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Optical sensors for precision agriculture: an

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ABSTRACT

The increasing growing human population added to the rural exodus has aggravated the pressure in the agricultural sector for greater production. Faced with this problem, research has increased to developed optical sensors for more productive agriculture with the purpose of minimizing the effects of rural exodus, obtaining rapid information and promoting the rational use of natural resources. Optical sensors have a differential consisting of the ability to use the spectral signature of an attribute or part of it to gain information, often not obvious. This review provides recent advances in optical sensors as well as future challenges. The studies have shown the wide range of applicability of optical sensors in agriculture, from detection of weeds to identification of soil fertility, which favors management in different areas of agriculture. The main limitation to the use of optical sensors used-in agriculture in most parts of the world has been the cost of purchasing the devices, especially in poor countries. 500 one of the future challenges is the reduction of final prices paid by consumers.

Keywords: Smartphone; weed; hydricalhydric stress; pathogen detection; soil fertility.

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1. INTRODUCTION

The growth of the world population implies an increase in food demand. With natural resources, such as limited freshwater and fertilizers, the implementation of initiatives aimed at incrementing a productive and efficient use of natural resources is needed. In this context, several scientific efforts have been made to multiply augment agricultural production. The sensor-based information system is one of these efforts, being one of the bases of precision agriculture and of fundamental applicability for agricultural monitoring and decision making oriented towards greater production and efficiency [1].

For precision farming, knowledge about soil attributes, the health of developing plants and the quality of fruits and grains harvested are extremely important. In view of this, several types of sensors have been researched and developed, either to monitor soil attributes such as moisture, salinity, conductivity and fertility; monitor environmental conditions such as precipitation, solar radiation and relative humidity; or monitor plant attributes such as chlorophyll content, nitrogen requirement, water stress, among others [2].

Among the different types of sensors, optical sensors have a differential aspect compared to others, which is the ability to use the spectral signature of an attribute or part of it. To do this, every optical sensor has the ability to measure reflectance or use the reflectance property

for information. This ability to differentiate, for example, the state of a normal plant from one with some problem, be it water deficit or lack of some nutrient, such as nitrogen [3].

Thus, to carry out the present study, we undertook a bibliographic review aiming at to seeking for the uses of optical sensors in precision agriculture, presenting future advances and challenges.

2. MATERIAL AND METHODS

The method proposed for this study was based on the review of publications related to the applicability of optical sensors in precision agriculture, presenting future advances and challenges in the exploration of agricultural activity in a global way. According to order to meet the objective of the study, the review was comprised of five stages: i) establishment of the theme and selection of the research question; ii) establishment of inclusion and exclusion criteria; iii) definition of the information to be extracted from the selected articles; iv) analysis and interpretation of results; and v) presentation of knowledge review and synthesis. Considering the specificity of the topic, the methodology used and the main results were used as parameters for the definition of the information to be extracted from the selected publications.

The inclusion criteria of the papers used were: publications between 2003 and 2018, which portrayed the subject matter of global use in agriculture; and that addressed the key words and expressions like smartphone, weed control, water stress, pathogen detection and soil fertility.

For the analysis of the data, a thorough reading of the selected papers was carried out, in order to verify the adherence and consistency to the focus of this research. The ideas were grouped by similarity so as to compose a narrative synthesis of the results and discussion of the information related to the study.

3. RESULTS AND DISCUSSION

3.1. Applicability of optical sensors in agriculture

3.1.1. Irrigation

The scarcity of water in various areas of the world and the increase in the cost of its_use leads to the need for proper use of this resource. Therefore, knowing the right moment to irrigate and quantity is grounded for rational use of-water.

The use of optical sensors such as thermographic, multispectral and hyperspectral cameras is being studied by many researchers to monitor the canopy, identify water stress of plants and estimate the stomatal conduct to assist in irrigation planning.

The use of thermal imaging obtained by thermographic camera, was evaluated by González-Dugo et al. [4] as a potential for irrigation management by serving as a water stress indicator for a commercial 42 ha orchard located in Murcia, Spain. The results showed that thermal imaging is was a valuable tool for decision making regarding the timing of orchard irrigation.

