

First-Principles Calculation of Optoelectronic properties of Antimony Sulfides thin film

Abstract

Antimony sulfide (Sb_2S_3) thin film have received great interests as an absorbing layer for solar cell technology. Electronic and optical properties of Sb_2S_3 thin films were studied by first principles approach. Highly accurate full-potential linearized augmented plane wave (FP-LAPW) method within density functional theory (DFT) as implemented in WIEN2k package. The simulated film is in the [001] direction using supercell method with a vacuum along z-direction so that slab and periodic images can be treated independently. The calculated values of indirect band gaps of Sb_2S_3 for various slabs were found to be 0.568, 0.596 and 0.609 eV for 1, 2 and 4 slabs respectively. This trend is consistent with the experimental work where the band gap reduced when the thickness increased. Optical properties comprising of real and imaginary parts of complex dielectric function, absorption coefficient, refractive index was also investigated to understand the optical behavior of Sb_2S_3 thin films. From analysis of optical properties, it is clearly shown that Sb_2S_3 thin films have good optical absorption in the visible light and ultraviolet wavelengths, it is anticipated that these films can be used as an absorbing layer for solar cell and optoelectronic devices

Keywords: DFT, LAPW, Sb_2S_3 thin film, Solar cell, optical properties

1 Introduction

The worldwide demand of high performance and low-cost photovoltaic devices is becoming more eminent due to extensive usage of electricity-consuming device as a results of rapid growth of population [1, 2]. The dire requirement for low-cost and efficient optoelectronic device has led to the increase focus on a range of different source materials along with the development of method to characterize these materials [3]. CdTe and Cu(In,Ga)(S,Se) (CIGS) with 30% solar conversion

30 efficiency are leading candidates for light-absorbing materials used in thin-film photovoltaics [4-6].
31 Nevertheless, the toxicity and the restrictions on the heavy metal usage for Cd and limited supply of
32 In and Te urge for development of other alternative absorber material for large-area manufacturing
33 compatibility [7-10]. Nowadays, the main focus of the photovoltaic community is to reduce the
34 $\$W^{-1}$ using low-cost materials. To this point, Sb_2S_3 semiconductor material has received great
35 attention as a promising candidate for photovoltaic applications, owing to its excellent electronic
36 and optical properties, environmentally friendly constituent and earth-abundant, stable and simple
37 phase with low melting point [2, 11, 12]. These optoelectronic properties make Sb_2S_3 suitable for
38 solar cell application [13]. Sb_2S_3 is a layered semiconducting material with orthorhombic crystal
39 structure containing 20 atoms per unit cell [14]. Sb_2S_3 is usually used as an absorbing layer in solar
40 cells application and its performance is related to its optical properties [15, 16]. The reported
41 absorption coefficient and optical band gap of Sb_2S_3 thin films is in the range of $10^4 - 10^4 \text{ cm}^{-1}$
42 and 1.6 – 1.8 eV [17, 18]. Conversely, physical properties of thin film exhibits strong dependence
43 on the thickness of the film due to quantum confinement effect or quantum size effects [19, 20].
44 Therefore, researchers have studied thin film of Sb_2S_3 intensively to evaluate its physical properties.
45 It has been established that stable Sb_2S_3 thin film possess an orthorhombic crystal structure [21, 22]
46 with direct band-gap energy of 1.5–2.4 eV [23-25].

