²**Evaluation of a low-cost camera for agricultural** ³**applications**

. . .

$rac{4}{5}$ 6 **ABSTRACT**

..

 .

This study aimed to modify a webcam by replacing its near-infrared (NIR) blocking filter to a low-cost red, green and blue (RGB) filter for obtaining NIR images and to evaluate its performance in two agricultural applications. First, the sensitivity of the webcam to differentiate normalized difference vegetation index (NDVI) levels through five nitrogen (N) doses applied to the Batatais grass (Paspalum notatum Flugge) was verified. Second, images from maize crops were processed using different vegetation indices, and thresholding methods with the aim of determining the best method for segmenting crop canopy from the soil. Results showed that the webcam sensor was capable of detecting the effect of N doses through different NDVI values at 7 and 21 days after N application. In the second application, the use of thresholding methods, such as Otsu, Manual, and Bayes when previously processed by vegetation indices showed satisfactory accuracy (up to 73.3%) in separating the crop canopy from the soil.

8

7

9 *Keywords: NDVI; Paspalum notatum fluegge; Otsu; segmentation.*

10 **1. INTRODUCTION**

11 Recent developments in sensor technologies have made digital cameras more and more 12 efficient and affordable. These systems have been widely used as a versatile remote 13 sensing tool for many applications due to its advantages over film-based aerial photography 14 and satellite imagery [1]. The main advantage of digital photography lies in simplified image 15 processing [2]. Among the advantages of digital photography from these cameras are its 16 relatively low cost, high spatial resolution and near-real-time availability of imagery for visual 17 assessment and image processing.

18 Digital cameras are fitted with either a charge-coupled device (CCD) sensor or a 19 complementary metal oxide semiconductor (CMOS) sensor that are photoconductive 20 devices. These sensors are sensitive to near-infrared (NIR) wavelengths, however, most of 21 these cameras are fitted with a blocking filter to this wavelength. Thus, typically these 22 images present only the red, green, and blue (RGB) bands, which are sufficient to represent 23 colors in the visible portion of the spectrum (400 – 700 nm), as recognized by the human 24 vision [3]. In most cases, the digital photographs are recorded in joint photographic experts'
25 group (JPEG) or tagged image file format (TIFF), and the RGB channels are obtained group (JPEG) or tagged image file format (TIFF), and the RGB channels are obtained 26 through image processing.

27 The use of images with RGB and NIR bands is very common in agricultural applications, 28 especially for vegetation monitoring. Many vegetation indices, such as the normalized 29 difference vegetation index (NDVI) [4] require spectral information in the NIR and red bands,

30 even though the RGB bands could be sufficient for some applications [5]. Since most 31 consumer-grade cameras only provide RGB bands, NIR filtering techniques can be used to 32 convert an RGB camera into a NIR camera. Moreover, it is possible to replace the blocking 33 filter by a long-pass infrared filter on standard CCD or CMOS sensors for obtaining NIR 34 images [6].

35 Over the years, numerous systems for collecting images based on cameras or webcams 36 have been developed and modified to obtain NIR information across multiple domains. Most 37 systems included analysis of the nutritional status of agricultural crops [7], disease detection 38 [8], yield estimation [9], and weed identification [10]. In addition, other authors highlight the 39 possibilities of using vegetation indices combined with segmentation techniques and texture 40 analysis for obtaining data of interest, such as crop canopy and soil [11, 12]. Furthermore, 41 these cameras can be mounted in a stationary installation [13] or onboard a light aircraft or 42 unmanned aerial vehicle, a deployment which was made possible due to its low weight [14, 43 15].

44 Given the many possibilities of using images from RGB or modified cameras to access the 45 NIR band, the use of artificial vision systems through image processing has enabled the 46 extraction of information of interest, which proves to be a great tool for application in the 47 agricultural environment. Therefore, in view of the challenge to obtain low-cost images with 48 good quality for solving problems, the present study aimed to modify a webcam to obtaining 49 data from the NIR band and to evaluate its performance over different agricultural 50 applications.

51 **2. MATERIAL AND METHODS**

52 The experiment was conducted at the Federal University of Viçosa, Viçosa Campus in Minas 53 Gerais, which is located among the coordinates: 20° 45' 14 "(S) and 42° 52' 54" (W), 649 54 meters above sea level. The image acquisition system comprised two C3 Tech model HB 55 2105 webcams that produced images in JPEG format (640x480 pixels).

