

1 **Soil fertility status in relation to farmers' practices under maize**
2 **based systems in KCEP sites Western Region of Kenya: An extension**
3 **tool for yield gap analysis**

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5
6 **Abstract**

7
8 A study was carried out to examine the soil fertility status in relation to the current
9 blanket fertilizer recommendations and farmers' practices across the four wards,
10 namely: Motosiet, Keiyo, Cherangani and Kwanza, TransNzoia County. The baseline
11 fertility status was assessed in terms of soil productivity index with a view of
12 analyzing the levels of nutrients and yield gaps as well as the relevance of the
13 envisaged technologies to the identified constraints. Five soil mapping units were
14 identified, using the standard soil survey procedures. The results showed that highest
15 productivity index was in unit BU1, followed by UUr2, UUr4 and RUrb with values
16 of 40.5, 29.4, 25.0, 16.0 and 8.9% respectively. The current fertilizer
17 recommendations did not consider the variations in nutrient levels in different Wards.
18 Keiyo Ward had the highest level of nitrogen, being 125.82, followed by Motosiet,
19 Cherangani and Kwanza with values of 99.92, 97.12, and 81.12 kg/ha respectively.
20 Phosphorous level was highest in Kwanza (136.41 kg/ha), followed by Cherangani
21 (106.82 kg/ha) and Keiyo Ward (76.08 kg/ha). The lowest level was recorded in
22 Motosiet with the value of 72.56 kg/ha. Potassium was found to be adequate in all the
23 four Wards with values ranging between 347.67 and 410.34 kg/ha. The maximum
24 maize production recorded in the project sites was 9,000 kg/ha, with a yield gap of
25 1,000 kg/ha. This was achieved through application of 100 and 50 kg/ha of DAP and
26 CAN respectively. This was followed by 6,750 kg/ha obtained through application of
27 50 kg/ha of DAP and CAN. The yields from the rest of the sites ranged between 1,800
28 and 4,500 kg/ha with yield gaps varying from 3,250 to 8,650 kg/ha. Motosiet Ward
29 had the highest maize yield, followed closely by Cherangani. The lowest yields were
30 obtained in Keiyo, followed by Kwanza Ward despite the relatively high macro-
31 nutrient levels in the two Wards. This was attributed to lower soil quality caused by
32 the increased degradation, resulting into unfavourable soil conditions that constrained
33 the utility of the agricultural inputs (fertilizer and rainwater). Therefore, it is strongly
34 recommended that the envisaged climate smart technologies be geared towards
35 enhancement of nutrient and water use efficiency through improved soil structure and
36 tilth.

37
38 **Key words: Productivity index, soil-related constraints and yield gaps**

39
40 **1 Introduction**

41
42 **1.1 The study background**

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44 Most fertilizer recommendations passed to farmers through agricultural extension in
45 Kenya were formulated many years ago and disregarded the effects of variations in
46 soil properties and climate change. As a result, several recommendations have
47 become obsolete. Assessment of soil fertility and potential for a specified land use
48 system attempts to answer the question on how the land is currently used and
49 managed as well as the impact of the farmers' practices on soil fertility and crop yield.
50 Addressing such question is an important component of the biophysical

51 characterization and fertility mapping carried out for Kenya Cereal Enhancement
52 Programme (KCEP) in Western region of Kenya (Kamoni et al. (2016).

53

54 To graduate farmers from subsistence farming and food insecurity to market-oriented
55 farming, KCEP is addressing the key soil-related constraints to crop production by
56 promoting good agricultural practices. Identification of good agricultural practices
57 necessitates the establishment of the baseline soil fertility status to be used as a basis
58 of evaluating the impacts of the change from the current practices to envisaged
59 interventions on soil productivity. According to Driessen and Konijn (1992),
60 assessment of baseline soil productivity usually involves integrated analysis of
61 biophysical and socio-economic data collected through land use system analysis. In its
62 simplest form, a land use system is composed of one land utilization type practised on
63 one land unit. The sufficiency of the land unit properties is determined by measuring
64 and matching the values of the selected land and soil quality indicators with the values
65 for optimum production of the specific land use on the defined land unit. In assessing
66 the potentials and limitations of land for a given land use system, distinction is made
67 between land quality and soil quality. Land quality is defined as the condition, state or
68 health of the land in relation to crop requirement, while soil quality is the capacity of
69 a specific soil type to function within natural or managed ecosystem boundaries to
70 sustain plant and animal production, maintain or enhance water quality, and support
71 human health and habitation (Doran and Parkin, 1994). Although soil survey and
72 fertility mapping are based on the soil natural boundaries, ecosystem boundaries are
73 also considered when the impacts of land use becomes significant in reducing,
74 sustaining, or enhancing water quality and availability through changes in soil depth.
75 Driessen and Konijn (1992) showed that soil depth was one of the single land
76 characteristics that was so positively correlated to crop production that separation of
77 the same soils into different units, based on soil depth would show different levels of
78 biophysical soil potentials and ecosystem functions.

