# **Original Research Article**

## Computational analysis for good thermal exchange and low pressure drop in regenerative air preheaters

## ABSTRACT

A computational analysis in a rotary regenerative air preheater subject to pre-established mass flow rate is performed. The heat transfer rate, the pressure drop and the outlet temperatures of gas streams are calculated from different matrix porosity values. The fluid flow and the convective heat transfer coefficient are determined from correlations. The total heat transfer is obtained using the Effectiveness-NTU method specific to regenerative air preheaters. Three typical regenerative air preheaters with both streams under the laminar flow regime are investigated. A range of porosity values that provide good thermal exchange and low pressure drop in the equipment is chosen for each examined air preheater. The behavior of the outlet temperatures of each gas stream as function of porosity is also analyzed. The results show that the porosity ranges shorten when the typical pressured drop values for each regenerative air preheater are introduced in the analysis. In addition, the behavior of the outlet temperatures is compatible with the behavior of the heat transfer rate as the porosity changes.

14 Keywords: regenerative air preheater, heat transfer, pressure drop, simulation.

## **NOMENCLATURE**

*A* free flow cross-sectional area,  $m^2$ 

- $A_m$  matrix cross-sectional area,  $m^2$
- $A_T$  total cross-sectional frontal area  $(A + A_m)$ ,  $m^2$
- $A_{tr}$  heat exchange area,  $m^2$
- 22 C heat capacity rate of fluids, W/K
- $C_r$  matrix heat capacity rate, W/K
- $C_r^*$  matrix heat capacity rate ratio on the cold or hot side
- $c_p$  specific heat of gas under constant pressure, J/kg K
- $c_m$  specific heat of matrix, J/kg K
- $D_h$  hydraulic diameter, m
- *e* thickness of the plates that constitute the matrix channels, *m f* Darcy friction factor
- *h* convective heat transfer coefficient,  $W/m^2 K$

31	k	thermal conductivity, <i>W/mK</i>
32	L	length of matrix, m
33	ṁ	gas mass flow rate, <i>kg/s</i>
34	m <sub>m</sub>	mass of matrix, kg
35	n	rotational speed, rpm
36	NTU	number of heat transfer units on the cold or hot side
37	Nu	Nusselt number
38	Р	periphery of the channel, m
39	Pr	Prandtl number
40	Q	heat transfer rate, W
41	Re	Reynolds number
42	r <sub>h</sub>	hydraulic radius $(D_h/4)$ , m
43	Т	temperature, K
44	V	fluid velocity in the channel, $m/s$
45		
46	<mark>Gree</mark> ł	<mark>&lt; Symbols</mark>
47	μ	dynamic viscosity, $Ns/m^2$
48	$\varepsilon_0$	effectiveness of counterflow heat exchanger
49	ε <sub>r</sub>	regenerator effectiveness
50	$\varphi_r$	correction factor
51	ρ	fluid density, $kg/m^3$
52	σ	porosity
53	$\Delta P$	distributed pressure drop, Pa
54		
55	Subso	cripts
56	i	inlet
57	0	outlet
58	с	cold
59	h	hot
60	min	minimum
61	max	maximum
62		
63	1. IN	TRODUCTION
64		
65	Rege	nerative air preheater is used in many heat recovery
~~		

Regenerative air preheater is used in many heat recovery systems. Its range of applications
encompasses refrigeration systems, ventilation plants, thermal comfort, power plant boilers,
recovery of waste thermal energy and a number of situations where the availability of the
energy does not chronologically coincide with demand [1].

69

Over the years, researchers have focused efforts on improving this heat exchanger due to 70 some of its advantages such as compactness, efficiency, economy and high flexibility. The 71 studies found in the literature incorporate different aspects of the equipment. The pioneer 72 73 works about the regenerative air preheater were essentially experimental with investigations 74 that mainly included the effectiveness, the thermal exchange and the pressure drop [2-5]. 75 Later studies include aspects of the equipment such as mathematical modeling and numerical analysis [6-9], mass transfer [10-13], leakage control [14-16], thermodynamic 76 77 analysis [17-19], rotational speed of the matrix [20-21] and geometry of matrix ducts [22-25]. 78

79 Groups of researchers have also been conducted recent studies about regenerative 80 preheaters. Wang et al. [26] developed the thermal hydraulic calculation program integrated 81 with the multi-objective and single-objective genetic algorithms to perform design 82 optimizations of regenerative air preheaters used in the coal-fired power plants. Herraiz et al. 83 [27] investigated the use of rotary regenerative heat exchangers for the dry cooling of flue 84 gases in combined cycle gas turbine plants equipped with post-combustion carbon capture. 85 Sheng and Fang [28] experimentally investigated the effect of moisture on the air cleaning 86 performance of a desiccant wheel with the objective to guide practical operation of clear air 87 heat pump. Mohammadian Korouyeh et al. [29] evaluated the heating, cooling and electrical 88 demands of a residential tower for Iran various weather conditions and the outlet air 89 condition of the desiccant wheel was modeled based on the operational parameters by 90 applying genetic algorithm. Kwiczala and Wejkowsk [30] verified the effectiveness of the 91 hybrid flue gas denitrification system which involved the retrofitting for selective catalytic reduction material into a regenerative rotary air heater. The intent of the study was to provide 92 93 a platform where the technology can be implemented on full scale air preheaters. Nguyen 94 and Oh [31] evaluated and compared the heat transfer performance of a rotary regenerators 95 made of metals and polymers. The rotary regenerator was used to preheat incoming fresh 96 air with waste heat recovered from exhaust flue gas in a thermal power plant. Chen et al. 97 [32] proposed a different configuration of desiccant dehumidification process in which a low 98 energy cost dehumidification process using cascading desiccant wheels that can produce 99 dehumidified air with a dew point of  $-40 \sim 0$  °C was considered. Bu et al. [33] presented the 100 detailed analysis of the overall operation and performance of the novel rotary air preheater 101 system and the effects of the operational and structural parameters by means of a numerical 102 finite difference method. Jiang et al. [34] evaluated the operation of air preheater from the 103 influence of denitrification system on the operation of air preheater, the calculation of air 104 leakage rate of air preheater and the evaluation of low temperature corrosion for air 105 preheater. Zhang et al. [35] established a three-dimensional numerical model of guad-106 sectional air preheater based on FLUENT software. The accuracy of the model was verified 107 by comparing with actual operation conditions. Sha et al. [36] proposed a new framework of 108 data-driven state monitoring approach for the thermal power plant devices and identified 109 various air leakage states accurately and efficiently on operating data of a rotary air 110 preheater. Zhang et al. [37] proposed an online applicable approach to estimate the direct 111 leakage of the rotary air preheater based on temperature distribution modeling for improving 112 the safe and economic operation of the unit. Nourozi et al. [38] investigated the energy 113 performance of a mechanical ventilation with heat recovery system combined with an air 114 preheater in a multi-family house and a sensitivity analysis of energy wheel efficiency was 115 implemented in different cases. Shi et al. [39] proposed a comprehensive approach for 116 optimization of soot-blowing of air preheater in a coal-fired power plant boiler. The approach 117 combined online modeling of heat transfer efficiency to monitor the fouling level, statistical 118 fitting to characterize the dynamics of cleanliness factor, and soot-blowing optimization 119 aiming at steam consumption conservation.