56 In order to obtain NIR images, a modification was carried out in one of the webcams by 57 removing the NIR blocking filter, and adding an RGB blocking filter, which was made from 58 the magnetic material of a floppy disk (common diskette) as proposed by [16]. Thus, the 59 unmodified webcam, named in this study as RGB webcam and the modified NIR webcam 60 were tested on two different applications. First, the performance of the webcam's images to 61 differentiate NDVI values according to different N rates was verified. Second, these images 62 were processed for separating the crop canopy from the soil using different thresholding 63 algorithms.

64 In the first application, a field experiment was carried out using the Batatais grass (*Paspalum* 65 *notatum* Flugge), where a randomized block design with five treatments and five replications 66 was adopted. Treatments consisted of five nitrogen (N) doses in the form of ammonium 67 sulfate ((NH₄)₂SO₄), which corresponded to 0, 40, 80, 120 and 160 kg ha⁻¹. Plot dimensions 68 were 1m x 1 m. were $1m \times 1 m$.

69 Furthermore, the digital images were captured with both webcams at a height of 3 m from 70 the ground. Data acquisition was performed twice with images being captured at 7 and 21 71 days after the N application. All images were geometrically corrected through the projective 72 transformation technique using the Matlab[®] software, where reference points were defined at 73 the boundaries of each plot. Lastly, the NDVI [4] was calculated by Equation 1 for each 74 experimental plot.

$$
NDVI = \frac{nir - r}{nir + r}
$$
 (1)

76 Where: nir: near-infrared band; and r: red band.

77 In addition, the portable chlorophyll meter (SPAD-502, Konica Minolta Sensing, Tokyo, 78 Japan) was used to measure the SPAD index (SI). Thus, at the 7 and 21 days after N 79 application, 30 readings per plot were taken, where the average of all readings was 80 considered as a result. In this study, the SPAD-502 readings were assumed to be the 81 reference of chlorophyll content for the purpose of validating the sensitivity of the webcams 82 in detecting the effect of N doses over the Batatais grass.

83 In order to verify the significance of the proposed treatments, the results were submitted to 84 analysis of variance (ANOVA) through the F-test. Lastly, regression models were adjusted to 85 assess treatment effects on results of the SPAD index readings and NDVI values. All 86 analyses were carried out using the ASSISTAT, version 7.7 free software [17].

87 In the second application, the RGB images were used for the ability to differentiate crop 88 canopy from soil under different growing conditions. There were 30 images captured for this 89 study and all of it belonged to maize crops at the V4 vegetative stage (four expanded 90 leaves), which were grown under different soil cover conditions, such as conventional 91 planting system, and no-tillage system with coffee husk and straw residue.

92 The digital images were captured at a height of 1.5 m from the ground and then stored as 93 24-bit colour images with resolutions of 640 x 480 pixels saved in RGB colour space in the 94 JPEG format. Then, to discriminate between the object of interest (plant) and background 95 (soil), algorithms were developed using different thresholding methods, such as Otsu [18], (soil), algorithms were developed using different thresholding methods, such as Otsu [18], 96 Manual threshold selection, and Bayes [19].

97 Initially, two methods were used to accentuate the green color of plants in RGB images. 98 First, in the absolute green method, the pixel color distance (PCD) value was obtained 99 through the euclidean distance (ED) calculation using normalized values from the red and 100 green bands of each pixel, as shown in Equation 2 [20].

101
$$
PCD = \sqrt{pixel(r^2) + [pixel(g) - 1]^2}
$$
 (2)

103 Where: r: pixel value from the red band; and g: pixel value from the green band.

 $\Delta \mathbf{b}$

104 Second, the excess green normalized index (ExG) was obtained as it is shown in Equation 3 105 [21].

102

$$
ExG = \frac{2 \times g - r - b}{r + g + b}
$$
 (3)

107 Where: g: pixel value from the green band; r: pixel value from the red band; and b: pixel 108 value from the blue band.

109 Subsequently, the Otsu, Manual, and Bayes methods were applied to each image. As a 110 result, all images showed some noise, which was removed by using a median filter with a 111 3m x 3m window size. Moreover, the ground truth segmentation model for comparison of the 112 three algorithms was developed from the K-means method.

