1	Original Research Article
2 3 4	E-Cadherin as a Marker for Nodal Metastasis in Head and Neck Squamous Cell Carcinoma
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## 7 ABSTRACT

**Aim**: Head and neck cancers, all over the world, contribute greatly to the number of deaths, despite the advancements in the therapeutic strategies. It is characterized by locoregional disease with a tendency for metastasis to the cervical lymph nodes. The pre-operative detection of lymph node metastasis is critical for the effective treatment of patients with head and neck squamous cell carcinoma. Therefore the objective of this study was to identify E-cadherin as a marker for prediction of lymph node metastasis in head and neck squamous cell carcinoma (HNSCC).

### Study design: Cross-sectional study

Place and Duration of Study: Dow University of Health Sciences, Karachi. 1 Year duration.

**Methodology:** Cross-sectional analysis of 54 subjects with HNSCC, who underwent neck dissections, was carried out. Expression of E-cadherin was evaluated using immunohistochemical analysis and traditional histological parameters, and correlation of E-Cadherin with histologically verified presence of regional metastases was determined. Data was subjected to descriptive statistics and chi-square using Spss v.16.0.

**Results:** 54 patients included 33 males (61.1%) and 21 females (38.9%) aged from 18 to 73 (mean 44.8±12.7). A statistically significant relationship between the Downregulation of E-cadherin and histologically verified presence of nodal metastasis was established. (p value= 0.01) **Conclusion:** This study shows that low E-cadherin expression is useful for predicting lymph node metastases in cases of head and neck carcinoma.

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### 11 **INTRODUCTION:**

12 Oral squamous cell carcinoma (OSCC) is ranked as the sixth most widespread malignant tumor 13 worldwide illustrated by loco-regional disease with a predisposition for metastasis to the cervical lymph nodes.[1] Despite the recent improvements in surgical and adjuvant chemoradiotherapy, 14 15 the occurrence and mortality from OSCC has shown a steady increase in several countries, and thus the 5-year survival rate of 50% has failed to improve over the past few decades [2, 3] In 16 17 Pakistan, there are no complete and comprehensive databases available concerning any disease 18 including cancer, and therefore the only data that exists is hospital based.[4] WHO estimated that 19 the death rate worldwide per 100,000 population is 7.3.[5] In Sindh, the prevalence of head and 20 neck cancers is 22.6%, which is the highest amongst all the provinces. Punjab takes second 21 place with a prevalence of 13.4%. Baluchistan and North West Frontier Province (NWFP) 22 accounted for 11.4% and 8.6% HNSCC prevalence respectively.[6] One of the earliest features of

<sup>9</sup> *Key words*: E-Cadherin, Cancer, neoplasm, metastasis, lymph node.

23 tumor-cell dissemination in most human carcinomas is the metastasis via lymph nodes.[7] One of 24 the key phenomenon's in metastasis is the change occurring in the cellular adhesion. [7, 8] 25 Deterioration of the adhesion between the cells as well as that between the cell and the 26 extracellular matrix adhesion is clearly essential for metastasis of the cancerous cells.[8] In 27 tumors of epithelial origin, the cell – cell adhesion is chiefly regulated by the cadherin molecules 28 especially the E-cadherins. It has been established that down regulation of the E-cadherin gene is 29 linked with poorly differentiated type of cancers, invasion and metastasis in a range of various 30 kinds of cancer.[8]

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32 Detection of lymph node metastasis, preoperatively is very critical in providing effective treatment 33 to patients who have head and neck squamous cell carcinoma.[9] Cervical lymph node 34 metastasis cannot always be foretold from the size and the extent of primary tumor invasion [9] 35 and simply the fact that metastases needs to attain a certain size before they become detectable 36 (3mm)[8]. Despite the recent advancements in the techniques of CT scan, MRI, ultrasonography, 37 PET scan and ultrasound guided FNA biopsy, their sensitivity in detecting occult metastasis has 38 only reached 80% and therefore the detection of occult, microscopic metastasis continues to 39 elude true recognition, because of which the true lymph node status of the neck remains 40 doubtful.[9] Consequently, as a result of these limitations many head and neck surgeons perform 41 radical or selective type of neck dissection. This results in about 80% of the patients with N0 42 disease-receiving treatment that is unnecessary accompanied by concomitant morbidity.[8]