47 Tuning the thickness in semiconductors thin films can lead to the change in their electronic and
48 optical properties not exhibited by their bulk counterpart, due to the confinement of the movement
49 of electrons [26]. Recent study on Sb_2S_3 thin film showed that the increase in thickness from 77 to
50 206 nm lead to the decrease in the bandgap energy, indicating existence of quantum size effect [27].
51 It is well known that electronic and optical properties of semiconductor materials play an important
52 role in determining their optoelectronics properties [28]. Density functional theory (DFT) based on
53 GGA and LDA has been used for investigating electronic and optical properties of semiconductor
54 materials [29, 30] particularly thin film structure, since GW method is prohibitively expensive and
55 impractical for thin films calculations of semiconductors [31-33] . First principles calculation means

56 an approach of doing calculations that rely on a well-established and fundamental laws of science
57 that does not involve any fitting techniques, special models or suppositions. Several theoretical
58 works on thin film studying the effect of thickness on the solid properties has been done [34-38].
59 Although extensive studies on Sb_2S_3 bulk using DFT have been done [14, 39-43]. To the best of our
60 knowledge investigation of electronic and optical properties with highly accurate all-electron full
61 potential linearized augmented plane wave (FP-LAPW) on Sb_2S_3 thin film with different thickness
62 have not been explored yet, due to its complex and disordered structure. In this paper, electronic
63 and optical properties of Sb_2S_3 (0 0 1) thin film with different thickness were calculated within
64 Engel Vosko generalized gradient approximation (EV-GGA) [44].

65

66 **2 Computational Details**

67 Electronic and optical properties of Sb_2S_3 thin films with different thickness are performed via
68 first-principles full-potential linearized augmented-plane-wave (FP-LAPW) method within the DFT
69 scheme as employed in WIEN2k program [45]. Under slab geometry supercell, the thin films are
70 formed by using optimized lattice constants in (001) direction using (1×1) cell for various thickness
71 (1–8 slabs). A slab is represented by optimized bulk of orthorhombic Sb_2S_3 ($\alpha=\beta=\gamma=90^\circ$, $a= 1.1646$
72 nm , $b= 0.3953 \text{ nm}$ and $c= 1.1587 \text{ nm}$) As illustrated in Figure 2. A large vacuum of 3 nm along z-
73 plane was considered to avoid inter-layer interaction. Table 1 show a list of thicknesses for different
74 number of layers (from 1–8 slabs) for Sb_2S_3 (0 0 1) thin films. To calculate the total energy of the
75 system, EV-GGA approximation were used as the exchange potentials. The EV-GGA potential has
76 been justified to provide quite accurate band gaps for various semiconducting materials including
77 Sb_2S_3 [39, 41]. The wave functions are expanded in spherical harmonics inside the Muffin-Tin
78 radius (R_{MT}) around each nucleus [46]. To ensure the accuracy of the calculation, the R_{MT} are used
79 for both Sb and S atoms and $R_{\text{MT}K_{\text{max}}}$ was set to be -7 Ry. Other perimeters included in the
80 calculation are $G_{\text{max}} = 7$ and $I_{\text{max}} = 12$. Where G_{max} is the maximum expansion magnitude of the
81 basis function and I_{max} is the maximum expansion magnitude of the wavefunctions in spherical

82 harmonics inside the muffin tins (MTs). These parameters are selected to determine the extent of
83 the matrix. Three hundred k-points in the first Brillouin zone were adopted in the calculations (250
84 points in the irreducible part of the surface Brillouin zone). The iteration was halted when the
85 difference total energy was less than 0.00001 Ry between steps, taken as a convergence criterion.

