

Efficient thermal cycle undergoing adiabatic contraction based work by releasing heat

ABSTRACT

By means of observational evidence it is shown that, among the vast amount of heat-work interactions occurring in closed process based transformations, there exists the possibility of doing a transformation characterized by doing useful mechanical work by contraction based compression, while increasing the internal energy. Such thermodynamic transformation has never been considered in processes analysis and in fact seems to violate the principle of conservation of energy. However, in reality this does not happen, so that a closed contraction based compression process is physically possible in which net work is produced by contraction of a thermal working fluid while fulfilling the fundamental laws. Thus, the objective is therefore to analyze heat-work interaction modes in closed processes conducted by heat addition, heat extracting or releasing, work applied to the process, and work done by the process. Therefore, this analysis focuses on the feasible thermodynamic transformations contributing to the achievement of efficient closed processes based thermal cycles. Therefore, thermal analysis examining the performance of mechanical work by releasing heat from the working fluid was undertaken. The proposed cycles are characterized by performing mechanical work both in the expansion phase due to heat addition, and in the compression phase due to heat releasing. The cycles achieved are characterized by operating with closed thermal processes in which both transformations with isochoric heat addition and isochoric heat extraction are associated with useful mechanical work at high performance. Results derived from a case study between 300-700 (K) with air give an efficiency of 53.39 %, approaching the Carnot Factor.

Keywords: Closed processes, Closed processes-based cycles, Contraction work, Cooling-based work Expansion work, Heat-work interaction, Heating-based work.

Nomenclature

Δp_{sy}	direction of pressure changes
C_p	specific heat at constant pressure (kJ/kg-K)
C_v	specific heat at constant volume (kJ/kg-K)
η_{th}	thermal efficiency (%)
(η_c)	Carnot efficiency (%)
n	polytropic exponent
γ	adiabatic exponent
p	pressure (kPa)
p_{sy}	pressure in the closed system (kPa)
p_{su}	pressure at the surroundings (kPa)
q	specific heat flow (kJ/kg)
q_i	specific heat in (kJ/kg)
q_o	specific heat out (kJ/kg)
Q	heat (kJ)

acronyms

CF	Carnot factor, Carnot efficiency
CES	Carnot, Ericsson and Stirling cycles
da	double acting
DAC	double acting cylinder
HEX	heat exchanger
psm	piston stroke motion
p_{sy}	system pressure
p_{su}	surrounding pressure
RE	realizability (Y/N)
RON	row order number
ΔV	volume change
WF	working fluid

Q_i	heat in (kJ)
Q_o	heat out (kJ)
R	ideal gas constant (kJ/kg-K)
s	specific entropy (kJ/kg-K)
T	temperature (K), [K]
T_{MAX}	Top temperature (K), [K]
u	specific internal energy (kJ/kg)
v	specific volume (m^3/kg)
V	volume (m^3)
w	specific work (kJ)
w_i	specific work in (kJ)
$w_{i(comp)}$	specific compression work in (kJ)
$w_{i(suct)}$	specific suction work in (kJ)
w_o	specific work out (kJ/kg)
$w_{o(exp)}$	specific expansion work out (kJ/kg)
$w_{o(cont)}$	specific contraction work out (kJ/kg)
w_n	net specific work (kJ/kg)

11

12 1. INTRODUCTION

13 The contributions on the field of heat recovery technologies carried out recently has a positive
14 impact in relation to conventional thermal cycles, contributing to increasing performance when
15 based on existing facilities for efficiently using available low-grade heat; this includes the use of
16 wasted or residual energy released by many thermal processes. Nevertheless, a significant
17 amount of heat rejected from industrial applications (mainly low-grade heat) has not yet been
18 efficiently utilised. Conventionally, this is due to the general use of thermal engines that obey
19 the Carnot, Ericsson or Stirling (CES) constraints. The Carnot factor (CF) is an efficiency
20 limitation for thermal engines that obey CES-based architectures, which undergoes two
21 temperature levels. Due to such constraints, this study will analyse efficient heat-work
22 interaction modes to be applied to thermal engines where the thermal efficiency is not
23 constrained by CF limitations, yet nevertheless fulfil Clausius and Kelvin Planck statements.
24 Among the conventional techniques applied to obtain high efficiency, thermal cycles are some
25 that are discussed below. For example, Ferreiro et al. [1–5] proposed a non-condensing mode
26 thermal cycle, which converts heat into mechanical work undergoing only closed
27 thermodynamic transformations. These thermal cycles are characterized by their thermal
28 performance, which approximates the Carnot factor with adequate operating conditions.
29 For instance, the thermal efficiency for a high- and low-temperature reservoir of 320 and 305 K
30 respectively is 25.4 % with hydrogen, 36.3 % with helium and 38.1 % with argon as working
31 fluids. The authors published research results [1], demonstrating that closed processes based
32 cycle that works with low-grade heat sources can provide high thermal efficiency. In the same
33 way, they described in [2] an application based on ocean thermal energy, assuming a difference
34 of 20 (K) between top and bottom cycle temperatures with helium as a working fluid, which

35 obtained a high thermal efficiency. Another interesting application of this trilateral cycle consists
36 of a bottoming cycle operating with the residual heat rejected from the steam condenser of a
37 power plant, which yielded unconventional high thermal efficiencies [3]. In [4] the researchers
38 explored a closed processes based thermal cycle to compare adiabatic and isothermal
39 expansions processes, where the Carnot factor is approached at certain operating
40 temperatures. In [5] they also studied ways to select a working fluid for each temperature range
41 in order to achieve high efficiencies under isothermal expansion. The efficiencies achieved in
42 [1–5] are comparably higher than conventional thermal cycles exploiting waste heat.