113 Generally, this method can be employed in different areas including image processing, 114 where it can be used as a thresholding method based on data clustering. This method 115 partitions n pixels into k clusters, where k is an integer value that holds $k \le n$. k-means 116 algorithm classifies pixels in an image into k number of clusters according to some similarity 117 feature, such as the grey level intensity of pixels, and distance of pixel intensities from 118 centroid pixel intensity [22].

119 The algorithm is based on six steps:

120 1. Selection of k clusters (k is a user defined parameter);

- 121 2. Calculation of the number of image pixels N;
122 3. Selection of k initial pixel intensity centroids u
- 3. Selection of k initial pixel intensity centroids μj;
- 4. Calculation of distances D_{ii} between pixel x_i and each centroid μj as given in Equation 4.
- 124

125 $D_{ij} = (x_i - \mu_i)^2$ (4)

- 126 Where: $i = 1 \div N$; and $j = 1 \div k$. 127
- 128 Particular pixel x_i is then classified to cluster c_j to which centroid it has the smallest distance.
- 129 5. Recalculation of centroid positions $μ_j$ as a mean value of all pixel intensities, which 130 belong to cluster ci as shown in Equation 5. belong to cluster cj as shown in Equation 5.

131
$$
\mu_{t} = \frac{1}{l_{j}} \sum_{i=1}^{l_{j}} x_{i}
$$
 (5)

132 Where: I_j is the number of pixels that belong to cluster c_j .

133 6. Steps (4) and (5) are repeated until classification of the image pixels does not change.

134 In this study, the value of k (number of clusters) was defined as two, where the first 135 represented the crop canopy and second the soil. Then, in order to validate the performance 136 of each thresholding method, the accuracy index, proposed by [23] was computed using 137 Equation 6.

$$
Accuracy = 100 \times \frac{A \cap B}{A \cup B}
$$
 (6)

139 Where: A: represents the set of pixels in the ground truth image that is marked as crop 140 canopy; and; B: represents the set of pixels in the segmentation that is marked as crop 141 canopy.

142 This measure of accuracy determines how closely the segmentation matches the ground 143 truth, with 100% indicating an exact match and perfect segmentation. Thus, to verify the 144 significance of the proposed methods, the accuracy means were compared by the Students t 145 test at a 5 % significance level (α <0.05).

146 **3. RESULTS AND DISCUSSION**

147 **3.1 Application 1**

148 Average values of the SI and NDVI as a function of the nitrogen doses, as well as its 149 respective coefficient of variation (CV), are shown in Table 1. It can be observed that CV 150 values for NDVI index were higher than to SI values at 7 and 21 days, which may be justified 151 by the low uniformity of the Batatais grass on the study area. Furthermore, the fact that 152 SPAD readings are done by direct contact with the leaf surface might have decreased its 153 CV. In addition, its higher number of readings per plot also contributes to decrease CV 154 values, which is not done in the NDVI calculation, since only one RGB, and NIR images are 155 used per plot to obtain the index.

156 **Table 1. Descriptive statistics of the SI (SPAD index) and NDVI (normalized difference** 157 **vegetation index) at 7 and 21 days after N application.**

159 Even showing sensitivity to the applied N rates, NDVI results from both dates (7 and 21 160 days) were relatively low, which might be associated with low uniformity of the vegetation, 161 and absence of radiometric calibration. [24] highlights that using a reference panel for 162 standardization or the inclusion of a gray Spectralon (or other diffuse reflectors) panel within 163 the field of view of the webcam would potentially be of value for calibration under changing 164 illumination conditions (e.g. cloudy vs. sunny days). Thus, a radiometric calibration could 165 increase the sensitivity of the webcam, which would result in higher NDVI values and lower 166 weather interference. However, the results obtained here suggest that even without this 167 calibration, the webcam was still capable of detecting differences among treatments.

168 The regression analyses carried out to access the effect of nitrogen doses on SI and NDVI 169 values at 7 and 21 day after N application showed a linear (7 days) and quadratic (21 days) 170 response for both indices. Moreover, both indices were significant at 1% probability with a 171 coefficient of determination (R²) of 0.93 (SI), and 0.98 (NDVI), respectively. In Figure 1 it is 172 possible to observe the linear increase of the SI and NDVI values as the N doses increases 173 at 7 days after the fertilization. at 7 days after the fertilization.