120

121 There are many studies carried out concerning to regenerative air preheater but analysis 122 from the matrix porosity are found in a few works [40-44]. However, a literature review 123 reveals contemporary studies involving energy transport in porous elements associated with 124 other component or equipment, such as investigations covering thermal analysis of 125 nanofluids flow over permeable stretching sheets [45-60]. The present work focuses on the 126 porous matrix of a rotary regenerative air preheater. The goal is simultaneously to analyze 127 the effects of matrix porosity on heat transfer and pressure drop in the equipment with both 128 gas streams. The difference to previous studies as well as the contribution of the present 129 study is this simultaneous analysis from matrix porosity. The main intention with this study is 130 to select a range of porosity values that provide good thermal exchange and low pressure drop in the air preheater and analyze the behavior of the outlet temperatures of each gas
 stream as function of porosity.

133

135

## 134 2. PROBLEM DESCRIPTION AND METHODOLOGY

## 136 2.1 Characterization of the Regenerative Air Preheater

137

144 145 146

147

148 149 150

151

156

The schematic of the regenerative air preheater is show in Fig. 1. Two gas streams are introduced counterflow-wise through the parallel ducts of the air preheater. Cold gas is injected inside one duct and hot gas inside the other. The porous matrix, that stores energy, continuously rotates through these parallel ducts. The matrix receives heat from the hot gas on one side and transfers this energy to the cold gas on the other side. The matrix channels were assumed smooth. The fluid velocity was considered constant inside each channel.



## Fig. 1. Schematic of the regenerative air preheater.

Some geometric parameters can be expressed based on Fig. 1. The total frontal crosssectional area  $A_T$  is determined by the sum of the free flow cross-sectional area A and the matrix cross-sectional area  $A_m$  of the air preheater

$$161 A_T = A + A_m (1)$$

162

160

163 The matrix porosity  $\sigma$  is defined by the ratio between A and  $A_T$ 

164  
165 
$$\sigma = \frac{A}{A_T}$$
 (2)

166

167 The hydraulic radius  $r_h$  is defined by the ratio between A and the perimeter P of the plates 168 that compose the matrix. The matrix perimeter can be written as function of the matrix cross-169 sectional area  $A_m$ 

170

171 
$$r_h = \frac{D_h}{4} = \frac{A}{P}$$
 (3)

172

$$P = \frac{A_m}{(e/2)} \tag{4}$$

174

where  $D_h$  and *e* are the matrix duct hydraulic diameter and the matrix duct wall thickness, respectively.

The porosity and the hydraulic radius are dependent on each other and influence the thermal exchange in the regenerative air preheater. The hydraulic radius can be written as function of the porosity and the matrix duct wall thickness from the definitions above and algebraic manipulations

183 
$$r_h = \frac{\sigma}{1 - \sigma} \left(\frac{e}{2}\right) \tag{5}$$

The hydraulic radius is an important parameter and its use is justified in the correlations for friction factor and Nusselt number. Since the geometric characteristics of the regenerator are known, the heat transfer in the equipment can be calculated using the Effectiveness-NTU method for rotary regenerators.

#### 2.2 Effectiveness-NTU Method for Regenerative Air Preheaters

The Effectiveness-NTU method for regenerative air preheaters [61] consists of calculating the effectiveness  $\varepsilon_{0}$  of a conventional counterflow heat exchanger and correcting this effectiveness by a correction factor  $\varphi_r$  that takes into account the rotational speed and the matrix heat capacity rate of the exchanger. Thus, the effectiveness of the regenerator  $\varepsilon_r$  is given by 

$$198 \qquad \varepsilon_r = \varepsilon_0 \, \varphi_r \tag{6}$$

The effectiveness  $\varepsilon_0$  of a conventional counterflow heat exchanger is defined by

201  
202 
$$\varepsilon_0 = \frac{l - exp\left[-NTU\left(l - C^*\right)\right]}{l - C^* exp\left[-NTU\left(l - C^*\right)\right]}$$
(7)

where  $C^*$  is the ratio between the fluids heat capacity rates and NTU is the number of heat transfer units defined as follows 

$$207 \qquad C^* = \frac{C_{mim}}{C_{max}} \tag{8}$$

209 
$$NTU = \frac{1}{C_{min}} \left[ \frac{1}{(1/hA_{tr})_c + (1/hA_{tr})_h} \right]$$
 (9)

where h is the convective heat transfer coefficient and  $A_{tr}$  is the matrix thermal exchange area on the side of the hot or cold stream. The parameters  $C_{min}$  and  $C_{max}$  correspond to the minimum and maximum values of the fluids heat capacity rates. 