86

87 Table 1. Thicknesses for different number of layers (from 1–8 slabs) for Sb₂S₃ (0 0 1) thin films.

Number of slabs	thickness(nm)
1	1.16
2	2.31
4	4.63
6	6.95
8	9.27

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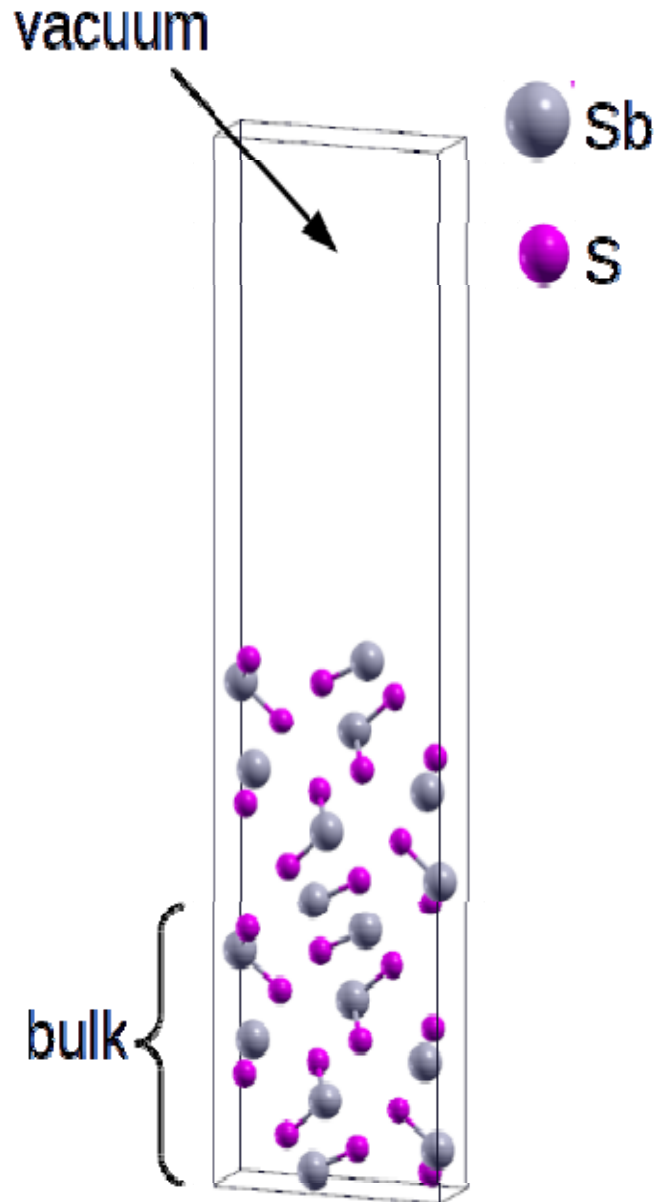


Figure 1: Crystal structure of 2 slab of Sb_2S_3 (001) film with 3nm vacuum

91



92 **3 Results and Discussion**

93 3.1 Electronic Structure

94 The electronic properties in concern are band structures and density of states (DOS). For better
 95 understanding of the thickness dependence on electronic band structure, we analyze the electronic
 96 band structure of Sb_2S_3 (001) film with respect to the number of slabs. The k path selected along

97 high symmetry point in the first Brillouin zone is $Y \rightarrow \Gamma \rightarrow S \rightarrow \Gamma$. The band structure plots of
98 Sb_2S_3 in bulk form and film from 1 to 8 slabs with EV-GGA along selected high symmetry
99 directions in the Brillouin zone are presented in Figure 2. The Fermi energy at the bottom of
100 conduction band is defined to be zero energy (0 eV). EV-GGA functionals is selected in our
101 calculations, it provides good predictions on band gap value for both bulk and surface states of
102 numerous semiconductor materials than bare GGA [47-49]. Lack of study on 2D materials using
103 this exchange-correlation functional motivated us to use it in our present calculations. It is evident
104 from Figure 2 that Sb_2S_3 slabs exhibits indirect band gap with valence band maximum lying
105 between S and Γ and conduction band minimum at Γ -symmetry point respectively. The indirect
106 band gap value of bulk Sb_2S_3 with EV-GGA functionals without spin-orbit coupling was found to
107 be 1.661 eV and this value is in good agreement with previous theoretical work and experimental
108 measurement of 1.1-2.8 eV [13, 14, 50]. The calculated values of indirect energy gaps of Sb_2S_3
109 slabs was found to be 0.568 and 0.596 eV for slab 1 and 2 respectively and this trend is consistent
110 with the experimental work where the band gap value reduced when the thickness increased [27].
111 This change of band gap becomes evident that thickness of films has effect in material physical
112 properties. On the other hand, the magnitude of the energy band gap remains the same when the
113 films thickness is more than 3 slabs. Although the energy band gap values are the same when the
114 film thickness is more than 3 slabs but the number of bands in both conduction and valence band
115 enhanced as the thickness of Sb_2S_3 (001) films increases and this trend is in quite agreement with
116 previous thin film studies. It is clear from Figure 2 that the indirect energy gap of Sb_2S_3 slabs
117 reduced by 1 eV with respect to its bulk counterpart. The reason for low band gap in Sb_2S_3 films
118 when compared with the bulk form is due to quantum confinement effect that had been discussed
119 and confirmed in various surface studies [51-54]. To further probe the nature of the energy gap, we
120 have also study the total density of state (DOS) of Sb_2S_3 films with different slabs within EV-GGA.
121 Figure 3 shows the graph of total DOS. From the total DOS plots, the peaks of the state density in
122 the valence band region increases significantly near the Fermi level with the number while in the

