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**Review Paper** 

# A STUDY ON COLD PLASMA FOR FOOD PRESERVATION

#### 4 ABSTARCT:

Cold plasma is an electrically energized matter composed of highly reactive species includes 5 molecules of charged and gas particles in the form of positive ions, negative ions, photons 6 7 electrons, free radicals at room temperature. It is an emerging technology in non-thermal food preservation in the application of sterilization. An increase in the plasma based treatment for 8 9 food to inactivate the food borne pathogens clearly seen in the recent years. The present study 10 reviews the action of plasma agents on the microbial classes, surface decontamination of the 11 raw produce in the food processing and novel technologies with future view in applications of 12 food.

13 Key words: cold plasma, Food, preservation, sterilization.

#### 14 Introduction

15 Matter on earth exists mostly in three distinct phases (gas, liquid and solid) but when universe is considered as fourth state of matter which abundantly exists. So, Plasma is hence 16 17 referred to as the fourth state of matter, next to solids, liquids and gases. The term 'Plasma' 18 was first employed by Irving Langmuir in 1928 to define this fourth state of matter which is 19 partially or wholly ionized state of gas and discovered plasma oscillations in ionized gas. The 20 phase change from solid to liquid and further to gas occurs as we increase the energy input 21 likewise increasing the energy input beyond a certain level in gas state causes ionization of 22 molecules which yields the plasma state. d Agostino et al. reported that plasma can be 23 obtained either in low temperature, non-equilibrium glow discharge or high temperature, 24 equilibrium thermal plasma.

From the properties of plasma, it is used in various fields such as textile, electronics, life sciences, packaging etc. *Roth et al.* Application of the plasma technology as a surface cleaning tool has been commercially adopted for the removal of disinfection chemicals applied to medical devices manufactured from heat sensitive plastics. Moisan et al. In the biomedical sector plasma technology issued for cold sterilization of instruments and 30 prostheses as well as many thermo labile materials used in the biomedical technology sector 31 for its particular advantages, including its moderate or negligible impact on substrate 32 materials and use on nontoxic compounds. Conventionally, sterilization methods such as 33 heat, chemical solutions are used for the surface disinfection of fruits, seeds, and spices etc., 34 which are often time-consuming and damaging or have toxic residues. Van de Veen et al. 35 reported that the effect of cold plasma on bacterial spores is more than the conventional 36 techniques like heat, chemicals and UV treatment. One of the important challenges associated 37 with cold plasma technology is ensuring high microbial inactivation while maintaining 38 sensory qualities that ensure there fresh appearance

39 Conventional chemical treatments are familiar to food processors, as are conventional 40 energy based processes, such as heating. The three conventional states of matter are solids, 41 liquids, and gases; plasma has been described as the fourth state of matter, an unfamiliar 42 designation that warrants explanation. As materials acquire energy (such as by heating), they 43 change state, from solid (lowest energy) to liquid and then ultimately to gas. The melting 44 points and boiling points of materials widely vary. For all materials, however, at each phase 45 transition, the interactions and structures between molecules become loose rand ultimately 46 breakdown entirely (Niemira 2012). Gases are collections of molecules (e.g., N2,O 2, CO 2) 47 or single atoms (e.g., He, Ne, Ar) without large scale structure.

At still higher energies, the intra molecular and intra-atomic structures breakdown, 48 49 liberating free electrons and ions. Plasma may be though to fasan ionized gas consisting of 50 neutral molecules, electrons, and positive and negative ions. Plasmas generated in 51 conventional devices do not ionize all of the atoms in a gas, even for hot (i.e., thermal) 52 plasmas, such as welding arcs and spark plugs (Fridman et al. 2005). Within these hot 53 plasmas, all species are extremely reactive. Within cooler (i.e., nonthermal) plasmas, such as 54 those found in neon signs and plasma display screens, some of the chemical species are more reactive than others. For this reason, the chemical composition of the feed gas becomes a 55 56 determining factor in the types of reactions that the plasma can initiate (Lieberman 57 &Lichtenberg 2005, Niemira & Gutsol 2010).

The energy required to ionize gases into plasma can come from a variety of sources, such as heat, electricity, laser light, radiation, and extremely rapid compression. As a cloud of active particles, the plasma retains the imparted energy for a period of time. When the active particles recombine with each other, the energy is released as visible and UV light in the

62 process of recombination (Lieberman & Lichtenberg 2005, Niemira 2012). Of more interest 63 to food processors, the active particles in the plasma can react with the food substrate, 64 releasing the stored energy into the bacteria or viruses to be targeted. How much energy a 65 plasma has to impart will depend on its chemical composition, density, and temperature.

#### 66 Plasma Science (Plasma- Definition, Physics and Chemistry)

In 1922, the American scientist Irving Langmuir proposed that the electrons, ions and neutrals in an ionized gas could be considered as corpuscular material entrained in some kind of fluid medium and termed this entraining medium "plasma", similar to the plasma, introduced by the Czech physiologist Jan Evangelista Purkinje to denote the clear fluid which remains after removal of all the corpuscular material in blood. However, it emerged that there was no "fluid medium" entraining the electrons, ions, and neutrals in an ionized gas (Bellan 2015), nevertheless the name prevailed.

