

**ANALYSIS OF HRU AND IMPACT OF FLOOD RISK IN TERENGGANU SUB-BASINS RIVER CATCHMENT AREA**

**Abstract**

One of the fundamental environmental disaster occurring in a wet tropical environment is flood which was influenced by the climate factor of rainfall with high intensity.. flood is the most frequent catastrophe in Peninsular Malaysia particularly in Kuala Terengganu. The flood is triggered by the monsoon season inundating riverbank and displacing the inhabitant rendering them homeless. The application of SWAT to identify the Hydrologic Response Units (HRUs) and flood vulnerability within the catchment area was done using the most affected sub-basins. In this study, 5 out of the 25 sub-basin are visualized having affected by high flood risk and the impacts of each of them are obtained. The sub-basins affected by flood risk are sub-basin 3, 5, 7, 8 and 18. The high flood risk impact was found in sub-basin 3, and less impact was in sub-basin 5. The further the increase in rainfall and water flow, the more sub-basins are flooded within the catchment.

**Keywords:** flood, climate, SWAT, Catchment, Sub-basin

**Introduction**

According to Lin et al (2013); flood can be defined as a high water flow naturally or artificially from the river bank that dominates the surrounding area to cause overflow. The high flow of the water may extend over the floodplain and it becomes a hazard to the society. The flood risk is one of the world's fundamental problem and issues with a range of consequences including economic, political, social, psychological, ecological and environmental damages and manages to cultural heritage. There is substantial literature that provides evidence of existing damages caused by the flood but the recent application of 3D simulation has brought a new dimension of solving the complex problem of flooding occurring in a large basin and watershed.

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35 The recent technology of remote sensing and geographic information system (GIS) has  
36 capabilities of locating, mitigating managing and analyze areas vulnerable to flood hazard  
37 event. This study involved the application of soil water assessment tool (SWAT) to determine  
38 the fundamental Hydrologic Response Units (HRUs) as well as to develop watershed  
39 delineation within the catchment area of Kuala Terengganu. The flood mitigation measures  
40 require analytical management of the watershed as affluent to engineering approaches in  
41 controlling flood risk and hazard in the environment. The use of 3D to develop flood  
42 simulation is paramount especially for quick flood alert warning and emergency relief to flood  
43 victims.

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45 The attempt to employ modern techniques of software to determine better warning system,  
46 decision making as well as mitigation are however incorporated based on hydrological model  
47 and Geographic Information System which was considered as the new technology of solving  
48 flood problems. Terengganu is located on the east coast of Peninsula Malaysia which is  
49 experiencing heavy rainfall during the Northeast monsoon occurs between October and March  
50 that has resulted in a flood in most of Malaysia. But most of the coastal areas along the  
51 Eastern location including Terengganu were affected by coastal flooding [1] Another flood  
52 event that concurrently happened in Malaysia, were in Johor, Pahang, Melaka and Negara  
53 Sembilan. It is essential to identify land cover changes and their classification over time for  
54 easy comparison [2]. For instance, the forest land cover changes in Peninsula Malaysia.  
55 Previous studies showed and indicated a promising result using SWAT as a hydrologic model  
56 [3], [4], [5], [6], [7]. SWAT was used to simulate soil moisture in the large River basin in  
57 Taxes by [8]. SWAT was also used by [9] to model soil erosion and the impact of sediment  
58 reduction. In India SWAT was used to simulate daily rainfall from 1951 to 2014 [10]. [11]  
59 described a simulation stream flow impact with SWAT in response to historical land use at  
60 San Pedro watershed in South Arizona.

61

62 Flood is frequently occurring in the catchment area of Terengganu. There is an issue of flash  
63 flood during the monsoon period around November to January most of the year. The flood  
64 along the river banks are mostly influenced by the high among of the rainfall while over  
65 2500mm to 3500mm per annum. This has a lot of impacts on environmental resources such as  
66 the land use/land cover, local soil types and the slope. The impact of land cover, soil and the  
67 slopes are the primary concern in visualizing the effects of flood risk within the watershed of

68 Terengganu. The land cover detection and changes have influenced the water flow, the  
 69 sediment yield as well as the concentration of predominant vegetation. The local soils have  
 70 played an important role in water retention and flow. The slope determines the degree and  
 71 gradient of the water movement, the particle sizes and erosion. The climate condition of  
 72 Terengganu is located at tropical equatorial experiences high rainfall and high temperature  
 73 with different vegetation species.

