1 Original article

Incidence and Outcomes of Community Acquired Pneumonia in Patients with Chronic Obstructive Pulmonary Disease at King Abdulaziz Medical City, Riyadh.

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ABSTRACT (ARIAL, BOLD, 11 FONT, LEFT ALIGNED, CAPS)

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Aims: The aim of the study was to estimate the incidence of Community-acquired pneumonia (CAP) among patients with chronic obstructive pulmonary disease (COPD).

Study design: A retrospective cohort study

Place and Duration of Study: King Abdulaziz Medical City in Riyadh between January 2016 to March 2018

Methodology: From the medical file, we identified patients with COPD diagnosis who admitted to the hospital. Then we identified the patient who develop CAP after the diagnosis date of the COPD. Other variables such as demographic factors, clinical outcome, comorbidity disease, length of stay in the hospital, and mortality were identified for each patient using their electronic medical file.

Results: Out of 363 COPD patients, 122 (33%) had developed CAP after the date of COPD diagnosis. Based on patient characteristics, the mean age of them was 75.39 years SD was 9.76 and 65% of the patients were female. The available evidence indicates that the mortality rate was n=22 (18.0%). Among those patient,

33 patients were admitted to ICU (27.0%) the rest of patients either wards n= 59 (48.4%) or both n=28 (23.0%). Among our study population, 97.4% had hypertension, 88.6% of the patients had diabetes, and 28.9% had renal failure. Majority of the study population have been placed on non-invasive ventilation n=94 (77.0%).

Conclusion: This study has been shown that COPD patients had increased risk of CAP. Patients who have multiple comorbidity diseases and got CAP with COPD have a higher risk of morbidities and mortality rate, especially elderly patients. Mention the design of the study here.

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Keywords: Community-acquired pneumonia, chronic obstructive pulmonary disease, King Abdulaziz Medical City

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1. INTRODUCTION

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36 Community acquired pneumonia (CAP) is a major cause of hospitalization, 37 complications, and death among adults in United State (US) especially oldest people whose 38 immune system are often insufficient [1, 2]. The World Health Organization (WHO) estimates 39 that lower respiratory tract infection is the most common cause of death due to infection with 40 almost 3.5 million deaths yearly [1]. CAP is acute infection of pulmonary parenchyma in a 41 patient who has acquired the infection from the community and occurs outside hospital due 42 to bacteria, virus, fungi and continues to be a leading cause of death worldwide and major 43 public health challenge [3-5]. Streptococcus pneumoniae is the most common pathogen that causes CAP, followed by Pseudomonas aeruginosa, Chlamydia pneumonia, and 44 Haemophilus influenzae [6]. Elderly people has an increased risk of developing CAP than 45 other age groups and incidence of CAP is four times than in younger people [7]. CAP occurs 46 commonly in patients with chronic obstructive pulmonary disease (COPD) as a result of 47 48 impairment in lung defense. A recent population database analysis showed that patients with 49 COPD especially those patients whose age >60 years are at increased risk to have CAP [8]. 50 A COPD is a lung disease characterized by impaired and progressive airflow limitation with 51 worsening symptoms over time especially if the patient continues to expose to noxious 52 particles such as the toxin, fumes, and smoking. COPD affected approximately 20 million 53 Americans and it is considered as one of the most frequently reported as a comorbid 54 condition and that increases the risk of hospitalization in CAP patients [9-11]. COPD patients can be affected by CAP which may lead to worsening outcomes especially in elderly such as 55 morbidity, high mortality rate, increased length of stay in (ICU), and invasive mechanical 56 ventilation [12]. 57

58 Many studies have examined the association between CAP and COPD, however there is little have been done regarding identifying the risk factor for COPD patients to develop CAP 59 [13-16]. Although COPD patients considered a risk factor for the development of CAP and 60 61 may contribute in increasing morbidity and mortality, it has not been identified as a risk factor for mortality in some studies [16-18] and some studies exhibited higher mortality rates and 62 morbidity for COPD patients with CAP than patients without COPD [12,19,20]. The aim of 63 64 this study therefore, was to identify the reasons and risk factors of CAP among COPD 65 patients and to know the epidemiology of CAP in COPD in King Abdulaziz Medical City 66 (KAMC) at Riyadh.

68 2. MATERIAL AND METHODS

A cohort study was conducted during the period of January 2016 –March 2018 at King Abdualziz Medical city (KAMC) in Saudi Arabia. All female and male COPD patients older than 40 years old were included. We excluded patients. patients with life-threatening diseases (advanced malignant tumor, tuberculosis, head injury) patient with other types of pneumonia such as health care-associated pneumonia, ventilator-associated pneumonia, hospital -acquired pneumonia) and patients with restrictive lung diseases.

