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4 **Modeling and biomass quantification**  
5 **in *Eucalyptus saligna* Smith stand at the end**  
6 **rotation in the south of Brazil**

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12 **ABSTRACT**  
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The quantification of wood stock and other components of biomass is fundamental for forest planning. Given the difficulty of obtaining these data, the present study aims at the formulation of equations and the estimation of the different components of biomass, volume with and without bark, form factor and height of the trees at the end rotation. The study was carried out in the municipality of São Gabriel state of Rio Grande do Sul, Brazil with *Eucalyptus saligna* 10-year-old. The experimental design of the inventory and biomass quantification were completely randomized. In the inventory the DBH of all individuals of the 5 plots were measured. After determination of 4 classes of diameter were felled 12 trees and quantified leaves, branches, bark and wood. The selection of the models obtained coefficients of determination higher than 97%. The total dry biomass was 269 Mg ha<sup>-1</sup>, of which 89% was wood. The total volume was 546 and 494 m<sup>3</sup> ha<sup>-1</sup> with and without bark, representing an average annual increase of 54,6 and 49,4 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>. The mean form factor was 0,48. The modeling presented excellent adjustments and certainly serves for future estimates of the stock biomass.

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15 *Keywords: Forest biomass; eucalyptus productivity; harvest; sustainability.*  
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17 **1. INTRODUCTION**  
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19 Currently Brazil has an area of 7.84 million hectares occupied by planted trees, a 2.67%  
20 share of the global area. The genus *Eucalyptus* spp. accounts for 72.3% of the total in the  
21 country [1]. Between the years 1990 - 2015 occupied area increased at an average  
22 geometric rate of 1.8%, although below the world average of 2.1% [2]. The advance of  
23 silvicultural techniques such as fertilization, correct management and genetic improvement  
24 were responsible for the increase in productivity. Brazil has the highest productivity with an  
25 average annual increase of 35.7 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> [1].  
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27 Although wood is the most desired product, the quantification of other components of  
28 biomass such as leaves, branches and bark is essential for the determination of  
29 management techniques [3]. According to Salvador et al. [4] with the advancement of the  
30 maturity of the stand, the relative contribution of the wood increases, in contrast the biomass  
31 of the canopy decreases. Harvesting is the main nutrient export route, however, harvesting  
32 only the wood, keeping the remaining residues distributed over the area (tip, trunk bark,  
33 branches and leaves), minimizes the export of nutrients [5].  
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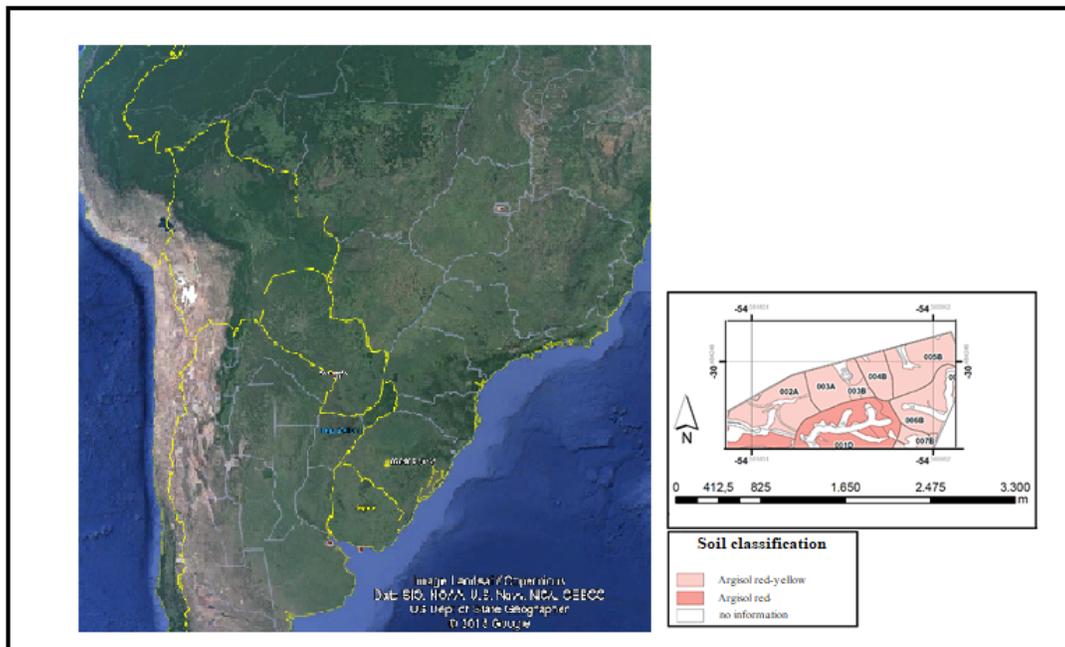
35 Efficient forest planning requires knowledge of the wood stock. The modeling of regressive  
36 (indirect) equations, based on different combinations of independent variables (diameter at  
37 breast height and total height) are the most effective ways of estimating the different plant  
38 components [6]. Low costs and short time are the main advantages of adopting them [7].  
39 However, it is necessary to quantify the biomass of a representative number of trees through  
40 the direct method as a form of adjustment of the models [8].