123 conduction band region the increase start at 1.5 eV. The increment in total DOS is correspond to the
124 increase in number of atoms and electrons in the films. Therefore, it is possible to exploit the
125 quantum confinement effect to tune the electronic properties in Sb_2S_3 films.

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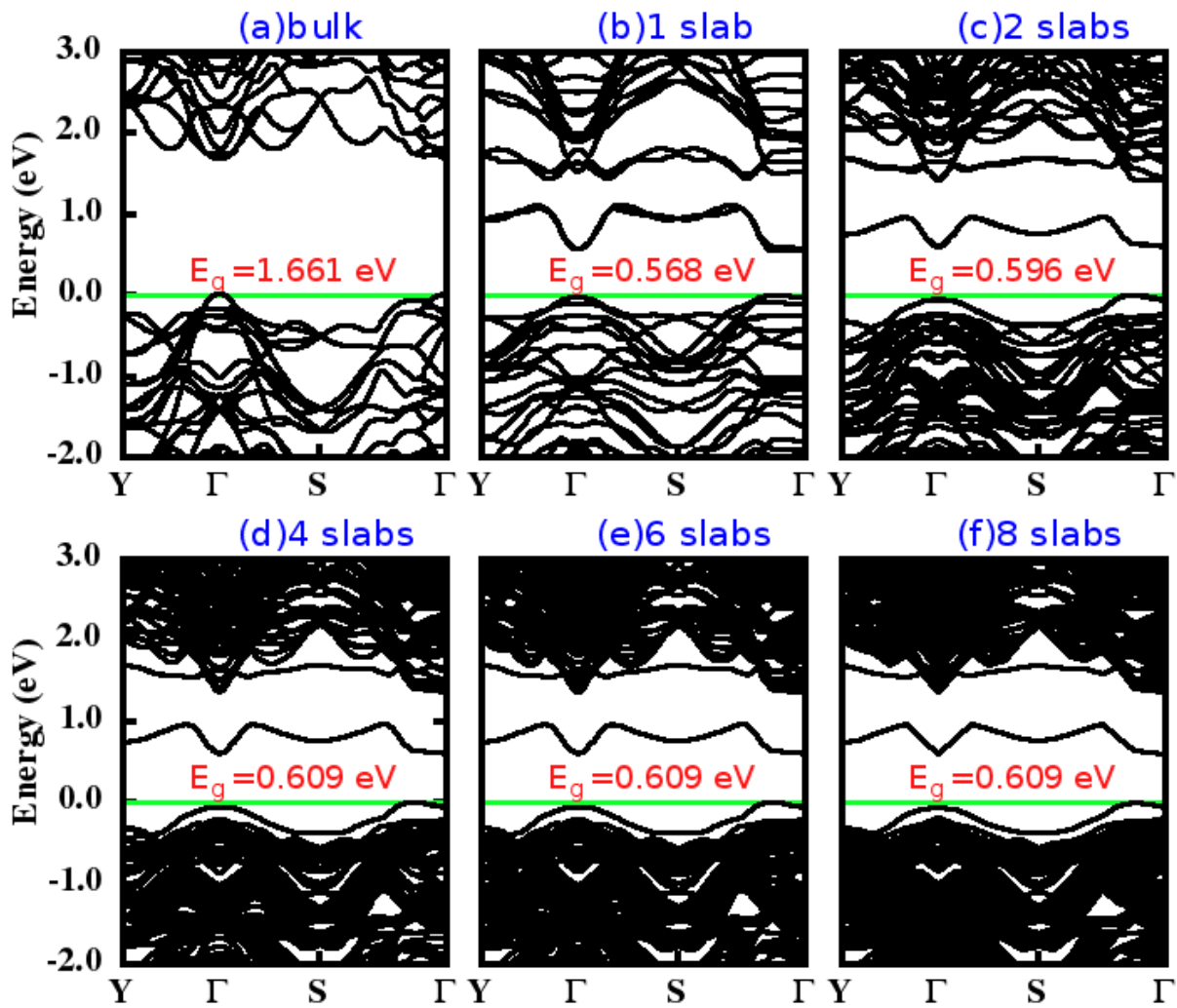


