farmers live and they feared risk since there was no insurance for the failure of the technology.  
332 Because of the failure of technologies and fear of risk, farmers needed to adhere to the practice that  
333 they had used for many years. These factors hindered farmer's to participate in agricultural research  
334 to bring innovation in agriculture. The research findings confirm that farmers have numerous  
335 experiences with research projects. Farmers experiences show that a situation where farmers have  
336 become tired of passionate experts who come with toolkits of participatory approach just as they had

337 become investigation weary in earlier years. If earlier research projects fail to provide, farmers are  
338 likely to approach the new research with a great deal of reserve and scepticism (10).

### 339 **3.5 INSUFFICIENT TIME**

340 The research revealed that farmers were involved in different activities besides agricultural works in  
341 their life. They spent most of their time on social affairs, agricultural routine activities and family  
342 matters. Since most of the farmers were poor, they engaged themselves in different routine activities  
343 to support their family. Farmer's involvement in different activities to get their basic needs hindered  
344 farmer's engagement in agricultural research. According to the works of (10, 15, 18) that participatory  
345 agricultural research needs a major commitment on the parts of farmers in terms of time. But farmer's  
346 opportunity costs of time are frequently undervalued by researchers engaged in participatory  
347 agricultural research method. Agricultural researchers need to be aware of that "time is a precious  
348 commodity not only for scientists but also for farmers". Poor farmers are basically concerned with  
349 meeting their basic requirements and could not have time to become involved in research works.

### 350 **3.6 WEAK INTEGRATION OF INDIGENOUS AND SCIENTIFIC KNOWLEDGE**

351 Lack of indigenous and scientific knowledge integration was one of the problems revealed by the  
352 research. Most of the researcher's did not have the interest to hear to farmers ideas. These type of  
353 mentality inhibited researchers to effectively use knowledge of farmers in their research. Most of the  
354 time researchers' needed farmers to use their labour, land and time but not their indigenous  
355 knowledge. Most of agricultural researchers were adhered to the scientific knowledge to develop new  
356 agricultural technologies to bring food security. However, farmers have used the local knowledge to  
357 lead their life and highly dependent on their indigenous knowledge. Researchers were not in a  
358 position to integrate the local knowledge with the scientific knowledge to bring innovation in  
359 agriculture in the county. Researchers thought that indigenous knowledge has no capacity to solve  
360 the problems of farmers in agriculture. Farmers had great suspect on the scientific knowledge and  
361 believed that it did not bring significant solution to their existing problems in their lives. The research  
362 also showed that researchers did not have the experiences of integrating scientific knowledge with the  
363 indigenous knowledge. Problem of weakness in integrating these important types of knowledge  
364 created gap between farmers and researchers and hindered farmer's participation in agricultural  
365 research to bring innovation in agriculture. Empirical studies (3, 4, 6) show that most agricultural  
366 technologies fail due to lack of indigenous knowledge integration with scientific knowledge in  
367 agricultural research process. Moreover, the research does not consider the role of local knowledge  
368 in alleviating food security. Researchers try to recommend technologies that seem suitable to a  
369 different context without considering farmers, their culture and the socio-economic features of the  
370 environment. Lack of integrating farmer's knowledge with scientific knowledge is a common problem  
371 across most developing countries and resulted in food insecurity.

### 372 **3.7 UNWILLINGNESS TO LEARN FROM ONE ANOTHER**

373 The study exposed that the different stakeholders engaged in agricultural development were not  
374 ready and willing to learn from one another. Due to their low academic status, farmers were not ready  
375 to learn from researchers as well as other farmers. Even there was a problem of knowledge and  
376 experience sharing among researchers. Junior researchers did not have the willingness and interest  
377 to learn from experienced agricultural researchers. Moreover, senior researchers did not have the  
378 interest to share their experiences to junior researchers. Lack of readiness and willingness among  
379 farmers, researchers and other stakeholders in agricultural sector inhibited skill development,  
380 empowerment and social capital formation that are the key to bring innovation and development in  
381 agriculture. This problems hindered farmer's engagement in agricultural research. Most agricultural  
382 researchers give due attention to the functional role of participation ignoring skills development, social  
383 capital formation and empowerment which can be obtained from social learning (16, 17, 18).