75 2.1 Study variables: The main target of this study was the incidence of CAP. Patient 76 medical files were searched for documented diagnosis of CAP among patients who met our 77 inclusion criteria. Demographic data such as age, gender, weight, height, and history of 78 smoking were collected from medical record of each patient. Other clinical data were also 79 collected related to their ICU stay and the type of ventilator and the setting of the ventilator. Any comorbid conditions such as hypertension, diabetes and other chronic disease were 80 81 documented. Other information related to tracheostomy rate, the CAP admission wards or 82 ICU, length of stay in ICU, medications were also extracted for each medical file.

83 2.2 analysis plan: The collected data entered in Microsoft Excel and exported to SPSS version 22 for statistical analysis .Results represented in tables and figure forms. For 84 normally distributed continuous variables, the results expressed as mean and standard 85 86 deviation (SD) whereas in case where the variables were not distributed normally, the result 87 expressed as Median and Interguartile Range (IQR). The results expressed as percentage 88 and frequency if it is the categorical variable. We estimated the incidence of CAP be dividing 89 the number of patients who had CAP over the total number of COPD patients in specific time 90 period.

This study was approved by the King Abdullah International Medical Research Center IRB
 Approval Committee (protocol number SP18/228/R).

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94 **3. RESULTS AND DISCUSSION**

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Based on the data from Best Care in KAMC, the number of COPD patients was 363 patients
diagnosed with COPD during the period January 2016- March 2018. Out of 363 COPD
patients, there were 122 patients (33.60%) diagnosed with CAP. Table 1 demonstrate the
basic characteristics of our study population

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101 **Table 1.Demographic and characteristics data of patients:**

Characteristics of patients	Number (percentage %)	
Gender		
Male	42 (34.4%)	
Female	80 (65.5%)	
Age in years		
Mean	75.39	
Standard deviation \pm SD	9.80	
Weight (kg)		
Mean	81.54 kg	
Standard deviation \pm SD	24.95	

Height (cm)	
Mean	155.44 cm
Standard deviation \pm SD	10.84
BMI (body mass index)	
Mean	34.5
Standard deviation ± SD	13.8
Smoking history:	Number
	(percentage %)
Yes	28 (23.0%)
No	94 (77%)

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The results showed that female COPD patients had greater incidence of communityacquired pneumonia than male patients. Female were 80 (65.6%) out of 122 on the other hand, males were 42 (34.4%) respectively. Their mean age was 75.39 years, the minimum age was 53, and maximum age was 97 years. The mean weight was 81.54, and the mean height was 155.44 cm. The mean for BMI was 34.5 and SD 13.8, as in the table 1.

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110 **Table 2 the clinical characteristics of the study population**

Ventilator type:	Number (percentage %)
Inconstruct	28 (23.0%)
Invasive	
Non-invasive	94 (77.0%)
Tracheostomy done:	Number (percentage %)
Yes	7 (5.7%)
No Admission type :	115 (94%) Number (percentage %)
Ward	59 (48.4%)
ICU	33 (27.0%)
Both	28 (23.0%)
Emergency	2 (1.6%)
Ward	59 (48.4%)
Hospital outcomes:	Number (percentage %)

Discharge	99 (81.1%)
Died	22 (18.0%)
Still in hospital	1 (.8%)

In table 2 the data showed that most of COPD patients when get the CAP they did not need 111 an invasive procedures such as intubation. Our results revealed that 77.0% of CAP-COPD 112 113 patients just needed non-invasive through CPAP, BIPAP or oxygen by different types of 114 oxygen devices depended on the condition of patient while 28 out of 122 (23.0%) need 115 invasive ventilator. In addition, most of COPD-CAP have less chance to get tracheostomy 116 procedure. Around 115 of 122 (94%) patients never have tracheostomy procedure, while 117 7out of 122 (5.7%) patients had tracheostomy. The data results showed that most of COPD-118 CAP patients were admitted to the ward 48.4% because there was a not exacerbation in their condition and just need continuous care in the ward after they admitted to emergency 119 room. The analysis showed that 27% of them were admitted to intensive care unit because 120 121 of exacerbation especially if patient had another comorbidities disease while only 2% of them admitted to emergency room and treated as an outpatient. 122

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The data showed that majority of patients improved and got discharge 99 (81.1%) while 22 (18.0%) of patients died, 1 (.8%) patient still in hospital due to exacerbation of condition.