74 The term "plasma" refers to a partially or wholly ionized gas composed essentially of photons, ions and free electrons as well as atoms in their fundamental or excited states 75 76 possessing a net neutral charge. The plasma possesses a net neutral charge because the 77 number of positive charge carriers is equal to the number of negative ones (Kudra and 78 Mujumdar 2009). Electrons and photons are usually designated as "light" species in contrast to the rest of the constituents designated as "heavy" species. Due to its unique properties 79 80 plasma is often referred to as the fourth state of matter according to a scheme expressing an 81 increase in the energy level from solid to liquid to gas and ultimately to plasma.

#### 82 Definition of Technology

83 Thermal plasma, operating at many hundreds or thousands of degrees above ambient, 84 would be immediately detrimental to the quality of food products. Non thermal plasma is 85 therefore the focus. For the sake of clarity, however, a distinction must be made between 86 what non thermal means to a plasma physicist and what the same term means to a food 87 processor. To the physicist, non-thermal means that the plasma has a distinctly non uniform 88 distribution of energy (a non-equilibrium) among the constituent particles. Electrons are 89 likely to transfer energy via collisions with heavier particles, exciting the larger particle in to 90 a state of reactivity (Fridmanetal. 2005, Niemira & Gutsol 2010). To a food processor, nonthermal means that the mode of action of the antimicrobial process does not rely on thermal 91 92 kill for inactivation of associated pathogens. As a practical matter, non-thermal processes are

93 generally regarded as those that cause little or no thermal damage to the food product being 94 treated. There are three primary mechanisms by which cold plasma inactivates microbes 95 (Moisanetal. 2002).

- 96 • The first is the chemical interaction of radicals, reactive species, or charged particles 97 with cell membranes.
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The second is by damage to membranes and internal cellular components by UV radiation.

• Finally, DNA strands may be broken by UV generated during recombination of the 101 plasma species.

102 While on a given commodity, one mode of action may be more significant than another, the 103 greatest sanitizing efficacy results from plasma with multiple antimicrobial mechanisms 104 (Moisan et al. 2002, Laroussi 2003). As a food processing technology, cold plasma is new 105 enough that the terminology is still evolving. The terms cold plasma (Noriega et al. 2011), 106 cool plasma (Tran et al. 2008), atmospheric pressure plasma(Chirokovetal.2005),cold 107 atmospheric gas plasma (Moisanetal.2001), and other comparable terms have been used in 108 recent publications. In other cases, the plasma is described by the generative technology, e.g., 109 dielectric barrier discharge (Fridman et al. 2006), plasma jet (Lu etal.2009), uniform glow 110 discharge plasma(Gadrietal.2000), gliding arc discharge (Burlicaetal. 2010), etc.

#### 111 **Types of Plasma**

112 Two classes of plasma, namely thermal and Non-thermal plasma (NTP) can be 113 distinguished on the basis of conditions in which they are generated. This classification of 114 plasma is based on the relative energetic levels of electrons and heavy species of the plasma. 115 NTP (near ambient temperatures of 30-60°C) is obtained at atmospheric or reduced pressures 116 (vacuum) and requires less power. NTPs are characterized by an electron temperature much 117 above that of the gas (macroscopic temperature) and consequently do not present a local 118 thermodynamic equilibrium. NTP is also generated by an electric discharge in gas at lower 119 pressure or using microwaves.

120 Typical illustrations for plasma generation at atmospheric pressure include the corona 121 discharge, Dielectric barrier discharges (DBD), Radio-frequency plasmas (RFP) and the 122 gliding arc discharge. To the contrary, thermal plasmas are generated from higher pressures 123 and require high power. Thermal equilibrium may exist in between the electrons and the

heavy species. Plasma generation at atmospheric pressure is of interest, both technically andindustrially for the food industries because this does not require extreme conditions.

A thermal plasma is characterized by the existence of a thermodynamic equilibrium between the electrons, ions and neutral particles. The temperatures of a thermal plasma at atmospheric pressure generally are above 6000 K. This corresponds to a mean kinetic energy of less than 1 ev.

A non-thermal plasma has significantly different electron and gas temperatures. For example, the electron temperature may be several 10,000 K, which corresponds to a mean kinetic energy of more than 1 eV, whereas the gas temperature can be close to ambient. In spite of their low temperature, such plasmas can trigger chemical reactions and excitation states via electron impact. In Contrast thermal plasma, non-thermal plasma can also be applied directly to thermally sensitive surfaces.

#### 136 Plasma Sources

137 Usually, plasma treatments was carried out under vacuum conditions, but researchers 138 have developed atmospheric pressure plasma system, resulting reduced cost, increased 139 treatment speed, and industrial applicability (Yoon and Ryu 2007; Yun et al. 2010). The 140 ability to generate non-thermal plasma discharges at atmospheric pressure makes the 141 decontamination process easier and less expensive (Kim et al. 2011). Nevertheless recently, 142 most of the cold plasma devices available commercially was developed for research to aim at 143 biomedical applications. Therefore, for food applications, these devices may need to be 144 customized or tailor made. The barrier glow discharge generated between two parallel 145 electrodes is a widely employed NTP system.

