

2 Prevalence of Mutant Alleles Responsible for Chloroquine Resistance
3 among *Plasmodium falciparum* Isolates in North Central, Nigeria.

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9 **ABSTRACT**
10

Introduction

Although chloroquine (CQ) has been officially replaced with artemisinin combination therapy (ACT) as first line drug for the treatment of malaria in Nigeria since 2005, a lot of people still believe that chloroquine is more effective chiefly because of the decline in the sensitivity of *Plasmodium falciparum* to ACT. Thus resulting into unofficial use of CQ for self medication. This study was conducted in order to survey the current status of chloroquine resistant strains of *pfprt* and *pfmdr1* in view of possible re-introduction of chloroquine for malaria treatment.

Method

DNA was extracted from one hundred (100) microscopically confirmed *Plasmodium falciparum* positive blood samples spotted on 3mm Whatmann filter paper. The detection of mutations in *Plasmodium falciparum* chloroquine resistance transporter (*Pfprt*) and *Plasmodium falciparum* multidrug resistance (*Pfmdr1*) genes was performed by nested polymerase chain reaction (PCR) followed by restriction fragment length polymorphism (RFLP).

Results

Results showed the presence of mutant alleles of *Pfprt* and *Pfmdr1* in 60% and 41% of the samples respectively. However, there was no significant correlation in the prevalence of mutant alleles (T76/Y86) in relation to gender ($p = 0.59/0.08$) and age ($p=0.59/0.93$) of participants respectively.

Conclusion

The observed high prevalence of chloroquine resistance despite thirteen years of withdrawal calls for serious concern

11
12 **Keywords:** chloroquine, malaria, *Plasmodium falciparum*, mutation,
13 mutant allele

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18 **1. INTRODUCTION**

19 Malaria poses a significant challenge to the health and well being
20 of populations living in malaria-endemic regions. Although the
21 number of cases and deaths due to malaria has declined globally,

22 the disease is still responsible for about 200 million cases leading
23 to 500,000 deaths annually ¹. The burden of malaria is heaviest on
24 African nations (and particularly Nigeria) where 90% of global
25 deaths due to it occur ². Efforts to effectively control malaria have
26 in the recent decades been hampered by emergence of resistant
27 strains of *Plasmodium*. The development and spread of resistance
28 to *Plasmodium falciparum*, the most virulent *species* of malaria
29 parasite is one of the greatest challenges to malaria control today ³
30 CQ had historically played a key role in the management of the
31 disease, being the mainstay of malaria therapy worldwide from
32 1940s up to the 1990s ⁴. However, extensive use of CQ as a
33 monotherapy for prophylaxis and chemotherapy led to the
34 emergence of resistant strains of *Plasmodium falciparum* across
35 many malaria-endemic countries prompting policy change which
36 eventually brought about the withdrawal of CQ in favour of
37 artemisinin combination therapy (ACT) in 2005 in Nigeria
38 accordance to WHO recommendation. Nonetheless, CQ has
39 remained available on the counter and is being dispensed without
40 prescription ⁵.

41
42 Essential qualities of CQ which made it a drug of choice in the
43 past decades include its low cost, low toxicity and high efficacy in
44 clinical cases. Chloroquine acts by targeting the parasite haematin
45 detoxification pathway in the digestive vacuole in a two-pronged
46 attacks where it adsorbs onto growing hemozoin polymers and
47 also binds to toxic haematin molecules generated as the parasite
48 digests host haemoglobin resulting in accumulation of toxin
49 haematin in the digestive vacuole ⁶.

50
51 Resistance to CQ is primarily mediated by the genetic replacement
52 at codon 76 (K76T) of lysine with threonine in the *P. falciparum*
53 chloroquine resistance transporter gene (*pfcr1*) which increases
54 efflux of CQ from the digestive vacuole of the parasite thereby
55 rendering the drug ineffective ⁷. Polymorphisms in the
56 *Plasmodium falciparum* multidrug resistance gene-1 (*pfmdr1*)
57 which encodes the P-glycoprotein homolog, modulates
58 chloroquine resistance in mutant *pfcr1*-harbouring parasites in
59 vitro and impact on the sensitivity multiple antimalarial drugs.