The correction factor  $\varphi_r$  in Eq. (6) is given by

217 
$$\varphi_r = \frac{l}{9C_r^{*1.93}}$$
(10)

$$219 C_r^* = \frac{C_r}{C_{min}} (11)$$

)

220

221 
$$C_r = \frac{n}{60} m_m c_m$$
 (12)

where  $C_r$  is the matrix heat capacity rate, *n* is the matrix rotational speed,  $m_m$  is the matrix mass and  $c_m$  is the specific heat of matrix.

225

Finally, the total heat transfer Q in the air preheater is obtained in the same way as the Effectiveness-NTU method for conventional heat exchangers

$$229 \qquad Q = \varepsilon_r \, Q_{max} \tag{13}$$

230  
231 
$$Q_{max} = C_{min} \left( T_{h,i} - T_{c,i} \right)$$
 (14)

232

where  $Q_{max}$  is the maximum possible heat transfer and the term between parenthesis corresponds to the difference between the inlet temperature of the hot stream and the inlet temperature of the cold stream.

## 237 2.3 Hydrodynamic and Thermal Analysis

238

The hydrodynamic and thermal analysis are performed for each gas stream. The pressure drop in the matrix ducts and the convective heat transfer coefficient are obtained from correlations for Darcy friction factor f and Nusselt number Nu. Correlations for smooth ducts with circular cross-sectional area were used based on the hydraulic diameter of matrix ducts for laminar flow regime. The correlations take into account hydrodynamically fully developed flow with thermal entrance length and constant wall temperature boundary condition.

$$f = \frac{64}{Re_{D_h}}$$
(15)

248

249  $Nu = 3.66 + \frac{0.0668 \left(\frac{D_h}{L}\right) Re_{D_h} Pr}{1 + 0.04 \left[ \left(\frac{D_h}{L}\right) Re_{D_h} Pr \right]^{\frac{2}{3}}}$ (16)

250

where *L* is the length of matrix,  $Re_{D_h}$  is the Reynolds number and *Pr* is the Prandtl number.

The distributed pressure drop  $\Delta P$  is given by equation of Darcy-Weisbach and the convective heat transfer coefficient *h* is expressed in terms of Nusselt number 256

257 
$$\Delta P = f \rho \frac{L}{D_h} \frac{V^2}{2}$$
 (17)

258

$$h = \frac{Nu \, k}{D_h} \tag{18}$$

260

263

where V,  $\rho$  and k are the fluid velocity, the fluid density and the fluid thermal conductivity, respectively.

## 264 2.4 Fluid and Matrix Properties

The fluid properties were obtained at the average temperature of each gas stream. The fluid
density for gases with moderate values of pressure and temperature is well represented by
the equation of state of an ideal gas

$$\begin{array}{l}
270 \qquad \rho = \frac{p}{RT} \\
271 
\end{array} \tag{19}$$

where *p* is the pressure of fluid, *T* is the average temperature of gas stream and *R* is the ideal gas constant. The values of air atmospheric pressure  $p = 10^5 Pa$  and ideal gas constant for air R = 287 Nm/kgK were assumed.

The dynamic viscosity  $\mu$  and the thermal conductivity k of fluids can be approximated by the Sutherland equations [62] as follows

278  
279 
$$\frac{\mu}{\mu_0} \approx \left(\frac{T}{T_0}\right)^{3/2} \frac{T_0 + S}{T + S}$$
(20)

280

275

$$281 \qquad \frac{k}{k_0} \approx \left(\frac{T}{T_0}\right)^{3/2} \frac{T_0 + S}{T + S} \tag{21}$$

282

where *S* is the Sutherland constant temperature, which is characteristic of each gas. Considering air *S* = *111 K* for dynamic viscosity and *S* = *194 K* for thermal conductivity. The parameters  $T_0$ ,  $\mu_0$  and  $k_0$  are reference constants whose values are  $T_0 = 273 K$ ,  $\mu_0 = 1.716 \cdot 10^{-5} Pa \cdot s$  and  $k_0 = 0.0241 W/mK$  for air.

287

The specific heat of gas under constant pressure  $c_p$  is obtained by a polynomial equation [63] for several gases in the temperature range between 300 and 1,000 K

291 
$$\frac{c_p}{R} = \alpha_0 + \beta_0 T + \gamma_0 T^2 + \delta_0 T^3 + \lambda_0 T^4$$
 (22)  
292

293 where  $\alpha_0 = 3.653$ ,  $\beta_0 = -1.337 \cdot 10^{-3}$ ,  $\gamma_0 = 3.294 \cdot 10^{-6}$ ,  $\delta_0 = -1.913 \cdot 10^{-9}$  and

294  $\lambda_0 = 0.2763 \cdot 10^{-12}$  are the air constants.

295 296 The Prandtl number Pr is obtained from the ratio between some fluid properties, as follow 297

$$Pr = \frac{\mu c_p}{k}$$
(23)

299

The matrix properties of the regenerative air preheater were assumed constant. The AISI 1010 low alloy carbon steel and the 2024-T6 aluminum alloy materials were considered for the matrix. Table 1 shows the matrix properties used in this study, where  $c_m$  and  $\rho_m$  are the specific heat and the density of matrix, respectively.