43 The importance of researching low-grade heat or waste heat applications is due to the amount
44 of heat energy available at negligible cost within the range of medium and low temperatures,
45 with the drawback that conventional thermal cycles cannot make efficient use of such heat
46 because they are mainly based on CES (Carnot-Ericsson-Stirling) cycles, in which some cycle
47 transformations are open processes, which contribute to decreasing performance. Therefore,
48 Ferreiro et al. [6], proposed a thermodynamic study of regenerative Otto based cycles with zero
49 NO_x emissions operating with adiabatic and polytropic expansion, where the Carnot factor is
50 approached. They also presented the results of a study dealing with the analysis of the energy
51 and entropy of closed adiabatic expansion based trilateral cycles where the Carnot factor is also
52 approached for certain operating temperatures.

53 In cooling based reverse Carnot cycle systems a large amount of work has therefore been
54 carried out, including rotary desiccant air conditioning systems, and most report that the Carnot
55 factor is approached or even surpassed [8-13]. She et al. [8], therefore proposed a new energy-
56 efficient refrigeration system sub-cooled by liquid desiccant dehumidification and evaporation.
57 This system is characterised by the capacity of the liquid desiccant system to produce very dry
58 air for an indirect evaporative cooler, where results have shown that the proposed hybrid vapour
59 compression refrigeration system achieves significantly higher COP than conventional vapour
60 compression refrigeration systems, at the same conditions of operation. In this way, Mandegari
61 et al. [9], performed an exergy analysis and optimization of a dehumidification desiccant wheel
62 (DW) system. The optimal value of the parameters used demonstrates that, when exergy
63 destruction effectiveness is selected as the objective function, the regeneration air velocity is an
64 optimal decision variable. Similarly, Jani. et al. [10] developed an energy and exergy analysis of
65 a solid desiccant vapour compression hybrid air conditioning system, where the rotary desiccant
66 dehumidifier and heater are major contributors to the exergy performance of the system. They
67 suggest the analysis provides knowledge beneficial in determining the theoretical upper limit of
68 the system performance.

69 Kim et al. [11] proposed the integration of a liquid desiccant system into an evaporative cooling-
70 assisted 100 % outdoor air system. Simulation results show that the proposed system
71 consumes 51 % less cooling energy compared to the conventional system. Yinglin et al. [12]
72 experimentally tested a conventional liquid desiccant-vapour compression hybrid air-
73 conditioning and developed a corresponding mathematical model to analyse the effect of the
74 concentrated solution branch in the SSHE (solution-solution heat exchanger) on the cooling

75 capacity of the evaporator. The results show that the percentage of cooling capacity loss of the
76 evaporator exceeds 10 %, with the small concentration difference of 1.5 % in the conventional
77 air-conditioning system. Cui et al. [13] proposed a compact desiccant-evaporative heat and
78 mass exchanger by combining the benefits of the regenerative indirect evaporative cooling and
79 liquid desiccant dehumidification. In this instance, the model displayed clear agreement with the
80 experimental findings with a maximum discrepancy of 8 %. Furthermore, simulation results
81 showed that the outlet temperature of the product air was affected by the working-to-intake air
82 flow rate ratio and the dimensionless channel length, while the outlet humidity ratio of the
83 product air was influenced by the length of the liquid desiccant film and the dimensionless
84 channel length.

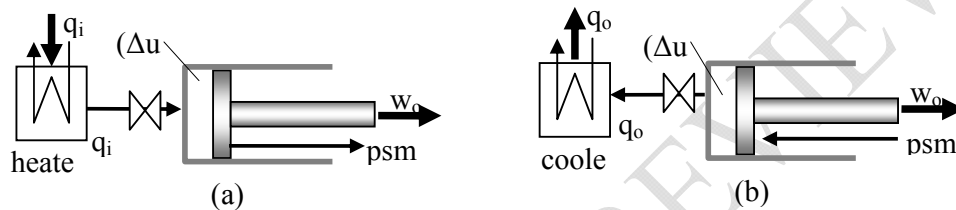
85 In the thermo-chemical field, Van Den Einde [14] reviewed the logic of the second law that
86 establish the kinetic energy transfer of the ideal gas Carnot cycle as a universal limit on the
87 convertibility of heat to work in a cyclical process. The author observed that the positive excess
88 heat of a reaction between a supercritical solvent and a solid solute enables a closed power
89 cycle to access input heat from successive thermal reservoirs below its normal temperature,
90 where the heat to work conversion rate of the cycle is compared to the summed work output of
91 ideal gas Carnot cycles using the same amount of heat from the same reservoirs. The results
92 show that the energy conversion rate of the cycle exceeds the isentropic potential of its input
93 heat to do work. Van Den Einde [15] also investigated the potential for complete Rankine cycle
94 exhaust heat regeneration, where the working fluid produced in a closed condensing cycle
95 consists of a low boiling point solvent and a solid solute, where the solution reaction yields a
96 positive excess enthalpy in the solvent's subcritical liquid range near the bottom temperature of
97 the cycle and exhibits retrograde solubility in the solvent's supercritical fluid range near the top
98 temperature of the cycle, which approached the Carnot factor.