60 Changes in pfmdr1 sequence alter transport of multiple drugs in
61 and out of the parasite food vacuole with individual
62 polymorphisms leading to opposite effects on different drugs.
63 Mutations at pfmdr1 have been linked to decreased sensitivity to
64 chloroquine and amodiaquine⁸. Drug efficacy studies are critical
65 in guiding drug policy as monitoring and evaluation are also
66 essential. CQ has been replaced with ACT since 2005 in Nigeria.
67 This study was therefore conducted in order to assess the
68 sensitivity of CQ given the time interval since its withdrawal, and
69 also in the light of emerging resistance to the current drug of
70 choice.

71 72 **2. MATERIAL AND METHODS / EXPERIMENTAL DETAILS / METHODOLOGY**

73 Study Design

74 This is a cross-sectional descriptive study and a subsection of a larger
75 study conducted between 2014 and 2016 aimed at characterizing the
76 genetic diversity of *Plasmodium falciparum* in Niger State, North
77 Central, Nigeria

78 79 Study Area

80 The study was conducted at Swashi Clinic and Maternity, Niger State,
81 Nigeria

82 Ethical Clearance

83 Ethical clearance was obtained from ethical review committee of
84 University of Ilorin Teaching Hospital while informed consent of all
85 participants was obtained before sample collection.

86 Sample Collection

87 Blood samples of all patients aged 1 to >30 years with fever or history of
88 fever 48 hours prior to presentation were collected on microscope slides
89 and on 3MM Whatmann's filter paper. Patients with microscopically
90 confirmed *falciparum* parasitaemia were recruited into the study.

91 Laboratory Analysis

92 Microscopy

93 Thick and thin blood films on were stained with Giemsa stain and
94 examined under compound microscope for the asexual stage of *P.*
95 *falciparum*. Parasite density was determined by counting the number of
96 asexual parasite against 200 leucocytes taking the total leucocytes count
97 to be 8,000/ul of blood.

98 DNA Extraction
99 DNA was extracted from microscopically confirmed *P. falciparum*
100 blood samples spotted on filter paper by using Chelex Extraction method
101 earlier described⁹
102

103 PCR for detection of Pfcrt and pfmdr1 genes

104 The detection of mutations responsible for chloroquine resistance was
105 performed by amplifying sequences marking the *Plasmodium falciparum*
106 chloroquine resistance transporter (*pfcr*) and *Plasmodium falciparum*
107 multidrug resistant 1 (*pfmdr*) genes using nested PCR followed by
108 restriction fragment length polymorphism (RFLP) according to
109 previously described procedures¹⁰
110

111 Primers used for *pfcr* (K76T) and *pfmdr1* (N86Y) primary
112 amplifications included Crtp1, Crtp2, Mdr1 and Mdr2 and their sequences
113 are as shown in Table 1 and 2 respectively. The primary PCR
114 components in a final volume of 15µl was buffer 10x, 25mM Mgcl₂,
115 50mM deoxynucleotide triphosphate (dNTPs), 10µm of each of the
116 primer (Crtp1, Crtp2, Mdr1 and Mdr2), 5u/µl mM deoxynucleotide
117 triphosphate (dNTPs), 10µm of Taq polymerase and 2 µl of DNA
118 samples. The cycling protocol for *pfcr* was as follows: initial

119 denaturation at 94°C for 3 minutes followed by 45 cycles of 94°C for 30
120 seconds, 56°C for 30 seconds, 60°C for 1 minute and 72°C for 15
121 minutes. For pfmdr1 the cycling protocol was initial denaturation at
122 95°C for 5 minutes followed by 45 cycles of 95°C for 30 seconds, 45°C
123 for 30 seconds, 65°C for 45seconds and 72°C for 15 minutes.