146 Food may be conveyed through the discharge to achieve microbial decontamination in 147 most of the industrial scale. Another configuration is the plasma pen or jet, in which a stream 148 of gases can be directed at the object to be treated. Biozone, a Scientist has developed the 149 new process for the generation of the cold oxygen plasma (COP) by using air to high-energy 150 deep UV light with a effective radiation spectrum between 180 nm & 270 nm. This cold gas 151 plasma, composed of several species of negative and positive ions, free radical molecules, 152 electron, UV-photons and ozone (Terrier et al. 2009). Duo-Plasma line is linearly extended 153 plasma source excited using microwaves of 2.45 GHz at a pressure <1000 Pa (Petasch et al. 154 1997) and several other plasma treatment systems have evolved based on this principle. The

Plasmodul is a microwave sustained low pressure plasma reactor with a modular concept
based on the Duo-Plasmaline principle which provides an easy up scaling for industrial
applications (Schulz et al.).

This type of microwave excited plasma sources are well suited for large area plasma treatment (Petasch et al. 1997) and can probably be employed for surface treatment of foods or processing surfaces at industrial scale. More recently, Kim et al. (2010) developed a cold plasma jet operating at 20 kHz Alternating Current (AC) under atmospheric pressure. The most changable feature of most plasma systems is the freedom to select a gas or gas mixture. Improvements in the existing plasma systems and newer equipment directed for treatment of real food systems are likely to draw attention of researchers and engineers in near future.

165 Recently a novel approach which shows significant potential for the treatment of 166 various foods has been reported. The approach is based on a dielectric barrier discharge with 167 the food package in contact with high voltage electrodes. Only 40-50 W of power is needed 168 to ionize air inside a 4 L re-sealable plastic (LDPE) bag (Klockow and Keener 2009). The 169 high voltage process ionizes any gas within the electric field contained within the package. 170 Ionization can generate significant amounts of reactive molecules with little increase in 171 product surface temperature. Particular treatment times for targeted spore or bacterial 172 reductions are dependent on product loading, packaging material, gas composition and 173 package/electrode configuration. The in-package ionization process has been demonstrated in 174 a number of common packaging materials including cardboard, glass, LDPE, HDPE, PETE, 175 polystyrene, rubber, tygon, and others. Scale-up of the system has facilitated treatment of air 176 filled packages with an electrode gap of up to 10 cm with rapid processing times (Keener et 177 al. 2010).

#### 178 Types of Cold Plasma Systems

There is a rapidly expanding array of technologies used to generate cold plasma. These can operate at atmospheric pressure or at some degree of partial vacuum. The gas being ionized may be as simple as air or nitrogen, or it may be a more exotic mixture containing some proportion of noble gases, such as helium, argon, or neon. The driving energy may be electricity, microwaves, or lasers. This wide array of design elements is an indication of the flexibility of cold plasma systems and the extent to which new forms of cold plasma systems continue to be built and evaluated.

However, all cold plasma systems intended for use in food processing fall generally into one of three categories. These categories are defined by where the food to be treated is positioned with respect to the cold plasma being generated: at some significant distance from the point of generation, relatively close to the point of generation, or within the plasma generation field itself. Conceptually, these categories are derived from the nature of cold plasma chemistry, with delineations having to do with the half-life and reactivity of charged, active species within the plasma (Niemira & Gutsol 2010).

193 The first category is remote treatment cold plasma systems. The plasma is generated 194 using one of a variety of methods and moved onto the surface to be treated. The plasma may 195 be driven by a flow of the feed gas or (less commonly) manipulated through the use of 196 magnetic fields. This type of system has the advantage of placing the surface to be treated at a 197 physically separate point of generation (Chirokov et al. 2005). This simplifies the design and 198 operation of the device, and increases the flexibility with respect to the shapes and sizes of 199 objects to be treated. However, the most reactive chemical species are also those that have the 200 shortest half-life. During the time of flight, free electrons may recombine with other plasma 201 products, such as heavy ions or atomic species. By the time the quenched plasma reaches the 202 target surface, the composition is secondary chemical species, i.e. lower activity, long-living 203 chemical species resulting from chemical recombination within the plasma (Gadri et al. 204 2000).

205 The lower concentration of ions that exist in this afterglow plasma generate UV light 206 and activate chemical species upon reaction with the target, but their concentration is much 207 lower than in active plasma (i.e., plasma supported by electric field) (Fridman & Kennedy 208 2004). These Cond category is known as direct treatment cold plasma systems. In this 209 systems, plasma generation instrument supplies active plasma directly to the object to be 210 treated. As with the first category, the plasma is moved via the flow of the feed gas or by a 211 comparable means. So the target is relatively close to the site of cold plasma generation & 212 exposed to the plasma before active species recombine and are lost, these systems provide 213 higher concentrations of active agents (Laroussi&Lu2005). Systems of this type can operate 214 in pulsed mode, with plasma generated at pulse frequencies of hundreds or thousands of 215 times per second.

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#### 218 Cold-Plasma Generators

In this section, several methods that have been used to generate relatively large volumes of non-equilibrium cold plasmas, at or near atmospheric pressure (sometimes referred to as "high" pressure) are presented. This is not a comprehensive list of all existing methods. The methods presented here were chosen for two main reasons.