74 Table 1: Malaysian History of Flood Events

<b>Flood Events</b>	<b>Risk Encountered</b>	<b>Year of Occurrence</b>	<b>Number of Human Casualties/ Death</b>
Flood hazard is known as “the storm forest flood.”	Land cover destruction, properties, and crops	1926	NA
Flood hazard as a result of Tropical Storm Greg in Keningua (Sabah State)	About 300 million RM	1996	241
Flood hazard caused by excess rainfall in Kelantan and Terengganu	Million of RM	2000	15
Tsunami in Asia	Millions of RM	2004	68
Flood in Johor State	489 million RM	Dec2006/Jan 2007	18
Flood Hazard in the state of Johor	21.19 Million RM	2008	29
Flood Hazard in Kedah and Perlis	8.48 Million RM	2010	4
La Nina that brought a flood	NA	2011 &2012	NA

75 Source: [12]

76  
 77 In Table 1, the major catastrophe in Malaysia is flooding. the flood claimed not only human  
 78 lives but also animals and farmlands. The resultant effect is a loss of millions of Dollars to  
 79 recover from such a disaster.

80  
 81 However, there is a limited study of combining SWAT and 3D to obtain flood impacts  
 82 assessment in the watershed. Most of the researches conducted by SWAT discuss more of  
 83 sediment yield and deposits, soil erosion, nutrients loss, stream flow, rainfall intensity and  
 84 groundwater movement and not on impact assessment of flood in Terengganu.

85 For this purpose, this study will focus on how both SWAT and GIS analysis on assessment  
 86 are combined to obtain the 3D of flood assessment zones in Terengganu River catchment

87 area. The recent application of geographic information system (GIS) and remote sensing helps  
88 in monitoring flood activities. The issue is how to overcome causalities if flooding occurs at a  
89 particular point in time and the main objectives include; to Used 3D in visualizing flooded  
90 zones, list HRUs affected by flood risk zones and find the impacts of the flood in the  
91 catchment.

92

93 Calculation of flood hazard according to Wade et al (2005) is based on the following formula  
94 below;

95 Flood Hazard Rating (HR) =  $DX (V + 0.5)$  Where

96 V = velocity (m/s)

97 D = Depth (m)

98 DF = debris factor can (0, 0.5, 1 depending on probability that debris will lead  
99 to a significant greater hazard)

100 Flood risk can be evaluated using the criterion of weight index which also is adapted base on  
101 the flood risk assessment model.

$$\begin{aligned} 102 \quad Risk_i &= \sum_{i=1}^n W_i l_i(x, y) \\ 103 \quad &= w_1 l_1(x, y) + w_2 l_2(x, y) + w_3 l_3(x, y) + w_4 l_4(x, y) + w_5 l_5(x, y) \\ 104 \quad &+ w_6 l_6(x, y) + w_7 l_7(x, y) + w_8 l_8(x, y) + w_9 l_9(x, y) \end{aligned}$$

105 Where  $w_i$  can be the weight  $l_i(x, y)$  as criterion index,  $x, y$  as the geographical coordinate and  
106 the other sequences can be the remaining variables such as the slope, elevation, density, flow  
107 depending on the site selection and the input data of the study area.