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42 Given this importance, the present study aims to select models from independent variables.  
43 Then, estimate the different components of biomass, form factor, volume with and without  
44 bark beyond the total height of the trees of *Eucalyptus saligna* Smith stand at the end of  
45 rotation in southern Brazil.

## 46 2. MATERIAL AND METHODS

### 47 2.1 Characterization of the experimental area

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49 The study was developed at Fazenda Santa Clara, owned by CMPC, in the municipality of  
50 São Gabriel, state of Rio Grande do Sul. The central geographic coordinates are 30° 29 '330  
51 "S and 54° 34' 667"W (Figure 1).  
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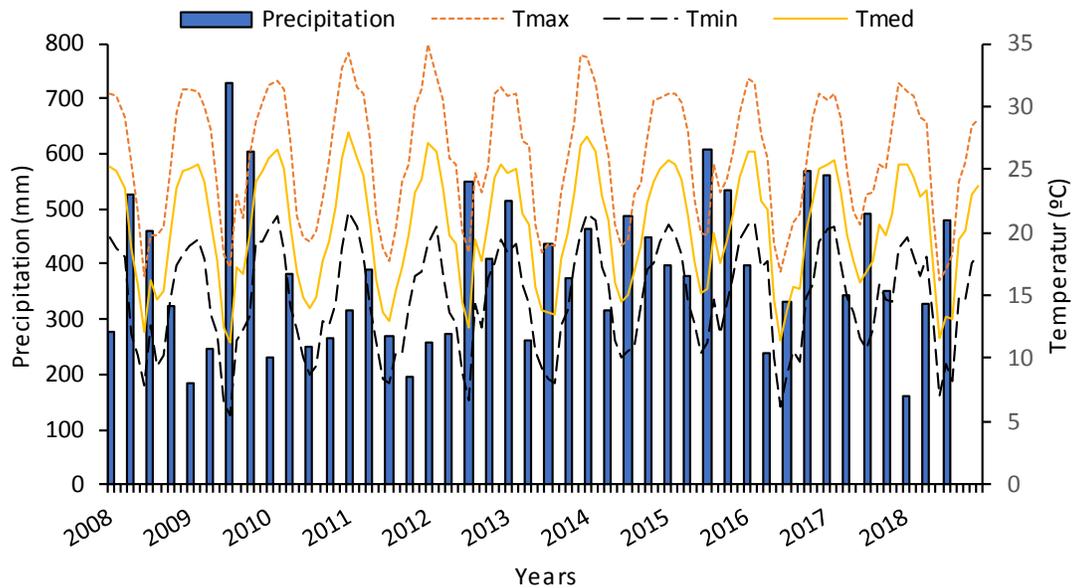
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56 **Figure 1 - Location of the municipality of São Gabriel in southern Brazil.**

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58 The clone of the species *Eucalyptus saligna* Smith was planted in 2008 with spacing of 2.14  
59 m x 3.5 m and initial density of 1335 plants per hectare. At the time of the present study the  
60 stand was at harvest age at 10 years of age.

61  
62 According to the climate classification of Köppen, the climate is classified as being of type  
63 Cfa, presenting well distributed rains throughout the year, average temperature of the  
64 coldest month in June, with 12.6 °C and the warmest month in January with 24.2 °C [9].  
65 According to the authors, the historical average rainfall is 1854 mm. In Figure 2 are  
66 presented to meteorological variables along the development of the stand [10].