1. They have been used extensively to study the germicidal effects of cold, high-pressure plasmas; and

their potential use in various other industrial plasma processing applications (lighting,surface modification, etching, deposition).

#### 227 The Corona Discharge

228 Siemens (2005) was the first to suggest the use of a corona discharge to generate 229 ozone in order to disinfect water supplies. This was the first recorded use of plasma toward 230 the inactivation of micro-organisms. Menashi(1972) used a pulsed RF-driven corona 231 discharge to create a plasma at atmospheric pressure. He reported that up to microbial spores 232 could be inactivated in less than 1 s. Garate(1978) et al. used an "Enhanced Corona 233 Discharge" to destroy concentrations of up to 4.10<sup>6</sup>/ml of Escherichia coli, and spores of 234 Bacillus subtilis in less than 15 min. A schematic of the enhanced corona discharge is shown 235 in Fig. This discharge consists of a line of pins fastened to a hollow pipe at one end and 236 protruding from the other end through tiny holes.

The feed gas escapes through the holes and provides a local atmosphere around the corona points. The feed gas, a non-electronegative gas such as helium or argon, replaces the air around the corona points and therefore enhances the discharge by removing the electronattaching electronegative-oxygen molecules. The pin array can be biased by a dc or ac highvoltage supply, or by a pulsed power supply.



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#### Fig:1:The Glow Discharge at Atmospheric Pressure

One of the early developments of diffuse glow discharge plasma at atmospheric 244 245 pressure was reported by Donohoe. Donohoe(1979) used a large gap (cm) pulsed-barrier 246 discharge in a mixture of helium and ethylene to polymerize ethylene. Later, Kanazawa et 247 al(1979). reported their development of a stable glow discharge at atmospheric pressure by 248 using a dielectric-barrier discharge (DBD) configuration. They claimed that to obtain a 249 diffuse discharge (as opposed to a filamentary discharge, which is traditionally produced by 250 DBDs), helium had to be the major constituent of the gas mixture, and the frequency of the 251 applied voltage had to be in the kilohertz range. Schematic of the DBD-based glow discharge 252 at atmospheric pressure. At least one of the two electrodes must be covered by a dielectric 253 material. After the ignition of the discharge, charged particles are collected on the surface of 254 the dielectric.

255 This charge build-up creates a voltage drop, which counteracts the applied 256 voltage, and therefore chokes the discharge current. The discharge subsequently extinguishes. 257 As the applied voltage increases again (at the second half cycle of the applied voltage) the 258 discharge reignites. This process is repeated over and over during each full cycle of the 259 applied voltage. Laroussi(2003), reported the use of the glow discharge at atmospheric 260 pressure to destroy cells of Pseudomonas fluorecens. He used suspensions of the bacteria in Petri dishes placed on a dielectric-covered lower electrode. The electrodes were placed within 261 a chamber containing mostly helium with an admixture of air. He obtained full destruction of 262 263 concentrations of 4.10<sup>6</sup>/ml in less than 10 min. Using a similar discharge, Kelly-Win

tenberg(2000). reported the inactivation of B. subtilis spores using an air gap. E. coli, B.
subtilis, and a variety of other gram-negative as well as gram-positive bacteria were
inactivated successfully by many researchers using the DBD-based diffuse-glow discharge.



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#### Fig:2:Configuration of the DBD-based diffuse glow discharge at atmospheric pressure.

### 276 The Atmospheric-Pressure Plasma Jet

The atmospheric-pressure plasma jet (APPJ) is a capacitively coupled device consisting of two coaxial electrodes between which a gas flows at high rates. The outer electrode is grounded, while the central electrode is excited by RF power at 13.56 MHz The free electrons are accelerated by the RF field and enter into collisions with the molecules of the background gas. These inelastic collisions produce various reactive species (excited atoms and molecules, free radicals) that exit the nozzle at high velocity. The reactive species can therefore react with a contaminated surface placed in proximity (cm) of the nozzle.

As in the case of the diffuse DBD, the stability of the APPJ plasma (as well as its nonthermal characteristic) depend on using helium as a carrier gas. Herrmann et al(1999). used the APPJ to inactivate spores of Bacillus globigii, a simulant to Anthrax (Bacillus anthracis). Herrmann et al(1999). reported the reduction of seven orders of magnitude of the original concentration of B. globigii in about 30 s.





#### 298 The Resistive Barrier Discharge

The concept of the resistive-barrier discharge (RBD) is based on the DBD configuration. However, instead of a dielectric, a high-resistivity material is used to cover at least one of the electrodes. The high-resistivity layer plays the role of a distributed ballast which limits the discharge current and therefore prevents arcing. The advantage of the RBD over the DBD is the possibility to use dc power (or low-frequency ac, 60 Hz) to drive the discharge.

Using helium, large-volume diffuse cold plasma at atmospheric pressure can be generated. Richardson et al. and Laroussi et al. reported a fourorders-of-magnitude reduction in the original concentration of vegetative B. subtilis cells in about 10 min. They also reported that the RBD-inactivated endospores of B. subtilis, but not as effectively as the vegetative cells. In these experiments, they used a gas mixture of 97%–3% helium-oxygen, respectively.