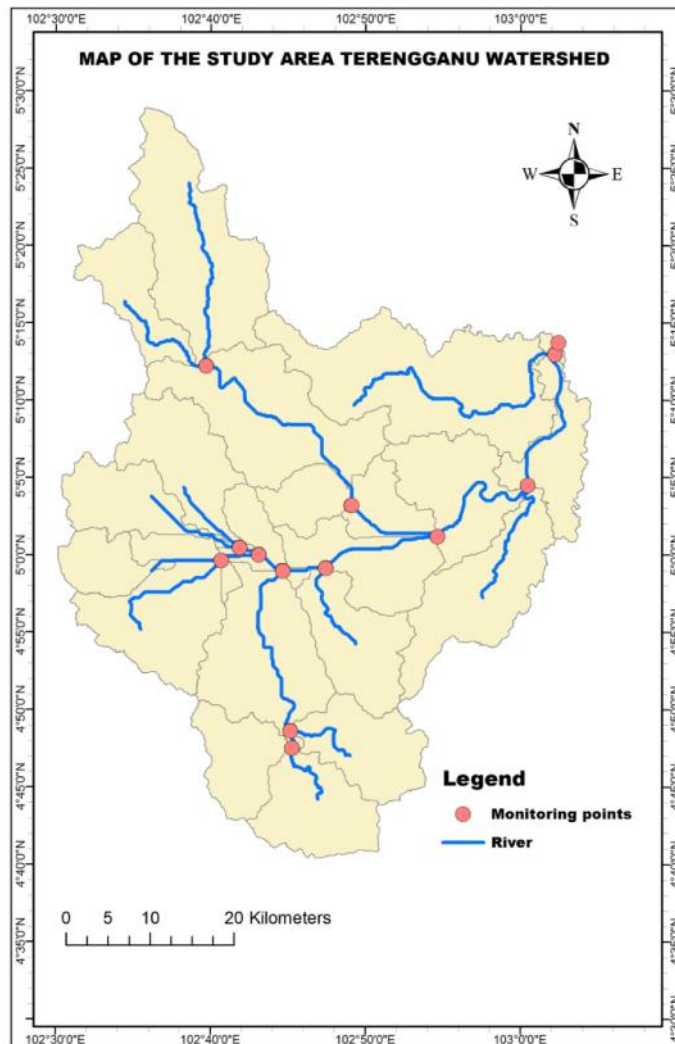
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## 110 **Methodology**

### 111 **Study Area**

112 The study focuses on the flood risk hazard in one of the flood-prone regions in the Eastern  
113 part of Peninsula Malaysia called Kuala Terengganu River Catchment. The Terengganu  
114 catchment has a total area of the Terengganu River catchment area is 286,507 [ha] or 707,973  
115 [acres]. There are about 25 sub-basin parameters and 305 Hydrologic Response Units (HRUs)  
116 the catchment lies within the wet tropical equatorial climate that exhibits vital roles in  
117 manipulating weather that generate monsoon from the North-East, soil, organic matter and  
118 sediment yield are all drained into the South China Sea. It is located at upper left corner  $5^{\circ}$   
119  $30' 40''$  N,  $102^{\circ} 23' 15''$  E and the lower right corner is  $4^{\circ} 39' 25''$  N,  $103^{\circ} 11' 62''$  E.



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Figure 1: map of the Study Area

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### Study Flow

- 124 1. The Digital Elevation Model DEM was set up and loaded from the stored location in C
- 125 drive from the computer
- 126 2. The DEM coordinate was transformed and setup
- 127 3. The Masked of River Terengganu was superimposed and loaded from the C drive
- 128 4. The Burn In was also defined and loaded
- 129 5. The River Flow direction and accumulation were calculated based on the DEM
- 130 6. The result of the stream definition was obtained of the total area in hectares and the
- 131 calculated raster cells of the catchment.
- 132 7. Stream network and outlets were created

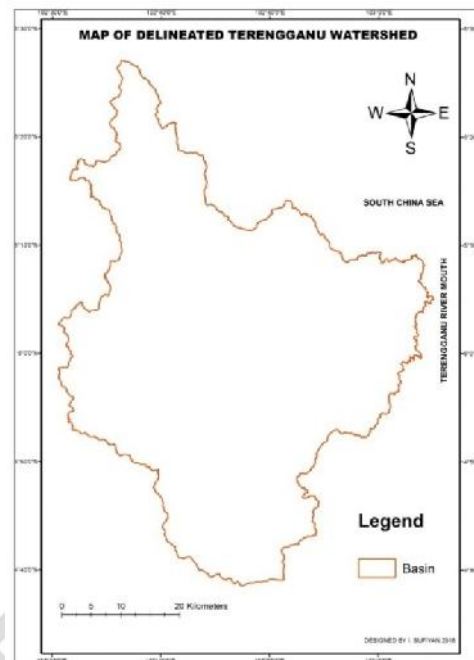
- 133 8. The whole watershed outlets from the Terengganu River mouth was formed  
134 9. All the watershed in the River Terengganu Catchment has been delineated  
135 10. The Sub-basins parameters within the catchment area under study were also calculated

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## 138 **Result and Discussion**

139 Delineation of the watershed was done using ArcSWAT 2012, the result is showing the  
140 boundary of the watershed of the Terengganu River, refer to figure 2.