Data results showed that 97 (79.5%) out of 122 patients use oxygen devices at home, while 25 (20.5%) do not use oxygen devices at home. The most common oxygen device according

to data analysis is oxygen 75 (77.3%). The results showed that eight (8.2%) of patients use

129 BIPAP and 12 (12.4%) use CPAP. The rest of patients 2 (2.1%) use O2+CPAP.

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- Fig 1: Pie diagram showing the admission type in hospital of CAP-COPD patients
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The ABGs results are presented in table 3. There is decreasing in Pao2 from 69.77 to 60.33

136 mmHg due to the infection and may there was exacerbation in their condition. Howvere,

their saturation SpO2 is approximately 90 % which mean normal according to COPD

patients (88-90%). Other results (PH, Paco2, HCO3) were approximately normal.

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141 Table 3 Pre and Post ABGs

ABGs	Mean	Standard deviation
Pre-PH	7.3464	.09245
Post-PH	7.3672	.07008
Pre-pco2	61.0048	17.09443
Post-pco2	61.4673	56.52273
Pre-po2	69.7769	60.23703
Post-po2	60.3394	18.18513
Pre-HCO3	35.0709	35.01057
Post-HCO3	31.2971	7.57046

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143 It is found that patients having CAP with COPD have co-morbidities associated with their condition.

Among those morbidities, the majority have hypertension 111 (97.4%), diabetes *mellitus* is 101(88.6%),

and renal failure is 33(28.9%). The results showed that 94 out of 122 (77.0%) CAP-COPD patients do not

have history of smoking while 28 out of 122 (23.0%) have smoking history.

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149 Figure 2: Bar diagram showing the distribution of comorbidities among the subjects.

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151 152 **4. DISCUSSION**

152 **4.** 153

The results of this study showed that out of 363 COPD patients, the incidence of CAP was 36.30% (122 patients). We noted that most of the patients who got CAP was female populations n= 80 (65.6%) while male populations were n= 42 (34.4%) and the average age of the patients was 75 years. The majority of patients when they get CAP, their condition was not exacerbation and just need either oxygen therapy 77.3 % or non-invasive ventilation.

Many studies have been carried out that examined the association between CAP and COPD to compare our results to their findings. One of the studies had showed increasing in morbidity and mortality rate [13].This study concluded that overall ICU mortality was 23.9%. While noninvasive ventilation, shorter length of stay in ICU were associated with lower rate mortality and longer duration of invasive mechanical ventilation is associated with increased risk of mortality in COPD-CAP patients [13]. In our study we noted that most of the patients just coming as outpatient clinics and taking antibiotics medications or admitted to 165 ward. Patients when get CAP was no exacerbation on their condition. On the other side, there were more 166 articles found that COPD- CAP patients do not lead to mortality (6). They did not found any evidence 167 that CAP in COPD patient leads to increase the rate of mortality but the most frequent reported with co-168 morbidities. While in our study the mortality rate was n=22(18.0%) out of 122.

168 morbidities. While in our study the mortality rate was h=22(18.0

170 CONCLUSION:

171 As this study is observational in nature, there is many limitation of this study. One of our limitations in this study is pulmonary function test-which help to determine the severity of COPD either mild, moderate, or 172 173 severe and was one of our variables in data collection, but we did not find pulmonary function test for 174 each subject in the best care which is the database provided by KAMC. Other limitations is pre and post 175 ABGs not all the samples in our study have pre-post ABGs in their flow sheet or the values were not completed in electronic medical records Also, P/F ratio which is one of the parameters for ABGs was not 176 177 collected due to that not all patients have this ratio. P/F ratio is important to explain why the patients get 178 worse outcomes according to oxygenation level in the blood.

179 In conclusion, CAP is common in patients with COPD especially in older patients. The results showed that as comparing with other researchers, the morbidity and mortality rate, invasive ventilations, and ICU 180 181 admission were low. More researches in the future recommended to determine the mortality rate in COPD 182 patients without CAP as comparing with our study and what is the most common morbidity disease that 183 related to CAP-COPD patients. Our recommendations is to manage COPD patients when got CAP 184 because they easily can deteriorate and develop critical conditions especially significant elderly patients. 185 Therefore, elderly COPD patients should take care of themselves because they have high chance to get 186 CAP many times. 187

188 **COMPETING INTERESTS**

189 190 non

192 AUTHORS' CONTRIBUTIONS

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- 194 Contributions: SM proposed the original idea for the study. TA,YI, and rest of the team planned the 195 study design , were involved in interpretation of results, and revising the drafts of the paper. TA helped in 196 planning study design, reviewing, and writing up the first draft of the paper.
- 197

198 We declare that all authors have read and approved this submission and we have given appropriate

199 credit to everyone who participated in this work.

200 CONSENT (WHERE EVER APPLICABLE) 201

202 No patients consent were needed for this study

204 ETHICAL APPROVAL

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This study have been approved by King Abdullah International Medical Research center IRB approval committee.

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