384

### **3.8 INSIGNIFICANT CHANGE OF NEW TECHNOLOGIES TO MATERIALS WEALTH**

385 Farmers in the study area told that the new agricultural technologies were not capable of bringing  
386 material wealth in their life. Users of the new technology needed the material benefits in terms of  
387 money or other materials that would bring change in their life but it was not bring change in the life of  
388 farmers. These were due to the use of technologies that was irrelevant to farmer's condition.  
389 Researchers mostly spent their time on conducting research that was not demand driven and problem  
390 solving. These type of technologies that were not problem solving did not bring material wealth for  
391 farmers. Since farmers were not getting material wealth from the technologies, they did not have the  
392 interest to participate in agricultural research. According to (19) farmers engagement in agricultural  
393 research increases if the technologies produced can bring material wealth and increases resilience of  
394 the farmers livelihoods to external shocks and improve the capability of farmers and their local  
395 institutions to adapt to changing conditions.

396

## **4. CONCLUSION**

397 Based on the above empirical results, farmers' participation in agricultural research is critically  
398 hindered by lack of sufficient time from the farmers' side. For farmers' time is critically a limiting factor  
399 since they engage themselves in different activities to secure their basic needs. Even though, farmers  
400 have participated in agricultural research to a little degree, they do not have good experiences in the  
401 past. Some of the technologies were failed and this influenced farmers' participation in research.  
402 These bad experiences created in the mind of farmers' bad attitude both for research and  
403 researchers. Most of the researchers in the country conduct basic research that inhibited farmers'  
404 participation in research. This type of research does not have room for farmers' participation and  
405 hindered the readiness and willingness of both farmers and researchers to learn from one another.  
406 This unwillingness and lack of readiness to learn from one another created in poor integration of  
407 indigenous and scientific knowledge in research to bring innovation in agriculture. Lack of farmers'  
408 participation in agricultural research occasioned researchers' to conduct research that is irrelevant to  
409 farmers' need. These technologies that are not demand driven and irrelevant to the context of farmers  
410 brings insignificant change of the new technologies to material wealth. These all factors critically  
411 affect farmers' participation in research and inhibit innovation in agriculture. Innovation in agriculture  
412 comes from the interaction of the different actors that are working in agriculture since each actor  
413 brings their own knowledge and results in social learning. Insignificant innovation in agricultural  
414 research results in food insecurity in the country.

415

## **ACKNOWLEDGMENTS**

416 I am very much indebted to reviewers and the editors of American Journal of Experimental Agriculture  
417 for their encouraging and constructive comments. I am grateful to Wallaga University, Ambo Plant  
418 Protection Research Centre and all people who were involved in the research process. Without the  
419 support of these organizations, the article could not have been written.

420

## **REFERENCES**

- 421 1. Bill and Gates Foundation. Accelerating Ethiopian Agriculture Development for Growth, Food  
422 Security, and Equity: Synthesis of findings and recommendations for the implementation of  
423 diagnostic studies in extension, irrigation, soil health/fertilizer, rural finance, seed systems, and  
424 output markets (maize, pulses, and livestock). 2010.
- 425 2. UNDP Ethiopia. Promoting ICT based agricultural knowledge management to increase  
426 production and productivity of smallholder farmers in Ethiopia. 2012.
- 427 3. Iqbal M. Concept and implementation of participation and empowerment: Reflection from coffee  
428 IPM-SECP. Makara, Sosial Humaniora. 2007; 11 (2): 58- 70.