174

176 **Fig 1. SPAD Index (SI) and NDVI index as a function of topdressing nitrogen doses.**

177 When observing the SI values at 21 days (Figure 1), a linear increase in its values is also 178 observed up to the dose of 80 kg ha⁻¹ of N. However, from the 120 kg ha⁻¹ of N, SI values 179 showed a decrease, which demonstrates a quadratic response to different N doses. 180 Similarly, NDVI values showed a linear increase up to 80 kg ha⁻¹ of N. Although, when 181 looking at 120 and 160 kg. ha⁻¹ N doses, NDVI response showed a high variation for both 182 treatments, which resulted in low correlation (R^2 = 0.67). Even though there was a high 183 variation in response to these treatments, SI and NDVI values at 21 days were also 184 significant at 1%, and 5% probability, respectively.

185 In general, this quadratic response for both indices at 21 days indicates that, in this range, 186 increasing the nutrient concentration (nitrogen) would not reflect on grass growth, and it 187 represents the plant luxury consumption. According to [25], the luxury consumption is 188 defined as the N storage in the vacuole instead of its participation in the chlorophyll 189 molecule. The same authors also point out that, excessive consumption is not always 190 undesirable since it allows plants to accumulate nutrients when its availability is high. In this 191 case, a gradual release is performed by the plant, when the absorption is insufficient to 192 support its growth.

193 Results obtained in this study showed that the webcam sensor was capable of detecting the 194 effect of N doses over the Batatais grass for both dates, at 7 and 21 days after N application. 195 The SPAD-502 used here as a reference method presented better results, which was 196 expected due to its higher sensitivity and correlation with the leaf chlorophyll content.

197 Compared to other low-cost, sensor-based methods for monitoring crops phenology, such as 198 radiometric instruments based on LED sensors [26], or light emitting diodes [27], a clear 199 advantage of using webcams is that it can yield images with good spatial resolution. This 200 enables tracking the phenology of different crops by breaking the image into different regions 201 of interest (e.g., crops and weeds) [24]. On the other hand, there is no doubt that higher-202 quality spectral imaging could, potentially, be obtained from existing, commercially available 203 multispectral cameras. However, for budget-limited observational and experimental studies, 204 the system proposed here may represent an acceptable compromise, given its low cost and 205 promising performance.

206 **3.2 Application 2**

175

207 Initially, performance analyses of segmentation algorithms were based on visual analysis by 208 comparing the proposed methods to the reference binary image. Then, the accuracy index 209 (equation 6) was used for comparing each result with that obtained through the K-means. In 210 general, segmentation methods when combined with the ExG index showed higher accuracy

211 results than those methods preceded by the euclidean distance (ED). Moreover, the highest 212 overall mean accuracy (80.3%) was obtained using the Otsu method preceded by ExG 213 index. On the other hand, the lowest accuracy mean was observed using the Manual 214 method with the ED index (73.3%).

215 These results corroborate with [28], which observed that images segmented by the Otsu with 216 the ExG index showed 88% accuracy when compared to other indices using RGB bands. In 217 another study [29], these authors when using the Otsu method preceded by different indices, 218 such as ExG, ExR (excess of red), and another index based on the CIE l*a*b color space 219 obtained accuracies of 74%, 77.2%, and 62%, respectively. This demonstrates that the 220 contrast provided by vegetation indices is of great use to highlight the crop canopy from the 221 soil, and could yield in high accuracy segmentation.

222 When analyzing the accuracy of each image, the highest values were observed for the 223 Manual and Otsu method when preceded by the ED index, which resulted in 95.9 % of 224 accuracy for both methods. According to [20], the ED method is based on the search for 225 homology among plants, where after obtaining the spectral energy of plant content; its 226 similarity is verified through the Euclidean distance measurement. Figure 2 shows examples 227 of resulting images from the proposed segmentation algorithms.

228

229 **Fig 2**. **Images processed by the proposed segmentation algorithms. (a) RGB image,** 230 **(b) Euclidean distance, (c) ExG index, (d) K-means, (e) Bayes with ED, (f) Bayes with**

231 **ExG, (g) Manual with ED, (h) Manual with ExG, (i) Otsu with ED, and (j) Otsu with ED.**

232 In order to determine the most accurate method, the data set was submitted to the Student t-233 test at 5% significance level. Results from the ANOVA showed that statistically, there was no 234 difference in performance among the proposed methods when compared to each other. 235 Although, the highest CV values were obtained through Bayes (34.72%), and Otsu methods 236 (33.28%), when preceded by the ED index as it is shown in Table 2.

