

# 1 REFLECTIONS ON EFFORTS GEARED TOWARD IMPROVED SOIL

## 2 FERTILITY AND CROP YIELDS IN KENYA

### 3 4 5 **ABSTRACT**

6 A successful strategy aimed at enhancing productivity relies on its ability to be implemented  
7 practically in the field (farmers' field). Many research-based and promising soil fertility technologies  
8 are largely not adopted. This paper examines the impact of the research work conducted at University  
9 of Eldoret, agricultural institutes and the government projects at farm level. Precisely, this paper  
10 narrows down to research done with an aim of exploring system approaches that address soil  
11 phosphorus and its effect on increasing crop yields. Although literature registers success stories of  
12 that research work, there is slow and limited adoption rate of the output by farmers. We suggest the  
13 development and expansion of Transdisciplinary research and creation of Farmer Research Network  
14 to seek a one-size- fits-all solution for farmers to adopt technologies with proven success.

### 15 16 **1.0. INTRODUCTION**

17 Rapid human population growth and stagnating crop yields [1] greatly contributes to food insecurity in  
18 Sub Saharan Africa and Kenya in particular. There is a need for new and complementary solutions to  
19 improve crop yields. The ever- increasing global population is expected to be near 9.6 billion by the  
20 year 2050 [27] therefore, use of a sustainable way to improve crop yields, use of cropping systems  
21 that maximize productivity while minimizing input resources would be ideal.

22 In Kenya, increasing crop yields requires the additions of phosphorus and nitrogen fertilizers as well  
23 as pesticides to control destructive pests. Recently, the depletion of phosphorus (P) has received  
24 increased interest [4] as a major limiting nutrient for staple cereal crop production. The P delivered by  
25 inorganic fertilizers is derived from the rock phosphate which is a non-renewable resource [9] and is  
26 expected to be largely depleted in the next few decades [32] .

27 Farmers are expected to benefit from new sustainable technologies or products to boost yields. Some  
28 of these technologies have been developed through participatory experiments by the government [6] ,  
29 agricultural institutes and researchers at the Department of Soil Science, University of Eldoret, Kenya.  
30 The soil fertility technologies presented below are geared towards improved availability of phosphorus  
31 and have been proven effective in increasing crop nutrient and yields. However technology adoption  
32 rates have been extremely low and in some cases near absent [23] .

### 33 **1.1. A review of the Fertilizer Use Recommendation Project (FURP) -Phase one;** 34 **when, why and how**

35 In 1987, the Ministry of Agriculture through the National Research Laboratories (NARL) commissioned  
36 a study in high and medium potential maize growing areas. This was done in order to make a decision  
37 as to where to establish FURP trial sites in Kenya through a survey in what was then called 'first  
38 priority sites'. A priority site is an area that was considered to have representative soils, agro  
39 ecological zone, accessible, close to a long-term rainfall station, free from rocks and boulders and  
40 termite mounds. A survey of description of the first priority sites in the various districts in Kenya was  
41 done and Uasin Gishu was included. The breakdown of soil properties referring to groupings of soil  
42 units was given. These properties included description of soils which were well drained, deep to very  
43 deep, red to dark red with friable clay. Well drained, very deep to extremely deep, dark red to dark  
44 reddish brown, friable clay with humic top soil was also described. In Uasin Gishu county (then a  
45 district), two priority sites were selected. That was Moi teachers (now University of Eldoret) near  
46 Eldoret town and Turbo forest station. Farmers' fields were not selected and it was recommended that  
47 it should be done in the future because Uasin Gishu district was vast. Areas that were not  
48 represented by the trial sites involved scattered hills and foot slopes as well as shallow soils on  
49 volcanic foot ridges. All combinations of the different soils, climate environments occurring in Uasin  
50 Gishu district were placed in Agro-Ecological Zones maps. From this study, it was recommended that  
51 N and P be applied together with organic materials. Lime was recommended but it was said to  
52 antagonize Zinc (Zn) and Copper (Cu) [6] . All fertilizer recommendations since then have been based  
53 on this report. However, this situation has changed with the release of the [8] report, based on a  
54 smaller unit of sub-county.