In this perspective, O'shaughnessy et al. [5] evaluated the use of thermal imagery to assess water stress in soybean and cotton crops in Texas in USA. Ballester et al. [6] studied the use of thermographic camera for the detection of water stress in citrus and persimmon trees

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in Valencia, in Spain. Bellvert et al. [7] evaluated the use of a thermal camera to determine 78 water stress in vines in the town of Lleda in, Spain. Zarco-Tejada et al. [8] studied the use of VANT to detect water stress in orange and tangerine cultivars using hyperspectral and 80 thermal images in Seville in, Spain. Theses above-mentioned papers have allowed to draw the conclusion that the use of thermal imaging is an efficient tool to identify the water stress 81 82 of crops and guide the management of irrigation

Multispectral cameras and thermal cameras on board unmanned aerial vehicles (UAV) were used by Gómez-Candón et al. [9] in the cultivation of apple trees for the detection of water stress in the trees. Captured images allowed water stress to be detected at the individual tree level in order to allow localized management of irrigation.

All the researches show great applicability of multispectral, thermographic and hyperspectral 87 88 cameras to identify plants in experiencing water stress. To achieve this result, complex 89 image processing was developed and good performance computers were required.

90 These studies must be improved so that they can get into the hands of producers, since the 91 results are still dependent on the laboratory environment.

3.1.2. Management of nitrogen fertilization

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The chlorophyll is the most important pigment of the leaf and some one of the most important of the plant, since it is through it that plants the manages to capture the sunlight and to use it as energy source. In order to quantify the amount of chlorophyll in the leaf, it is possible to estimate the lack of sufficient amount of nitrogen deficiency in the plant, indicating the need for nitrogen fertilization or not [10].

Nitrogen is one of the most influential nutrients in-of plant development, being a limiting element of production. Due to this characteristic, it is intensively used in productive crops, aiming to get the crop te-reaching its maximum potential [11]. However, if used in excess of the cost of production also leads to contamination of water resources due to leaching and evaporation [10].

Commercial optical sensors such as the GreenSeeker and Minolta SPAD-502 are based on NIR and SPAD Analysis of Soil Plants. With the NDVI, as measured by GreenSeeker, it is possible to estimate the nitrogen fertilization for the crop according to the desired productivity, with the SPAD as measured by the Minolta SPAD-502, the amount of chlorophyll in the plant is estimated and thus it is possible to identify the state of health, as well as to recommend nitrogen fertilization.

109 Yara N-Sensor is another sensor also used for nitrogen fertilization. It is based on spectral 110 reflection in specific bands related to the chlorophyll and biomass content of the cultures.

The CropCircle optical sensor makes readings of up to 6 spectral bands covering blue, 111 112 green, red, near-red and near-infrared. With the combination of these bands it is possible to 113 estimate different vegetation indices, among them NDVI [12].

Crain et al. [11] have constructed to a prototype of optical sensor to measure NDVI aiming at 114 low production cost. They set up an experiment with corn and wheat to verify the calibration and performance of the prototype with the GreenSeeker commercial sensor. Their results showed that the prototype is-was a useful sensor to measure NDVI and by means of this estimate of nitrogen fertilization. The performance and accuracy are lower than those of the