304

305 306

### Table 1. Matrix properties of the regenerative air preheater.

Material	$c_m (J/kg K)$	$ ho_m \left( kg/m^3 \right)$
2024-T6 aluminum	875	2,770
AISI 1010 alloy carbon steel	434	7,832

307

## 308 2.5 Computer Program

309

310 A computer program written in C programming language was developed for the simulation of regenerative air preheater. The Dev-C++ software was used for compilation and recording 311 312 results. Three typical sizes of equipment were simulated: small, medium-sized and large. The material AISI 1010 low alloy carbon steel was used for the medium-sized and the large heat 313 314 exchangers in the simulations. The 2024-T6 aluminum alloy was used for the small air 315 preheater. The total heat transfer in the air preheater, the pressure drop and the outlet temperatures of gas streams were calculated for different porosity levels of the matrix from 316 317 the prescribed mass flow rate for each gas stream. The other geometric parameters of the 318 equipment were fixed.

319

320 An iterative process was used to obtain the fluid flow and the heat transfer. An outlet 321 temperature values of each stream was assumed at the beginning of this process. Then, the 322 fluid properties were evaluated at the average temperature of each gas stream. Based on 323 these properties, the fluid flow and the heat transfer were obtained from correlations and the Effectiveness-NTU method for regenerative air preheaters. The iterative process continued 324 until convergence of the outlet temperatures for both streams. The whole process was 325 repeated for each assumed matrix porosity value. The subrelaxation factor of 0.5 was used to 326 the convergence of the outlet temperature values. The tolerance for convergence iterative 327 procedure was adjusted as 10<sup>-3</sup> for the outlet temperatures. The calculations were performed 328 329 considering the steady-periodic condition of the regenerator, indicating that the temperatures no longer changed in any angular or axial position of the matrix. The schematic diagram of 330 331 the calculation process is shown in Fig. 2.

332

333 334

335

336

337



The results were compared with field data of a regenerative air preheater in operation at the PETROBRAS petroleum refinery of Paulínia city. The operational conditions and geometric dimensions of this PETROBRAS air preheater are found in Mioralli [64]. Table 2 shows the comparison between the results of the present study and the field data. It is observed that the results are in reasonable agreement with a greater difference for the hot outlet

### 379 380

381

## Table 2. Comparison of the present data with PETROBRAS field data.

Outlet Temperature (°C)	Present work	Field Data	<b>Difference</b>	
T <sub>c,o</sub>	<mark>441.26</mark>	<mark>405.65</mark>	<mark>0.088</mark>	
T <sub>h,o</sub>	<mark>160.51</mark>	<mark>194.27</mark>	<mark>0.170</mark>	

## 382

#### 383 3. RESULTS AND DISCUSSION

384

385 The input data of the developed computer program are listed in Table 3. The operational 386 conditions of the regenerative air preheaters are based on information from literature and 387 industry. The simulations were carried out from different porosity values in the range of 0.2 388 up to the last value required to preserve both gas streams inside the equipment under the 389 laminar flow regime.

- 390
- 391 392

Table 3. Input data for computer program of typical regenerative air preheaters.

	L (m)	e (m)	D (m)	n	Inlet Temp. (°C)		Flow Rate (kg/s)	
Air Preneater				(rpm)	$T_{h,i}$	$T_{c,i}$	ḿ <sub>h</sub>	<i>т</i> <sub>с</sub>
Small	0.2	0.00035	0.7	8	50	20	0.68	0.76
Medium- sized	1.5	0.00050	6.0	3	450	80	39.00	62.00
Large	3.5	0.00060	15.0	2	600	150	292.50	411.30

393 394

395

## 3.1 Thermal Exchange and Pressure Drop Analysis

Graphs with the heat transfer rate and the pressure drop as function of porosity are shown for each regenerative air preheater. The heat transfer rate increases and the pressure drop decreases as the porosity increases for all analyzed cases. In this study is assumed as good thermal exchange a heat transfer value whose reduction is less than 30% when compared with the highest heat transfer rate (obtained for  $\sigma = 0.2$ ) in the simulated cases. In addition, the typical low pressure drop values for each regenerative air preheater are supposed according reference [65].

404 Fig. 3 shows the total heat transfer in the small regenerative air preheater and the pressure 405 drop of both gas streams as function of matrix porosity. The heat transfer in the equipment 406 begins to decrease more significantly for  $\sigma \ge 0.5$ . The low pressure drop for both gas 407 streams occurs for  $\sigma \ge 0.6$ . Based on Fig. 3, the range  $0.60 \le \sigma \le 0.75$  could be chosen as the porosity values that provide good thermal exchange and low pressure drop in the small 408 409 regenerative air preheater. Porosity values  $\sigma \ge 0.75$  implies a reduction in the heat transfer 410 rate almost 30% when compared to the highest heat transfer rate  $O \cong 20.5 \, kW$  for  $\sigma = 0.2$  as 411 observed in Fig. 3. The range  $0.60 \le \sigma \le 0.75$  corresponds to pressure drop values between 412  $650 Pa > \Delta P > 100 Pa$  as observed in Fig. 4, which shows the pressure drop versus porosity 413 for  $\sigma \ge 0.6$ . However, the typical pressure drop values for the small regenerative air 414 preheater are  $\Delta P < 200 Pa$  [65] suggesting porosity values  $\sigma \ge 0.71$ . Considering this, 415 another porosity range must be chosen as the appropriate for good thermal exchange and low pressure drop. So, the range  $0.71 \le \sigma \le 0.75$  can be chosen as suitable for good thermal 416 417 exchange and low pressure drop in the small regenerative air preheater taking into account 418 the typical pressure drop values and the reduction in the heat transfer rate less than 30%. 419





423 424







429

Fig. 4. Pressure drop versus porosity for small regenerative air preheater considering  $\sigma \geq 0.6$ .