## 43 **METHODS**:

44 A Cross-sectional analysis of 54 subjects with head and neck squamous cell carcinoma, who 45 underwent neck dissections, in the Ear, Nose and Throat (ENT) ward at Civil Hospital, was carried out. Clinically diagnosed patients, of all ages, including both genders that were 46 undergoing neck dissections due to HNSCC were included in the study whereas patients with 47 48 odontogenic and non-odontogenic tumors of the oral cavity and those who failed to sign a 49 consent form were excluded from the study. The histopathological grade was determined 50 according to the degree of differentiation of the tumor (Broders'Classification).[10] Tumors were 51 staged according to the American Joint Committee on Cancer (AJCC) TNM classification 7<sup>th</sup> 52 edition.[11] Expression of E-cadherin was evaluated using conventional histopathological grading 53 parameters and immunohistochemical examination, and the relationship of E-Cadherin with the 54 occurrence of local metastases was determined. The data was subjected to descriptive statistics 55 and chi square using SPSS V.16.0.

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57 Enzyme immunoassays (EIA), including enzyme linked immunosorbant assays (ELISA), are used 58 to detect antigens of infectious agents present in clinical specimens. One commonly used format 59 is to bind a captured antibody, specific for the antigen in question, to the wells of plastic micro 60 dilution trays. The specimen containing the antigen is incubated in the wells followed by washing 61 of the wells by a second antibody for the antigen labeled with enzyme to detect the antigen. 62 Addition of the substrate for the enzyme allows detection of the bound antigen by colorimetric 63 reaction. Rabbit monoclonal antibody was used against E-cadherin (EP700Y, cell marguee and diluted 1:50). Analysis for the immunoreactivity of the antibodies is then performed under light 64 65 microscopy.

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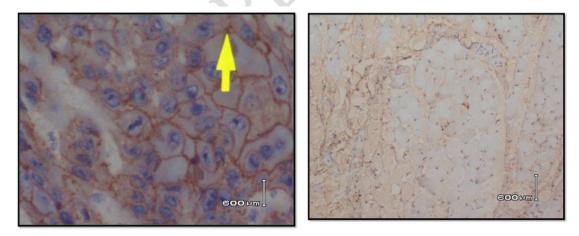
67 E-cadherin immunolabeling was regarded as positive when the membrane of the cytoplasm 68 stained.[12] A semi-quantitative assessment was carried out by counting the proportion of 69 positive neoplastic cells in 10 different fields under 40X magnification. Immunohistochemical values for E-Cadherin below or equal to 50% of positive cells were considered as "low 70 71 expression". Values greater than 50% were regarded as "high expression".[12] This was done in 72 order to be consistent with the criterion used in the formerly published literature.[12-14] 73 Immunohisto-chemical evaluation was performed by two researchers (L.A., S.A.B.). For cases 74 that had different scores, an agreement was reached by discussing the cases. The study was 75 approved by the Institutional Review Board of the Dow University of Health Sciences via letter no IRB-291/DUHS-11. The participants were explained the whole procedure and a consent form was
 signed. The participants were also informed that their samples will not be used for any other
 purpose but research and that their names and details will be kept confidential.

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### 81 **RESULTS**:

82 Out Of the 54 patients 33(61.1%) were males and 21 (38.9%) were females hence showing a 83 male preponderance. The main clinical characteristics of the patients analyzed in this study are detailed in Table 1. The mean age of the patients was 44.8±12.7 and an age range of 18-73. 84 Most common age of patients presenting with HNSCC was 40 years. Tongue was the most 85 86 frequently involved site. 17 out of 54 patients presented with squamous carcinoma of the tongue. 87 On histological examination the tumor was Well differentiated in 17 (31.5%) of patients. 34 (63%) 88 of patients, both males and females, presented with moderately differentiated carcinomas Only 3 89 cases of Poorly differentiated squamous cell carcinomas were received. TNM staging system as 90 specified by the AJCC was used to categorize tumor size and regional nodal involvement. 12 91 patients (22.2%) had T1 disease, 15 (27.8%) T2, 9 (16.7%) t3 and T4a was the most common 92 found in 18(33.3%). The AJCC staging system was also used to categorize regional nodal 93 involvement. 16 (29.6%) patients were staged N0; 9 (16.7%) N1, 3 (5.6%) N2 and 26 (48.1%) 94 N2b (pathologic staging). 38 (70.4%) out of 54 patients showed histologically verified presence of 95 metastasis on H&E staining, however 16 (29.6%) patients who underwent neck dissections didn't 96 show any metastasis. All 54 samples were successfully evaluated by immunohistochemistry 97 staining. E-Cadherin staining showed high expression in 19 cases (35.2%) and low expression in 98 35 cases (64.8%). A strong statistically significant relationship was found between E-Cadherin 99 down regulation and histologically verified presence of nodal metastasis (P Value = 0.01). In our 100 study lower expression of E-cadherin was significantly associated with gender, the nodal status of 101 the patient (N0 or N+) and the histologically verified presence or absence of metastasis. 102 However, no correlation was found between the expression of E-cadherin and age, T-stage of the 103 disease and the histological grade of the cancer. (Table 1)