99 Based on the state of the art technologies, it has been observed that some useful heat-work
100 interaction modes has not been taken into consideration to obtain greater thermal efficiency
101 thermal cycles that undergoes closed processes without phase changes. Therefore, given that
102 the objective of this research is to analyse heat-work interaction modes to establish which can
103 be used in closed processes based thermal cycles, the next section explores the use of feasible
104 thermal engine structures based on reciprocating single or double acting cylinders. These
105 structures undergo closed processes-based thermal cycles that surpass the conventional
106 performance at moderately low top temperatures, and perform work while cooling and heating a
107 working fluid. Section 3 then describes a case study which explores the use of a feasible double
108 acting cylinder operating with a closed process-based thermal cycle, characterised by doing
109 work due to heating and releasing heat from a working fluid. In section 4 the results are
110 analysed and discussed and, finally, in Section 5, conclusions regarding the significant findings
111 are presented and discussed.

112 **2. SINGLE CLOSED PROCESSES BASED HEAT WORK INTERACTIONS**

113 Discarding potential and kinetic energies, the displacement based mechanical work can only be
114 done by means of two heat-work interaction modes undergoing any thermodynamic system:

115 -- by a thermodynamic transformation due to the addition of heat to the thermal working fluid,
 116 and/or
 117 -- by a thermodynamic transformation due to the extraction of heat from the thermal working
 118 fluid.
 119 Generally the heat can be added at constant volume or at variable volume. In this study the
 120 addition and extraction of heat will be considered as an isochoric process. As shown in Fig 1(a)
 121 Fig. 1(b), this characteristic does not imply that the piston remains motionless during the
 122 addition and extraction of heat, because during the addition and extraction of heat to/from the
 123 working fluid, the volume of the cylinder remains isolated from the heat transfer enclosures by
 124 means of its respective valve, which allows his movement freely, while the enclosures volume
 125 remain constant.



126
 127 Fig. 1. Single-acting cylinder showing the basic heat work interaction modes by adding and
 128 releasing heat associated to the psm (piston stroke motion). (a), delivering useful work by
 129 expansion due to adding heat to a working fluid during a previous heating process. (b),
 130 delivering useful work by contraction (contraction based compression) due to releasing heat
 131 from a working fluid during a previous cooling process.

132 Discarding the effects of kinetic and potential energies, in closed processes based
 133 transformations the first law indicates us the behaviour of the heat work interaction modes
 134 according to

$$135 \quad \sum q + \sum w = \Delta u \quad (1)$$

136 The same expression detailing the input-output energy of represented in (2) as

$$137 \quad \sum q_i - \sum q_o + \sum w_i - \sum w_o = \Delta u \quad (2)$$

138 where Fig. 1 (a) and (b) depicts two heat-work interaction modes which undergoes delivering of
 139 useful mechanical work by means of adding or releasing heat from a working fluid undergoing
 140 closed processes based thermodynamic transformations.

141 With reference to the heat-work interactions depicted by Fig. 1(a), follows that adding heat to
 142 the working fluid contained in the cylinder chamber, and extracting useful mechanical work
 143 ($w_{o(\text{exp})}$) by expansion of the working fluid undergoing the displacement of the piston from the left
 144 to the right side, internal energy will be described by (2), as.

$$145 \quad \sum q_i - \sum w_{o(\text{exp})} = \Delta u \text{ or,} \quad (3)$$

$$146 \quad q_i = w_{o(\text{exp})} + \Delta u \quad (4)$$

147 Eq. (2) satisfies the principle of the conservation of energy and consequently the first law of the
148 thermodynamics.

149 Since expression (2) and consequently expression (4) is a general expression, then when
150 applied to the case of Fig. 1(b), follows that releasing heat from the working fluid contained in
151 the cylinder chamber, and extracting useful mechanical work ($w_{o(cont)}$) by contraction of the
152 working fluid undergoing the displacement of the piston from the right to the left side, internal
153 energy will be described by Eq. (2) as

$$154 \quad -\sum q_o - \sum w_{o(cont)} = \Delta u \text{ or,} \quad (5)$$

$$155 \quad -q_o = w_{o(cont)} + \Delta u, \text{ or} \quad (6)$$

$$156 \quad q_o = -(w_{o(cont)} + \Delta u) \quad (7)$$

157 Eq. (7) based on the first law confirms that the extracted heat undergoing a closed
158 transformation produces useful net work by contraction based compression of the working fluid.
159 Thus, the heat-work interaction modes described by means of the Eqs. (4) and (7) are
160 rigorously true according to the principle of conservation of energy and, consequently, the first
161 law of thermodynamics. They cannot be refuted since they are backed by theoretical and
162 observational evidence. Equation (7) expresses the amount of useful work done during an
163 adiabatic compression process that does useful work while increasing its internal energy
164 (compression based contraction work).