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During the summer months, temperatures rarely exceed the 35 ° C mark with some short dry season. In the winter months there is frost and minimum temperatures that can reach -5 °C. The species *Eucalyptus saligna*, is classified with a medium climatic aptitude for the region of São Gabriel [11].



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**Figure 2 - Climatic diagram for the municipality of São Gabriel - RS.**

Source: [10].

The soil of the experimental area was classified as dystrophic Red-Yellow ArgissoloPVAd. The argisols are characteristic for presenting textural B horizon. This mineral horizon of the Franco-sandy texture presents an increase of clay when compared to the more superficial horizons. As for the third categorical level, dystrophic Red-Yellow soils present basal saturation <50% in most of the first 100 cm of B horizon [12]. Table 1 shows the chemical and physical attributes of the soil of the area at the time of planting.

**Table 1 - Chemical and physical attributes of the Dystrophic Red-Yellow Argisol in São Gabriel - RS.**

Depth. cm	Clay %	MO	pH	Al	H+Al cmolc dm <sup>3</sup>	Ca	Mg	P	K	V	m
								mg dm <sup>3</sup>			%
0-20	20,0	1,4	5,2	0,8	6,9	4,4	2,0	1,4	25,0	48,4	11,0
20-40	20,0	3,2	5,3	0,5	4,4	4,8	2,4	3,4	80,0	62,7	6,3

87 Where: MO = organic matter; T = CTC pH7; t = effective CTC; SB = sum of bases; V% =  
88 base saturation; m = saturation by aluminum.

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According to the manual of fertilization and liming, the organic matter content can be considered low for the layer 0-20 cm and medium for the layer 20-40. The pH for both depths was considered low. The Mg contents are high; P, too low; K, low in layer 0-20 and high in layer 20-40; Ca, low in the 0-20 layer and medium in the 20-40 layer. Base saturation is classified as low and aluminum saturation at depth 0-20 is average, and depth 20-40 is low [13].

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## 2.2 Experimental design and data collection

The experimental design was completely randomized. For the inventory, 5 plots of 21.4 mx 21 m were randomly demarcated, in which all DBH (diameter at breast height) of the trees were measured. In the possession of the data, by means of the formula of Sturges the number of classes was defined.

$$K = 1 + 3,322 \cdot (\log_{10} N)$$

104 Where: K = number of classes by the Sturges formula; N = number of observations.

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For each of the 4 diametric classes 3 trees were felled (DBH lower, upper and middle limit.). Through the Smalian method, the 12 trees were obtained to obtain the artificial form factor (Ff).

110 The quantification of above-ground biomass occurred through compartmentalization into 4  
111 main components: wood, bark, leaf and branch. The wood and bark components were  
112 subdivided into 3 positions: base, middle and top. For determination of dry weight, the center  
113 of each of the positions was sampled.

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The total wet biomass was obtained in the field in a precision scale of 100 grams. For determination of the dry biomass, wood and bark were sampled at the 3 different positions in addition to a sample of branches and leaves. They were packed in paper bags and dried in renovation greenhouses and forced air circulation at 70 ° C until reaching constant weight. By means of the difference between wet and dry weight, the dry biomass content was defined.

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$$\text{Dry content (\%)} = 1 - \frac{(\text{ww} - \text{dw})}{\text{ww}}$$

123 Where: ww = wet sample weight; dw = dry sample weight.

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## 2.3 Statistics and Data Analysis

128 For the modeling of the independent variables DBH (diameter at breast height) and H  
129 (height), SPSS Software 20.0 was used. The choice of equations and variables considered  
130 the Stepwise method (Criterion: Probability of  $P \leq 0.05$ ). The combination of the independent  
131 variables were as follows: d (diameter at breast height), h (total height),  $d^2$ ,  $d^3$ ,  $h^2$ ,  $h^3$ , dh, (dh)  
132  $^2$ , (dh)  $^3$ ,  $d^2 \cdot h$ , d. (dh),  $1 / d^2$ ,  $1 / d^3$ ,  $1 / h$ ,  $1 / h^2$ ,  $1 / h^3$ ,  $1 / dh$ ,  $1 / ^3$ ,  $1 / d^2 \cdot h$ ,  $1 / d \cdot h^2$ ,  $1 / d^3 \cdot h$ ,  $1 /$   
133  $d \cdot h^3$ , in addition to the neperian logarithms of each of these combinations above.