Figure 2. Band structures of the bulk Sb_2S_3 (a) and Sb_2S_3 nanofilms with five different thicknesses: 1 slab (b), 2 slabs (c), 3 slabs (d), 4 slabs (e), 5 slabs(f).

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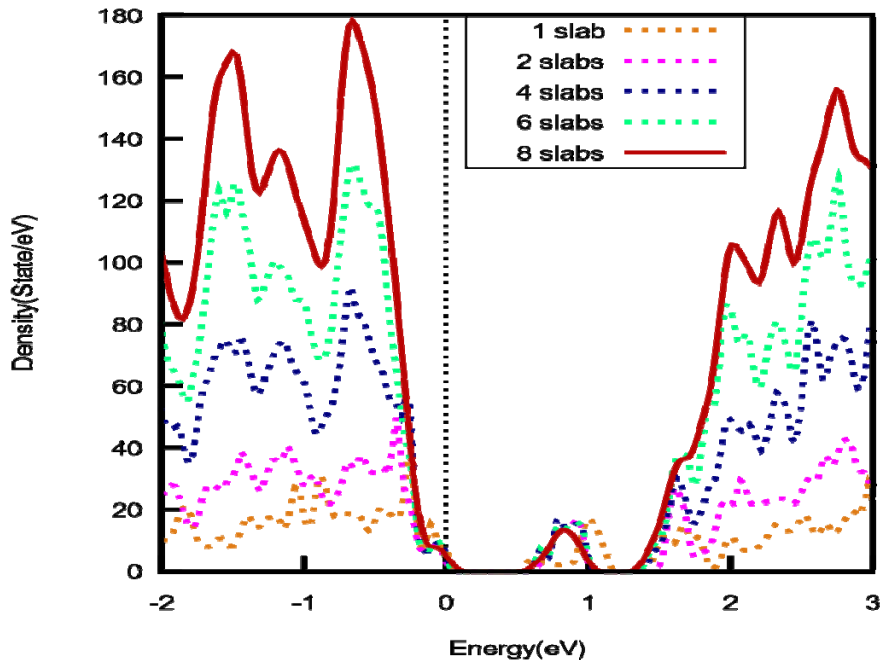


Figure 3: Total density of states of the Sb_2S_3 nanofilms with various thickness