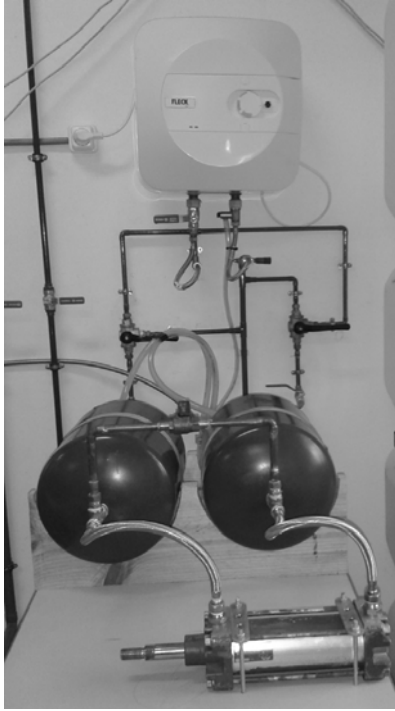
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166 **2.1. The experimental set up implemented on a test rig consisting of a double effect** 167 **reciprocating cylinder.**

168 Fig.2. depicts the test rig composed by a double effect reciprocation cylinder equipped with heat
169 transfer fluid piping, control valves and heat exchangers. Experimental research carried out on
170 a test rig comprising a small reciprocating double acting cylinder connected to corresponding
171 heat exchangers suggests that, in terms of the feasible heat-work interaction modes occurring
172 in single closed thermodynamic transformations, the energy balanced must be supported by
173 first law as defined conventionally. The working fluid used in the heat-work interactions carried
174 out is air. In the experiments carried out according to the results of Table 1, it is interesting to
175 know the qualitative behaviour of the closed processes subjected to addition and extraction of
176 heat, rather than the quantitative behaviour.

177 As a consequence of such observations, some feasible heat-work interaction modes used to
178 convert heat to work are defined and depicted in Table 1. Therefore, Table 1 show the complete
179 solution for the energy balance based on first law in the case of closed processes based
180 adiabatic expansion and contraction as a real means of doing useful mechanical work, verified
181 by means of experimental evidence.

182



183

184 Fig. 2. Test rig to verify single heat-work interaction modes designed to carry out experimental
 185 proofs of concept. It is equipped with heat exchangers, heating and cooling heat transfer fluids,
 186 piping, control valves, and a reciprocating double acting cylinder.

187 Table 1. Observed heat-work interaction modes for closed processes based, isochoric, and
 188 adiabatic transformations when applying the first principle to a single closed transformation

Transfer mode	ΔV	p_{sy} versus p_{su}	1 st law balance
Isochoric processes			
q > 0 heating	$\Delta V = 0$ isochoric	$p_{sy} > p_{su}$	$\Delta u = q = q_i$
		$p_{sy} < p_{su}$	$\Delta u = q = q_i$
q < 0 cooling	$\Delta V = 0$ isochoric	$p_{sy} > p_{su}$	$-\Delta u = -q = q_o$
		$p_{sy} < p_{su}$	$-\Delta u = -q = q_o$
Adiabatic processes			
q = 0	$\Delta V > 0$ expansion	$p_{sy} > p_{su}$	$\Delta u = -w_{o(exp)}$
		$p_{sy} < p_{su}$	$\Delta u = w_{i(suct)}$
	$\Delta V < 0$ compression	$p_{sy} > p_{su}$	$\Delta u = w_{i(comp)}$
		$p_{sy} < p_{su}$	$\Delta u = -w_{o(cont)}$

189 As indicated in the Table 1, closed isochoric heat-work interaction modes cannot do useful
 190 work, so that among the possible processes exhibiting the ability to do mechanical work there
 191 are only those in which volume changes. Therefore,
 192 - as consequence of adding heat at constant volume to a working fluid it is possible a
 193 subsequent expansion process which undergoes useful mechanical work, as shown in Fig. 1 (a)

194 - as consequence of extracting heat at constant volume from a working fluid it is possible a
195 subsequent contraction process which undergoes useful mechanical work as shown in Fig. 1
196 (b).

197 The heat work interaction modes described in Table 1 have been verified by means of the test
198 rig depicted in Fig 2. Every heat exchanger is equipped with piping and control valves so that it
199 can operate as cooler or heater according the role assigned by means of a circular timing
200 diagram not represented in this section.

201 Obviously most of the heat-work interaction modes are very common, so that no test is
202 necessary to comprehend its behaviour. However there are some of them as indicated above
203 that needs an experimental proof to validate its behaviour, such for instance the case of those
204 observed in Table 1.

205

206 **2.2. Energy balance of a closed process based thermal cycle that does useful work by** 207 **extracting heat**

208 Considering both described heat-work interaction modes shown in (4) and (7) into a thermal
209 cycle described in Fig. 4, it is necessary taking into consideration the fact that internal energy
210 cannot change in a completed cycle.

211 Therefore Eq. (2) with regard to the first principle can be written as

$$212 \quad \sum q_i - \sum q_o + \sum w_i - \sum w_o = \Delta u, \quad (8)$$

213 Furthermore, considering that for every completed cycle the internal energy remains constant,
214 its change is zero.

$$215 \quad \Delta u = 0$$

216 In addition, admitting that there are no work interactions entering any process of this particular
217 thermal cycle, it happens that:

$$218 \quad \sum w_i = 0$$

219 Consequently, Eq. (8) can be expressed accurately as

$$220 \quad \sum q_i - \sum q_o = \sum w_o \quad (9)$$

221 Therefore, when one of the closed processes of a cycle consists of a contraction process due to
222 releasing heat from the working fluid at constant volume during a previous process of the cycle,
223 the energy balance must take into account the fact of doing useful work by contraction based
224 compression of the working fluid contained into the proper cylinder chamber. Thus, the net work
225 w_n of a cycle that operates undergoing the transformations given by (4) and (7) can be
226 assumed as

$$227 \quad w_n = w_{o(\text{exp})} + w_{o(\text{cont})} \quad (10)$$

228 Note that the net work expressed by Eq. (10) is specifically due to the heat added q_i and to the
229 extracted heat q_o . Then, according to (9) follows that

$$230 \quad \sum q_i - \sum q_o = \sum w_o = w_n = w_{o(\text{exp})} + w_{o(\text{cont})}, \quad (11)$$