## 55 **1.2 Green manure technologies**

56 These technologies consist of a combination of manures with inorganic fertilizers and leguminous  
57 trees/shrubs [19] . Adoption has been minimal, largely due to the lack of immediate benefits to the  
58 farmers, despite the research and extension efforts made by the International Institute of Tropical  
59 Agriculture (IITA) and the Tropical Soil Biology (TSBF). A study done by [25] showed that  
60 combination of rock phosphates, farmyard manure, tithonia and inorganic fertilizer as sources of P,  
61 had an effect on exchangeable acidity, exchangeable aluminium, P availability in the soil and may  
62 have other benefits associated with integrated soil fertility management. The use of tithonia and  
63 Farmyard manure is negligible in implementation because of limited quantities available at the farm  
64 level to supply the recommended rates of  $P\ ha^{-1}$  [25] .

## 65 **1.3. Rock phosphate technologies (PREP – PAC)**

66 Non-acidulated rock phosphate could be used as an alternative source for P. Several studies have  
67 been conducted in Western Kenya on the effects of rock phosphate on cereal and legume yields. A  
68 patented PREP - PAC [24 : 30] was designed at the Department of Soil Science in 1997, University  
69 of Eldoret which by then was still Moi University. PREP – PAC is designed to replenish the fertility of  
70 soils on seriously depleted patches that are widespread on smallholder farms. It consisted of  
71 repackaging of inputs in small, affordable quantities, which may be an avenue to attract smallholder  
72 farmers to use nutrient inputs. Positive economic returns to investment from PREP-PAC inputs was  
73 reported by [37] . PREP-PAC consists of 2 kg Minjingu Rock Phosphate (MPR), 0.2 kg Urea, 120 g  
74 food legume seed, rhizobial inoculant (Biofix) packed with lime pellets to raise the pH of the  
75 inoculated seed environment and gum Arabic sticker to hold the inoculant onto the surface of the  
76 seed. Several other works citing the promising effect of MPR on soil fertility replenishment [18; 20]  
77 has been documented, however, adoption by farmers is negligible due to the unavailability of rock  
78 phosphate locally and its extra cost incurred when imported from the neighboring Uganda and  
79 Tanzania. Furthermore, the use of rock phosphate often does not translate to an immediate increase  
80 in production depending on the initial level of soil fertility. Phosphate rock (PR) is a very important  
81 finite resource but its applications have adverse environmental implications. It contains hazardous  
82 elements that could be transferred to the soil through the application of fertilizers, especially after long  
83 term use. [7] . Leaching or runoff losses from PR should be minimized because this resource may be  
84 depleted in the near future.

#### 85 **1.4. Bio fertilizers**

86 To satisfy crop nutritional requirements, P is usually added to soil as chemical P fertilizer. However,  
87 rock phosphate is expected to be depleted in the near future [32] and synthesis of chemical P  
88 fertilizer is highly energy intensive with long-term impacts on the environment in terms of  
89 eutrophication, soil fertility and carbon footprint. Moreover, plants only use a small amount of the  
90 added P, 75–90% of it is precipitated by metal–cation complexes, and rapidly becomes fixed in soils.  
91 Such environmental concerns have led to the search for a sustainable way of P nutrition of crops. In  
92 this regard, Phosphate-Solubilizing Microorganisms (PSM) is perceived as best eco-friendly means  
93 for P nutrition of crops in a sustainable manner [36]. Many studies have evaluated either combined  
94 and/or sole use of mycorrhizal and rhizobial inoculants on various legumes. Improved crop yields  
95 have been reported ([14; 15 and 16] with adequate available phosphorus. From these studies, it was  
96 recommended that continued evaluation of biofertilizers and dissemination of results to smallholder  
97 farmers be done. The rate of adoption of this technology has been low despite the bio inoculants  
98 being affordable. Poor adoption could probably be due to short duration viability of microorganisms  
99 and poor dissemination of research outcomes to smallholder farmers.