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119 GreenSeeker, due to the low cost of the prototype, but it does not disturb the farmer who 120 uses it. 121 Wang et al. [13] and Wang et al.-[14] have developed very similar surveys with commercial 122 geraniums. They verified the performance of the GreenSeeker and Minolta SPAD-502 123 sensors in the identification of nitrogen concentration in two geranium cultivars. NDVI and 124 SPAD measures are possible to identify changes in the nitrogen concentration state, but 125 they pointed out that research must correlate these variations with the necessary dose of nitrogen to be applied in the geraniums. 126 Shiratsuch et al. [15] has used the CropCircle sensor to measure the Meris Terrestrial 127 Formatted: Normal, Justified chlorophyll index (MTCI) of corn crops in Brazil submitted to different treatments of nitrogen 128 129 fertilization. With the MTCI data and the correlation with the nitrogen dose used in each 130 treatment, they have created an algorithm to estimate the application rate of nitrogen in corn. Formatted: Font: (Default) Helvetica 131 Dunn et al. [16] has evaluated the performance of the NDVI sensor prototype developed by Crain et al. [11] and the SPAD-502 sensor in the identification of the nitrogen concentration 132 in Gaillardia. The results indicate that both sensors can be used to identify the nitrogen 133 134 concentration of this flower, as long as the sampling time is not short. Dunn et al. [16] 135 pointed-out that in order to develop fertilization guidelines it is necessary to further 136 investigate further the different production practices and additional cultivars with the d-NDVI and SPAD measured values. 137 Formatted: Font: (Default) Helvetica The studies indicate that there is a field of research to develop algorithms that estimate the 138 139 nitrogen dose to be applied in different commercial cultivars according to the value of SPAD 140 or NDVI measured, or other index. GreenSeeker, for example, uses algorithm that 141 recommends only dose to be applied to grains. Therefore, there are a variety of agricultural species still to be studied. 142 3.1.3. Chemical properties of soil 143 144 Studies have shown that the number of ions in the soil and organic matter affect the 145 reflectance, absorption or transmittance of electromagnetic waves by the soil. This fact may 146 be interesting for the use of optical sensors as a measure of soil chemical properties [17]. 147 Schirrmann et al. [18] has used a mobile NIR spectrophotometer to map the surface layer of Formatted: Normal, Justified, Space After: 148 organic farms and to study the correlation among the spectral data with the results of the 12 pt 149 laboratory analysis for P, K, Mg, soil organic matter (OM), N and pH. For the local calibrations, the best results were pH, N-total, MO, K-total and Mg-total, with representing-150 151 0.71, 0.69, 0.61, 0.55, 0.53, respectively; therefore, showing correlation between NIR spectral data of the soil with the chemical properties of this soil. However, they concluded 152 that the correlation between the spectra and the parameters was location dependent, and 153 154 this would make it difficult to develop general calibration models. 155 Christy et al. [19] has developed a prototype using NIR spectrophotometer to map soil-Formatted: Normal, Justified 156 reflectance and correlate with chemical parameters. The results of an initial study indicated 157 that the locally weighted regression analysis was able to predict moisture, C-total, N-total and pH, with representing 0.82, 0.87, 0.86 and 0.72, respectively. The experimental unit 158 159 produced data with a high level of repeatability, thus showing soil patterns related to NIR 160 spectral reflectance. Formatted: Space Before: 12 pt 161 3.1.4. Detection of pathogens in plants 162 Studies in the literature show that plants after being attacked by pathogens suffer damage 163 that causes changes in the rate of transpiration and flow of water throughout the plant or in

164 organs. This leads to increased temperature in localized parts of the plant, such as leaves 165 [20, 21]. 166 Sankaran et al. [22] have studied the applicability of the multispectral camera and Formatted: Normal, Justified, Space After: thermographic camera for the detection of Huanglongbing disease in citrus trees. The 167 12 pt 168 experiment was carried out in the experimental field of citrus of the University of Florida in-169 USA. Their results concluded that using the band of the visible and thermal infrared as input characteristics, the overall average classification accuracy of 87%, with 89% specificity and 170 85% sensitivity, could be achieved to classify trees with leaves infected by Huanglongbing. 171 172 The support vector machine model was used for identification, Formatted: Font: (Default) Helvetica 173 Garcia-Ruiz et al. [23] used a multispectral camera coupled to UAV to diagnose citrus trees 174 affected by Greening's disease, based on spectroscopy. For this, the data generated from 175 the processing of six spectral bands and seven vegetation indices derived from these bands, 176 among them the NIR / R (near infrared / red), were used in the classification algorithm. Among the indexes analyzed, NIR / R showed a better significant difference between 177 healthy trees and infected plants. The authors concluded that the processing of multispectral 178 179 images taken at low altitudes is reliable in the detection of Greening disease (the 180 classification reached an accuracy of 85%), being a tool that could reduce the production 181 costs of the citrus crop due to the rapid identification of the disease. 182 3.1.4. Apps for smartphone 183 Smartphones are a devices that in addition to presenting a fast processing system also a 184 camera feature, being an interesting platform for image processing. In light of this, work has Comment [05]: To make sure that the sentence 185 been developed using the images captured by the RGB camera to create applications for makes sense 186 precision agriculture. 187 Vesal et al. [10] created an application called SmartSPAD responsible for estimating the Formatted: Normal, Justified, Space After: 188 SPAD of corn plants by means of contact image obtained by the camera of smartphones. Its application is based on two models of SPAD prediction from the corn leaf image: neural 189 190 network model, and the multivariate linear model. For the validation of SmartSPAD, the 191 SPAD values measured by it were compared with the SPAD values measured by the 192 Minolta SPAD-502 device, used as standard. The validation r² values were 0.88 and 0.72 and the mean square error was 4.03 and 5.96 for neural network and linear model, 193 194 respectively. The application proved to be a good estimator of SPAD values at a low cost, Formatted: Font: (Default) Helvetica 195 Han et al. [24] have created a ground classification sensor based on smartphone 196 application. The sensor is formed by external optical support and a smartphone application. 197 The support is formed of two external lenses and a shading cover, since the classification 198 application is based on the linear discriminant analysis model. The Munsell color card was 199 used as the soil classification standard. The results reached by the authors showed that the 200 sensor had hits above 90% for all soil samples evaluated. Formatted: Font: (Default) Helvetica 201 A similar research to the work of Han et al. [24] was also developed by Mulla [25]. The latter authors also applied an application for Android smartphones with the aim of classifying soil 202 203 in relation to Munsell color card through RGB images. Their results were obtained in 204 controlled lighting environments and showed that the ratings by the application were good 205 and acceptable in a controlled lighting environment. 206 3.2. Future Cchallenges Rregarding Ooptical Sensors Formatted: Justified