430 Fig. 5 shows the total heat transfer in the medium-sized regenerative air preheater and the 431 pressure drop of both gas streams as function of matrix porosity. In this case, the heat 432 transfer rate in the equipment begins to decrease considerably for  $\sigma \ge 0.75$  and the low 433 pressure drop for both gas streams arises for  $\sigma \ge 0.7$ . An analysis on Fig. 5 indicates that 434 the range  $0.70 \le \sigma \le 0.90$  could be appropriate for good thermal exchange and low pressure 435 drop in the medium-sized regenerative air preheater. The porosity  $\sigma = 0.90$  implies a 436 reduction in the heat transfer rate closer to 28% when compared to the highest heat transfer 437 rate  $Q \cong 15 MW$  for  $\sigma = 0.2$  as observed in Fig. 5. The porosity values  $\sigma > 0.90$  imply turbulent flow regime for at least one of the gas streams. The range  $0.70 \le \sigma \le 0.90$ 438

corresponds to pressure drop values between  $2000 Pa > \Delta P > 90 Pa$  as indicated by Fig. 6, which shows the pressure drop versus porosity for  $\sigma \ge 0.7$ . Nonetheless, the typical pressure drop values for the medium-sized regenerative air preheater are  $\Delta P < 350 Pa$  [65] suggesting porosity values  $\sigma \ge 0.84$ . Thus, considering the typical pressure drop values and the reduction in the heat transfer rate less than 30% in the medium-sized regenerative air preheater, the range  $0.84 \le \sigma \le 0.90$  can be chosen as suitable for good thermal exchange and low pressure drop in this case.

446





Fig. 5. Heat transfer and pressure drop versus porosity for medium-sized regenerative
 air preheater.





452 453

400 456

Analogously to the cases for small and medium-sized regenerative air preheaters, Fig. 7
 shows the total heat transfer in the large regenerative air preheater and the pressure drop of

459 both gas streams as function of matrix porosity. The heat transfer rate in the equipment 460 greatly decreases for  $\sigma \ge 0.77$  and the low pressure drop for both gas streams occurs for 461  $\sigma \ge 0.7$ . An analysis on Fig. 7 indicates that the range  $0.70 \le \sigma \le 0.90$  could be chosen as the porosity values that provide good thermal exchange and low pressure drop in the large 462 regenerative air preheater. The porosity  $\sigma = 0.90$  implies a reduction in the heat transfer rate 463 464 closer to 22% when compared to the highest heat transfer rate  $Q \cong 0.14 \, GW$  for  $\sigma = 0.2$  as observed in Fig. 7. The porosity values  $\sigma > 0.90$  imply turbulent flow regime for at least one 465 466 of the gas streams. The range  $0.70 \le \sigma \le 0.90$  corresponds to pressure drop values between 5500 Pa >  $\Delta P$  > 200 Pa as indicated by Fig. 8, which shows the pressure drop versus 467 porosity for  $\sigma \ge 0.7$ . Howbeit, the typical pressure drop values for the large regenerative air 468 469 preheater are  $\Delta P < 600 Pa$  [65] suggesting porosity values  $\sigma \ge 0.86$ . Finally, the range 470  $0.86 \le \sigma \le 0.90$  can be chosen as suitable for good thermal exchange and low pressure drop 471 in the large regenerative air preheater taking into account the typical pressure drop values 472 and the reduction in the heat transfer rate less than 30%. 473



Fig. 7. Heat transfer and pressure drop versus porosity for large regenerative air
 preheater.

478



482

483

491

497

499

Fig. 8. Pressure drop versus porosity for large regenerative air preheater considering  $\sigma \ge 0.70$ .

The results shows that the selected porosity ranges shorten when the typical pressured drop values for each regenerative air preheater are introduced in the analysis. Furthermore, a simultaneous analysis on Figs. 3 to 8 shows that the chosen ranges of porosity values that provide good thermal exchange and low pressure drop moves to the right on the abscissa axis as the dimensions and typical operational conditions of the regenerative air preheaters increase. It is also observed that the chosen porosity ranges for the three simulated cases are relatively narrow.

492 The porosity ranges could be extended if higher pressured drop values in the heat 493 exchanger were considered. However, this would imply higher pumping power and energy 494 costs. On the other hand, the porosity ranges could be shortened if the desired reduction in 495 the heat transfer rate was less than 20% or 15% when compared to the highest heat transfer 496 obtained for  $\sigma = 0.2$ .

## 498 3.2 Outlet Temperatures Analysis

The behavior of the outlet temperatures of cold  $(T_{co})$  and hot  $(T_{ho})$  streams as function of 500 501 matrix porosity is shown in Fig. 9 for the three typical regenerative air preheaters. The outlet 502 temperatures remain approximately equal to  $\sigma \le 0.60$  for small heat exchanger and  $\sigma \le 0.72$ 503 for medium-sized and large regenerative air preheaters because these porosity values imply 504 a larger thermal exchange area and high heat transfer rate. The hot stream experience the 505 greatest temperature change and the hot outlet temperature is closer to the cold inlet 506 temperature. The mass flow rate strongly contributes to this since the mass flow rate of the hot stream is lower than that of the cold stream for all cases. On the other hand, the cold 507 outlet temperature is lower than the hot inlet temperature for the three simulated preheaters 508 509 taking into account the porosity values that maintain the outlet temperatures approximately equal:  $T_{c,o} \cong 0.9 T_{h,i}$  for the small exchanger,  $T_{c,o} \cong 0.7 T_{h,i}$  for the medium-sized air preheater 510 and  $T_{c,o} \cong 0.8 T_{hi}$  for the large equipment. These outlet temperature values are meaningful 511 512 but the pressure drop is high under these operational conditions. As a comparison, the cold 513 outlet temperatures within the porosity range that provides good thermal exchange and low 514 pressure drop are  $T_{c,o} \cong 0.8 T_{h,i}$  (with  $\sigma = 0.74$ ),  $T_{c,o} \cong 0.65 T_{h,i}$  (with  $\sigma = 0.86$ ) and 515  $T_{c,o} \cong 0.7 T_{h,i}$  (with  $\sigma = 0.88$ ) for the small, medium-sized and large regenerative air 516 preheaters, respectively. These values corresponds to a reduction closer to 11%, 7% and 517 12% when compared to related cases with cold outlet temperatures approximately equal as 518 porosity changes. 519

Lastly, the results shown in Fig. 9 are compatible with those of Figs. 3, 5 and 7. The difference between the cold and hot outlet temperatures begins to decrease in Fig. 9 for porosity values close to those in which the heat transfer rate starts to decrease in Figs. 3, 5 and 7.