79

80 **1.2 Soil biophysical potential and its management implications**

81

82 The biophysical production potential of any production system is realized when
83 nutrient supply, plant protection and harvesting methods are optimized and the crop
84 yield is limited only by sunshine, temperature and water. It is a fully optimized
85 production situation, and is normally much greater than the production realized under
86 ordinary farming circumstances. The yield gap between the biophysical production
87 potential and the observed actual production from the farmers' fields results from the
88 compounded effects of all the limitations that confront the real world farmer, that are
89 supposed to be corrected by the envisaged intervention strategies (Driessen, 1997). If
90 all the correctable limitations are eliminated, a system's biophysical performance
91 would only be limited by the amount incoming solar energy, temperature and
92 photosynthetic properties of the crop concerned. In glasshouses, even light and
93 temperature can be optimized and production becomes limited only by the properties
94 of the crop, since water supply can also be optimized. This explains why in Dutch
95 glasshouses, tomato production reaches an incredible 500 tons/ha/year. In this
96 context, an assessment of soil, environmental conditions, farmers' practices and crop
97 yields prior to the identification of the appropriate intervention strategies, is a noble
98 task, because the yield gap established for the specific land use system is an indicator
99 of the magnitude of the management inputs required through the prescribed
100 intervention, following the experimental research. In this case, the use of external

101 inputs, principally fertilizers and lime, together with the use of improved crop
102 varieties may sustain high crop yields if they are sufficiently tailored to specific land
103 use system with known soil-related constraints and management requirements (Muya
104 *et al.*, 2015). Against this background, the objectives of the study were:

- 105 1. To examine the current blanket fertilizer recommendations across major soil
106 types in different wards, the expected crop yields, farmers' practices and yield
107 gaps.
- 108 2. To assess the baseline fertility status in terms of soil productivity.
- 109 3. To analyze levels of nutrients in the soils in the identified soil mapping units
110 as a basis of recommending appropriate fertilizer blends.
- 111 4. To analyze the relevance of the envisaged technologies to the identified soil-
112 related constraints and predict their impacts on agricultural productivity

113

114 **2 Materials and methods**

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116 **2.1 The study area**

117

118 **2.1.1 Location**

119

120 The study area lies within the four Wards of Trans Nzoia County, between latitudes
121 $34^{\circ} 30''$ E and $35^{\circ} 30''$ E and longitudes $1^{\circ} 30''$ N and $1^{\circ} 45''$ N. Fourty four farmers'
122 fields were selected, each measuring 0.4ha, being distributed within the four Wards,
123 namely: Motosiet, Cherangany, Kwanza and Keiyo.

124

125 **2.1.2 Climatic aspects of the area, effective rains and consumptive water use**

126

127 The most important climatic characteristics presented are temperatures and rainfall due
128 to their direct influence on plant growth. The optimum temperature range for most
129 crops is 10 to 30° C, which falls within the range of the values obtained from the study
130 sites (Table 1). Another important aspect of climate which is of the interest for study is
131 effective rainfall. The effective rain is the fraction of rain water that infiltrates into the
132 soil and stored within the rootzone to be consumed by the plants. It is a reflection of the
133 interactions between climate, soil, topographical characteristics and management (e.g.
134 tillage and terraces). The project area, being highly compact, is bound to generate
135 relatively high volume run-off, hence low effective rain. Therefore, water deficits
136 occur mainly between January and April when water losses through run-off are at its
137 peak level. The negative run-off, occurring in November, is an indication of
138 accumulation of water from other ecosystems, which needs to be intercepted through
139 construction of appropriate tillage or other water conservation structures. Increased
140 rates of run-off due to high soil compaction and the attendant loss of nutrient bases is
141 one of the explanations of the increasing soil acidity and nutrient deficiency in the
142 area. Therefore, this is one of the key soil physical and fertility constraints requiring
143 improvement (Muya *et al.*, 2015).

144 **Table 1: Climatic characteristics**

145

| Climatic attributes | J | F | M | A | M | J | J | A | S | O | N | D | Totals |
|---------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|---------------|
| Maximum temperature °C | 28 | 29 | 27 | 26 | 26 | 26 | 26 | 26 | 27 | 27 | 27 | 27 | |
| Minimum temperature °C | 14 | 14 | 15 | 15 | 15 | 14 | 14 | 13 | 14 | 14 | 14 | 14 | |
| Reference evapotranspiration (mm/day) | 3.2 | 4.6 | 5.2 | 6.1 | 6.4 | 6.6 | 6.5 | 6.1 | 5.1 | 5.0 | 4.4 | 4.3 | |
| Rainfall mm | 74 | 110 | 166 | 312 | 250 | 155 | 224 | 178 | 161 | 144 | 144 | 85 | 1859 |
| Maize water requirements (mm) | 69 | 132 | 219 | 174 | Fallow | Fallow | Fallow | 93 | 123 | 150 | 150 | 120 | 1080 |
| Run-off (mm) | 5 | 22 | 33 | 52 | 0 | 0 | 0 | 0 | 36 | 33 | -14 | 20 | 187 |

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147 **Source: Muya et al. (2015)**

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163 2.1.3 The geomorphic characteristics