124 Nested PCR and RFLP for Pfert and Mdr1 mutation-specific detection

125 Secondary PCR was conducted by using the forward primer Crtd1 and
126 the reverse primer Crtd2 (Table 1) 2µl of 10x dilution of primary PCR
127 was used in a follow-up, nested, allele specific PCR amplifications to
128 detect the codon for pfert K76T. The PCR stages for these diagnostic
129 amplifications were initial denaturation at 94°C for 3 minutes, followed
130 by 45 cycles at 94°C for 30 seconds, 56°C for 30 seconds, and 60°C for
131 1 minute and a final extension at 72°C for 15 minutes. Purified genomic
132 DNA from *P. falciparum* clones 3D7 (Chloroquine sensitive) and Dd2
133 (chloroquine resistant) were used as positive controls, and water and
134 uninfected blood spots on filter paper were used as negative controls.

135 After amplification, 20 µl of the amplicons was incubated overnight at
136 50°C with mutation-specific restriction enzyme *Apo I*. In the PCR
137 products, the DNA sequence was cleaved at the wild-type (76K) codon

138 site (if present) into two fragments, while the mutant alleles (76T) codon
139 found in chloroquine-resistant *P. falciparum*) were not cut. The digested
140 products were separated by electrophoresis in a 2% agarose gel
141 containing ethidium bromide, and DNA was visualised by ultraviolet
142 transillumination.

143 Similarly, amplification of codon 86 of the *pfmdr1* gene was carried out
144 using the following primers: Mdr1 and Mdr2 for the primary PCR
145 reactions and Mdr3 and Mdr4 for the secondary reactions (Table 2) after
146 which restriction with *ApoI* or *Afl III* was done. DNA fragments were
147 compared by size and with the PCR products generated from genomic
148 DNA of the 3D7 and Dd2 strains (used as references for susceptible and
149 resistant genotypes, respectively). Thermocycling conditions for *pfmdr1*
150 secondary reaction were initial denaturation at 95°C for 3 minutes,
151 followed by 25 cycles at 95°C for 30 seconds, 45°C for 30 seconds, and
152 65°C for 45 seconds and a final extension at 72°C for 15 minutes.

153 **Table 1: PCR Primer Sequences for Amplification of Codon 76 of**
154 **Pfprt**

155	156	157
	Primer Name	Sequence 5' - 3'
158	Pfprt-P1 (Forward Primer)	CCG TTA ATA ATA AAT ACA CGC AG

159 Pfcrt-P2 (Reverse Primer) CGG ATG TTA CAA
 160 AAC TAT AGT TAC C
 161 Pfcrt-D1 nested1 (Forward Primer) TGT GCT CAT GTG TTT
 162 AAA CTT
 163 Pfcrt-D2 nested 2 (Reverse Primer) CAA AAC TAT AGT
 164 TAC CAA TTT TG

165

166 **TABLE 2: PCR Primer Sequences for Amplification of Codon 86 of**

167 **Pfmdr**

168 **Primer Name** **Sequence 5' - 3'**

169

170 Mdr1 (Forward primer) GCG CGC GTT GAA CAA AA
 171 A GAG TAC CGC GTG

172

173 Mdr2 (Reverse primer) GGG CCC TCG TAC CAA TTC
 174 CTG AAC T CAC

175

176 Mdr3 (Forward primer) TTT ACC GTT TAA ATG TTT
 177 ACC TGC

178

179 Mdr4 (Reverse primer) CCA TCT TGA TAA AAA ACA

180 CTT CTT

181 Data analysis

182 Data were subjected to statistical analysis by using MS-Word, Chi-
183 square and Fisher's exact test. Statistical significance was set at $p < 0.05$.