134 The verification of the determinants was by the Durbin-Watson test in which it evaluates the  
135 independence of the residues, that is, the dependence between the terms or correlation. The  
136 choice of the models considered the analysis of the following statistical indices: adjusted  
137 coefficient of determination  $R^2$  aj., Standard error of the absolute estimate  $S_{yx}$ , standard  
138 error of the relative estimate  $S_{yx}$  (%), probability of error  $P \leq 0.05$ , F and residue graphical  
139 analysis%. The chosen models were used to estimate the biomass of the other trees of the  
140 plot, being the same in the sequence extrapolated per hectare.

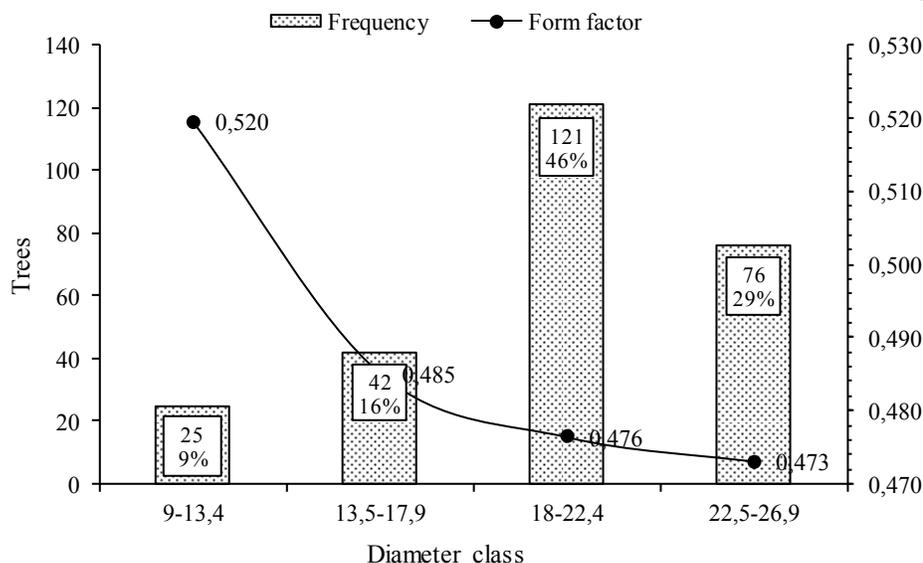
## 141 3. RESULTS AND DISCUSSION

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### 3.1 Distribution of diameter classes

144 The diameter class 3 (18.0 - 22.4) comprised 121 individuals or 46% of the total inventory in  
 145 the plots. At 10 years of age, 75% of the individuals measured in the inventory had DBH  
 146 between 18.0 and 26.9. The mean form factor was 0.48 and presented a decreasing  
 147 behavior as the DBHs increased, from 0.52 in the class of the lowest DBHs to 0.47 in the  
 148 class of the highest DBHs (Figure 3).  
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150 Evaluating the biomass in a 10-year-old *Eucalyptus urophylla* x *Eucalyptus globulus* hybrid,  
 151 Viera et al. [14] found 70% of the diameters between 17.0 and 25.0. Similar results were  
 152 found for the present study, 71.2% of the trees are within the same range of DBH that the  
 153 authors above. The highest frequency is around the mean diameter of the stand, with a  
 154 decrease in the extent of advancement to the extremities [15].  
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156 **Figure 3 - Frequency of individuals and form factor by diameter classes.**  
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159 The inventory showed a density of 1143 trees per hectare. The mean diameter and height  
 160 were 20.0 and 29.9. The volume of wood with and without bark was 545.6 and 493.8 m<sup>3</sup> ha<sup>-1</sup>  
 161 <sup>1</sup>, and an AAI (avarege annual increase) of 54.6 and 49.4 m<sup>3</sup> year<sup>-1</sup> (Table 2).  
 162

163 **Table 2 - Dendrometric characteristics in *Eucalyptus saligna* Smith stands at  
 164 age 10 in São Gabriel, southern Brazil.**