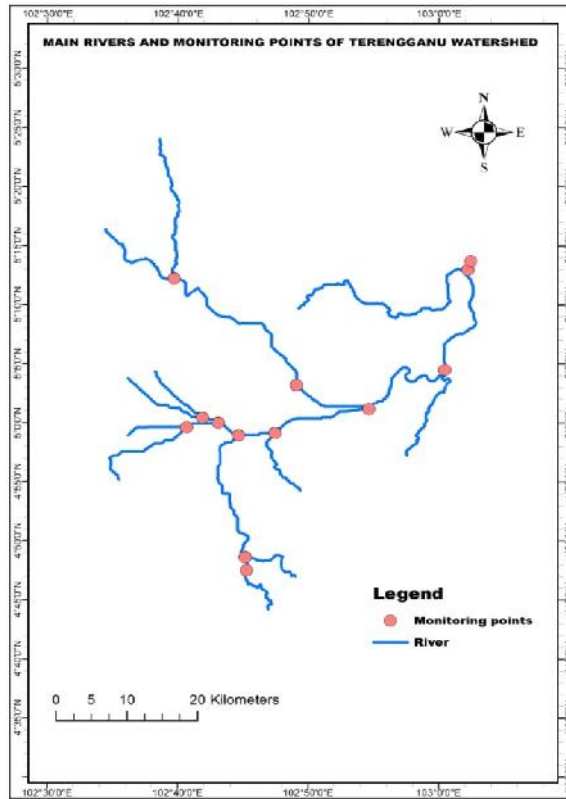
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142 Figure 2: the Delineated Terengganu watershed

## 143 **Stream network**

144 The streams network in figure 3 is interconnected to each of the sub-basin, meaning that the  
145 river flows through the channels and drain toward the opening to the river mouth and empty  
146 into the sea. Most of the river banks are flooded during the high flow of monsoon season from  
147 November to January each year. The more the rainfall intensity the more the river flows and  
148 that cause flooding in Terengganu.

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Figure 3: The monitoring point and the Stream network of Terengganu watershed

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The Digital Elevation Model obtained from satellite ASTER-DEM clearly show from SWAT analysis, the stream links and the stream outflow toward the South China Sea close to the Terengganu River mouth as shown in figure 4.

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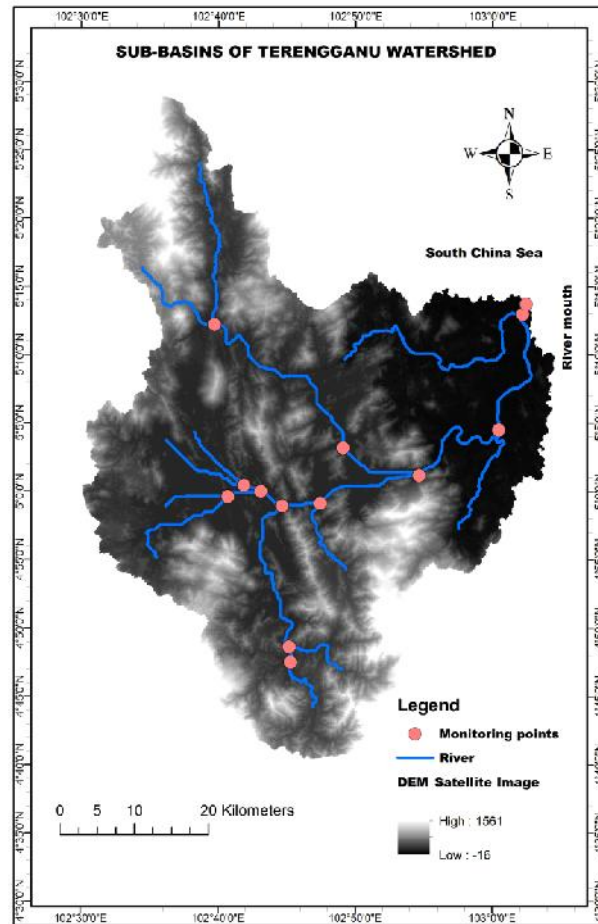


