The prognostic implication of CD49d expression by flow cytometry and trisomy 12 detection by fluorescent in situ hybridization in chronic lymphocytic leukemia

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- 5 6 7 Abstract: Chronic lymphocytic leukemia (CLL) is the most common chronic 8 9 lympho proliferative disorder. This study done to detect the level of (CD)49d in C 10 patients by flow cytometry and its correlation with the prognosis (survival) and with (trisomy12) detected by fluorescent in situ hybridization (FISH). 11 Methods: Clinico hematological profiles done to 40 CLL patients. CD49d tested 12 flow cytometry and trisomy12 was detected by FISH. 13 Results: CLL patients classified according to modified Rai staging system into: low risk 14 12.5%, intermediate risk 22.5% and high risk 65%. CD49d and trisomy12 positivity were 15 detected in 29 patients (72.5%) and 22 patients (55%), respectively. There was a 16 significant positive correlation between the percentage of trisomy12 and of CD49d cells 17 in CLL patients (P =0.034). And also, between CD49d and CD38 (P =0.034). On the 18 other hand, there was no significant relation between both CD49d and trisomy12 19 expression and modified Rai staging system. 20 As regard to overall survival (O.S) and disease free survival (DFS), both CD49d, 21 trisomy12 positive cases were associated with shorter disease free, and overall survivals 22 compared to the negative cases. 23 Regarding to the relation between the use of combination of fludarabine, 24 cyclophosphamide, and rituximab (FCR) as a standard treatment in CLL and OS and DFS 25 of patients in our study, we found that FCR account for the better outcome associated 26 with its use. 27 Conclusion: CLL B-cell membrane expression of CD49d as measured by flow cytometry 28 is a powerful prognostic parameter in patients with CLL. Its positive correlation with the 29 trisomy12 and CD38 and the association of both CD49d and trisomy12 with short 30 survival times indicate that they may have roles in the prognosis of CLL. 31 In addition, the use of FCR regimen in the treatment of CLL is associated with long 32 survival of CLL patients. 33 **Keywords:** 34 Chronic lymphocytic leukemia, Prognosis, CD49d, trisomy12, FCR. 35 36 37 38 39 Introduction: 40
- Chronic lymphocytic leukemia (CLL) defined as a lymphoproliferative disorder,
 composed by monomorphic round B-lymphocytes involving peripheral blood (PB), bone

marrow (BM) and lymphoid organs (1). CLL is one of the most common types of
leukemia in the Western world, however, infrequent in the Eastern. In Egypt, CLL was
the most common subtype of leukemias, the National Cancer Registry reported over 80%
of lymphoid leukemias are CLL (2). It is the most common types of leukemia diagnosed
in adult.

CD49d is a surface molecule that binds to the β -integrin CD29 to form very late antigen-4 (VLA-4), the expression of which promotes microenvironment-mediated proliferation of CLL leukemic cells and identifies a subgroup of patients characterized by progressive course and short survival (3). It should be noted that the expression of CD49d correlates with some other prognostic factors. Specifically, with unmutated IGHV, CD38 and ZAP70 with the major cytogenetic lesions such as trisomy12. Moreover, trisomy12 CLL cases were characterized by the higher mean fluorescence intensity levels of CD49d compared with cases belonging to the other cytogenetic categories, probably facilitated through a NOTCH1 or methylation-mediated mechanism (4).

The presence of cytogenetic abnormalities is a hallmark of CLL, and have historically been best studied by interphase fluorescence in situ hybridization (FISH) (5). Trisomy12 is the third most common cytogenetic abnormality identified by fluorescence insitu hybridization (FISH). In some reports, trisomy 12 CLL carry an intermediate prognostic risk, while other reports suggest a certain degree of clinical heterogeneity, with a higher incidence of second malignant neoplasms and Richter transformation (6).

The aim of the present study was to detect the level of expression of CD49d in CLL patients by flow cytometry and its correlation with the prognosis and with trisomy12detected by fluorescent in situ hybridization (FISH).