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131 3.3 Optical properties

132 This part provides several optical parameters of Sb_2S_3 thin films which examined for the first
 133 time by highly accurate first principles all electron full potential linearized augmented plane wave
 134 method. Optical parameters of material normally explain the behavior of the material when exposed
 135 to the electromagnetic radiation and they also help in predicting band structure configuration.
 136 Understanding optical behavior of material is essential to estimate its usefulness and applicability
 137 for optoelectronic application [55]. Optical behavior is strongly associated with electronic structure
 138 [28]. As observed in the electronic band structure analysis, the geometry of the electronic structure
 139 for Sb_2S_3 thin film changed with films thickness. Several experimental studies have showed that
 140 optical properties of Sb_2S_3 thin film dependent on the thickness of the film. However, to the best of
 141 our knowledge theoretical investigation on Sb_2S_3 thin films have not been reported yet on optical
 142 properties. In order to describe the said parameters quantitatively, it is essential to evaluate dielectric
 143 function. Dielectric function is the ratio of the permittivity of a material to the permittivity of free

144 space, whereas permittivity is the measure of the resistance of a material when an electric field is
 145 induced in a material. All the dielectric materials are insulator but all the insulators are not dielectric
 146 [56]. The dielectric function consists of real ($\epsilon_1(\omega)$) and imaginary part ($\epsilon_2(\omega)$). It is represented
 147 as follows:

$$148 \quad \epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) \quad (1)$$

149

150 Where $\epsilon_1(\omega)$ is real part and $\epsilon_2(\omega)$ is imaginary part of the dielectric function. Physical properties
 151 and band structure rely strongly on $\epsilon(\omega)$.

152 As mentioned, we analyzed the optical properties based on EV-GGA functionals. From the
 153 knowledge of electronic band structure of a solid, the imaginary part of the dielectric function, $\epsilon_2(\omega)$
 154 can be calculated from Kubo–Greenwood equation as show in Equation 2:

$$155 \quad \epsilon_2(\omega) = \frac{2\pi e^2}{\Omega \epsilon_0} |\langle \psi_k^c | \hat{t} \times \vec{r} | \psi_k^v \rangle| \delta(E_k^c - (E_k^v + E)) \quad (2)$$

156 Once we know the imaginary part, the real part, $\epsilon_1(\omega)$ can be obtain from the Kramers–Kronig
 157 relations in Equation 3.

158 Real part of dielectric function gives information about the refractive index of any material
 159 under investigation while imaginary part explains the absorption of light. The calculated imaginary
 160 (ϵ_2) and the real (ϵ_1) parts of the dielectric functions as a function of the photon energy are shown in
 161 Figure 4(a)-(b) in the region of 0-20 eV. It has been established that Sb_2S_3 semiconductor has
 162 orthorhombic symmetry. This symmetry has three independent components of dielectric function
 163 but for this work, we only consider polarization along [001] direction.

$$164 \quad \epsilon_1(\omega) = 1 + \left(\frac{2}{\pi}\right) \int_0^\infty d\omega' \frac{\omega'^2 \epsilon_2(\omega')}{\omega'^2 - \omega^2} \quad (3)$$

165 The static dielectric constant, $\epsilon_1(0)$ is the real part of dielectric constant at zero energy. These
 166 parameters were analyzed for Sb_2S_3 thin films as can be seen in Figure 4(a). Table 3 show an
 167 illustration of the static dielectric constant for different slabs. From the results, it is noticed that the

168 value of static dielectric constant increases as the thin films thickness increases. Conversely, these
 169 values are important parameters that could be also used to obtain the energy band gap values of
 170 Sb₂S₃ thin films by via Penn Model relation $\epsilon_1(0) \approx (\hbar\omega_p/E_g)^2 + 1$ [57]. Using plasma energy
 171 $\hbar\omega_p$ and the value of $\epsilon_1(0)$, the value of energy gap of the title material can be calculated by using
 172 Penn expression. Interestingly, it was also observed that Sb₂S₃ thin films possess plasmonic
 173 behavior when the thickness of the film is greater than one slab (1 slab). This negative behavior of
 174 real part (plasmonic behavior) is another exciting feature to make Sb₂S₃ thin film suitable for many
 175 applications [58-60].