### 321 Action on cell components and functions

The use of sterilizing properties of plasma was first introduced towards the end of 60s, patented in 1968 (Menashi 1968) and first works with plasma made from oxygen were proposed in 1989. Thereafter, considerable research has been performed on the mechanism of microbial inactivation by plasma agents. The plasma agents contribute to the lethal action by interacting with the biological material. Nelson and Berger (1989) have shown that O2 plasma could be a very efficient biocidal against bacteria.

Plasma treatment can effectively inactivate a wide range of micro-organisms including spores (Kelly-Wintenberg et al. 1999; Feichtinger et al. 2003; Lee et al. 2006) and viruses (Terrier et al. 2009). Effect of plasma can be quite selective, meaning tuneable between damage to pathogenic organisms without damage to the host, or activation of different pathways in different organisms (Dobrynin et al. 2009).

Low-pressure oxygen plasma has been shown to degrade lipids, proteins and DNA of cells (Mogul et al. 2003). The reactive species in plasma have been widely associated to the direct oxidative effects on the outer surface of microbial cells. As an example, commonly used oxygen and nitrogen gas plasma are excellent sources of reactive oxygen-based and nitrogen-based species, such as O•, O2, O3, OH•, NO•, NO2 etc. Atomic oxygen is potentially a very effective sterilizing agent, with a chemical rate constant for oxidation atroom temperature of about 106 times that of molecular oxygen (Critzer et al. 2007).

340 These act on the unsaturated fatty acids of the lipid bilayer of the cell membrane, 341 thereby impeding the transport of bio-molecules across it. The double bonds of unsaturated 342 lipids are particularly vulnerable to ozone attack (Guzel-Seydim et al. 2004). Membrane 343 lipids are assumed to be more significantly affected by the reactive oxygen species (ROS) 344 due to their location along the surface of bacterial cell, which allows them to be bombarded 345 by these strong oxidizing agents (Montie et al. 2002). The proteins cells and the spores are 346 equally vulnerable to the action of these species, causing denaturation and cell leakage. 347 Oxidation of amino acids and nucleic acids may also cause changes that result in microbial 348 death or injury (Critzer et al. 2007).

349 Micro-organisms in coldplasma are exposed to an intense bombardment by radicals, 350 most likely provoking surface lesions that the living cell cannot repair sufficiently faster. This 351 may partially explain the observations where in cells are in many cases destroyed very 352 quickly. This process is termed "etching" (Pelletier 1992). The cell wall rupture has been 353 additionally attributed by Laroussi et al., (2003) and Mendis et al., (2002) to electrostatic 354 forces due to accumulation of charges at the outer surface of cell membranes. The 355 morphological changes in E. coli cells treated with atmospheric plasma at 75W for 2 min as 356 observed under an electron microscope by (Hong et al. 2009), clearly revealed that the treated 357 cells had severe cytoplasmic deformations and leakage of bacterial chromosome.

358 These observations demonstrate the loss of viability of bacterial cells after plasma 359 treatment. An analogy between plasma and pulsed electric field has also been drawn to 360 explain the action of plasma on the membranes (Pothakamury et al. 1995; Spilimbergo et al. 361 2003). It is well established that electroporation of membranes is induced by pulsed electric 362 fields and it appears that plasma acts on similar lines inducing perforations in the membranes 363 of micro-organisms (Sale and Hamilton 1967; Pothakamury et al. 1995; Wouters and Smelt 364 1997). In addition to generating pores, humid air plasma additionally provokes a marked 365 acidification of the medium (Moreau et al. 2005; Moreau et al. 2007).

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#### **369 Role of UV photons and charged particles**

The production of UV photons of different wavelengths has been proposed to be involved in dimerizing the thymine bases of DNA including that of spores (Munakata et al. 1991).

The role of UV photons in bacterial death when they are submitted to a plasma treatment was reviewed in detail by (Boudam et al. 2006). More recently, by exclusion of the reactive particles and spectral fractions of UV radiation from access to the spores Roth et al., (2010) revealed that UV-C radiation is the most effective inactivation agent in the plasma. Ultraviolet (UV) photons play a less important role in atmospheric pressure glow discharge (APGD) because they are easily absorbed by gas atoms and molecules at atmospheric pressure (Vleugels et al. 2005).

380 The role of the charged particles in the bacterial inactivation process was recently 381 investigated by Lu et al. (2009). Their work revealed that the charged particles play a minor 382 role in the inactivation process when He/N2 (3%) is used as working gas than when He/O2 383 (3%) is used. Also, they concluded that heat and UV play no or minor roles in the 384 inactivation process. Similar results were earlier obtained by (Perni et al. 2007) who 385 interplayed bacterial inactivation kinetics with optical emission spectroscopy, and identified oxygen atoms as major contributor in plasma inactivation with minor contributions from UV 386 387 photons, OH radicals, singlet oxygen metastables and nitric oxide. Thus, a contradiction over 388 the role of UV photons in plasma exists and future studies must be directed to get a clear 389 picture.