Figure 4: The satellite image DEM and the main rivers of Terengganu watershed

### Flood Risk Model of Terengganu River Catchment Area

The flood risk model was shown in figure 5. The yardstick is to measure the magnitude of the flood risk in the catchment area of River Terengganu. The model categorizes the flood risk from the highest risk to moderate and to no risk zones within the watershed. The flood risk map represents the risk zones which can be used for mitigation, planning, and a warning to the public. From the model in figure, people occupying residence near the river banks are at very high flood risk in Terengganu, followed by those on the flatlands from 1 to 2m which are on very high flood risk. The slopes to the lower course of the Terengganu River entered into the South China Sea through the significant outlet.



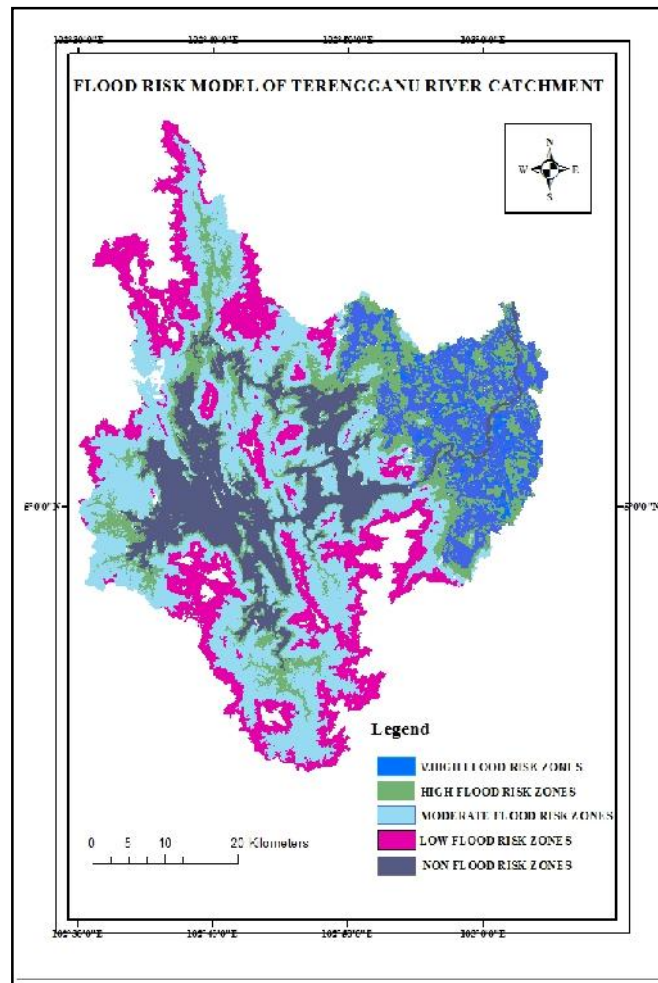


Figure 5: High and Low Flood Risk model of Terengganu River Catchment Area

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176 Figure 5 is the model produce using 3D simulation and this has identified the major flood risk  
 177 zones within the catchment area. The very high-risk area is cropped for detail visualization of  
 178 the impact of HRU within the sub-basins.

179

### 180 Sub-basins Parameter

181 There are about 25 different sub-basins in the study area created by the SWAT. Each of the  
 182 sub-basins was characterized by a distinct parameter for easy classification and hydrologic  
 183 analyses. Figure shows the classified sub-basins in Kuala Terengganu catchment. From this  
 184 analysis, 5 major sub-basins are found to fall within the very high flood risk zone. These are  
 185 sub-basin number 3, 5, 7, 8 and 18 with associated HRU from each one of the sub-basin.