238 Max: maximum; Min: minimal; SD: Standard deviation; CV: coefficient of variation. ED: Euclidean distance: ExG: Excess of green distance; ExG: Excess of green

240 These results can be justified by the adverse illumination conditions during the image 241 acquisition period, which resulted in erroneous segmentation due to shaded areas in 242 images. Thus, the Otsu, manual, and Bayes segmentation methods presented satisfactory
243 accuracy (up to 73.3%) for separating crop canopy from the soil when preceded by the ExG accuracy (up to 73.3%) for separating crop canopy from the soil when preceded by the ExG 244 and ED indices. Even though a satisfying performance has been achieved, there are still 245 factors, such as the lighting conditions, plant shading and complex background that are 246 challenges to the success of segmentation.

247 Thus, the application of low-cost consumer cameras for process control as an element of 248 precision farming could save fertilizer, pesticides, machine time, and labor force. Although 249 research activities on this topic have increased over the years, high camera prices still reflect 250 on low adaptation to applications in all fields of agriculture. Smart cameras adapted to 251 agricultural applications can overcome this drawback.

252 **4. CONCLUSION**

253 The webcam sensor was capable of detecting the effect of nitrogen doses over the Batatais 254 grass through different NDVI values at 7 and 21 days after N application. Regarding the use 255 of webcam images in agricultural applications through thresholding methods, it was possible 256 to observe that the segmentation process over RGB images becomes challenging due to 256 to observe that the segmentation process over RGB images becomes challenging due to 257 non-uniform illumination conditions, and complex image background. Thus, the use of 258 thresholding methods, such as Otsu, Manual, and Bayes when previously processed by the 259 ExG and ED indices can satisfactorily separate the crop canopy from the soil.

260 **COMPETING INTERESTS**

261 Authors have declared that no competing interests exist.

262 **AUTHORS' CONTRIBUTIONS**

263 This work was carried out in collaboration between all authors. All authors read and 264 approved the final manuscript.

265 **REFERENCES**

- 266 1. Yang C, Westbrook JK., Suh CPC, Martin DE, Hoffmann WC, Lan Y, & Goolsby JA. 267 2014. An airborne multispectral imaging system based on two consumer-grade 268 cameras for agricultural remote sensing. Remote Sensing, 6(6), 5257-5278. DOI: 269 https://doi.org/10.3390/rs6065257.
- 270
- 271 2. Lebourgeois V, Bégué A, Labbé S, Mallavan B, Prévot L, & Roux B. 2008. Can 272 commercial digital cameras be used as multispectral sensors? A crop monitoring 273 test. Sensors, 8(11), 7300-7322. DOI: https://doi.org/10.3390/s8117300. 274

380 26. Ryu Y, Lee G, Jeon S, Song Y, & Kimm H. 2014. Monitoring multi-layer canopy 381 spring phenology of temperate deciduous and evergreen forests using low-cost 382 spectral sensors. Remote Sensing of Environment, 149(6), 227–238. DOI: http://sci-383 hub.tw/10.1016%2Fj.rse.2014.04.015.

384

390

394

- 385 27. Ryu Y, Baldocchi DD, Verfaillie J, Ma S, Falk M, Ruiz-Mercado I, Hehn T, & 386 Sonnentag O, 2010. Testing the performance of a novel spectral reflectance sensor, 387 built with light emitting diodes (LEDs), to monitor ecosystem metabolism, structure 388 and function. Agricultural and Forest Meteorology, 150(12), 1597– 1606. DOI: 389 https://doi.org/10.1016/j.agrformet.2010.08.009.
- 391 28. Hamuda E, Glavin M, & Jones E. 2016. A survey of image processing techniques for
392 plant extraction and segmentation in the field. Computers and Electronics in plant extraction and segmentation in the field. Computers and Electronics in 393 Agriculture, 125(7), 184-199. DOI: https://doi.org/10.1016/j.compag.2016.04.024.
- 395 29. Bai X, Cao Z, Wang Y, Yu Z, Hu Z, Zhang X, & Li C. 2014. Vegetation segmentation 396 robust to illumination variations based on clustering and morphology 397 modelling. Biosystems engineering, 125(9), 80-97. DOI: 398 https://doi.org/10.1016/j.biosystemseng.2014.06.015.