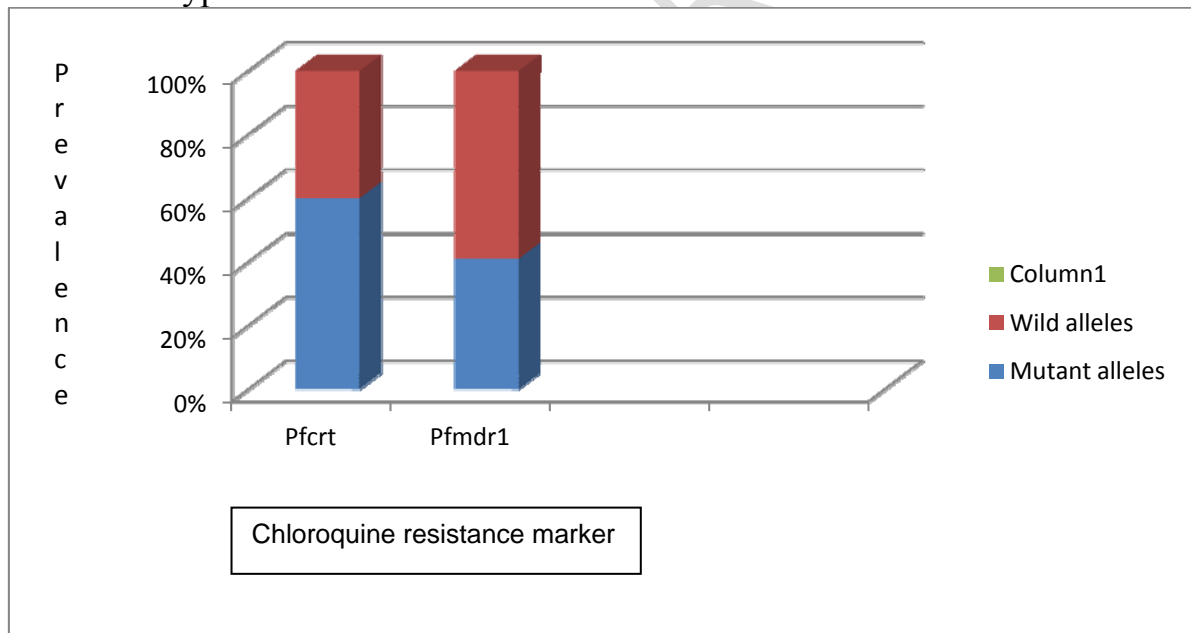
184

185 3. RESULTS AND DISCUSSION

186 RESULTS

187 Prevalence of Molecular Markers *P. falciparum* Resistance to 188 Chloroquine

189 100 isolates of *Plasmodium falciparum* were typed with markers of
190 chloroquine resistance (pfcr1 and pfmdr1) at codons **K76T** and **N86Y**.
191 Whereas the prevalence of wild (sensitive) alleles **N86** of pfmdr1
192 (59.0%) was higher than the mutant alleles **Y86** (41.0%), the prevalence
193 of mutant alleles **T76** (60.0%) of pfcr1 was considerably higher than that
194 of the wild type alleles **K76**.



195

196 Fig. 1: Prevalence of Molecular Markers *P. falciparum* Resistance to
197 Chloroquine

198

199 Prevalence of Drug-resistance marker by gender

200 Of the 100 isolates that were successfully typed by drug resistance
201 markers, 44 were males while 55 were females. Thus females were more
202 represented and also recorded higher higher prevalences of mutant

203 alleles at the two codons , however, this was not statistically significant
 204 (p>0.05)

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209 Table 3: Prevalence of Drug-resistance markers stratified by gender

210

Drug Resistance Marker	Males (44)	Females (56)	p-Value
T76	26 (59.1%)	34 (60.7%)	0.77
Y86	15 (34.1%)	26 (46.4%)	0.08

211

212 **Prevalence of Molecular Markers Associated with *P. falciparum***
 213 **Resistance to Chloroquine by age**

214 The age of 100 participants for drug resistance typing ranges from 1year
 215 to above 30 and were grouped into four: 1-10years, 11-20, 21-30 and
 216 greater than 30. The age groups 1-10 and 11-20 were more represented
 217 being 62% and 24% respectively. The least representation was observed
 218 in the age groups 21-30 and > 30 being 9% and 5% respectively.

219 Prevalence of mutant alleles by age showed an increasing rate of
 220 mutation with age in most of the codons but analysis of data by age
 221 categories did not discover any statistical difference (p>0.05).