525 526 527

528

529

531

536

537

# Fig. 9. Outlet temperatures versus porosity for small, medium-sized and large regenerative air preheaters.

## 530 4. CONCLUSION

532 Three typical regenerative air preheaters were computationally investigated from the pre-533 established mass flow rate for each gas stream of the equipment and different matrix 534 porosity values. The outlet temperatures of gas streams were also analyzed as function of 535 matrix porosity. The conclusions can be summarized as follows:

- A porosity range that provide good thermal exchange and low pressure drop was chosen for each simulated typical regenerative air preheater.
- The amplitude of porosity ranges is determined by the desired limits for the heat transfer rate and the pressure drop in the equipment. The porosity ranges shorten when the typical pressured drop values for each regenerative air preheater are introduced in the analysis.
- The selected ranges of porosity values that provide good thermal exchange and low pressure drop moves to the right on the porosity axis as the dimensions and typical operational conditions of the regenerative air preheaters increase. Moreover, the chosen porosity ranges for the three simulated cases are relatively narrow.
- The behavior of the outlet temperatures is compatible with the behavior of the heat
   transfer rate for the three simulated regenerative air preheaters. The difference

550

551 552

554

556

between the cold and hot outlet temperatures begins to decrease for porosity values close to those in which the heat transfer rate starts to decrease.

 The results can help define operational conditions of regenerative air preheaters in search of better performance.

## 553 COMPETING INTERESTS

555 Authors have declared that no competing interests exist.

## 557 **REFERENCES**

- Bae YL. Performance of Rotary Regenerative Heat Exchanger A Numerical Simulation. Doctoral Thesis, Oregon State University. 1986.
- 561 2. Karlsson H, Holm S. Heat Transfer and Fluid Resistances in Ljungstrom Regenerative-562 Type Air Preheaters. Transactions of the ASME. 1943;65:61-72.
- London AL, Kays WM. The Gas-Turbine Regenerator the Use of Compact Heat-Transfer Surfaces. Transactions of the ASME. 1950;72:611-621.
- 565 4. Harper DB, Rohsenow WM. Effect of Rotary Regenerator Performance on Gas-Turbine-566 Plant Performance; Transactions of the ASME; 1953;75:759-765.
- 567 5. Lambertson TJ. Performance Factors of a Periodic-Flow Heat Exchanger. Transactions 568 of the ASME. 1958;80:586-592.
- 569
  6. Van Den Bulck E, Mitchell J, Klein SA. Design Theory for Rotary Heat and Mass
  570 Exchangers I: Wavy Analysis of Rotary Heat and Mass Exchangers with Infinite
  571 Transfer Coefficients. International Journal of Heat and Mass Transfer. 1985;28:1575572 1586.
- 573 7. Ghodsipour N, Sadrameli M. Experimental and Sensitivity Analisys of a Rotary Air
  574 Preheater for the Flue Gas Heat Recovery. Applied Thermal Engineering. 2003;23:571580.
- 576 8. Wu Z, Melnik RVN, Borup F. Model-Based Analysis and Simulation of Regenerative 577 Heat Wheel. Energy and Buildings. 2006;38:502-514.
- 578 9. Nóbrega CEL, Brum NCL. Local and Average Heat Transfer Coefficients for Rotary 579 Heat Exchangers. Proceedings of COBEM. 2007; paper code 1119.
- Tanthapanichakoon W, Prawarnpit A. New Simple Mathematical Model of a
   Honeycomb Rotary Absorption-Type Dehumidifier. Chemical Engineering Journal.
   2002;86:11-15.
- 583 11. Sphaier LA, Worek WM. Analysis of Heat and Mass Transfer in Porous Sorbents used
   584 in Rotary Regenerators. International Journal of Heat and Mass Transfer.
   585 2004;47:3415-3430.
- Harshe YM, Utikar RP, Ranade VV, Pahwa D. Modeling of Rotary Desiccant Wheels.
   Chemical Engineering & Technology. 2005;28(12):1473-1479.
- 588 13. Sphaier LA. Unified Formulation for Heat and Mass Transfer in Rotary Regenerators.
   589 Proceedings of COBEM. 2007; paper code 1290.
- 590 14. Skiepko T. Experimental Results Concerning Seal Clearances in Some Rotary Heat
   591 Exchangers. Heat Recovery Systems and CHP. 1988;8:577-581.
- 592 15. Skiepko T. Method of Monitoring and Measuring Seal Clearances in a Rotary Heat
   593 Exchanger. Heat Recovery Systems and CHP. 1988;8:469-473.
- Shah RK, Skiepko T. Influence of Leakage Distribution on the Thermal Performance of
   a Rotary Regenerator. Thermal Engineering. 1999;19:685-705.
- 596 17. Skiepko T. Irreversibilities Associated with a Rotary Regenerator and the Efficiency of a
   597 Steam Power Plant. Heat Recovery Systems and CHP. 1990;10:187-211.
- 18. Jassim RK, Habeebullah BA, Habeebullah AS. Exergy Analysis of Carryover Leakage
  Irreversibilities of a Power Plant Regenerative Air Heater. Proceedings Institution of
  Mechanical Engineers. Part A: Journal of Power and Energy. 2004;218:23-32.