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Figure 1: High expression of E-cadherin

Figure 2: low expression of E-cadherin

106 Table 1: The correlation of E-cadherin expression with clinico-pathologic parameters

Mariahlaa	Number	E-cadherin expression			
Variables	of patients	low High		p-value	
Sex	-				
Male	33	16	17	0.002	
Female	21	19	2		
Age (years)					
≤60	49	31	18	0.455	
>60	5	1	4		
T classification					
T1 & T2	27	18	9	0.776	
T3 & T4	27	17	0		
N Status					
N0	16	0	16	0.000	
N+	38	35	3	$\langle \lambda \rangle$	
Histological grade					
Grade 1	17	11	6		
Grade 2 & 3	37	24	13	0.991	
Metastasis					
Yes	38	35	3	0.000	
Νο	16	0	16		

### Table3: Demographics

	Males	Females
Age group	39-48	49-58
Gender	33	21
Type of cancer		
Buccal Mucosa with mandible	5	7
Buccal Mucosa	11	4
Tongue	11	6
Angle of the mouth	2	1
Lip	3	2
Floor of the mouth	1	1

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#### **DISCUSSION:**

In HNSCC, single handedly the most significant factor that can predict the prognosis is the occurrence of nodal metastasis.[15] In spite of all the extensive research carried out, in clinical practice, the difficulty to correctly and aptly detect the incidence of lymph node metastasis in 

patients with HNSCC remained.[16] The ability to identify the molecular markers from a primary sample of the tumor biopsy that predicts cervical node metastasis would facilitate the selection of patients at risk of nodal metastasis.[15]

122 The current study shows a male preponderance (61%) in contrast to the 38.9% female patients 123 included in the study. These results are consistent with the literature reviewed.[15, 17-22] In 124 industrialized nations, males are affected two to three times more in comparison to the females 125 mostly due to an increased use of tobacco and alcohol.[8] In developing countries betel quid 126 chewing and malnutrition are additional risk factors.[9] Thus prevalence is strongly influenced by 127 ethnic background due to diverse cultural and social practices as well as diversity in the 128 socioeconomic status.[8] This study shows that the head and neck cancer is a disease more 129 prevalent in the fourth to fifth decade. The literature shows a slight difference, whereby the fifth 130 and the sixth decade are more common.[12, 17, 18, 22] Our study indicated that tongue was the 131 most common site in this series. The results are similar in some studies [21, 22] whereas they 132 differ in other studies.[18] This difference could be explained by the simple fact that the ethnic background, nutritional status, risk factors and habits including pan, areca nut and tobacco use 133 134 differ from region to region.

135 A study analyzed the clinicopathologic significance of E-cadherin expression amongst 80 patients 136 who had laryngeal squamous cell cancer. Their results suggested that expression of E-cadherin 137 was an independent predictor of lymph node metastases.[15] The results of our study also 138 signifies that the expression of E-cadherin serves as an independent lymph node metastasis 139 predictor, thus the results of our study are consistent with the findings of the study. A research 140 conducted in China studied 150 cases of oral squamous cell carcinoma and investigated the 141 immunoexpression of adhesion molecules in the primary tumor tissue as well as lymph nodes. 142 The study indicated the decreasing degree of immunostaining for E-cadherin with lymph node 143 metastasis.[23] The results of our study also showed that the immunostaining intensity for E-144 cadherin was decreased in cases that showed histological presence of metastasis.