176 It has been established that imaginary part of dielectric function is directly connected with the
 177 energy band structure. The edge of optical absorption (first critical point) occurs at about 0.562,
 178 0.589, 0.608, 0.607, 0.606 eV for 1, 2, 4, 6 and 8 slabs. Hence, the calculated imaginary part of
 179 dielectric function shows that the first critical point peak is related to the transition from the valence
 180 to the conduction band states corresponded to the fundamental band gap. The results of imaginary
 181 part of dielectric function indicated that Sb₂S₃ thin films has strong absorption behavior in the
 182 visible light frequency, which depicts its suitability for solar cell applications. Apparently, due to
 183 crystallinity of the films, the optical absorption increases with the increase in the film thickness.

184

Table 3: Static dielectric, $\epsilon_1(0)$ and Static refractive index, $n(0)$ of Sb₂S₃(001) thin films for different slabs.

		Static dielectric, $\epsilon_1(0)$	Static refractive index, $n(0)$
Number of slab	1	3.75	1.94
	2	5.80	2.41
	4	7.82	2.80
	6	8.83	2.97
	8	9.59	3.10
Bulk		12.7	3.57

185

$$\alpha(\omega) = \frac{\omega}{c} \sqrt{2 \left(\sqrt{\epsilon_1^2(\omega) + \epsilon_2^2(\omega)} - \epsilon_1(\omega) \right)} \quad (3)$$

$$n(\omega) = \sqrt{\left(\frac{\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} + \varepsilon_1(\omega)}{2}\right)} \quad (4)$$

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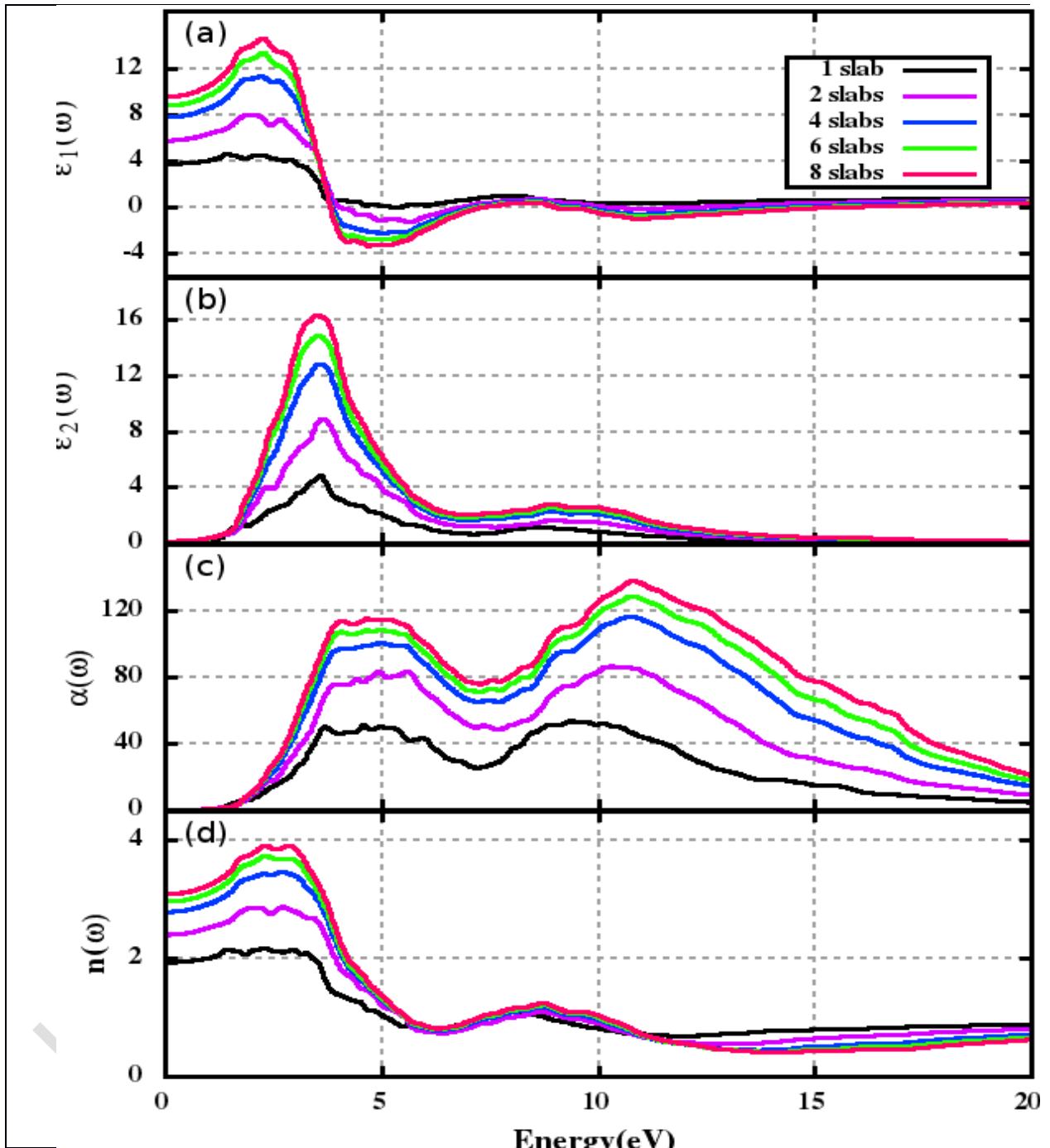


