1	Original Research Article
2	Prevalence of carbapenem-resistant bugs in rectal swabs from patients/working staff in
3	MICU.
4 5 6 7	Abstract
8 9	Introduction With increased exposure to antibiotics, changes in the endogenous flora due to constant pressure.
10	of antibiotic selection can happen silently, resulting in the culmination of multidrug resistant
11	strains. As the normal microflora is commonly implicated in human infections, these resistant
12	strains can lead to nosocomial infections or a limited outbreak. This study was undertaken to
13	investigate the carriage of carbapenem-resistant isolates in the rectal swabs of patients admitted
14	in Medical Intensive Care Units (MICU) of our hospital.
15	Methods
16	Rectal swab samples from 178 patients and 31 staff members of MICU were collected, isolates
17	identified and tested for carbapenemase activity. The activity of isolates (if any) was co-related
18	with the clinical samples in patients and real time PCR was carried out for genotype analysis.
19	Results
20	Of the 209 isolates from screened rectal swabs, 29 (13.8%) isolates from patients and none from
21	staff demonstrated carbapenemase activity. Out of these 29- Thirteen patients were carriers and
22	none of their clinical samples showed any growth. Twelve patients showed similarity i.e. rectal
23	swabs and clinical samples showed same isolates with carbapenemase activity. No correlation
24	was observed in 4 patients. Real time PCR analysis for KPC and NDM genes in twelve patients
25	showing similar isolates has shown that none of the isolates tested positive for KPC whereas nine
26	isolate pairs showed NDM gene expression indicating endogenous infections.
27	Conclusion
28	Screening for carriage of carbapenem resistant flora in patients undergoing elective or
29	emergency gastrointestinal surgical procedures can guide clinicians about the antibiotic choices
30	as these groups of patients are at high risk of possible endogenous infections.

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Key words: Healthcare associated infections, Carbapenem resistance, Multi-drug
 resistance, Intensive care unit.

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36 Introduction

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Nosocomial' or 'healthcare associated infections' (HCAI) are classified as infections which 38 manifest in the patient post 48h of hospital admission and are absent at the time of admission (1). 39 Such kind of infections occur when the patient is availing the healthcare facilities and may 40 sometimes occur even after patient discharge. Moreover, nosocomial infections also comprise 41 the occupational infections that occur among the medical staff (1). Nosocomial infections are 42 mainly caused by diagnostic or therapeutic interventional procedures including catheters and 43 ventilators, and are also influenced by other factors including patient's immune system, 44 underlying illness, antibiotic exposure, hospital environment and bacteriological flora prevalent 45 within a hospital (2). It has been reported that 7% of hospitalized patients in developed and 10%46 in developing countries can acquire one of the HCAI (3). The patients in Intensive Care Units 47 (ICUs), surgical units, neonates and undergoing organ transplant are comparatively at higher risk 48 49 of undergoing HCAIs (1). The rate of HCAIs in ICU patients has been predicted as high as 51% according to Extended Prevalence of Infection in Intensive Care (EPIC II) study (3). 50

51 Bacteria are the most common pathogens responsible for nosocomial infections and the pathogen source can be exogenous or endogenous. 10% of the pathogens causing HAIs arise from 52 53 endogenous microflora of the patient (4). The patient becomes vulnerable to infections by its own endogenous natural flora when the immune system of the patients becomes very weak 54 55 because of underlying illness and the continuous antibiotic exposure. The infections which arise mainly from patient's own microflora are surgical site infections and catheter associated urinary 56 tract infections (CAUTIs) (5)(6). These pathogens cause infections if they travel to other body 57 parts from the parts where they are usually found. With increasing exposure to antibiotics, the 58 59 endogenous flora faces constant pressure of antibiotic selection and can result in the emergence 60 of multidrug resistant endogenous strains. These multi-drug resistant nosocomial infections are associated with prolonged hospital stay, socio-economic implications, increased morbidity and 61 62 mortality rates (7). This surveillance study was undertaken to investigate the carriage of carbapenem-resistant isolates in the rectal swabs among patients admitted in MICU in ourhospital.

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66 Materials and methods

67 Study design

This study was conducted on patients suffering from different bacterial infections and
admitted to our hospital for treatment between Dec. 2016 to Feb. 2017. The study was conducted
as per the ethical principles of Declaration of Helsinki.