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165 The geomorphic characteristics of the study were applied in developing soil mapping
166 codes to facilitate the analysis of soil fertility and productivity. These characteristics
167 were described by the regional Physiography that consisted of volcanic footridges,
168 denoted by the symbol R, uplands, denoted by the symbol U, Kitale plain (P) and
169 bottomlands (B). The geology of the area was characterized by the Pre-Cambrian
170 Basement System Rocks, comprising quartzite and schist derived from argillaous
171 sediments, which have been transformed by metamorphosis into quartz and feldspar-
172 rich rocks with much biotite gneiss (N). Most of the soils have developed on the lower
173 level uplands (U) from undifferentiated gneiss, denoted by the symbol U, and
174 volcanic footridges (R). Based on these characteristics, the soil mapping units were
175 coded as: RUrb, RUd, UUr1, UUr2, UUr3 and UUr4, explained as follows: RUrb
176 consisted of soils developed from volcanic footridges (R), on Undifferentiated
177 Basement System Rocks (U) with reddish brown soils (rb); RUd: soils developed
178 from volcanic footridges (R) on Undifferentiated Basement System Rocks (U) with
179 dark grayish brown soils (d). Similarly, UUr1, UUr2, UUr3 and UUr4 were soils
180 developed from the uplands (U) on Undifferentiated Basement System Rocks with red
181 soils (r). These soil mapping units combined with georeferencing of the sampling
182 points from the farmers' field were applied in interpreting the results of laboratory
183 analysis of the soil samples collected from the field.

184

185 2.2 Field methods

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187 In each of the 44 farmers' fields, auger observation and soil sampling were carried out
188 and georeferenced. At each sampling points, the soil mapping unit and its
189 characteristics were recorded along with the farmers' practices. The soil samples were
190 collected for the evaluation of soil fertility and productivity. Farmers were
191 interviewed on the current management practices on each field sampled to establish
192 the types and quantity of fertilizer applied and the corresponding maize yield.

193

194 2.3 Laboratory methods

195

196 The soils were oven dried at 40⁰C, milled and passed through a 2mm sieve for
197 analysis of available macro and micro nutrients following the methods of Hingaet *al.*
198 (1980). The following available nutrient elements were analysed: N, P, K, Ca, Mg,
199 Mn, Fe, Zn, Cu, total nitrogen and exchangeable acidity where the pH of the soil was
200 ≤ 5.5. In soils with pH > 7.0 electrical conductivity was determined for the evaluation
201 of soil salinity (salts). The available nutrient elements P, K, Ca, Mg and Mn were
202 extracted using Mehlich Double Acid Method of 0.1 N HCl and 0.025 N H₂SO₄ in a
203 1:5 soil: volume ratio (w/v) mixture. Ca and K were determined with a flame
204 photometer and P, Mg and Mn were determined calorimetrically. The extraction of
205 phosphorus (P-Olsen) in soils with a pH > 7.0 was in accordance to the method of
206 Hingaet *al.* (1980) and was determined calorimetrically.

207

208 The total organic carbon (C) was determined calorimetrically where all organic C in
209 the soil sample was oxidized by acidified dichromate at 150⁰C for 30 minutes to
210 ensure complete oxidation (Anderson and Ingram, 1993). Barium chloride was added
211 to the cooled digest, mixed thoroughly and the digest allowed to stand overnight. The
212 C concentration was read on the spectrophotometer.

213 Total nitrogen was determined using macro-kjeldahl digestion method where organic
214 nitrogen in presence of H₂SO₄, potassium sulphate (K₂SO₄), and copper sulphate
215 (CuSO₄) catalyst, amino nitrogen of many organic materials is converted to
216 ammonium. Free ammonia is also converted to ammonium. After addition of base, the
217 ammonia is distilled from alkaline medium and absorbed in boric acid. The ammonia
218 is determined by titration with a standard mineral acid (dilute H₂SO₄). (Hinga et.al
219 1980; Page et.al 1982)

220

221 Other analyses conducted were on soil pH and available trace elements. The soil pH
222 was determined in a ratio of 1:1 and 1:2.5 soil: water (w/v) suspension and electrical
223 conductivity using pH meter and EC-metre respectively. . The available trace
224 elements (Fe, Zn & Cu) were extracted with 0.1M HCl in a 1:10 soil: volume ratio
225 (w/v) and determined with Atomic Absorption Spectrophotometer (AAS).

226

227 Soil Texture was determined using the hydrometer method.

228

229 Exchangeable cations were determined with a flame photometer after successive
230 leaching of the samples with 1N ammonium acetate at pH 7.0. Cation exchange
231 capacity was determined after successive leaching with alcohol (95%), sodium acetate
232 (pH 8.2) and 1N ammonium acetate (pH 7.0). The CEC was determined by measuring
233 the Na concentration in the last leachate with a flame photometer. The analysis of
234 total organic carbon for estimation of soil organic matter content followed the method
235 detailed in Anderson et al. (1993). Derived parameters included exchangeable
236 percentage (ESP) and cation exchange capacity contributed by clay (CEC-clay).

237

238 **2.4 Land evaluation method**

239

240 For the assessment of biophysical production potentials of the farmers' fields,
241 indexing of soil quality and soil productivity was done using semi-quantitative land
242 evaluation methods (Driessen and Konijn, 1992; Neill, 1979; Nyandat and Muchena,
243 1987), where ranges of numerical values of the selected soil quality indicators were
244 rated and assigned fractions in percentage, being guided by the critical limits of the
245 indicators. The critical limit of an indicator is defined as the numerical value of the
246 soil property where crop yield is 80% of the maximum yield (Aune and Lal, 1997).