231 and therefore, the net useful work w_n of the cycle is described as the result of adding the net
232 works given by (4) and (7) as

$$233 \quad q_i - q_o = w_n = w_{o(\text{exp})} + w_{o(\text{cont})} \quad (12)$$

234 Note that Eq. (12) supposes a flagrant violation of the first law.

235 The heat balances expressed along Eqs. (1-9) are correct and fulfil the first law. However,
236 although apparently Eqs. (4) (7) and (12) obey the first principle of the thermodynamics, Eq.
237 (12) does not do so, despite being correct for conventional thermodynamics (heating only based
238 thermal cycles).

239 Nevertheless, it will be shown that while single closed transformation based heat-work
240 interaction modes cannot be refuted such as (4) and (7), obeying rigorously the first law, when
241 dealing with thermal cycles which delivers useful work by releasing heat, Eq. (12) means a
242 flagrant violation of the first law and consequently the principle of the conservation of energy
243 according to the observational, analytic and experimental evidence. Such controversial result
244 has been experimentally proved by means of a test rig based on a double-acting cylinder
245 equipped with heat transfer exchangers for adding and releasing heat as shown in Fig. 2, and
246 the results depicted in Table 1.

247 Based on the observed experimental results expression (10) described the net work along the
248 cycle where the difference between added and released heat tends toward a quantity
249 (difference between added heat q_i and released heat q_o to/from a cycle as a real number q_R)
250 according to the expression

$$251 \quad (q_i - q_o) \rightarrow q_R \quad (13)$$

252 such that

$$253 \quad q_R < w_n, \text{ or}$$

$$254 \quad q_R < (w_{o(\text{exp})} + w_{o(\text{cont})}) \quad (14)$$

255 while satisfying the condition $q_i - q_o > 0$ into the range of operating conditions. Since the cost
256 of extracting heat from the working fluid (cooling process) is assumed as negligible, then the
257 only cost attributable to the cycle is due to the addition of heat. Therefore, the thermal efficiency
258 of a general heating and cooling based thermal cycle as shown by (10), is

$$259 \quad \eta_{th} = \frac{w_o}{q_i} = \frac{w_n}{q_i} = \frac{w_{o(\text{exp})} + w_{o(\text{cont})}}{q_i} \quad (15)$$

260

261 **2.3. Modelling the studied thermal cycle doing useful work by heating and releasing heat**

262 Based on the highlighted heat-work interaction modes shown in Table 4, there is a special one
263 which suggests the possibility of performing mechanical work as a result of releasing heat to a
264 heat sink. This can be carried out by means of two sequential processes: an isochoric process
265 of heat releasing and an adiabatic compression process with net mechanical work and internal
266 energy increase based on a contraction based compression.

267 The proposed thermal cycle in which heat-work interactions are based on heat release is
 268 composed by two isochoric transformations (heating and cooling), and two adiabatic
 269 transformations (doing work by added heat, and doing work by extracting heat). The closed
 270 process performed within the cycle is summarised as follows:

271 Leg 1-2: Corresponds to a closed isochoric heating process. The amount of heat added from an
 272 external heat source at constant volume is

$$273 \quad w_{12} = 0, \quad q_{12} = u_2 - u_1 = C_v \cdot (T_2 - T_1) \quad (16)$$

274 Leg 2-3: Corresponds to a closed adiabatic process. Thus, because there is no heat transfer
 275 from an external source, the change in internal energy is completely converted into mechanical
 276 work according to the general expression

$$277 \quad q_{23} = 0, \quad u_2 - u_3 = w_{23} = \frac{P_2 \cdot V_2 - P_3 \cdot V_3}{\gamma - 1} = C_v \cdot (T_2 - T_3) \quad (17)$$

278 Leg 3-4: Corresponds to a closed isochoric cooling process. The amount of heat extracted to a
 279 heat sink at constant volume is

$$280 \quad w_{34} = 0, \quad q_{34} = u_3 - u_4 = C_v \cdot (T_3 - T_4) \quad (18)$$

281 Leg 4-1: Corresponds to a closed adiabatic process. Consequently, because there is no heat
 282 transfer between the process and its surroundings, the change in internal energy is fully
 283 converted into mechanical work according to the general expression

$$284 \quad q_{41} = 0, \quad u_1 - u_4 = w_{14} = \frac{P_4 \cdot V_4 - P_1 \cdot V_1}{\gamma - 1} = C_v \cdot (T_1 - T_4) \quad (19)$$

285 Table 4 presents a summary of the mathematical model of the proposed cycle, which operates
 286 by adding and releasing heat.