Patients and methods:

The study was included 40 CLL patients (22 men and 18 women; age range 38-81 years). These patients were presented to South Egypt Cancer Institute Assiut University hospital in the period between December 2015 and July 2017. The study was approved by the Institutional Review Board of Faculty of Medicine, Assiut University. An informed written consent was taken from of all cases.

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All patients were subjected to:

- History taking and clinical examination.
- Complete blood picture.
 - Bone marrow examination
- Immunophenotyping: analysis was done by multicolor flow cytometry (FACS
 Caliber, BECTON DIKINSON, USA). Forward scatter and side scatter histogram
 were made to detect the lymphocyte population. Lymphocytes were then gated for

further analysis of different monoclonal antibodies as CD5, CD10, CD19, kappa, and 84 lambda, FMC7, CD 23, CD 3, CD49d and CD38. 85 Immunophenotyping diagnosis of our CLL patients was done according to scoring 86 87 system (7). • Cytogenetic study: the test aims to detect the presence and level of expression of 88 89 trisomy 12 by fluorescence in situ hybridization (FISH) by using Alpha Satellite 12 plus probe (Cytocell, Catalogue No: REF LPH069), labelled in red, which recognized 90 the centromeric repeat sequence D12Z3. FISH procedures were performed as usual, 91 after Preparation of mitotic cells from short-term blood cultures, slide preparation, 92 93 Pre-denaturation, denaturation, hybridization and final post-hybridization washes. Analysis was done by a fluorescent microscope (Carl Zeiss AxioSkop 2 Mot FL). The 94 images were captured through a Leica CW 4000 camera assembled to a computer 95 having FISH software (Carl Zeiss/Cytovision, Axiovision control 3.1). Slides 96 showing more than 50% cells with fluorescent dots were selected for analysis. Then 97 at least 200 cells were counted. In a normal cell, 2 red signals should be observed. 98 While in a cell with trisomy12, there should be 3 red signals. 99 **Statistical analysis:** 100 Statistical calculation was performed with Statistical Package for Social Sciences 101 (SPSS) software (version 16.0; SPSS Inc, Chicago, Ill). 102 103 **Results:** 104 CLL patients classified according to modified Rai staging system into: low risk 105 12.5%, intermediate risk 22.5% and high risk 65% as in Figure (1). 106 The expression of CD49d on malignant lymphocytes was detected in 29 patients (72.5%). 107 However, the expression of CD38 on malignant lymphocytes was detected in 21 patients 108 109 (52.5%) as in figure (2). As regard to trisomy 12, 22 patients were trisomy12 positive (55 %). 110 As regard to correlation between trisomy12, CD49d and CD38; there was a significant 111 relation between trisomy12 and CD49d expression on CLL group (P value = 0.001^{**}) (r 112 =0.49), a significant relation between CD38 and CD49d in the studied CLL group. (P 113 value = 0.05^*) (r = 0.311). On the other hand, there was no significant relation between 114 trisomy and CD38 in the studied CLL group as in table (1). In addition, there was no 115 significant relation between modified Rai staging system and the presence of both CD49d 116 and trisomy 12 as in table (2). 117 Concerning the relation between the overall survival (OS) and the disease free survival 118 (DFS) of the studied CLL group and the percentage of trisomy12 and CD49d expression, 119 there was a significant relation between OS and both CD49d (P value= 0.0192) and 120 trisomy12 (P value=0.0141) as in Table (3) & Figure (3, 4). As regard to DFS, there was a 121

significant relation between CD49d and DFS (P value=0.0190). However, there was no
significant relation between trisomy12 and DFS (P value=0.1882) as in Table (3) & Figure
(5, 6).

As regard to the relation between the overall survival (OS) and the disease free survival (DFS) and the percentage of patients receiving the standard treatment, There was a significant relation between the percentage of patients receiving the standard treatment (FCR) and OS (P value=0.0016) and DFS (P value=0.0168) as shown in Table (4) & Figure (7, 8).