247

248 Productivity index (PI) was determined using parametric methods of land suitability
249 assessment provided (Driessen and Konijn (1992). This involved assigning ranges of
250 numerical values and percentage fractions to each soil property selected as key soil
251 quality indicators and ranking for maize, beans and sorghum (Table 2) and combining
252 all the single factor valuations in one mathematical equation that produces a
253 numerical expression of the system performance or a relative index of performance
254 (compounding) as follows:

$$255 \text{PI} = (\text{SQ1}/100) \times (\text{SQ2}/100) \times (\text{SQ3}/100) \times (\text{SQn}/100)$$

256

257 Where:

258 PI=Productivity index in % and SQ1, SQ2, SQ3, SQn are percentage ratings of soil
259 quality indicator number 1, 2, and number n. The numerical values of the measured
260 soil quality attributes were obtained from the crop response functions.

261

262

263 **Table 2: Relationships between relative crop yield (Y) and soil properties**
 264

| Crop | Soil properties | Equation | r ² | Critical limit |
|-------|---------------------------|------------------------------|----------------|----------------|
| Maize | Soil pH | $Y=1-250 e^{-(1.43pH)}$ | 0.42 | 5.0 |
| Beans | | $Y=-6.41+2.42pH-0.97(pH)^2$ | 0.68 | 5.1 |
| Maize | Soil organic carbon (SOC) | $Y=0.31+0.56SOC\%-0.11SOC\%$ | 0.37 | 1.08 |
| Maize | Phosphorous | $Y=1-0.95e^{-(0.20p)}$ | 0.88 | 7.6 |
| Beans | | $Y=1-1.03e^{-(0.15p)}$ | 0.78 | 10.6 |
| Maize | Potassium | $Y=1-0.79e^{-(1.66K)}$ | 0.43 | 0.83 |
| Beans | | $Y=1-2.11e^{-(3.32k)}$ | | |

265 **Source: Aune and Ial (1997)**

266

267 **2.5 Statistical method**

268

269 Analysis of productivity indices of different fields was done using SPSS Statistical
 270 Computer Software Version 15.0 in which analysis of variance were carried out. The
 271 means were compared using ANOVA in Genstat Version 9.0.

272

273 **3 Results and discussion**

274

275 **3.1 Baseline soil fertility status and the current recommended practices**

276

277 The Establishment of baseline fertility status of the project area starts with the
 278 examination of the farms and all the operations that affect nutrient availability and
 279 application (Muya *et al.*, 2015). According to Natural Resources Conservation
 280 Services (2003), baseline analysis of the recommended practices on the ground forms
 281 the basis of deciding on the appropriate nutrient management strategies, following soil
 282 sampling and laboratory determinations. The current recommendations for the farmers
 283 in the project area are presented in Table 2, where the fertilizers used for the main
 284 crops are: urea, calcium ammonium nitrate (CAN), Diammonium phosphate (DAP)
 285 and potassium chloride (KCL). These recommendations did not consider the
 286 variations in soil fertility status resulting from the differential interactions between the
 287 soil forming factors such as Physiography, parent materials, slopes and land cover in
 288 different wards (Table 3). The predicted yield of maize, following the application of
 289 the recommended types and rates of the fertilizers was 3,300 kg/ha. This was based on
 290 the assumption that there would be adequate rainfall and efficient supply of nitrogen
 291 from the recommended quantity of urea. The predicted yield is much lower than the
 292 biophysical production potential calculated for the area when all the correctable soil-
 293 related constraints are eliminated. The omission of CAN in the recommendation
 294 package and its substitution by urea may not be appropriate for the project area which
 295 is undergoing severe chemical degradation through increased acidification. The pH of
 296 most soils being less than 5.0 may decrease soil pH further through the use of acid
 297 fertilizers including urea. However, the recommendations based on the latest soil
 298 investigation and analysis results as well as the on-going research are likely to a
 299 positive impact on crop performance.

300

301 **Table 3: Blanket recommended rates of fertilizers**
 302

| Crop | Application rate (kg/ha) | | | |
|----------------|--------------------------|-----|-----|-----|
| | Urea | CAN | DAP | KCL |
| Maize | 50 | 0 | 100 | 0 |
| Irish potatoes | 35 | 0 | 0 | 0 |
| Beans | 35 | 0 | 30 | 0 |
| Wheat | 150 | 0 | 75 | 0 |

303

304 **3.2 The influence of soil physical parameters on soil fertility and productivity**

305

306 The baseline soil fertility status in a given area is influenced by the soil physical
 307 parameters normally used in delineating the soil mapping units. Since these
 308 parameters are subject to change, depending on the soil forming factors and degree of
 309 land degradation, they are applied in the assessment of soil fertility status through
 310 geospatial techniques (Mohamed *et al.*, 2015). The soil parameters used in describing
 311 the soil mapping units in study are presented in Table 3. The variations in these
 312 parameters between different soil mapping units accounted for the differences in
 313 nutrient levels in different wards. The undesirable soil physical attributes such as
 314 extremely compact surface and sub-surface soils, high erosion susceptibility and
 315 severely degraded areas are evidences of low soil productivity, measured by the
 316 generally low productivity index (PI), being less than the threshold of 50%. Soil
 317 compaction is a form of physical degradation resulting into densification and
 318 distortion of the soil structure, thereby adversely affecting the soil processes
 319 responsible for maintaining soil fertility (Muya *et al.*, 2015). These processes were
 320 found to be taking place at different rates in various agro-ecosystems, hence the
 321 occurrence of different soil mapping units with varying levels of macro-nutrients and
 322 productivity indices (PI).