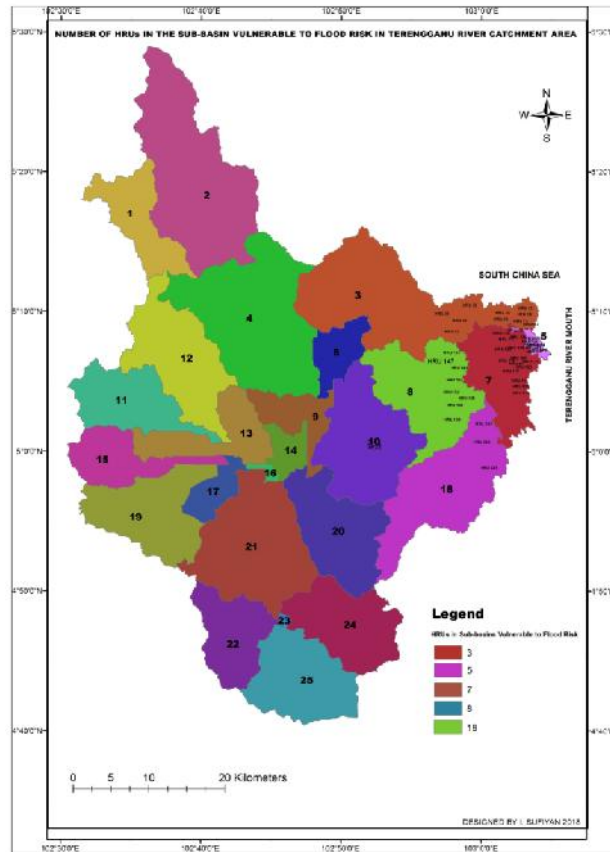


Figure 6: The total sub-basins found in the Terengganu watershed

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189 The impact of individual HRU was done using the appropriate index to calculate the  
 190 magnitude of the flood in each of the sub-basin.

191

192 **The result from the Individual Impacts of Hydrologic Response Units (HRUs)**

193 The hydrologic response units (HRUs) results in consist of the land use, soil types, and the  
 194 catchment slope. They are characterized by unique performance and distributions of the  
 195 individual report within the catchment area. In this study, 5 different sub-basins with their  
 196 Hrus are categorized have a very high flood risk. the details of each Sub-basin are discussed  
 197 in figure 8, 9,10,11 and 12.

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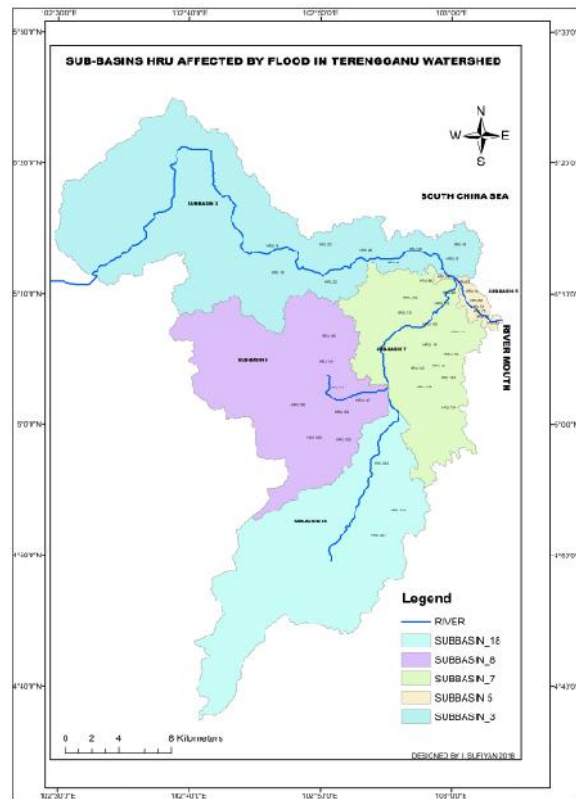
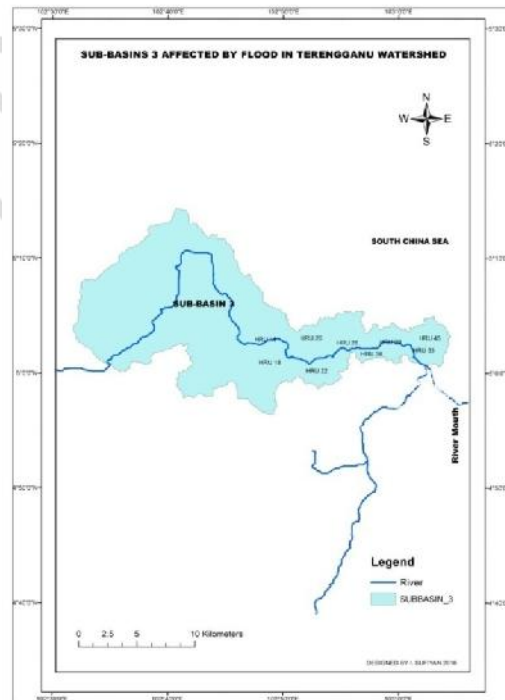