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72 Study population

The number of patients included in the study were 209. Specimens were collected from 73 from 301 subjects from MICU including the hospitalized patients and the staff. Rectal swabs 74 (perirectal swabs were not allowed) were collected from all the subjects and immediately 75 76 transported to the lab. eSwab were used for collecting rectal samples and were done by inserting and rotating the 1cm swab into the rectum. Following sampling, the swab was placed in the tube 77 which contained 1ml sterile Amies transport medium and the tube was vortexed for 1 min at 78 maximum speed in the lab. All 209 rectal swab specimens were subjected to analysis; 29 pairs of 79 carbapenemase producing rectal swabs were analyzed in parallel with the clinical isolates using 80 qPCR-based analysis. 81

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83 Culture-based quantification of carbapenem resistant isolates

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Viable bacterial counts were performed for eSwab Amies medium containing bacteria (100µl) by serial 10-fold dilutions in 0.9% saline. This was followed by inoculum plating on tryptic soy agar plates supplemented with 5% sheep blood to quantitate the total culturable aerobic bacteria (TAB), and plating on CHROMagar KPC plates (HyLabs, Rehovot, Israel) for determining carbapenem resistant bacteria. After 18h of growth at 37°C, viable bacterial counts were done and the CRE to TAB ratio (CFU/ml) was determined.

91 Quantitative real-time PCR

- 92 qPCR was performed for analyzing the KPC or NDM producers using KPC and NDM specific
 93 primers and miScript-SYBR Green Mix (Qiagen).
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- 96 **Results**
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98 **Demographic characters**

A total of 301 subjects from MICU including the patients suffering from different 99 100 infections and the working staff were screened for this study. Of the 309 subjects screened, rectal 101 swabs were collected from 209 subjects who consisted of 31 members from staff and 178 patients. Overall, the number of males (n=113, 54%) were more compared to females (n=96, 102 103 46%). The mean age was 47 ranging from 27 to 75 years. The common co-morbidities associated with patients at the time of hospitalization were hypertension (n=54, 24%), diabetes mellitus 104 (n=46, 22%) and chronic liver disorders (n=19, 9%). 34.8% (62/178) of the patients were 105 diagnosed with hospital acquired infections (HAIs). 27 patients had acquired HAIs inside MICU 106 and 35 had acquired in wards which were shifted to MICU. 107

108 In vitro susceptibility analysis

109 Culture susceptibility analysis has shown that twenty-nine (13.8%) isolates demonstrated 110 carbapenemase activity among 209 screened rectal swab isolates. All the rectal swab samples 111 from the staff had susceptible flora and carbapenem resistance was not reported in any of the 112 isolates. All the 29 (13.8%) carbapenemase producing isolates were from the patients included in 113 the study. The isolates with carbapenemase activity with descending order are *E. coli* (17), *K.* 114 *pnuemoniae* (7), *P aeruginosa* (3) and *A. baumannii* (2).

Out of these 29, thirteen patients were carriers as isolates were obtained from rectal swabs only and none of the clinical samples showed any growth. 16 patients showed growth in both rectal swabs and clinical samples. Of the 16 patients showing growth, twelve patients showed similarity i.e. rectal swabs and clinical samples showed similar isolates. The isolates from rectal swabs were different from the clinical samples in four patients. For details of the 120 isolates reported in rectal swabs and clinical samples and their source specimens, refer to Table 2 121 and 3.

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Genotype analysis 123

Real time PCR was carried out for the amplification of NDM and KPC genes. Out of the 124 12 samples showing similar isolates in rectal swabs and clinical samples, 10 pairs revived and all 125 of them tested negative for KPC. 09 pairs tested positive for NDM gene in both rectal swab and 126 clinical samples proving that patient had endogenous infection in these 9 patients. Only 1 pair 127 showed dis-similar results as rectal swab tested negative for NDM whereas clinical sample tested 128 129 positive for NDM.