323

324 High degree of physical degradation was also indicated by high bulk density which
 325 was found to be far much higher than the threshold value of 1,100 kg/m³ (Driessen
 326 and Konjin, 1992). The highest level of bulk density was recorded in unit RUd,
 327 measuring 1,600 kg/m³. This corresponded with the highest rate of land degradation
 328 in terms of severe soil erosion, with topsoils removed, thereby reducing available soil
 329 moisture holding capacity considerably. In addition, the exposed sub-surface soils
 330 were found to be, not only dense and slowly permeable, but also causing obstructed
 331 root growth.

332

333 The soil fertility status was found to be generally low, with levels of nitrogen being
 334 lower than the critical limit of 0.2 for all the soil mapping units. The soil organic
 335 carbon (SOC) was also found to be lower than the critical limit of 2.0% in all the
 336 mapping units except BU1. Phosphorous was found to be adequate in all the soil
 337 mapping units except unit UUr1, where it was less than the critical limit of 20 ppm.
 338 Potassium level was found to be less than the critical limit of 0.84 in all the soil
 339 mapping units (Table 4). In general, the research area was found to have low soil
 340 fertility status, which related with low soil productivity, with productivity indices of
 341 all the soil mapping units being less than 50%. This was due to undesirable soil
 342 physical conditions resulting from the severe physical land degradation processes.
 343 Therefore, the first step to improve soil fertility of the project area is to address the
 344 land degradation issues and their negative impacts on soil depth and soil moisture

345 regimes. Priority for intervention to be guided by the productivity index, the highest
 346 level being found it unit BU1 (40.5%), followed by UUr1, UUr2, UUr4 and RUrb
 347 with values of 29.4, 16.0, and 8.9% respectively.

348

349 **Table 4: Soil parameters in relation to soil productivity**

350

| Soil mapping units | Soil physical characteristics | Bulk density (kg/m ³) | Productivity index (PI) % |
|--------------------|---|-----------------------------------|---------------------------|
| UUr1 | Well drained, extremely compact, clay, being very hard when dry, friable when moist, sticky and plastic when wet. | 1395 | 29.4 |
| UUr2 | Well drained, deep to very deep, compact from the depth of 15 cm. | 1360 | 25.0 |
| UUr4 | Well drained, extremely hard when dry, compact and cannot be augured beyond 60 cm, | 1560 | 16.0 |
| RUd | Excessively drained, highly susceptible to erosion, severely degraded, occasionally with topsoils removed compact sandy clay to clay. | 1,600 | 16.0 |
| RUrb | Developed on steep, compact volcanic foot ridges, highly susceptible to erosion. | 1340 | 8.9 |
| BU1 | Moderately drained to imperfectly drained, friable to firm sandy clay to clay, occasionally with red mottles. | 1150 | 40.5 |

351

352

353

354 **Table 5: Soil fertility status of different mapping units**

355

| Soil mapping unit | % N | SOC% | P ppm | K m.e.% |
|-------------------|------|------|-------|---------|
| UUR1 | 0.11 | 1.17 | 18.06 | 0.38 |
| UUR2 | 0.10 | 1.00 | 40.00 | 1.02 |
| UUr4 | 0.10 | 1.33 | 31.67 | 0.56 |
| RUd | 0.08 | 1.00 | 35.00 | 0.36 |
| RUrb | 0.15 | 1.00 | 35.00 | 0.58 |
| BU1 | 0.19 | 2.00 | 30.00 | 0.70 |

356

357 Efficient use of fertilizers involves application of the type and quantity of nutrients,
 358 aimed at filling the gaps between the nutrient levels in the soils (expressed in kg/ha)
 359 and the quantity required by a given crop per hectare (Sanginga and Woomer, 2009).
 360 Therefore, one of the results of establishing the baseline soil fertility status was the
 361 determination of the nutrient levels in the soils in kg/ha in different Wards (Table 5).
 362 The nutrient levels in the soils are to be matched with the quantity required by the
 363 desired crop, and the prescription of the inputs should be done on that basis. For
 364 example, Akmal *et al.*, (2010) found that 150 kg/ha of N in combination with 170
 365 kg/ha of P were required for maximum maize production, while Guidoline *et al.*
 366 (2001) reported maize yield of 10,000 kg/ha through application 200 kg/ha of N and

367 120 kg/ha of P. The latter finding is comparable with the maximum production of
 368 maize from the research area, calculated, using the effective rain of 582 mm during
 369 the growing season (Muya *et al.*, 2015) and water utilization efficiency of 1.25 kg/m³,
 370 given by FAO (1986). Based on these relationships, the levels of nutrients in all the
 371 Wards were found to be low except potassium. The soil organic carbon was found to
 372 be the most limiting fertility attribute, being much lower than the threshold of 10
 373 tons/ha. The blanket recommendation of applying 50 kg/ha of N and 100 kg/ha of P
 374 across the four Wards in Trans-Nzoia County was found to be lower than the quantity
 375 recommended (150 and 125 kg/ha of N and P respectively), based on the mean level
 376 of nutrients in the soil, with values of 102.45 and 95.15 kg/ha for nitrogen and
 377 phosphorous respectively.