- Shang W, Besant RW. Effects of Manufacturing Tolerances on Regenerative
   Exchanger Number of Transfer Units and Entropy Generation. Journal of Engineering
   for Gas Turbines and Power. 2006;128:585-598.
- Büyükalaca O, Yilmaz T. Influence of Rotational Speed on Effectiveness of Rotary-Type
   Heat Exchanger. International Journal of Heat and Mass Transfer. 2002;38:441-447.
- Worsφe-Schmidt P. Effect of Fresh Air Purging on the Efficiency of Energy Recovery
   from Exhaust Air in Rotary Regenerators. Rev. Int. Froid. 1991;14:233-239.
- Sunden B, Karlsson I. Enhancement of Heat Transfer in Rotary Heat Exchangers by
   Streamwise-Corrugated Flow Channels. Experimental Thermal and Fluid Science.
   1991;4:305-316.
- 611 23. Utriainen E, Sunden B. Numerical Analysis of a Primary Surface Trapezoidal Cross
  612 Wavy Duct. International Journal of Numerical Methods for Heat & Fluid Flow.
  613 2000;10(6):634-648.
- 614 24. Comini G, Nonino C, Savino S. Effect of Space Ratio and Corrugation Angle on
  615 Convection Enhancement in Wavy Channels. International Journal of Numerical
  616 Methods for Heat & Fluid Flow. 2003;13(4):500-519.
- 25. Zhang L. Laminar Flow and Heat Transfer in Plate-Fin Triangular Ducts in Thermally
   Developing Entry Region. International Journal of Heat and Mass Transfer.
   2007;50:1637-1640.
- Wang L, Bu Y Li D, Tang C, Che D. Single and multi-objective optimizations of rotary
   regenerative air preheater for coal-fired power plant considering the ammonium
   bisulfate deposition. International Journal of Thermal Sciences. 2019;136:52–59.
- 623 27. Herraiz L, Hogg D, Cooper J, Lucquiaud M. Reducing the water usage of post 624 combustion capture systems: The role of water condensation/evaporation in rotary
   625 regenerative gas/gas heat exchangers. Applied Energy. 2019;239:434–453.
- 626 28. Sheng Y, Fang L. Experimental analysis of the effect of moisture on air cleaning
   627 performance of desiccant wheel in a Clean Air Heat Pump. Building and Environment.
   628 2019;147:551–558.
- Mohammadian Korouyeh M, Saidi MH, Najafi M, Aghanajafi C. Evaluation of desiccant
   wheel and prime mover as combined cooling, heating, and power system. International
   Journal of Green Energy. 2019;16(3): 256–268.
- 632 30. Kwiczala A, Wejkowski R. Hybrid technology of reduction of nitrogen oxides (NOx) in
  633 exhaust gases; Part 2-Numerical model of pilot scale regenerative rotary air heater
  634 (RAH) retrofited with selective catalyst reduction (SCR) modules. E3S Web of
  635 Conferences. 2019;82:01016.
- 636 31. Nguyen NV, Oh DW. Analysis of thermal performance of polymer rotary regenerator.
   637 High Temperatures High Pressures. 2019;48(1-2):107-120.
- 638 32. Chen Q, Jones JR, Archer RH. A dehumidification process with cascading desiccant
   639 wheels to produce air with dew point below 0 °C. Applied Thermal Engineering.
   640 2019;148:78-86.
- Bu Y, Wang L, Deng L, Che D. Technical and economical analysis of a novel rotary air
   preheater system. Applied Thermal Engineering. 2019;154:102-110.
- 34. Jiang L, Du L, Li Q. Operation Condition Evaluation and Risk Prediction of Air Preheater
   in Coal-fired Power Plant. IOP Conference Series: Earth and Environmental Science.
   2019;233:052002.
- 35. Zhang Q, Sun F, Chen C. Research on the three-dimensional wall temperature
  distribution and low-temperature corrosion of quad-sectional air preheater in larger
  power plant boilers. International Journal of Heat and Mass Transfer. 2019;128:739747.
- Sha P, Wu X, Shen J, Liu X, Wang M. Data-driven state monitoring of air preheater
   using density peaks clustering and evidential K-nearest neighbour classifier. MATEC
   Web of Conferences. 2019;272:01003.