- 429 4. Douglah M, Sicilima N. A comparative study of farmers' participation in two agricultural  
430 extension approaches in Tanzania. *Journal of International Agricultural and Extension*  
431 *Education*. 1997; 4(1): 38-46.
- 432 5. Blay D, Appiah M, Damnyag L, Dwomoh F K, Luukkanen O, Pappinen. Involving local farmers in  
433 rehabilitation of degraded tropical forests: Some lessons from Ghana. *Environ Dev Sustain*.  
434 2008; 10: 503– 518.
- 435 6. Festo FK. Farmer participation in agricultural research and extension service in Namibia.  
436 *Journal of International Agricultural and Extension Education*. 2003; 10(3): 47-56.
- 437 7. Prince M. Etwire, Wilson Dogbe, Alexander N. Wiredu, Edward Martey, Eunice Etwire, Robert  
438 K.Owusu, et. al. Factors Influencing Farmer's Participation in Agricultural Projects: The case of  
439 the Agricultural Value Chain Mentorship Project in the Northern Region of Ghana. *Journal of*  
440 *Economics and Sustainable Development*. 2013; 4(10):36-44.
- 441 8. Chambers, R. "Rural development: Putting the last first. Essex". Addison Wesley Longman  
442 Limited. 1983.
- 443 9. Kumba, F. F. "Farmer Participation in Agricultural Research and Extension Service in Namibia".  
444 *Journal of International Agricultural and Extension Education*. 2003; 10(3): 47-55.
- 445 10. Neef A., D. Neubert. Stakeholder participation in agricultural research projects: a conceptual  
446 framework for reflection and decision-making. *Agriculture and Human Values*. 2011; 28(2):179-  
447 194.
- 448 11. Lilja, N., J. Dixon. Responding to the challenges of impact assessment of participatory research  
449 and gender analysis. *Experimental Agriculture*. 2008; 44: 3–19.
- 450 12. Johnson, N., N. Lilja, J. Ashby, J.A. Garcia. The practice of participatory research and gender  
451 analysis in natural resource management. *Natural Resources Forum*. 2004; 28: 189–200.
- 452 13. McDougall, C., A. Braun. Navigating complexity, diversity, and dynamism: Reflections on  
453 research for natural resource management. In *Managing natural resources for sustainable*  
454 *livelihoods: Uniting science and participation*, ed. B. Pound, S. Snapp, C. McDougall, and A.  
455 Braun, London: Earthscan. 2003; 20-47.
- 456 14. Van Asten, P.J.A., S. Kaaria, A.M. Fermont, R.J. Delve. Challenges and lessons when using  
457 farmer knowledge in agricultural research and development projects in Africa. *Experimental*  
458 *Agriculture*. 2009; 45: 1–14.
- 459 15. Hoffmann, V., K. Probst, A. Christinck. Farmers as researchers: How can collaborative  
460 advantages are created in participatory research and technology development? *Agriculture and*  
461 *Human Values*. 2007; 24 (1): 355–368.
- 462 16. Van de Fliert, E., Ngo Tien Dung, O. Henriksen, J.P.T. Dalsgaard. From collectives to collective  
463 decision-making and action: Farmer field schools in Vietnam. *Journal of Agricultural Education*  
464 *and Extension*. 2007; 13(3): 245–256.
- 465 17. Hellin, J., M.R. Bellon, L. Badstue, J. Dixon, R. La Rovere. Increasing the impacts of  
466 participatory research. *Experimental Agriculture*. 2008; 44: 81–95.
- 467 18. Neef, A., F. Heidhues, K. Stahr, P. Sruamsiri. Participatory and integrated research in  
468 mountainous regions of Thailand and Vietnam: Approaches and lessons learned. *Journal of*  
469 *Mountain Science*. 2006; 3(4): 305–324.
- 470 19. Bruges, M., W. Smith. Participatory approaches for sustainable agriculture: A contradiction in  
471 terms? *Agriculture and Human Values*. 2008; 25: 13–23.
- 472 20. Ashley, C., S. Maxwell. "Rethinking rural development". *Development Policy Review*. 2001;  
473 19(4): 395–425.
- 474 21. K. K. S. Nxumalo, O. I. Oladele. Factors Affecting Farmers' Participation in Agricultural  
475 Programme in Zululand District, Kwazulu Natal Province, South Africa. *J Soc Sci*. 2013; 34(1):  
476 83-88.
- 477 22. Aref F. Farmers' participation in agricultural development: The case of Fars province, Iran.  
478 *Indian Journal of Science and Technology*. 2011; 4(2): 155-158.

- 479 23. Nxumalo, K. K. S., O. I. Oladele. "Factors Affecting Farmers' Participation in Agricultural  
480 Programme in Zululand District, Kwazulu Natal Province, South Africa". *Journal of Social*  
481 *Science*. 2013; 34(1): 83-88.
- 482 24. Wigboldus S., Jan van der Lee, Gareth Borman, Karen Buchanan, Wouter Leen Hijweege.  
483 Going for gold in innovation partnerships responsive to food insecurity – the role of knowledge  
484 institutes. Policy paper. Wageningen UR Centre for Development Innovation. 2011; 1- 6.
- 485 25. Abate T, Shiferaw B, Gebeyehu S, Amsalu B, Negash K, Assefa K, et al. A systems and  
486 partnership approach to agricultural research for development: Lessons from Ethiopia. *Outlook*  
487 *Agriculture*. 2011; 40(3):213–220
- 488 26. Strauss, A., Corbin, C. *Basics of Qualitative Research. Techniques and Procedures for*  
489 *Developing Grounded Theory*. Thousand Oaks, CA: Sage Publications. 1998.
- 490 27. Glaser B., A. Strauss. *The discovery of Grounded Theory*. Aldine, Chicago. 1967.
- 491 28. Angrosino M. *Focus on Observation*. Los Angeles, CA: Sage Publishers. 2007.
- 492 29. Yin R.K. *Case Study Research: Design and Methods*. Thousand Oaks, CA: Sage Publications.  
493 2003.