#### **390 Effect of process parameters**

The concentrations in which the plasma agents occur in plasma depend greatly on the device set-up (reactor geometry), operating conditions (gas pressure, type, flow, frequency and power of plasma excitation) and gas composition which affect their efficacy in a process when employed. To cite an example, the destructive efficiency of various gas plasma sources and temperatures on Bacillus spp. spores were compared by (Hury et al. 1998).

This group demonstrated that oxygen-based plasma is more efficient than pure argon plasma. Another deciding criterion is whether the substrate to be sterilized is in direct contact with the plasma (Direct Exposure) or located remote from it (Remote Exposure) (Moisan et al. 2001; Laroussi 2005; Boudam et al. 2006). If exposed remotely, the quantum of heat

transmitted to a sample is reduced, the charged particles do not play a role since they
recombine before reaching the sample, and many of the short-lived neutral reactive species
also do not reach the sample.

403 Since, the components of the plasma are reactive and self-quenching, with a 404 relatively short half-life, decreased time of flight would be expected to be one of the major 405 factors in antimicrobial efficacy in this case (Niemira and Sites 2008).

By varying the process parameters involved in plasma generation, a multitude of mechanisms can be actuated which may act individually or synergistically. Nevertheless, the details of interaction of the different plasma agents with the different components of bacterial cells or spores are currently very limited. The interactions which occur between plasma agents and biological materials, ultimately leading to sterilization are still under investigation.

#### 411 **Potential Applications:**

| Packing               | Treatment                 | Applied              | Results  | References                              |
|-----------------------|---------------------------|----------------------|--|---|
| materials             | plasma                    | voltage<br>(dosage)  |  |   |
| Polypropylene         | Air Corona                | 30 kHz, 1.7<br>j/cm2 | Decrease in contact<br>angle, increase in<br>adhesion  | D. Dixon, B.J.<br>et.al. (2012)         |
| PET films             | Glow<br>discharge         | 10 W                 | Decrease in contact<br>angle, increase in<br>roughness, crystallinity<br>and degradation yield | K.N.<br>Pandiyaraj,<br>et.al. (2008)    |
| Potato starch<br>film | Air plasma                | 15W                  | Decrease in hydrophilic<br>nature, Increase in<br>tensile strength                             | F.Starzyk, et.al. (2001)                |
| PP film               | Diode plasma<br>discharge | 8.3 W                | Decrease in contact<br>angle, increase in<br>surface energy                                    | P. Slepicka, et.<br>Al.(2010)           |
| PET film              | Jet plasma<br>DCdischarge | 35 W                 | Increase in hydrophobic nature   | Y. Akishev, et al., (2008)              |
| PET                   | Jet plasma                | 285 V                | Increase in weight,<br>decrease in contact<br>angle and wettability                            | K. Gotoh,<br>et.al.,(2011)              |
| HDPE film             | RFAr:O2<br>Plasma         | 150 W                | Decrease in<br>crystallinity, contact<br>angle, increase in<br>roughness                       | I. Banik,K.S.<br>Kim, et al.,<br>(2002) |

#### 412 **Results of action of cold plasma on packing materials**

| LDPE      | RFArgon         | 25–100 W | Decrease in contact       | M. Ataeefard, |
|-----------|-----------------|----------|---------------------------|---------------|
|           | plasma          |          | angle and ageing effect,  | et.al.,(2009) |
|           |                 |          | increase in crystallinity |               |
|           |                 |          |                           |               |
| BOPP film | RFAir plasma    | 10–50 W  | Decrease in contact       | SM            |
| DOLL IIII | iti i m piusinu | 10 50 11 | angle increase            | Mirabedini et |
|           |                 |          | roughness ageing effect   | Al.,(2007)    |

### 414 Recent findings in the area of non-thermal plasmas for inactivation of microorganisms

415 and spores.

| Organism  | Plasma<br>conditions   | Treatment<br>surface/   | Salient result   | Reference                  |
|---|--|---|--|----------------------------|
| Escherichia<br>coli,<br>Staphylococcus<br>aureus  | Atmospheric<br>plasma corona<br>discharge, with<br>high voltage<br>(20kV) DC power<br>supply   | On agar plates  | Changes of pH levels from<br>alkaline to acid, upon<br>plasma application to<br>bacteria in water, does not<br>play a predominant role in<br>cell death.   | Korachi et al.<br>(2010)   |
| Escherichia<br>coli, Bacillus<br>subtilis,<br>Candida<br>albicans, and<br>Staphylococcus<br>aureus                      | High-frequency<br>capacitive<br>discharge (0.4 torr)<br>and barrier<br>discharge (0.4-0.5<br>torr) in air excited<br>at commercial<br>frequency of 5.28<br>MHz | Glass plate<br>and petri dish   | The most probable<br>sterilization agents of the<br>plasma generated were<br>established to be "hot" and<br>"cold" OH radicals, the<br>excited electrically neutral<br>N2 and O2 molecules, and<br>the UV plasma radiation     | Azharonok et<br>al. (2009) |
| Escherichia<br>coli<br>KCTC1039<br>Bacillus<br>subtilis   | Helium and<br>Oxygen based<br>electric discharge<br>plasma produced<br>at a radio<br>frequency (RF) of<br>13.56 MHz  | Dried cells and<br>endospore<br>suspension on<br>a cover-glass        | Treated cells had severe<br>cytoplasmic deformations<br>and leakage of bacterial<br>chromosome. UV from the<br>plasma only slightly<br>affected the viability of the<br>spores.  | Hong et al.<br>(2009)      |
| Escherichia<br>coli type 1<br>Saccharomyces<br>cerevisiae<br>Gluconobacter<br>liquefaciens<br>Listeria<br>monocytogenes | Cold atmospheric<br>plasma plume<br>generated by an<br>AC voltage of 8<br>kV at 30 kHz   | Inoculated<br>membrane<br>filters and<br>inoculated<br>fruit surfaces | Efficacy of inactivation<br>was markedly reduced for<br>microorganisms on the cut<br>surfaces than on filters due<br>to the migration of<br>microorganisms from the<br>exterior of the fruit tissue<br>to its interior and not | Perni et al.<br>(2008a)    |