Figure 4 (a) Real and (b) imaginary part of dielectric functions, (c) absorption coefficient and (d) refractive index for different slabs of Sb_2S_3 (001) film shown.

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189 Using the knowledge of the complex dielectric constant, other optical parameters such as absorption
190 coefficient, $\alpha(\omega)$ and refractive index, $n(\omega)$ can be determined. Figure 4 (c)-(d) show the energy
191 dependence of absorption coefficient and refractive index. For photovoltaic applications it is
192 important to use a material with a suitable band gap having large absorption coefficient [61]. When
193 light rays strike the surface of a material, some part of its energy is reflected while some are
194 transferred to the surface of the material. This transfer of energy to the surface is called Absorption
195 of light and It is represented in term of absorption coefficient $\alpha(\omega)$. Graph of absorption coefficient
196 as a function of photon energy is presented in Figure 4(c). From this graph, it is clearly that Sb_2S_3
197 thin films have good absorption coefficient in the visible light and ultraviolet wavelengths. Since
198 Sb_2S_3 thin films show good absorption coefficient in the visible light and ultraviolet wavelengths
199 for all thickness, it is anticipated that these films can be used as an absorbing layer for solar cell and
200 optoelectronic devices. The curves of refractive index $n(\omega)$ in Figure 4 (d) are similar to the real
201 part of dielectric function $\epsilon_1(\omega)$ which is in accordance with the established theory [62]. The values
202 of static refraction index for different thickness of Sb_2S_3 (001) thin film are given in Table 3. From
203 the graph, we observed that the values of $n(\omega)$ in Sb_2S_3 (001) thin films are influenced by film
204 thickness.

205 **4 Conclusion**

206 In summary, Electronic and optical properties of Sb_2S_3 thin films were studied by highly
207 accurate full-potential linearized augmented plane wave (FP-LAPW) approach based on DFT
208 within EV-GGA exchange-correlation. The calculated values of indirect band gaps of Sb_2S_3 for
209 various slabs were found to be 0.568, 0.596 and 0.609 eV for 1, 2 and 4 slabs respectively. This
210 trend is in good agreement with experimental work where the band gap reduced when the thickness
211 increased. Optical properties including real and imaginary parts of complex dielectric function,
212 absorption coefficient, refractive index was also investigated to understand the optical behavior of
213 Sb_2S_3 thin films. From the analysis of optical properties, it was clearly shown that Sb_2S_3 thin films
214 have good optical absorption in the visible light and ultraviolet wavelengths, it is therefore,

215 anticipated that these films can be used as an absorbing layer for solar cell and other optoelectronic
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