#### 100 **1.5. The maize legume intercrop technology (“MBILI”)**

101 In this technology, there is a spatial arrangement of one maize line followed by two legume lines [35].  
102 Apart from the beneficial interaction (biological nitrogen fixation-BNF) legumes, can mobilize fixed  
103 forms of soil P through the secretion of organic acids such as citrate and malate and other P  
104 mobilizing compounds from their roots ([26]. Among other beneficial effects brought about by  
105 legumes, is the production of hydrogen gas (H<sub>2</sub>) as a by-product of BNF which greatly affects the  
106 composition of the soil microbial population, further favoring the development of plant growth-  
107 promoting bacteria [1] and Vesicular Arbuscular Mycorrhiza a fungus. When cereal roots forms  
108 associations with this fungus, extensive root system is developed which has the capacity to utilize the  
109 solubilized P from the legume intercrop. In essence, this technology improves the P use efficiency in  
110 the soil.

111 This technology is the only one which has been largely adopted. This is probably because during  
112 planting the maize is planted first by a male farmer either by use of a planter or by hand. Because the  
113 legumes are perceived to be a “female” crop, the legumes are planted afterward with strict instruction

114 from the male farmer that they should be planted in between the rows of maize to avoid competition  
115 for nutrients [12] . With that given, the female farmer plants, the legumes between the maize lines with  
116 little fertilizer and both the crops do well. In this technology, gender roles influence its adoption This  
117 technology has also worked in Malawi where gender differentiation is very important for farmer  
118 interest with legumes [13; 28].

#### 119 **1.6. National Accelerated Access to Input Acquisition Program (NAAIAP)**

120 National Accelerated Access to Input Acquisition Program [8] in Kenya, carried out soil sampling and  
121 analyses nation-wide after which later-on fertilizer recommendations for various crops grown in  
122 selected counties were developed. However, there is limited information on crop nutrients  
123 requirements, characteristics of soils and high level of variation in soil properties experienced across  
124 many sub-counties where soils were sampled. Today, farmers have not fully adopted the  
125 recommendations because some of the inputs recommended are beyond their reach either  
126 financially, in the amounts required or even availability of the formulation recommended. For example,  
127 the use of manure at the rate of 6 t ha<sup>-1</sup> is difficult to implement due to limited quantities available at  
128 the farm level, not to mention the likely physical effects on the soil.

129 Other projects like International Phosphate (IMPHOS) carried out research between 2010 and 2012  
130 and published a paper of their findings [17] from which recommendations that liming materials should  
131 be combined with conventional Diammonium Phosphate (DAP) and manures to improve the soil  
132 fertility. Once more, lack of involvement of all stakeholders hindered adoption of this technology.  
133 Further, many farmers are not well endowed with resources so they could not implement the  
134 recommendations. [8] , however, discouraged the use of DAP in Uasin Gishu County due to its  
135 acidifying effects on the soil. Such conflicting information warrants an in-depth review of soil test  
136 results for farm-specific recommendations coupled with appropriate dissemination method.

137 Participatory experiments preceded by proven success in greenhouse trials often do capture farmers'  
138 preferences for different technologies, but they do not necessarily answer why farmers actually adopt  
139 those technologies, or not. As such, the outcomes of participatory evaluation cannot be taken as  
140 automatically predictive for future adoption. The looming food insecurity poses questions for scientists  
141 who have to work round the clock to find answers to constraints of food security. One of the question  
142 to be asked is did we find a solution to the farmer's problems to warrant adoption of a technology?

## 143 **2.0. SUGGESTED STRATEGY**

144 Having shown that the failure by the traditional extension approaches to improve on technology  
145 adoption, scientists should strategize on how to communicate these technologies to farmers or use a  
146 new suggested approach like the transdisciplinary process and creation of Farmer Research  
147 Networks (FRN) to reach out to the farmers.