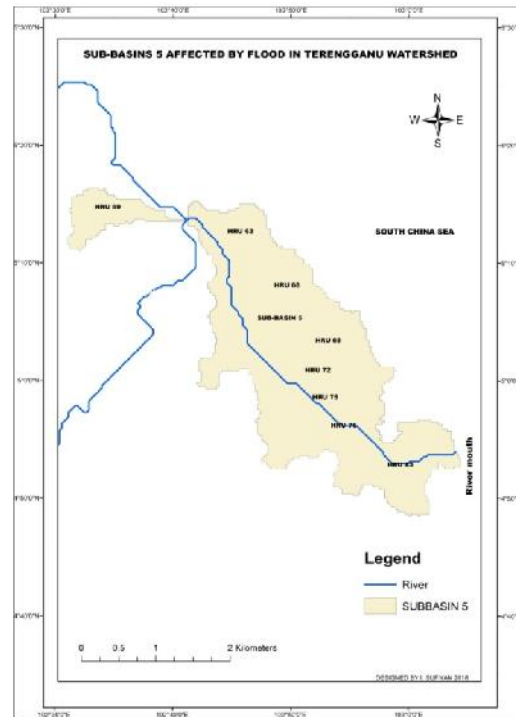
Figure 7: showing the affected sub-basin and its HRUs



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Figure 8: the impact of HRU in Sub-basin 3

203 There is 8 difference Hrus identified in sub-basin 3 indicated in figure 3, with each having a  
204 unique combination of land use, soil type and slope. The detail contribution is Hru to flood  
205 risk are listed in Table 2. It has the largest impact on flood with about 36,323 of the total  
206 catchment.  
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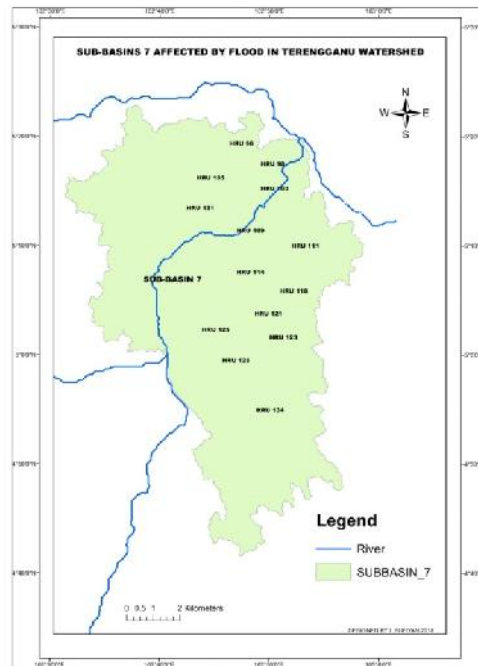


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211 The sub-basin 5 in figure 9 consists of 8 different HRUs. Its the last sub-basin with the major  
212 stream outlet that drained into the South China Sea through the river mouth. The total flood  
213 impact in this sub-basin is 2,394.