222 Table 4: Prevalence of Molecular Markers Associated with *P.*
 223 *falciparum* Resistance to Chloroquine by age

Age Group	% Examined	No(%) of mutant alleles	
		T76	Y86
1-10	62	36 (58.1%)	25 (40.3%)

11-20	24	15 (62.5%)	10 (41.7%)
21-30	09	06 (66.7%)	04 (44.4%)
>30	05	03 (60.0%)	02 (40.0%)
Total	100	60 (60.0%)	41 (41.0%)
p-Value		0.582332	0.4531

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226 **DISCUSSION**

227 Chloroquine resistance has been associated in vitro with point mutations
 228 in two genes, *pfert* and *pfmdr1*, which encode the *P. falciparum*
 229 digestive-vacuole transmembrane proteins and P-glycoprotein-mediated
 230 multidrug resistance (Pgh1), respectively. Identification of *Pfert* as the
 231 central determinant of chloroquine-resistant *P. falciparum* malaria
 232 provides a molecular marker that can be used for surveillance of
 233 resistance and to evaluate drug treatment and prophylaxis policies.

234 Analysis of well-characterized molecular markers of *P. falciparum*
 235 resistance to the 4-amino-quinolines revealed a high prevalence of
 236 resistant genotypes.

237 The T76 mutation which is associated with chloroquine resistant i.e the
 238 substitution of threonine (T76) for lysine (K76) at position 76(K76T) of
 239 the amino acid sequence in *pfert* which encodes a transporter protein of
 240 the *P. falciparum* digestive vacuole was found in 60.0% of samples with

241 *falciparum* malaria infection while and Y86 mutation (associated with
242 resistance to amodiaquine, mefloquine, halofantrine and lumefantrine)
243 that is the substitution of tyrosine (Y86) for asparagines (N86) at
244 position 86 (N86Y) was found in 41.0%.

245 The high prevalence of resistance observed in this study indicates a
246 pertinacity of resistance to chloroquine for more than a decade after the
247 change of malaria treatment policy in Nigeria. This result is consistent
248 with the findings from other parts of Nigeria and most regions of high
249 drug resistance¹¹⁻¹⁴. The result is however in sharp contrast to findings
250 from Malawi where *plasmodium* became susceptible to chloroquine after
251 cessation of usage¹⁵. Also in Tanzania, chloroquine regained its
252 sensitivity after two and half years of withdrawal of drug pressure¹⁶.

253 CQ was abandoned for artemisinin combination therapy in 2005 due to
254 widespread resistance and highly significant clinical failure across the
255 country. It is expected that the susceptibility of CQ will be restored
256 about 10 years after withdrawal as it happened in Malawi and Tanzania.

257 Persistence of CQ resistance witnessed in this study might not be
258 unconnected with poor drug control policy in the country which permits
259 the drug to be freely available for use outside government hospitals.

260 Cross-resistance between CQ and Amodiaquine may also be a
261 contributory factor due to the similarities in their modes of action.
262 Amodiaquine is currently a partner drug with artemisinin in the
263 treatment of malaria in Nigeria. Although this drug remains effective in
264 areas of substantial CQ resistance, the two drugs are chemically related
265 and several clinical and in vitro reports have shown cross resistance
266 between CQ and AQ or active metabolite of AQ¹³.
267 The present study showed a predominance of wild type of *pfmdr1* N86
268 (59%), this could be considered as an indicator for low susceptibility of
269 *P. falciparum* isolates to mefloquine, amodiaquine and quinine¹⁷⁻¹⁸.
270 Mutations in *Pfmdr 1* has also been associated with with decreased
271 susceptibility to artemether and lumefantrine drugs separately⁽¹⁹⁻²²⁾.
272 However, mutations at *pfmdr1* gene alone do not seem to be sufficient to
273 explain *in vitro* resistance to antimalarial drugs.
274 This study did not discover any positive association in the prevalence of
275 mutant alleles in relation to sex and age of the participants, although
276 lower age had lower prevalence of mutant alleles T76 (58.1%) and Y86
277 (40.3%) this may not be unconnected with acquired immunity of the

278 adults. However, the differences were not statistically significant. This is
279 consistent with findings of Atroosh *et. al.*, (2012).

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282 **COMPETING INTERESTS**

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No competing interests

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286 **REFERENCES**

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