148 A transdisciplinary process is a reflexive approach that addresses societal problems by means of  
149 interdisciplinary collaboration as well as collaboration between researchers and non-researchers. Its  
150 aim is to enable mutual learning process between science and society [34] . This process brings  
151 scientific knowledge to the farmers by creating researcher and farmer to farmer networks [21] . The  
152 extension officers are among stakeholders and they play a role in disseminating the information to  
153 farmers. Transdisciplinary processes utilize knowledge from theory and practice to generate socially  
154 robust solutions for sustainable development [33] . The process complements other forms of science-  
155 society cooperation such as contract-based research, public participation, participatory research [11] .  
156 Farmer Field Schools or Farmer Research Networks [21] . In Africa, and specifically, Kenya the  
157 transdisciplinary process is a relatively new concept. This process was initially used in Europe and  
158 was suggested first by [10] for the African context. In this context, the process aims at enabling a  
159 mutual learning process between scientists and farmers. The transdisciplinary process has been used  
160 with success for some disciplines such as higher education[2] , Landscape Management [39] and the  
161 emerging Sustainability Science [38] . In the recent past, the transdisciplinary process has become a  
162 tool of corporate sustainability in management science [31] . In the United States (US) and other  
163 parts of the world, TD has not been used for long but similar approaches like the community based  
164 participatory research are used. This method was applied in Uasin Gishu, Kenya in 2014 [22] and [3]  
165 . It aimed at farmers' participation in a transdisciplinary process including extension officers and local  
166 scientist to construct farm specific fertilization strategies based on farm specific soil testing. This  
167 method also aimed at construction of cooperative strategies for purchasing fertilizer involving farmers,  
168 traders and financial institution in a timely manner.

169 A brief presentation of results showed that the farmers who participated in a transdisciplinary process  
170 and tested their soils had better crop yields. Usually the farmers in Uasin Gishu region produce about  
171 4.5 t dry maize/ha without soil testing, independent of their participation in a transdisciplinary process.  
172 A qualified soil test with differentiated soil testing recommendations increases the yield by about 1.5 t

173 dry maize/ha. Participating in a transdisciplinary process provides an additional surplus of about 1 t  
174 dry maize/ha yield. Economically, this is a highly attractive result; given that soil testing costs around  
175 20 USD, a surplus of 1 t dry maize returns approximately 330 USD and farms' sizes have been about  
176 2.8 ha. The development of this method in Kenya has been a mid-sized transdisciplinary process that  
177 aims to improve smallholder farmers' participation in the agricultural value chain; providing soil  
178 testing-based, farm-specific fertilization strategies. The development and application of this study  
179 included (1) a multi-stakeholder discourse including the key actors of the smallholder farmers' crop  
180 cycle; (2) an interdisciplinary process in which a science team from agro science collaborated with a  
181 socioeconomic team; and (3) the facilitation of a mutual learning experience between key  
182 stakeholders and scientists.

183 It was not difficult to establish learning on an equal footing with traders and banks, although these  
184 stakeholders presumably took action only if they face a market win-win situation. We were able to  
185 include key stakeholders who worked with but had not made it their focus to work for the poor, as we  
186 believe that the intention is to frame and to change the system in ways that allow poor smallholder  
187 farmers to benefit. We also believe that this became possible because scientists were not perceived  
188 as political activists but rather as intermediaries [29] whose intention was to support all stakeholders.

189 The transdisciplinary process worked surprisingly well and was promoted by the democratic,  
190 cooperative societal environment in the Uasin Gishu setting and by the strong commitment of local  
191 stakeholders, researchers, and the international transdisciplinary researchers who continuously stressed a  
192 structured, systematic, method-driven facilitation of the process. The transdisciplinary process  
193 certainly provide socially robust solutions for key stakeholders to improve fertilization and thus  
194 increase the yields of smallholder farmers.

195 We also propose the use of Farmer Research Network combined with innovations in Information and  
196 Communication Technology as a strategy for matching diverse options and contexts in smallholder  
197 agriculture[21] . Developments in ICTs now bring within reach the prospect of large-scale participatory  
198 research, which would enable the integration and up-scaling of improved crop genetics and  
199 management, as well as other types of agricultural technologies and options.

200

201 **3.0. CONCLUSION**

202 We suggest the development and expansion of Transdisciplinary research and creation of Farmer  
203 Research Network to seek a one-size- fits-all solution for farmers to adopt technologies with proven  
204 success.

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