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131 **Discussion**:

In this study, CLL patients were classified according to modified Rai staging system into: low risk 12.5 %, intermediate risk 22.5% and high risk 65% in their first presentation.

In this study, 29 patients (72.5%) were CD49d positive and 11 patients (27.5%) 135 were CD49d negative according to the use of cut off value of 30%. This result is 136 approximately close to the results found by Hendy et al. who reported that, CD49d was 137 positive in (75%) of all studied CLL cases (8). Other studies done by Benedetti et al. and 138 Wesam et al. found that, CD49d expression was positive in (37.7%) and (55.3%) of cases 139 respectively (9,10). These variations in expression of CD49d in CLL patients between our 140 results and previous studies may be due to the variability of the sample size and the 141 possible ethnic variations between the studied groups. 142

Remarkably, the finding of differential CD49d expression in CLL is an older discovery than anticipated. In 1996, it had already been demonstrated that CD49d expression in CLL is variable, with higher expression of CLL samples of advanced (Rai III, IV) than early stages (11).

Within the same concept, our study also revealed that, higher expression of CD49d was associated with advanced disease stage but these results were statistically insignificant, this may be due to low number of our studied cases. This also matched to the results of Wesam E Elderiny, who found that higher levels of CD49d in advanced stages (10).

As regard to correlation between CD49d and CD38 Zucchetto and colleagues were the first in 2006 that reported the strong association of CD38 and CD49d expression on CLL cells using both parameters as categorical variables (12). These findings were found to be in harmony with our study results where we found that, there was a significant positive correlation between CD49d and CD38 expression in CLL patients. In addition, this is in agreement with Kamel et al. and Buggins et al. (13, 14).

By using Kaplan-Meier curves, patients with CD49d positivity had shorter survival and disease free survival than those negative for CD49d (using 30% as cut off level for 160 CD49d positivity). This is in agreement with Gattei et al., who reported that, when analyzed retrospectively, CLL patients with \geq 30% CD49d-positive tumor cells revealed 161 significantly shorter treatment-free and overall survival than patients with <30 % CD49d 162 positivity (15). A prospective analysis indicated that an alternative cut-off level of 45 % 163 CD49d expression might be superior to the 30 % level (16). Following these first reports, 164 the prognostic relevance of increased CD49d expression was rapidly and unequivocally 165 confirmed by several groups, using the 30 % cut-off level. All of them found that, CD49d 166 expression consistently identifies a subgroup of CLL characterized by poor outcome in 167 their study (3, 17, 18, 19). 168

Concerning trisomy 12 in our CLL patients, there was a higher incidence of trisomy 169 12 than that previously thought, (20%) according to WHO 2008 (20). 55% of our study 170 group were found to be positive for trisomy 12. Other studies including the incidence of 171 trisomy 12 in CLL patients revealed under estimation of these results in comparison to our 172 results. Dal Bo et al., Bulian et al. and Alp. reported that, trisomy 12 was found in 13.2%, 173 15-20% and between 16% and 35% respectively (21, 22, 23). A much lower frequency of 174 trisomy12 (13.2%) reported by Dal Bo et al. On reviewing their study, we found that the 175 majority (50%) of CLL patients who were included in that study had early-stage disease. 176 In contrast, only 12.5% (5/40) of the patients included in our study were at low risk group 177 in the modified Rai staging system, with the majority (65%) were at high risk groups. 178

As regard to the relation between the staging of the disease and the incidence of the trisomy 12 finding in CLL patients we found that, there was no significant relation between trisomy 12 positivity and staging system. This is in agreement with Alp. (23). On the other side Witzig et al. stated that, there was an increased frequency of trisomy 12 in patients with more advanced Rai stages (24).

In contrast, there was a significant relation between trisomy 12 positivity and CD49d in our study. This is in agreement with Gooden et al., Baumann et al .and Riches et al. they found that a higher CD49d expression was frequently linked to trisomy 12 positive cases in their study (4, 25, 26). On the other hand more recently Bullian et al. stated that, despite the high frequency of NOTCH1 and BIRC3mutations and of CD49d and CD38 overexpression, these markers failed to convey a prognostic risk in trisomy12 CLL, while there is a peculiar clinical relevance of IGHV mutations in tris12 CLL patients (22).