287

288 Table 4. The path functions (closed processes) assigned to each leg of the T-s diagrams of the
 289 proposed cycles.

Closed processes			
legs	process	first law: $q + (w_{i_comp} + w_{i_suct}) - (w_{o_exp} + w_{o_cont}) = \Delta u = 0$	entropy changes
1-2	isochoric	$w_{1-2} = 0, q_i = q_{1-2} = \Delta u_{1-2} = C_v \cdot (T_2 - T_1)$	$S_2 > S_1$
2-3	adiabatic	$q_{2-3} = 0, w_{o_exp} < 0; w_{o_exp} = C_v \cdot (T_2 - T_3)$	$S_2 = S_3$
3-4	isochoric	$w_{3-4} = 0, q_o = q_{3-4} = \Delta u_{3-4} = C_v \cdot (T_3 - T_4)$	$S_4 < S_3$
4-1	adiabatic	$q_{4-1} = 0, w_{o_cont} < 0; w_{i_cont} = C_v \cdot (T_1 - T_4)$	$S_4 = S_1$
Cycle			
1-2-3-4-1		$w_U = w_{o_exp} + w_{o_cont} = C_v \cdot (T_2 - T_3) + C_v \cdot (T_1 - T_4)$ $\eta_{th} = \frac{w_U}{q_{2-1}} = \frac{C_v \cdot (T_2 - T_3 + T_1 - T_4)}{C_v \cdot (T_2 - T_1)}$	$\Delta s = 0$

290 3. A CASE STUDY UNDERGOING CONTRACTION BASED COMPRESSION

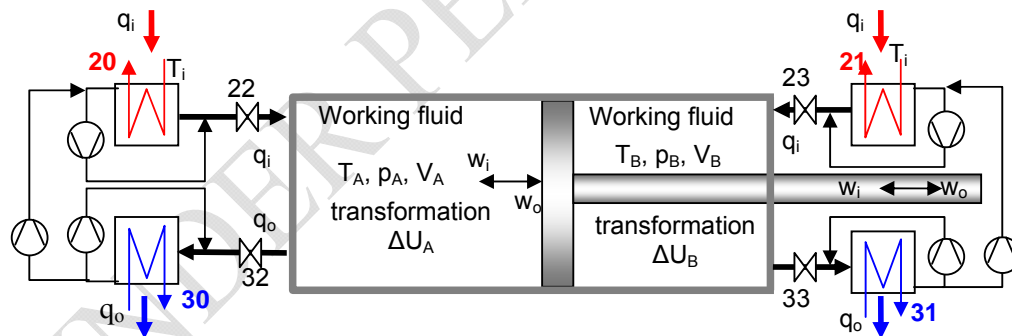
291 WORK

292 In this section, a case study applied on a closed processes-based thermal cycle characterised
293 by doing work by adding and releasing heat, which undergoes useful contraction work, is
294 described and analysed.

295 In Fig. 3, it is shown the structure of a reciprocating double acting cylinder as the paradigm of a
296 thermal engine converter operated by adding and releasing heat, which has the ability to do
297 useful work, by contraction of the working fluid. This thermal engine can convert the isochoric
298 heating effect by expansion of the working fluid and the heat releasing effect by contraction of
299 the working fluid into useful mechanical work, which obeys the thermal cycle depicted in Fig. 4,
300 represented by both T-s and p-V diagrams. Every cylinder chamber is equipped with two heat
301 exchangers (HEX): heater HEX 20 and cooler HEX 30 to add and release heat to/from cylinder
302 chamber A, and heater HEX 21 and cooler HEX 31 to add and release heat to/from cylinder
303 chamber B.

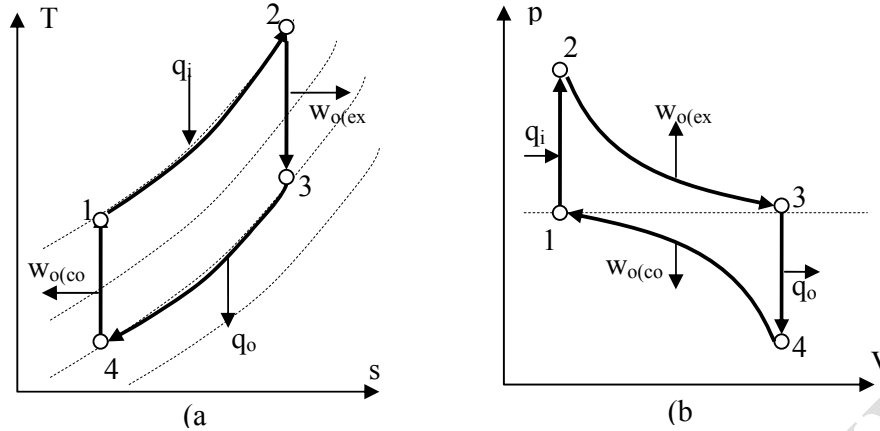
304 Furthermore, in Fig. 3 it is shown that both, the heaters and coolers transfer heat by forced
305 convection. The forced convection for transferring heat between the heat transfer fluid and the
306 thermal working fluid is obtained by means of circulating fans. A feed compressor for each
307 cylinder chamber is also necessary to transfer the cool working fluid to the heater heat
308 exchangers.

309 This study is based on the achievements outlined in Section 2. It deals with the modelling task
310 and analysis described there, according to the thermodynamic model. The study was carried
311 out for the working fluid air, assumed to be real gas in line with data provided by Lemmon et al.
312 [16].



313
314 Fig. 3. The layout of heat work-interactions by means of double-acting reciprocating cylinders
315 and heat transfer by means of forced convection.