- The maximum nitrogen fixation by plants, in the traditional form of fertilization, is around 50%, with the world average being 33%. This is due to several factors, either by leaching, evaporation and / or plant losses [11]. Thus, of all the nitrogen fertilization used in the world for agricultural production, an average of 67% is wasted.
- The use of commercial optical sensors with GreenSeeker, Yara N-Sensor, CropCircle and SPAD-502 promotes improved fixation rate, but these sensors are expensive and not very accessible to many farmers, especially in developing countries. These countries correspond to about 70% of the nitrogen consumption for fertilization in the world [11].
- 215 According to Mulla [26], it is realistic to expect crops en in the farms ef in the future to be
 216 managed plant by plant. This approach will require the collection and analysis of massive
 217 data on a scale not considered today and the need for stationary or mobile sensors that can
 218 measure individual plant characteristics in real time.
- Real-time point-to-point sampling is possible today but at a very high cost. And due to cost, sampling in a productive area is done with few points, which decreases the accuracy of the final result, and inefficient becomes the whole set.

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The acquisition cost of a thermographic, multispectral and hyperspectal camera is high, especially in countries not benefited by the local currency. This makes it difficult for many research centers around the world to reduce-carry out research and development in many areas that could leverage technology to improve their research and make new discoveries [21].

<u>Table 1 presents summarizes most studied research fields with emphasis on the use of optical sensors for the monitoring of agricultural crops and agricultural processes.</u>

Table 1. More developed research on the use of optical sensors for the monitoring of crops and agricultural processes

crops and agricultural processes					
Country	Product	Optical sensor feature	Reference		
<u>Spain</u>	Water stress in almond, apricot, peach, lemon and orange	<u>Thermal</u>	[4]		
<u>USA</u>	Water stress in cotton	Thermal	<u>[5]</u>		
<u>Spain</u>	Water stress on persimmon and citrus trees	<u>Thermal</u>	<u>[6]</u>		
<u>Spain</u>	Water stress in the vine	Thermal	[7]		
<u>Spain</u>	Water stress in orange and tangerine feet	Hyper-Spectral and thermal	[8]		
<u>France</u>	Water stress in apple trees	Thermal	[9]		
<u>USA</u>	SPAD reading application	CCD	[10]		
USA/ Mexico	Management of nitrogen	NDVI reader	[11]		

	fertilization in maize		
<u>Brazil</u>	Management of nitrogen fertilization in maize	MTCI Reader	<u>[15]</u>
<u>USA</u>	Management of nitrogen fertilization in Gaillardia	NDVI/SPAD Reader	<u>[16]</u>
<u>USA</u>	Chemical properties of soil	Multispectral NIR	[18]
<u>USA</u>	Chemical properties of soil	Multispectral NIR	[19]
<u>USA</u>	Huanglongbing on citrus trees	<u>Thermal</u>	[22]
<u>USA</u>	Huanglongbing on citrus trees	Multispectral	[23]
<u>China</u>	Application to sort soil	CCD/lenses	[24]
<u>Spain</u>	Application to sort soil	CCD	[25]
Greece	Identification of Silybum marianum	Multispectral	[27]
<u>Spain</u>	Identification of Sorghum halepense	Multispectral and RGB	[28]

 In analyzing Table 1 as well as the various literature cited in this study, it is noteworthy that the USA followed by Spain are the countries that present the most published study on the use of optical sensors in various areas of agriculture, including the identification of the soil chemical properties, as well as the classification and identification of diseases.

Given the current context, it will be future challenges to develop low-cost optical sensors and make them as accessible as possible to the producer and the research centers. That these sensors promote the improvement of the nitrogen fixation in different agricultural crops and that they can monitor in real time the plant or the homogeneous set of these, facilitating the management at the varied rate.