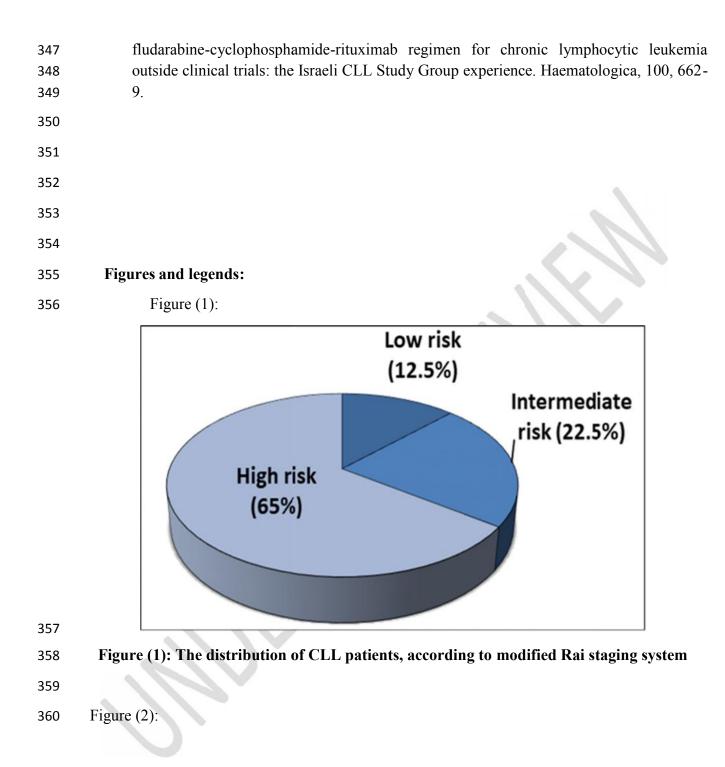
In this study, patient's group with trisomy12 positivity had shorter survival times than those without trisomy12. This is in agreement with Juliusson et al., Bulian et al. and González-Gascón y Marín et al., they found that overall survival was shorter in patients with high trisomy12 expression in comparison to those with low trisomy12 expression (22, 27, 28). These results found to be contradirectory to results reported by Döhner et al. who their prospective trials suggests that overall survival in trisomy12 positive cases was favorable despite progression-free survival may be shorter (29).

198 199 200	As regard to the treatment, our study patients were classified according to type of treatment into 2 subgroups: 35% were received the standard treatment (FCR) and 65% were received other lines of treatment (CVP and CHOP).
201 202 203 204 205	In our study, we found that the use of the standard treatment was associated with prolonged disease free and overall survivals in comparison to the other lines of treatment. This is in agreement with Strati et al. and Herishanu et al., they found that FCR regimen was the first regimen achieves long remissions and prolonged overall survival in first-line treatment CLL patients (30, 31).
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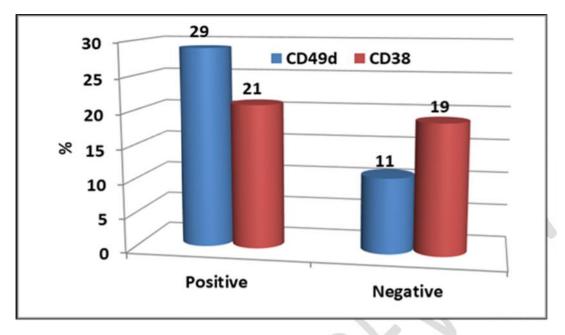


Figure (2): Expression of CD49d and CD38 on malignant lymphocytes in CLL patients