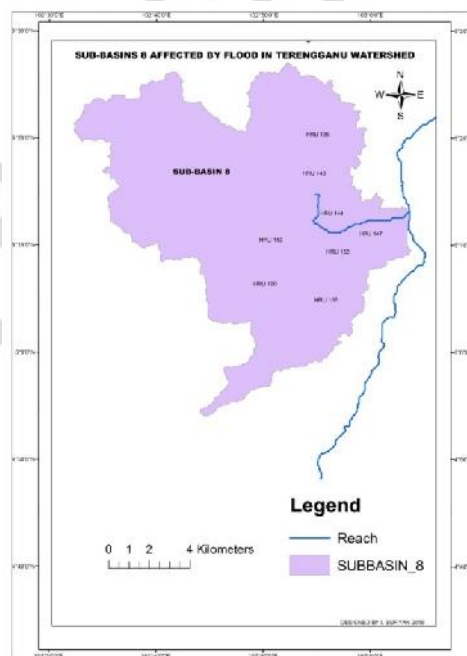
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217 The sub-basin 7 contained 14 HRUs . its total flood impact on HRUs is 34,582

Figure 10: the impact of HRU in Sub-basin 7



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Figure 11: the impact of HRU in Sub-basin 8

220 The sub-basin 8 of the Terengganu river catchment has 8 HRUs and the total flood risk

221 impact of 19,780. As shown in figure 11.

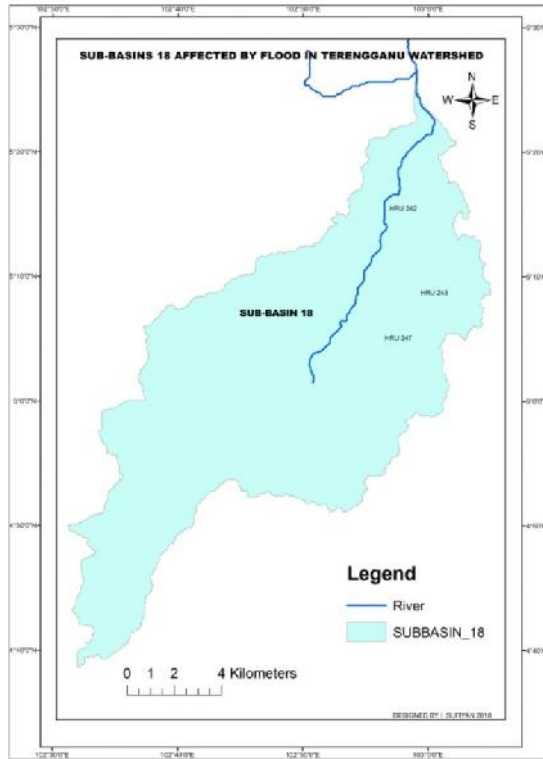


Figure 12: the impact of HRU in Sub-basin 18

The last sub-basin 18 is having about 3 HRUs with least effect within the Terengganu catchment. It also has the total impact of flood risk of about 14,350.

Table 2: The Summary of Impacts of HRUs in selected Sub-basin in Terengganu River Catchment Area

No. Sub-basins involved in Flood	No. HRUs	Total flood Impacts
3	9	36,323
5	8	2,394
7	14	34,582
8	8	19,780
18	3	14,350
Total	42	107,429

## 238 **Conclusion**

239 The new method of identifying flood risk zones is applicable to all watersheds using the Soil  
240 Water Assessment Tool (SWAT). Among the 5 sub-basins that are vulnerable to high flood  
241 risk in Terengganu River catchment area, the most affected HRUs with high flood risk  
242 impacts are found in sub-basin 3 with 36,323 ha, followed by sub-basin 7 with 34,582 ha then  
243 sub-basin 8 with 19,750 ha, followed by the sub-basin 18 with 14,350 ha and the lowest  
244 impact are found in sub-basin 5 with 2,394 ha.

245 However, out of the total area of Terengganu River catchment area of (286, 507 ha) from the  
246 SWAT output refer to Table, (107, 429 ha) of the area are expected to have affected by the  
247 flood risk impacts. The remaining 179, 078 ha of the Terengganu River catchment area is  
248 located at flood free zones.

249

250 The flood risk simulations overlaid with the major HRUs that are vulnerable to flood are  
251 presented in figure 5. Out of 305 HRUs, about 42 HRUs falls within the range of 0-10 meter  
252 of slope and are located at very high flood risk zones in Terengganu River catchment area.

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