378 **Table 6: Nutrients levels in the soils of different wards**

379

| Ward | N kg/ha | SOC tons/ha | P kg/ha | K kg/ha |
|------------|---------|-------------|---------|---------|
| Cherangani | 97.12 | 0.86 | 106.83 | 408.8 |
| S.E. | 9.12 | 0.863 | 23.2 | 54.8 |
| C.V. (%) | 31.25 | 31.86 | 72.03 | 44.12 |
| Keiyo | 125.82 | 1.17 | 76.08 | 383.25 |
| S.E. | 8.954 | 0.0846 | 23.2 | 55.2 |
| C.V. (%) | 23.6 | 23.92 | 72.03 | 47.77 |
| Kwanza | 81.12 | 0.74 | 136.41 | 535.89 |
| S.E. | 4.559 | 0.743 | 10.9 | 78.64 |
| C.V. (%) | 15.9 | 14.54 | 22.6 | 41.51 |
| Motosiet | 99.92 | 0.95 | 72.56 | 347.67 |
| S.E. | 6.938 | 0.0718 | 19.17 | 33.38 |
| C.V. (%) | 23.03 | 25.16 | 87.65 | 31.84 |
| Mean | 102.45 | 0.98 | 95.15 | 410.34 |

380

381 3.3 Analysis of farmers' practices and yield gaps

382

383 The farmers' practices in different Wards, the corresponding maize yield and yield
 384 gaps are given in Table 6. The maximum production recorded in the project sites is
 385 9,000 kg/ha, with a yield gap of only 1,000 kg/ha. This was achieved through
 386 application of 100 and 50 kg/ha of DAP and CAN respectively. This was followed by
 387 6,750 kg/ha obtained through application of 50 kg/ha of DAP and CAN. The yields
 388 from the rest of the sites ranged between 1,800 and 4,500 kg/ha with yield gaps
 389 varying from 3,250 to 8,650 kg/ha. The yield gap reflects the seriousness of all
 390 limitations in the maize-based systems (Muya *et al.*, 2015). It is an indicator of the
 391 biophysical and socio-economic challenges faced by the land users in the real-world
 392 farming situations that must be corrected in order to close the gaps. From the
 393 biophysical point of view, it reflects on the compounded deficiency of all the soil
 394 quality attributes that have significant influence on the crop performance (Driessen,
 395 1997).

396

397 The maize yields were found to be highest in Motosiet Ward, followed closely by
 398 Cherangani. The lowest yields were obtained in Keiyo Ward, followed by Kwanza
 399 despite the relatively high macro-nutrient levels in the two Wards (Figure 1). This
 400 could be attributed to lower soil quality caused by the increased physical degradation,
 401 resulting into unfavourable soil conditions that constrained the utility of the applied
 402 inputs. The unfavourable soil physical constraints included relatively very steep

403 volcanic footridges (RUd and RUrb), extremely compact soils with high volumes of
 404 run-off and severely eroded soils, occasionally with topsoils removed. Considering
 405 that most important biogeochemical cycles occur in the upper soil horizons, the
 406 continuous loss of top soil through unfavourable tillage practices are the major cause
 407 of the crop production decline in intensively and frequently cultivated areas (Brunel et
 408 al., 2011). Since this erosive phenomenon has differential impacts on the interactions
 409 of different processes taking place in the soil profiles in different project sites, they
 410 are likely to cause variations in the results of the on-going research whose main
 411 objective is to identify climate smart agricultural technologies for enhanced cereal
 412 production. Therefore, it is important to identify, delineate and separate the severely
 413 eroded areas, non-degraded sites, depositional and imperfectly drained lowlands from
 414 well conserved and relatively productive areas. This will facilitate the verification and
 415 synthesis of the research results.

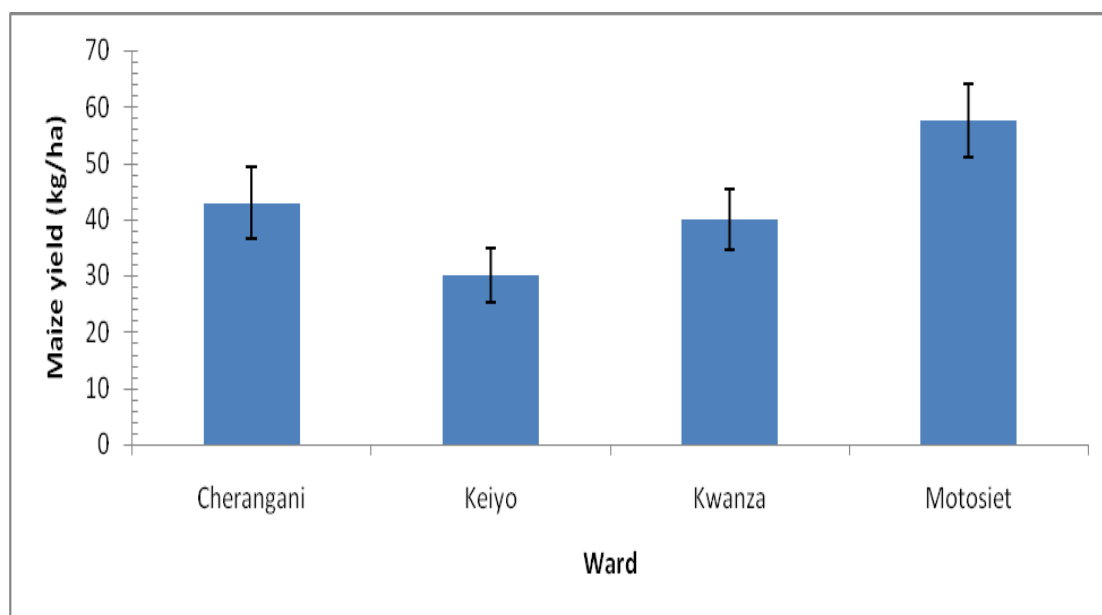
416

417 **Table 7: Farmers’ practices, the corresponding maize yield and recommended**
 418 **package**