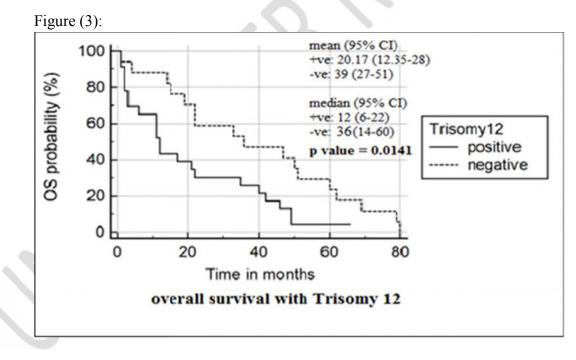
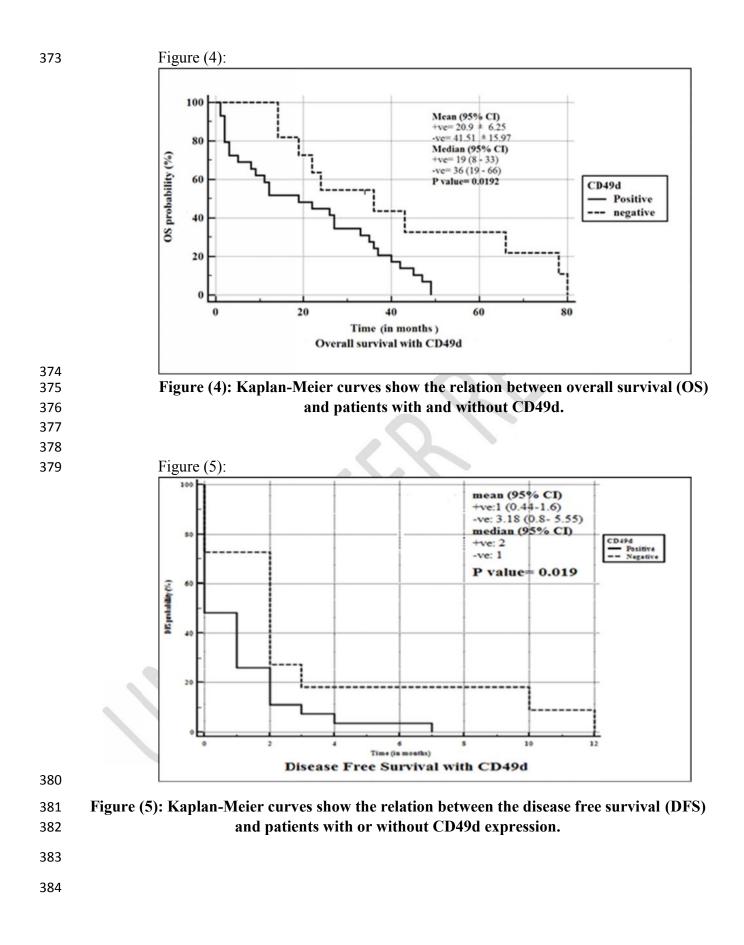
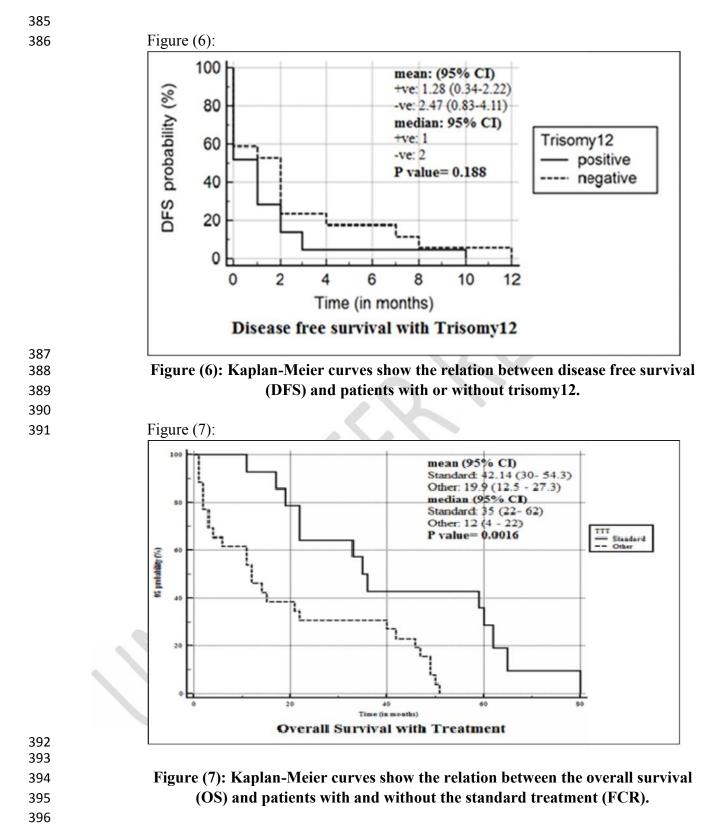