Another challenge will be to develop optical sensors that all steps of image capture, processing and final result take place on the same equipment. This will facilitate the immersion of this technology in the field.

Table 1 presents a summary table of the most studied research fields with emphasis on the use of optical sensors for the monitoring of agricultural crops and agricultural processes.

Table 1. More developed research to study the use of optical sensors for the monitoring of crops and agricultural processes

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Country	Product	Optical sensor feature	Reference		
Spain	Water stress in almond, apricot, peach, lemon and orange	Thermal	[4]	4	Formatted Table Formatted: Left
USA	Water stress in cotton	Thermal	[5]	4	Formatted: Left
Spain	Water stress on persimmen and citrus trees	Thermal	[6]	4	Formatted: Left
Spain	Water stress in the vine	Thermal	[7]	4	Formatted: Left
Spain	Water stress in orange and tangerine feet	Hyper-Spectral and thermal	[8]	4	Formatted: Left
France	Water stress in apple trees	Thermal	[9]	4	Formatted: Left, Don't keep with next
USA	SPAD reading application	CCD	[10]	4	Formatted: Left
USA/ Mexico	Management of nitrogen fertilization in maize	NDVI reader	[11]	*	Formatted: Left Formatted: Space After: 0 pt, Line spacing:
Brazil	Management of nitrogen fertilization in maize	MTCI Reader	[15]	4	single Formatted: Left
USA	Management of nitrogen fertilization in Gaillardia	NDVI/SPAD Reader	[16]	4	Formatted: Left
USA	Chemical properties of soil	Multispectral NIR	[18]	4	Formatted: Left
USA	Chemical properties of soil	Multispectral NIR	[19]	4	Formatted: Left, Don't keep with next
USA	Huanglongbing on citrus trees	Thermal	[22]	4	Formatted: Left
USA	Huanglongbing on citrus trees	Multispectral	[23]	4	Formatted: Left
China	Application to sort soil	CCD/lenses	[24]	4	Formatted: Left
Spain	Application to sort soil	CCD	[25]	4	Formatted: Left
Greece	Identification of Silybum marianum	Multispectral	[27]	4	Formatted: Left
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In analyzing Table 1, as well as the various literature cited in this study, it is noteworthy that the USA followed by Spain is the country that presents the most published study on the use of optical sensors in various areas of agriculture, from identification of the soil chemical properties, as well as classification, identification of diseases.

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Regarding the period of publication, of the The total of of 18 works analyzed published from 2003 to 2017 including, one was published in 2003, two in 2011, two in 2012, six in 2013, one in 2014, two in 2015, three in 2016, and one in 2017. Abouth 33.3% were thermal, 5.6% hyperspectral, 16.7% charge-coupled device (CCD), 27.8% multispectral and 16.7% studied reading sensors of vegetation indices. The year and type of publication are shown in Figure 1.

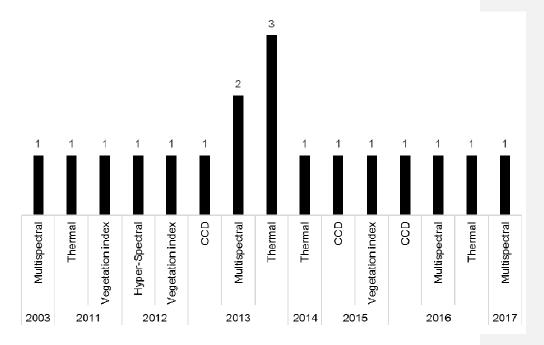


Fig. 1. Number of publications by type and year.

4. CONCLUSION

The studies developed and presented show the great applicability of optical sensors as a precision agriculture tool from identification of water stress and weeds to nitrogen fertilization management in crops.

The main limitation to the use of an optical sensor used in agriculture in most parts of the world is the cost of purchasing the devices, especially in poor countries where agriculture is the basis of the economy. therefore the refore, a future the challenge is will the best developproduction of cost effective efficient sensors with low acquisition cost.

Image processing for precision farming is a very effective information method, however, the results are not immediate and you need a computer that performs well to get them.

smartphones have combined processor and camera into one device. delta-pue to this feature, the smartphone has proven to be very useful for digital image processing. the-The trend is for

processing to become better, given that every day better smartphones, in terms of processor

and camera are launched with cost-effectiveness.

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