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Discussion 131

The human gastrointestinal tract serves as an endogenous reservoir of pathogens for 132 various opportunistic pathogens causing various infections including urinary tract infections 133 (UTI) and nosocomial infections like skin & soft tissue infections (SSIs) (1). However, with 134 135 increased consumption of antibiotics, intestinal microflora faces constant pressure of antibiotic selection, which has resulted in the emergence of multidrug resistant endogenous strains. 136 Carbapenems are the most effective modified beta-lactam antibiotics with a broad spectrum of 137 activity against these multi-drug resistant strains (8) (9). Their unique molecular structure which 138 139 combines carbapenem with the beta-lactam ring confers them exceptional stability against most beta-lactamases including AmpC (ampicillin and carbenicillin) and extended spectrum beta-140 141 lactamases (ESBLs) (9). Being highly effective against wide range of bacterial species, carbapenems are considered the most reliable last-resort treatment for bacterial infections. 142 143 However, the excessive carbapenem prescription has led to the emergence and spread of carbapenem-resistant strains and created a global public health crisis (10) (11). 144

This widespread carbapenem resistance has not even spared the normal flora of patients. 145 In our study, 16.3% of the isolates from the rectal flora of the admitted patients in MICU 146 147 demonstrated carbapenemase activity. Rai et al. (12) has reported that 9.9% isolates demonstrated carbapenemase activity in a prospective surveillance study undertaken to 148 investigate the of 242 149 carriage carbapenem-resistance among 150 screened Enterobacteriaceae isolates in the gastrointestinal tract among patients attending the outpatient clinic in a tertiary care center of East Delhi, India. Similarly, Das *et al.* (13) has reported that neonates with endogenous Gram negative bacilli in the gut had a higher incidence of clinical sepsis than those without. Moreover, in 50% of cases, the genotypes of the organisms found in the blood were indistinguishable from their gut counterpart.

The similar carbapenem-resistant organisms identified in clinical and rectal swabs from 155 31% of patients show that the opportunistic endogenous flora translocate to the ideal and 156 157 favorable surfaces and turn pathogenic taking advantage of suppressed host immune system, mucosal barrier permeability, stress or co-morbidities, in vivo devices, surgical procedures, etc. 158 Moreover, the kind of patient flora can hint at the antimicrobial susceptibility profile of patient 159 and guide physicians about the antibiotic choices. Out of 29, 13 patients were also carriers of 160 carbapenem-resistant isolates. This resistant endogenous flora may remain silent for months in 161 the gut of the carrier without leading to any visible symptoms or translocate to other favorable 162 surfaces, become opportunistic and induce healthcare-associated infections, or may lead to 163 164 limited outbreaks through cross-transmission to other individuals.

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166 Conclusion

An important component of infection control program is active surveillance of drug resistant strains, including ESBL-producing strains and carbapenem-resistant strains. From this study, it can be concluded that more surveillance studies of this kind need to be performed for better understanding of the pattern of drug resistance among routine gut colonizers in Asian subcontinent. A thorough and continuous screening for drug-resistant isolates can help in formulating a better antibiotic policy for a hospital, particularly for patients admitted in ICUs and oncology units, being more vulnerable to opportunistic infections.

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- 175 **References**
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Isolates	Number	%age
No. of rectal swabs in which CR	29/178	16.2 %
isolates were identified		
CR isolates identified in rectal swabs	13/29	44.8%
only		
Clinical samples and rectal swabs	12/29	41.3%
with similar CR isolates		
Clinical samples and rectal swabs	4/29	13.7%
with different CR isolates		

- ***CR-** carbapenem resistant

221 Table 1: Distribution pattern of carbapenem resistant isolates in rectal swabs and clinical

- samples of different patients.

	Name of organism from	No.	Clinical sample		
	rectal swab				
	CRE	01	CRK was isolated from urine		
	CRK	01	CR-Acinetobacter		
	CRE	01	CRE was isolated from urine		
	CRE	01	CRK was isolated from sputum		
240 241 242 243 244 245 246 247	CR- carbapenem resistant CRE- carbapenem resistant <i>E.coli</i> CRK- carbapenem resistant <i>K. pnuemoniae</i>				
248	Table 2: Distribution pattern of dis	similar is	solates in rectal swabs and clinical samples.		
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Name of organism from	No.	Clinical sample
rectal swab		
CRE	06	4 from urine & 1 each from Sputum
		& Fluid
CRK	04	2 from Urine & 2 from Sputum
CR Pseudomonas	01	Isolated from sputum
CR Acinetobacter	01	Isolated from blood

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264 265 266 267 268 269 270 271	CRE- carbapenem resistant <i>E.coli</i> CRK- carbapenem resistant <i>Klebsiella</i>
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273	Table 3: Distribution pattern of similar isolates in rectal swabs and clinical samples.
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