316



317

318 Fig. 4. T-s and p-V diagram of the thermal cycle for the cylinder chamber A.

319 Table 2. Path functions associated with the thermal cycle legs for both cylinder chambers A and
 320 B as shown in Fig. 3.

Cylinder chamber A		Cylinder chamber B	
Cycle leg	Closed process based path function	Cycle leg	Closed process based path function
1-2	Isochoric heat addition	3-4	Isochoric heat extraction
2-3	Adiabatic expansion (work out)	4-1	Adiabatic contraction (work out)
3-4	Isochoric heat extraction	1-2	Isochoric heat addition
4-1	Adiabatic contraction (work out)	2-3	Adiabatic expansion (work out)

321

322 The processes associated with the cycle legs are described by means of Table 2, and the
 323 processes and the tasks of heat transfer carried out during the thermal cycle associated with
 324 both cylinder chambers A and B of the double acting cylinder are shown in Table 3.

325 Table 3. The processes and tasks carried during the thermal cycle associated with both cylinder
 326 chambers of the double acting cylinder considering the status of the inlet and outlet cylinder
 327 valves and its associated heat exchangers: isochoric heat addition and extraction -adiabatic
 328 expansion and contraction work as shown in Figs. 4 (a) and 4(b).

Left to right cylinder stroke motion	
Left cylinder chamber	Right cylinder. chamber
Adiabatic expansion: reservoir (20), Valve (22). Isochoric heating reservoir (21)	Adiabatic contraction: reservoir (31), Valve (33). Isochoric cooling reservoir (30)
Right to left to cylinder stroke motion	
Left cylinder chamber	Right cylinder chamber
Adiabatic contraction: reservoir (30), Valve (32) Isochoric cooling reservoir (31)	Adiabatic expansion: reservoir (21), Valve (23) Isochoric heating reservoir (20)

329

330

331 **3.1. Data associated with the case study of a closed processes based four-legs thermal**
332 **cycle that does useful work by adding and releasing heat**

333 The case study considers air as real working fluids. The data for each cycle point is taken from
334 [16]. In the case of air as a working fluid, converting heat to work by both adding and releasing
335 heat, the cycle points associated with the cycle parameters are shown in Table 5, 6 and Fig. 5,
336 which shows the parameters of the quadrilateral cycle operating by adding and releasing heat in
337 single acting mode. In this case, the engine structure corresponds to a reciprocating double
338 acting cylinder depicted in Fig. 3.

339 Table 5. Cycle parameters of the four legs or quadrilateral cycle operating by heating and
340 releasing heat in single acting mode

point	T_i	p_i	v_i	s_i	u_i
	K	kPa	m^3/kg	$kJ/kg \cdot K$	kJ/kg
1	360.9	100.00	1.036	5.896	258.1
2	700.0	194.00	1.036	6.386	512.7
3	581.9	100.00	1.670	6.386	421.3
4	300.0	51.55	1.670	5.896	214.3

341

342 Table 6. Cycle parameters of the quadrilateral cycle operating by heating and releasing heat in
343 double acting mode

point	T_{Ai}	p_{Ai}	v_{Ai}	s_{Ai}	u_{Ai}	T_{AB}	p_{Bi}	v_{Bi}	s_{Bi}	u_{Bi}
	K	kPa	m^3/kg	$kJ/kg \cdot K$	kJ/kg	K	kPa	m^3/kg	$kJ/kg \cdot K$	kJ/kg
1	360.9	100.00	1.036	5.896	258.1	581.9	100.00	1.670	6.386	421.3
2	700.0	194.00	1.036	6.386	512.7	300.0	51.55	1.670	5.896	214.3
3	581.9	100.00	1.670	6.386	421.3	360.9	100.00	1.036	5.896	258.1
4	300.0	51.55	1.670	5.896	214.3	700.0	194.00	1.036	6.386	512.7

344

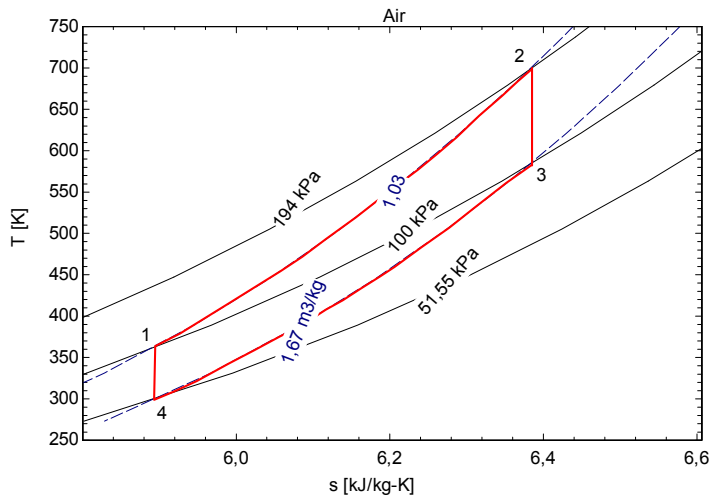
345 **4. ANALYSIS OF RESULTS AND DISCUSSION**

346 In section 2, based on experimental observations, a partial set of closed processes based heat-
347 work interaction modes were depicted. Among the possible heat-work interaction modes, a
348 special one has been found which has not been previously observed, which consists of a
349 sequence of two closed processes:

350 1- extracting heat at constant volume followed by

351 2- doing useful contraction work adiabatically.