145 In a group of 83 patients with oral carcinoma, a link was found between low levels of E-cadherin 146 expression and positive outcome.[22] In a series of 58 patients, immunostaining of the E-cadherin 147 molecule demonstrated a statistically important association with the manifestation of nodal 148 metastases at the time of disease presentation. The overall number of lymph node metastases 149 was associated to low E-cadherin expression in 76% of the cases and hence the result was 150 statistically significant (p < 0.01).[12] A study involving a group of 45 people revealed that the huge 151 bulk of metastatic deposits had decreased expression of E-cadherin together with their 152 counterparts in primary lesions.[18] In a series of 131 patients in Japan, it was observed that the 153 expression of E-cadherin decreased with the loss of differentiation in primary carcinomas, and 154 that lymph node metastases expressed a lower level of the protein, suggesting an important role 155 of cadherin loss in the metastatic process.[24] The results of our study are consistent with the 156 findings of the above mentioned studies, study involving 47 oral cavity samples, observed that 157 lymph node metastases expressed a lower level of the protein E-cadherin in comparison to the 158 non- metastatic counterparts.[21] In our study, we reviewed 54 samples of oral cavity and the 159 lymph nodes and it was observed that the samples that showed histologically verified presence of 160 metastasis showed a lower expression of E-cadherin in contrast to the samples that didn't show 161 metastasis.

162 However, various studies have been unsuccessful in establishing a relationship between the 163 expression of E-cadherin and the clinicopathological variables. In a research, the markers that are related to the invasion of the tumor as well as metastasis in 59 patients who had hypo 164 pharyngeal and laryngeal squamous cell carcinomas with nodal metastasis were studied.[25] This 165 166 study failed to ascertain a relationship between the immunolabeled tumor cells and lymph node 167 metastasis. Another study inspected the histological features and biological markers in 31 168 patients. Interestingly, from all the markers that were examined immunohistochemically, E-169 cadherin was not relevant to the prediction of lymph node metastasis.[26] Several explanations 170 can be suggested for conflicting results reported in previous studies about clinical importance of 171 altered expression of E-cadherin. The location and number of analyzed cases, selection of 172 tumors (grade and the stage of the tumor), variations in surgical method applied (extent of lymph 173 node dissection), and variations in the evaluation of staining may independently or in combination 174 be responsible.[15] Zhu et al in his systematic review reported that E-Cadherin could be a critical 175 factor in predicting the prognosis of Laryngeal Squamous Cell Carcinoma (LSCC).[27] A 176 downregulation in the E- Cadherin expression in patients with LSCC was reported by Nardi et al 177 and signifies its prognostic importance in cervical metastasis. [28]

179 A comparison of main characteristics of the studies included in literature review with the present 180 study are highlighted in table 2.

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7	Table 2: Comparison of main characteristics of the studies inclu	uded in literature review with the present
}	study	

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Researcher	Year	Patient sources	No. of Patients	Clinical Stages	Primary Location	p. value
Zvrko et al <sup>76</sup>	2012	Montenegro	80	I –IV	Larynx	0.02
Zou et al <sup>95</sup>	2010	China	150	I –IV	Larynx	0.000
Liu et al <sup>90</sup>	2010	China	83	I –IV	Oral cavity	0.016
Foschini et al <sup>73</sup>	2008	Italy	58	I –IV	Oral cavity	0.01
Rodrigo et al <sup>14</sup>	2007	Spain	95	I –IV	Larynx	0.006
Ueda et al <sup>96</sup>	2006	Japan	131	I –IV	Oral cavity	0.013
Hung et al <sup>89</sup>	2006	Taiwan	45	I –IV	Oral cavity	0.003
Kurtz et al <sup>74</sup>	2006	USA	45	I –IV	Head neck	0.004
Dinis- Friettas et al <sup>91</sup>	2006	Spain	47	I –IV	Oral cavity	0.000
Lim et al <sup>92</sup>	2005	South Korea	84	I —IV	Oral cavity	0.02
Bosch et al <sup>93</sup>	2005	Germany	151	I –IV	Head neck	0.000
Nakanishi et al <sup>97</sup>	2004	Japan	91	I –IV	Tongue	0.053
Present study	2013	Pakistan	54	I –IV	Oral cavity	0.000

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A few limitations of the study include: 1) Since the research was carried out in a laboratory set up, we were unable to follow up the patients in terms of effectiveness of the treatment and mortality rate, 2) financial constraints inhibited us to carry out the research at a larger scale, 3) comparable data in terms of associations and meta-analysis are extremely hard to achieve because of the diverse approaches and assessment measures used for E-cadherin.

To conclude, our findings, together with the facts available in the literature, present convincing data for the prognostic effect of E-cadherin expression. This suggests that the immunohistochemical establishment of E-cadherin expression gives us a tool to characterize the potential of oral cancers to metastasize. This is why the expression of E-cadherin may play a pivotal role while making a choice to treat a N0 neck either with a neck dissection or keeping the patient on a close follow up.[15]

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## 205 **CONFLICT OF INTEREST:**

A Research grant was awarded by the Dow University of Health Sciences for conducting this research.

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