|                |                   |              | quenching of reactive       |                |
|----------------|-------------------|--------------|-----------------------------|----------------|
|                |                   |              | plasma species.             |                |
| Escherichia    | Cold atmospheric  | Pericarps of | S. cerevisiae was the most  |                |
| coli           | plasma generated  | mangoes and  | resistant amongst all test  | Perni et al.   |
| Saccharomyces  | by an AC voltage  | melons       | organisms. An increase in   | (2008b)        |
| cerevisiae     | (variable12kV and |              | the applied voltage led to  |                |
| Pantoea        | 16kV)             |              | more efficient production   |                |
| agglomerans    |                   |              | of reactive plasma species  |                |
| Gluconacetoba  |                   |              | (oxygen atoms) which was    |                |
| cter           |                   |              | attributed for better       |                |
| liquefaciens   |                   |              | inactivation.               |                |
| Escherichia    | One atmosphere    | Apples,      | Inactivation was observed   |                |
| coli O157:H7   | uniform glow      | Cantaloupe   | in all the cases. Extent of | Critzer et al. |
| Salmonella sp. | discharge plasma  | and          | log reduction varied with   | (2007)         |
| Listeria       | (OAUGDP)          | Lettuce      | the organisms               |                |
| monocytogenes  | operated at 9 kV  |              |                             |                |
|                | power and 6 kHz   |              |                             |                |
|                | frequency         |              |                             |                |
| Biofilms       | RF high pressure  | Biofilms     | A 10 min plasma treatment   |                |
| produced by    | cold plasma jet   | produced in  | was able to kill almost     | Abramzon et    |
| Chromobacteri  | using Atomflo 250 | 96-well      | 100% of the cells. A        | al. (2006)     |
| um violaceum   | reactor with 100  | polystyrene  | complex, biphasic model     |                |
|                | W RF power        | microplates  | of inactivation was         |                |
|                | supply using He   |              | observed.                   |                |
|                | and N2 gas        |              |                             |                |

### 417 Recent finding of microbial inactivation using cold plasma

| Microorganism   | Substrate                      | Plasma<br>source                  | Exposure<br>time and                    | Results  | Reference                    |
|---|--------------------------------|-----------------------------------|---|--|------------------------------|
| S.enteritidis (01)<br>L. monocytogenes  | Table Egg                      | RBD<br>prototype                  | 90 min &<br>30kV                        | 4–5 Log reduction  | N. Rowan,<br>et. Al.,(2007)  |
| E. coli 12955 &<br>Salmonella spp   | almonds                        | Dielectric<br>discharge           | 30 s & 30<br>kV and<br>2000 Hz.         | 4 log CFU/ml   | Deng S, et.<br>Al.,2007      |
| E.coli, C.jejuni  | Chicken<br>skin                | Pulsed gas<br>plasma<br>discharge | 24 s at 45kV                            | up to 8 Log<br>reduction   | E. Noriega,<br>et.al.,(2011) |
| E. coli<br><u>Saccharomyces</u><br><u>cerevisiae</u><br><u>Pantoea</u><br><u>agglomerans</u><br><u>Gluconobacter</u><br><u>liquefaciens</u> | Mango &<br>Melon<br>(honeydew) | AC<br>voltage                     | 2.5 s, 5 s<br>and 10s,at<br>12 to 16 kV | P. agglomerans<br>and G. liquefaciens<br>> 3 log reductions<br>after 2.5 s.E. coli ><br>3 log reductions<br>after 5 s S.<br>cerevisiae > 3 log<br>reductions after 10s | Perni et al.<br>(2008)       |

| <i>E. coli</i> O157:H7                   | apple juice | corona<br>discharges | 40 s 100 Hz<br>with 4000<br>pulses of<br>9000 V<br>peak voltage | 5 log CFU/g                | Montenegro<br>et al.           |
|--|-------------|----------------------|---|----------------------------|--------------------------------|
| E. coli O157:H7<br>Salmonella<br>Stanley | Red Apples  | Gliding<br>arc       | 3 min &<br>18kV   | up to 3.7 Log<br>reduction | Niemira and<br>Sites<br>(2008) |
| Yeast/mouls                              | Strawberris | DBD                  | 5 min at 16<br>t0 18kV  | up to 3 Log<br>reduction   | N.N. Misra,<br>et.al.,(2014)   |
| A. hydrophila                            | Lettuce     | СОР                  | 5 min at<br>20kV  | 5 Log reduction            | I.K. Jahid,<br>et.al.,(2014)   |
| S. typhimurium                           | Tomatoes    | DBD                  | 300s at<br>18kV   | 3.8 Log reduction          | D. Ziuzina,<br>et.al.,(2014)   |