653	37.	Zhang X, Yuan J, Tian Z, Wang J. Estimation of the direct leakage of rotary air
654		preheaters based on temperature distribution modeling. International Journal of Heat
655		and Mass Transfer. 2019;134:119-130.
656	<mark>38.</mark>	Nourozi B, Wang Q, Ploskić A. Energy and defrosting contributions of preheating cold
657		supply air in buildings with balanced ventilation. Applied Thermal Engineering.
658		<mark>2019;146:180-189.</mark>
659	<mark>39.</mark>	Shi Y, Wen J, Cui F, Wang J. An optimization study on soot-blowing of air preheaters in
660		coal-fired power plant boilers. Energies. 2019;12(5):958.
661	40.	Mioralli PC, Ganzarolli MM. Temperature Distribution in a Rotary Heat Exchanger.
662		Proceedings of COBEM. 2005;paper code 0356.
663	41.	Mioralli PC, Ganzarolli MM. Influência da Porosidade no Desempenho de um
664		Regenerador Rotativo. Anais ENCIT. 2006;CIT06-0549. Portuguese.
665	42.	Mioralli PC, Ganzarolli MM. Optimal Porosity of a Rotary Regenerator with Fixed
666		Pressure Drop. Proceedings of ECOS. 2007:1307-1314.
667	43.	Mioralli PC, Ganzarolli MM. Thermal Optimization of a Rotary Regenerator with Fixed
668		Pressure Drop. Proceedings of ENCIT., 2008, paper code 7-5302.
669	44.	Mioralli PC, Ganzarolli MM. Thermal analysis of a rotary regenerator with fixed pressure
670		drop or fixed pumping power. Applied Thermal Engineering. 2013;52:187-197.
671	<mark>45.</mark>	Daniel YS. Boundary layer stagnation point flow of a nanofluid over a permeable
672		surface with velocity, thermal and solutal slip boundary conditions. Journal of Applied
673		Physical Science International. 2015;4(4):237-252.
674	46.	Daniel YS, Daniel SK, Effects of buoyancy and thermal radiation on MHD flow over a
675		stretching porous sheet using homotopy analysis method. Alexandria Engineering
676		Journal, 2015; 54(3):705-712.
677	47.	Daniel YS. Steady MHD laminar flows and heat transfer adjacent to porous stretching
678		sheets using HAM. American journal of heat and mass transfer, 2015;2(3);146-159.
679	48.	Daniel, YS, Presence of heat generation/absorption on boundary layer slip flow of
680		nanofluid over a porous stretching sheet. American Journal of Heat and Mass Transfer
681		2015:2(1):15-30
682	49	Daniel YS Steady MHD boundary-layer slip flow and heat transfer of panofluid over a
683	10.	convectively heated of a non-linear permeable sheet Journal of Advanced Mechanical
684		Engineering 2016:3(1):1-14
685	50	Daniel VS Aziz ZA Ismail Z Salah E Double stratification effects on unsteady
686	00.	electrical MHD mixed convection flow of nanofluid with viscous dissipation and Joule
687		heating Journal of applied research and technology 2017:15(5):464-476
688	51	Daniel YS Aziz ZA Ismail Z Salah E Effects of thermal radiation, viscous and Joule
689	<u>.</u>	heating on electrical MHD nanofluid with double stratification. Chinese Journal of
690		Physics 2017;55(3):630-651
691	52	Daniel VS Aziz 74 Ismail 7 Salah E Entropy analysis in electrical
692	<u>02</u> .	magnetobydrodynamic (MHD) flow of nanofluid with effects of thermal radiation viscous
603		dissipation and chemical reaction. Theoretical and Applied Mechanics Letters
604		$2017 \cdot 7(A) \cdot 235 \cdot 242$
605	<u>53</u>	Daniel VS Aziz ZA Jemail Z Salah E Entrony Analysis of Unsteady
606	<del>.</del>	Magnetebydredynamic Nanofluid over Stretching Shoet with Electric Field International
607		Journal for Multiogala Computational Engineering, 2017;15(6):545,565
600	<u>5</u> 1	Deniel VS. MHD leminer flewe and best transfer adjagent to permeable stratebing
090	<del>04</del> .	shoots with partial alin condition lournal of Advanced Machanical Engineering
099 700		Sheets with partial slip condition. Journal of Advanced Mechanical Engineering.
700	FF	2017,4(1).1-13. Deniel VS. Aniz 74. Jamail 7. Solah F. Numerical study of Entrany analysis for electrical
701	ວວ.	Danier 15, AZIZ ZA, Ismail Z, Salah F. INumerical study of Entropy analysis for electrical
702		unsteady hatural magnetonydrodynamic flow of nanofiuld and neat transfer. Chinese
703		Journal of Physics. 2017; 55(5):1821-1848.

704	<mark>56.</mark>	Daniel YS, Aziz ZA, Ismail Z, Salah F. Thermal radiation on unsteady electrical MHD
705		flow of nanofluid over stretching sheet with chemical reaction. Journal of King Saud
706		University-Science. 2017.
707	57.	Daniel YS, Aziz ZA, Ismail Z, Salah F, Effects of slip and convective conditions on MHD
708		flow of nanofluid over a porous nonlinear stretching/shrinking sheet. Australian Journal
709		of Mechanical Engineering, 2018:16(3):213-229.
710	58	Daniel YS, Zainal AA, Ismail Z, Salah F, Electrical Unsteady MHD Natural Convection
711		Flow of Nanofluid with Thermal Stratification and Heat Generation/Absorption
712		Matematika 2018:34(2)393-417
713	59	Daniel YS, Aziz ZA, Ismail Z, Salah F, Hydromagnetic slip flow of panofluid with thermal
714		stratification and convective heating. Australian Journal of Mechanical Engineering.
715		2018·1-9
716	60	Daniel YS, Aziz ZA, Ismail Z, Salah F, Slip Effects on Electrical Unsteady MHD Natural
717		Convection Flow of Nanofluid over a Permeable Shrinking Sheet with Thermal
718		Radiation, Engineering Letters, 2018;26(1):107-116.
719	61.	Kays WM, London AL, Compact Heat Exchangers 3rd, McGraw-Hill: New York, U.S.A.
720	• · ·	1964.
721	62.	White FM. Viscous Fluid Flow, McGraw-Hill: New York, U.S.A. 1974.
722	63.	Wark K. Thermodynamics. 4th. McGraw-Hill: New York, U.S.A. 1983. Based in NASA
723		SP-273, U. S. Government Printing Office: Washington, 1971.
724	64.	Mioralli PC. Análise Térmica de um Regenerador Rotativo. Master dissertation.
725		FEM/UNICAMP, Campinas-SP:Brazil, 2005, Portuguese,
726	65.	Mioralli PC. Transferência de Calor em um Regenerador Rotativo com Perda de Carga
727		Estabelecida nos Dutos da Matriz, Doctoral thesis, FEM/UNICAMP, Campinas-SP:
728		Brazil 2009 Portuguese
		Brazil, Zoooli ortagaoool