419

| Ward | Framer No. | Fertiliser inputs | | Maize kg/ha | Yield gap kg/ha |
|------------|------------|-------------------|--------------|-------------|-----------------|
| | | First application | Topdressing | | |
| Motosiet | 1 | 50 kg/ha DAP | 50 kg/ha CAN | 6,750 | 3,250 |
| | 2 | 50 kg/ha DAP | 50 kg/ha CAN | 6,750 | 3,250 |
| | 3 | 50 kg/ha DAP | 50 kg/ha CAN | 4,050 | 5,950 |
| | 4 | 50 kg/ha DAP | 75 kg/ha | 4,500 | 5,500 |
| | | 50 kg/ha DAP | 75 kg/ha CAN | 3,420 | 6,580 |
| | | 75 kg/ha DAP | 50 kg/ha CAN | 4,050 | 5,950 |
| | 5 | 100 kg/ha DAP | 50 kg/ha CAN | 9,000 | 1,000 |
| | 8 | 100 kg/ha DAP | 50 kg/ha CAN | 3,320 | 6,580 |
| | 9 | 100 kg/ha DAP | 100 kg/ha | 5,850 | 4,150 |
| | 10 | 100 kg/ha Mavuno | 0 | 4,050 | 5,950 |
| Keiyo | 11 | 50 kg/ha DAP | 25 kg/ha CAN | 1,800 | 8,200 |
| | 12 | 50 kg/ha DAP | 0 | 2,160 | 7,840 |
| | 13 | 50 kg/ha DAP | 50 kg/ha CAN | 4,500 | 5,550 |
| | 14 | 100 kg/ha DAP | 50 kg/ha CAN | 3,320 | 6,580 |
| | 15 | 50 kg/ha DAP | 50 kg/ha CAN | 2,250 | 7,750 |
| | 16 | 50 kg/ha DAP | 50 kg/ha CAN | 2,250 | 7,750 |
| | 17 | 50 kg/ha DAP | 50 kg/ha CAN | 5,670 | 4,330 |
| | 18 | 50 kg/ha DAP | 50 kg/ha CAN | 1,620 | 8,380 |
| | 19 | 50 kg/ha DAP | 50 kg/ha CAN | 1,620 | 8,380 |
| | 20 | 50 kg/ha DAP | 50 kg/ha CAN | 1,800 | 8,200 |
| Cherangani | 21 | 50 kg/ha DAP | 50 kg/ha CAN | 5,670 | 4,330 |
| | 22 | 50 kg/ha DAP | 50 kg/ha CAN | 5,670 | 4,330 |
| | 23 | 100 kg/ha DAP | 100kg/ha CAN | 4,500 | 5,500 |
| | 24 | 50 kg/ha DAP | 0 | 1,350 | 8,650 |
| | 25 | 100 kg/ha DAP | 0 | 5,320 | 4,780 |

| | | | | | |
|--------|----|---------------|--------------|-------|-------|
| | 26 | 75 kg/ha DAP | 75 kg/ha | 6,200 | 3,700 |
| | 27 | 50 kg/ha DAP | 50 kg/ha CAN | 4,050 | 5,950 |
| | 28 | 75 kg/ha DAP | 75 kg/ha CAN | 5,220 | 4,780 |
| | 29 | 50 kg/ha DAP | 50 kg/ha CAN | 2,250 | 7,750 |
| | 30 | 50 kg/ha DAP | 50 kg/ha CAN | 1,800 | 8,200 |
| | 31 | 50 kg/ha DAP | 0 | 2,250 | 7,750 |
| Kwanza | 32 | 50 kg/ha DAP | 0 | 2,250 | 7,750 |
| | 33 | 50 kg/ha DAP | 50 kg/ha CAN | 3,420 | 6,580 |
| | 34 | 50 kg/ha DAP | 0 | 3,420 | 6,580 |
| | 35 | 100 kg/ha DAP | 75 kg/ha | 1,800 | 8,200 |
| | 36 | 100 kg/ha DAP | 75 kg/ha | 3,600 | 6,400 |
| | 37 | 50 kg/ha | 0 | 3,420 | 6,580 |



421

422 **Figure 1: Average maize grain yield in different Wards in Trans Nzoia County**

423

424 The current maize yield gaps could be attributed to the nutrient deficit, which is the
 425 difference between the quantities of fertilizers applied and those recommended, based
 426 on the soil test results. However, in order to realize optimum yield, the full
 427 recommendation package on fertility management must be tested, validated,
 428 disseminated and adopted by the farmers. According to Thomas Fairhurst (2012),
 429 testing and validation are required to reliably establish how much input is required to
 430 achieve a given yield, which is important for economic analysis. Soil testing alone is
 431 not enough; therefore, field experiments are required to calibrate soil test results,
 432 verify nutrient deficiencies, establish yield responses to fertilizer and identify risk
 433 factors for poor response to fertilizers (Sanginga and Woomer, 2009). The full fertility
 434 recommendation package, based on soil survey and test results include:

- 435 • Conservation tillage and 10 t/ha of manure to improve soil structure and health
- 436 • Reducing soil pH using of 600 kg/ha of dolomitic lime
- 437 • Application of 150 and 125 kg/ha of N and P respectively
- 438 • Application of 10 kg/ha of zinc sulphate to improve the most limited micro-
 439 nutrient (zinc)
- 440 • Using Rhizobium inoculated seeds to enhance the level of nitrogen

441

442 3.4 The relevance of the envisaged technologies and the predicted impacts

443

444 The overall soil-related constraint for all the project sites are surface sealing, compact
 445 sub-surface soils (causing low rainwater uptake), low organic matter content and high
 446 acidity with over 90% of the sites having pH less than 5.0. Due to low water uptake
 447 capacity of most soils, less than 50% of the rainwater is captured and stored in the soil
 448 for consumptive use by the crops.