Figure (3): Kaplan –Meier curves show the relation between overall survival (OS) and patients with and without trisomy12.





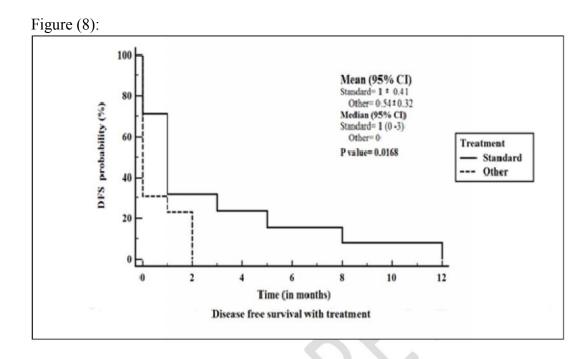


Figure (8): Kaplan-Meier curves show the relation between the disease free survival (DFS) and patients with and without the standard treatment (FCR).

Tables and legends

Table (1):

Percentage	CD49d		CD38	
	R	Р	R	Р
CD38	0.311	0.049*		
Trisomy12	0.49	0.001**	0.195	0.228

 Table (1): The relation between CD49d, CD38 and Trisomy12.

Significant P value < 0.05

	Grade	P. value		
Percentage	Low (N = 5)	Intermediate (N = 9)	High (N =26)	
CD49d	38.94 ±35.27	41.46 ±31.72	60.52 ±32.62	0.20
Trisomy12	6.02 ± 4.42	8.87 ± 5.71	6.95 ± 4.37	0.48

Table (2): The relation between the Modified Rai staging system and CD49d andTrisomy12.

- 410 Significant P value < 0.05
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412 Table (3):

	Trisomy12			CD49d		
	Positive trisomy12	Negative trisomy12	p. value	Positive CD49d	Negative CD49d	P. value
	NO (22)	NO (18)		NO (29)	NO (11)	
OS (months)	20.17± 7.82	39 ± 12	0.0141*	20.9 ± 6.25	41.51±15.97	0.0192*
DFS (months)	1.28 ± 0.92	2.47 ± 1.64	0.1882	1.0 ± 0.56	3.18 ± 2.38	0.0190*

Table (3): The relation between the overall survival (OS) and the disease free survival
(DFS) of the studied CLL patient's group and the percentage of trisomy12 and CD49d
expression.

416 Significant P value < 0.05

OS: Overall survival

- 417 **DFS:** Disease free survival
- 418 Table (4):

	Standard treatment (FCR)	Other (CVP,CHOP)	P. value
OS (months)	42.14 ± 12.14	19.9 ± 7.4	0.0016**
DFS (months)	1 ± 0.41	0.54 ± 0.32	0.0168*

Table (4): The relation between the overall survival (OS) and disease free survival (DFS) and the percentage of patients receiving the standard treatment.

- 421 Significant P value < 0.05
- 422 FCR: Fludarabine, Cyclophosphamide, and Rituximab
- 423 CVP: Cyclophosphamide, Vincristine, and Prednisone
- 424 **CHOP**: Cyclophosphamide, Hydroxydaunorubicin, Oncovin and prednisone
- 425