352



353

354 **Fig. 5** T-s diagram of the parameters of the quadrilateral cycle operating by heating and
 355 releasing heat in single acting mode: cylinder chamber A.

356

357 Therefore, in Table 4 it can be said that the energy balance of a closed process consisting of
 358 contraction based compression work, is characterized by performing useful mechanical work
 359 while increasing pressure and temperature which undergoes increasing its internal energy,
 360 meaning that an input work behaviour (which increases the internal energy) is in practical terms
 361 identical to an output useful work.

362 Such an extraordinary phenomenon has never been observed before, and has severe
 363 implications for the energy balance of closed processes based cycles conducted by heat
 364 addition and heat releasing according to observational evidence. Fortunately, such
 365 consequences imply a positive and significant impact with severe advantages, thanks to the
 366 effect obtaining useful work by releasing heat (useful work by a contraction based compression
 367 process), as the thermal efficiency is significantly increased. In order to reflect this
 368 phenomenon, as shown above, the general expression of the first law (energy balance) applied
 369 on closed processes based cycles has been extended according to Eqs. (4-7) to the following
 370 statement based on experimental observations through a proof of concept conducted in a test
 371 rig:

372 *Statement 1*

373 "The difference between added and released heat form a closed processes based thermal cycle
 374 that do work by adding and releasing heat is not the net work of this cycle"

375 *Statement 2*

376 "The net useful work of the closed processes based thermal cycle that do work by adding and
 377 releasing heat, and the work due to releasing heat is done by contraction based compression, is
 378 the results of adding the partial net works due to adding and releasing heat".

379 *Statement 3*

380 "The thermal efficiency is independent of the ratio of the heat source temperature to the heat
 381 sink temperatures"

382 As consequence, statement 3 means that the thermal efficiency is not constrained by Carnot
 383 limitations.

384 4.1. Discussion of results

385 From the equations deduced in the paper and based on the first principle, the results of the
 386 cycle analysis are depicted in Table 7, where results for a double-acting heating and cooling
 387 cycle are shown. The input-output heat, the work due to adding and releasing heat, as well as
 388 the thermal efficiencies, are also shown.

389 It is worth noting the thermal efficiencies of the proposed cycles. In all cases, it is significant.

390 However, cycles that use the heating and cooling effect such as the one represented in the
 391 bottom row of Table 7 (a double acting heat in-out) exhibit an exceptional performance: High
 392 specific work and high thermal efficiency.

393 Table 7. Input-output heat, work due to adding and releasing heat, and the thermal efficiencies
 394 for air as working fluid; nominal pressure 100 kPa; bottom and top temperatures 300-700 K.

Heat flow	q_i	q_o	$W_{o(exp)}$	$ W_i = W_{o(cont)}$	$\Sigma W = W_n$	η_{th}
	kJ/kg	kJ/kg	kJ/kg	kJ/kg	kJ/kg	%
heat in-out (se)	254.50	207.00	91.34	43.81	135.20	53.51
heat in-out (de)	506.30	414.00	182.70	87.62	270.30	53.39

395

396 Table 7 depicts the performance of the studded thermal cycles for air as working fluid. It is
 397 observed that the works due to heating and cooling are consistent with the amount of heat
 398 transferred to and from the cycle. However, while specific work exhibits a certain dependence
 399 on the specific heat of every working fluid, the thermal efficiency does not. In fact, the specific
 400 work is proportional to the heat energy potential or temperature.

401 The useful work depicted in Table 7 is computed in line with Eqs. (4) and (7) for which $\Sigma w = w_n$
 402 $= w_o + |w_i|$. The thermal efficiency is computed taking into account that, in all cases, the
 403 released heat q_o is extracted without any calculable cost.

404

405 5. CONCLUSIONS

406 The heat-work interaction modes carried out in closed processes conducted by heat addition
 407 and heat releasing were analyzed in this paper. This analysis was inspired by the results of
 408 previous experiments, which found that, among the feasible heat-work interaction modes that
 409 occur in single closed process based transformations, there is one in which useful contraction
 410 based compression work is done while increasing the pressure, temperature and consequently
 411 its internal energy adiabatically. The consequences of this assertion based on observational
 412 evidence imply advantageous dramatic changes of the concept of performing useful mechanical
 413 work.

414 Three statements based on experimental evidence are presented, which radically change some
 415 fundamental concepts of physics, such as the first principle of thermodynamics applied to

416 thermal cycles that exhibit the ability to perform mechanical work by contraction of a thermal
417 working fluid because of the isochoric extraction of heat.
418 The analysis was performed on a double-acting cylinder operating according to a closed
419 processes-based thermal cycle with air as working fluid. As explained along the description the
420 thermal cycle operates in such a manner that it performs mechanical work by direct expansion
421 due to heat addition, and by contraction based compression due to heat releasing. The cycle
422 thermal efficiency according to the results of the case study operating between 300-700 (K) with
423 air yield an efficiency that approaches 54 %, while the specific work amounts approached 270
424 (kJ/kg). These results largely surpass the thermal efficiency of conventional thermal cycles
425 operating bay adding heat only.
426 Given that the proposed cycles based on doing work by releasing heat are suitable for operating
427 at high thermal efficiency even at low temperatures, and that the cooling is absolutely cost-free
428 (i.e. effective), and that most low-grade heat as well as waste heat costs are available at low
429 cost, the widespread use of such technologies would contribute to golabl warming mitigation.
430

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