#### 419 Associated benefits and concerns:

420 Cold plasma treatment to the foods is a promising technology in that which acts 421 rapidly, does not leave toxic residuals on processed parts or in the exhaust gas and the 422 temperature rise can be kept to an acceptable level. The viability of grains and legumes had 423 shown to be preserved post plasma treatment with air and SF6 gases (Selcuk et al. 2008). 424 Moreover, unlike pulse light and gamma radiation, the shadow effect is minimised 425 considerably using gas plasma method as reactive species are produced in the whole chamber 426 (Lassen et al. 2003; Goldman and Pruitt 1998). Contact angle (CA) measurements for 427 nonthermal oxygen plasma treated lamb's lettuce have shown increased wettability of adaxial 428 leaf surfaces after plasma exposure (Grzegorzewski et al. 2010a).

Further, in this case a successive degradation of epicuticular waxes and cutin of the plant's epidermis was indicated by means of FTIR (ATR) and scanning electron microscopy (SEM). Above all, it can be conveniently operated in either batch or continuous mode. An aspect of the future of plasma technology is the possibility of pairing it with other decontamination processes such as pulsed-light treatment where synergistic effects may be more appreciable.

435 Studies on effect of nonthermal plasma on food components are scarce in literature. 436 Based on the experiments using low-pressure oxygen plasma it have been observed that time 437 and structure-dependent degradation can be seen for different selected model flavonoids 438 adsorbed on solid surfaces, which was attributed to plasmainmanent reactive species such as 439 O (3P), O2 ( $1\Delta g$  and  $1\Sigma g$ +), O3, or OH radicals (Grzegorzewski et al. 2010b). It has been 440 observed in lamb's lettuce that pure compounds show a time-dependent degradation 441 (flavonoids) or remain unchanged (phenolic acids) after exposure to oxygen plasma 442 (Grzegorzewski et al. 2010a).

Also, for the same model plant based food, a significant increase of protocatechuic acid, luteolin, and disometin has been recorded after 120 s treatment time, independent of the applied plasma driving voltage. The effect of the UV and radical species of plasma on the lipids and other sensitive constituents of the foods such as vitamins C and E (which are naturally occurring in most fruits and vegetables and many foods) still remains ambiguous. Suitability of plasma technology for treatment of high fat/ lipid containing and other sensitive foods (where chemical changes may be induced) is doubted.

450 Products that have high lipid content would likely be affected by oxidation, resulting 451 in formation of hydroxyl acids, keto acids, short-chain fatty acids and aldehydes etc. that 452 cause off-flavours and odours. For these reasons meat products may not be ideal substrates 453 for treatment with plasma (Critzer et al. 2007). For a full evaluation, additional issues 454 concerning food quality must be considered and these include changes in nutrient content 455 colour and textural qualities, toxic residues and other chemical changes (Vleugels et al. 456 2005). Research efforts must be undertaken to evaluate the projected cost of the treatment for 457 large quantities of food commodities and also the safety of gases used before direct plasma 458 techniques will become common in the food industry (Basaran et al. 2008).

Therefore, cold plasma technology is an emerging disinfection method that offers an exciting complementary or alternative, novel non thermal approach for reducing the microbial populations on the raw or fresh produce surface and packaging materials. There may be several other applications in relation to food systems, which still remain unexplored. Various reactive species of plasma interact with the biological cells to cause permanent changes in them at cellular level and morphology, leading to inactivation.

Although cold plasma technology is not yet used commercially on a large scale, the equipment should be readily scalable. Systems for large scale cold plasma treatment of food and related products using various energy sources and methods (like a multiplicity of microwave magnetrons) are already under development. This technology is increasingly finding acceptance among food processors for the surface sterilization and combating biofilm formation. The effect of cold plasma on the sensitive constituents of foods, mainly lipids,

vitamins etc. have still some issues that need to be addressed and once this is achieved thetechnology will find wider applications and adaptation in food industries.

#### 473 Further research needs and conclusions

Further development of cold plasma technology will have to be carried out, allowing a better understanding of the complex interactions during applications, such as food surface interactions, impact on food composition, optimization of gas composition and other processing parameters according to the treated sample. Also, additional information regarding food quality must be considered with respect to the cold plasma treatment, and changes concerning the nutrient content, toxic residues or textural qualities should be investigated.

Cold plasma treatment proved to be a flexible, efficient, chemical-free antimicrobial process and it can represent an easy to use sanitizing method for the food industry that does not require special temperature, humidity or pressure conditions. The application of a plasma treatment on different commodities represents a relatively new decontamination approach of this technology and more research studies are needed if it is to provide a commercial applicability for the food industry.

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