449 The soils of the research area, being very compact with low water uptake capacity and
 450 relatively high volume of run-off, require an intervention that would reverse these
 451 undesirable phenomena. For example, Njia (1979) found that maize stover (mulching)
 452 effectively controlled run-off through increased surface storage, which in turn,

453 increased infiltration opportunity time. In a study to evaluate the effects of different
 454 tillage methods on crop performance and water use efficiency, Kilewe and Ulsaker
 455 (1984) came up with the results indicated in Table 7. In this case, conventional
 456 contour furrows, wide furrows and mini benches retained all the run-off that resulted
 457 in a significantly higher water storage capacity than flat tillage which enhanced yield
 458 of maize and water use efficiency. This was attained because upon improvement of
 459 soil structure, soil tilth was attained. Hillel (1990) defined soil tilth as a highly
 460 desirable soil physical conditions in which the optimally loose, friable and porous
 461 assemblage of soil aggregates permits free air and water circulation, relatively high
 462 water uptake and storage, unobstructed root growth and germination.

463

464 **Table 8: Effects of tillage methods on grain yields and water use efficiency**

465

| Treatment | Total water use (mm) | | Maize yield in kg/ha | | Water use efficiency kg/ha/mm | |
|----------------------|----------------------|------------|----------------------|------------|-------------------------------|------------|
| | Short rains | Long rains | Short rains | Long rains | Short rains | Long rains |
| Flat | 521.2 | 359.3 | 3722 | 256 | 7.1 | 0.7 |
| Conventional furrows | 506.2 | 368.8 | 5242 | 725 | 10.4 | 2.0 |
| Wide furrows | 509.2 | 351.4 | 5458 | 844 | 10.7 | 2.4 |
| Mini bench | 524.2 | 370.1 | 4680 | 643 | 8.9 | 1.7 |

466

467 **Source: Kilewe and Ulsaker (1984)**

468

469 **4.0 CONCLUSIONS AND RECOMMENDATIONS**

470

471 The current fertilizer recommendations in the project area were found to be: urea,
 472 CAN, DAP and KCL. These recommendations did not consider the variations in soil
 473 fertility status resulting from the differential interactions between the soil forming
 474 factors and land degradation processes. The variations in these factors, as measured
 475 by different productivity indices, accounted for the differences in nutrient levels in
 476 different wards. Keiyo Ward had the highest level of nitrogen, being 125.82, followed
 477 by Motosiet, Cherangani and Kwanza with values of 99.92, 97.12, and 81.12 kg/ha
 478 respectively. Phosphorous level was highest in Kwanza (136.41 kg/ha), followed by
 479 Cherangani (106.82 kg/ha) and Keiyo Ward (76.08 kg/ha). The lowest level was
 480 recorded in Motosiet with the value of 72.56 kg/ha. Potassium was found to be
 481 adequate in all the four Wards with values ranging between 347.67 and 410.34 kg/ha.

482

483 The maximum maize production recorded in the project sites was 9,000 kg/ha, with a
 484 yield gap of only 1,000 kg/ha. This was achieved through application of 100 and 50
 485 kg/ha of DAP and CAN respectively. This was followed by 6,750 kg/ha obtained
 486 through application of 50 kg/ha of DAP and CAN. The yields from the rest of the
 487 sites ranged between 1,800 and 4,500 kg/ha with yield gaps varying from 3,250 to
 488 8,650 kg/ha. To narrow the yield gaps, recommended practices, based on the soil test
 489 results should be tested, validated, disseminated and adopted by the farmers. These
 490 include: conservation tillage and 10 t/ha of manure to improve soil structure and
 491 health; reducing soil pH using of 600 kg/ha of dolomitic lime; application of 150 and
 492 125 kg/ha of N and P respectively; application of 10 kg/ha of zinc sulphate to improve
 493 the most limited micro-nutrient (zinc); and Rhizobium inoculated seeds to enhance

494 the level of nitrogen. Motosiet Ward had the highest maize yield, followed closely by
495 Cherangani. The lowest yields were obtained in Keiyo, followed by Kwanza Ward
496 despite the relatively high macro-nutrient levels in the two Wards. This could be
497 attributed to lower soil quality caused by the increased physical degradation, resulting
498 into unfavourable soil conditions that constrained the utility of the applied inputs. The
499 unfavourable soil physical constraints included relatively very steep volcanic
500 footridges (RUd and RUrb), extremely compact soils with high volumes of run-off
501 and severely eroded soils, occasionally with topsoils removed. Therefore, positively
502 high response to fertilizer application is predicated upon elimination of all the
503 correctable limitations associated with increased physical and chemical degradation
504 mainly acidification. On this basis, it is strongly recommended that the envisaged
505 climate smart technologies be geared towards enhancement of water use efficiency
506 through improved soil structure and tilth.
507

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