Inventory					
N (ha <sup>-1</sup> )	DBH	High (m)	Basal area (m <sup>2</sup> )	Vb (m <sup>3</sup> )	Vw (m <sup>3</sup> )
1143	20,0	29,9	37,98	545,6	493,8
Average annual increase Vb (m <sup>3</sup> )			Average annual increase Vw (m <sup>3</sup> )		
54,6			49,4		

165 *Where: DBH: diameter at breast high; Vb: volume with bark; Vw: volume without bark.*  
 166 The productivity is due to the quality of the genetic material and the excellent climatic  
 167 aptitude for the studied region. Evaluating the potential productivity of the *Eucalyptus saligna*  
 168 species in southern Brazil, Pimenta[16] through clustering techniques and with the 3-PG  
 169 model concluded that the central-west portion of the southern region, as well as the coast of  
 170 the state of Paraná and Santa Catarina have the highest productivities. For the author, the  
 171 variables altitude and air temperature were categorical for delimitation of the regions with the  
 172 highest productivities.

173 Other productivity results with the genus *Eucalyptus* are found in recent literature. In a hybrid  
 174 of *Eucalyptus urophylla* x *Eucalyptus globulus*, Viera et al. [14] found an AAI of 44.4 and  
 175 36.7 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>, with and without bark respectively. Salvador et al. [17] studying  
 176 productivity in different textured soils found higher values: 64 and 67 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> for sandy  
 177 and clayey soils, respectively. Santana et al. [18] evaluated different progenies of *Eucalyptus*  
 178 *saligna* in 5 different sites and observed that the IMA ranged from 28 to 77 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>.

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 180 The difference of the results shows that the productivity is due to favorable edaphoclimatic  
 181 conditions. Both Salvador et al. [17] and Santana et al. [18] obtained good productive indices  
 182 in soils with high clay contents, with 50% and 82% of clay, respectively.

183  
 184 Table 3 lists the models chosen for the estimation of the 4 components of the biomass, total,  
 185 volume with and without bark, form factor and height. We can observe that with the  
 186 exception of the form factor, all the chosen models have one of their coefficients combining  
 187 the interaction of the independent variable diameter with the height.

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 189 The selection of the best models should aim at the smallest number of parameters, high  
 190 precision and independent variables easily obtainable as seen in the present study  
 191 [19,20,21]. According to Fonseca et al. [22], the interaction between the two variables is  
 192 present in most models. The authors note that the DBH for being the easiest to obtain  
 193 variable and less error, it is the one that has the best correlation with the volume.

194  
 195 **Table 3 - Equations used to estimate the biomass of each component, form factor,**  
 196 **volume with bark and bark, and height of a stand of *Eucalyptus saligna* Smith at 10**  
 197 **years of age in São Gabriel-RS.**

Variable	Model
Wood	$Y = b_0 + b_1 \cdot (DBH \cdot H)^2$
Bark	$Y = b_0 + b_1 \cdot (DBH^2 \cdot H) + b_2 \cdot (DBH \cdot H^3)$
Branch	$Y = b_0 + b_1 \cdot (DBH^3) + b_2 \cdot (DBH \cdot H)^2$
Leaf	$Y = b_0 + b_1 \cdot (DBH \cdot H)^3 + b_2 \cdot (DBH^3 \cdot H)$
Total	$Y = b_0 + b_1 \cdot (DBH \cdot H)^2$
Volume with bark	$Y = b_0 + b_1 \cdot (DBH^2 \cdot H)$
Volume without bark	$Y = b_0 + b_1 \cdot (DBH^2 \cdot H)$
Form factor	$Y = b_0 + b_1 \cdot (1/DBH^2)$
High	$Y = b_0 + b_1 \cdot (1/DBH)$

198  
 199 Table 4 shows the equations chosen based on the best statistical indices: adjusted  
 200 coefficient of determination R<sup>2</sup> aj., Standard error of the absolute estimate Syx, standard  
 201 error of the relative estimate Syx (%), probability of error P ≤ 0.05, F calculated and data  
 202 independence by Durbin-Watson (DW).

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 204 For all variables the regressions had high adjustments with R<sup>2</sup>aj always higher than 0.97.  
 205 The standard error of the relative estimate is another important statistic to be analyzed and  
 206 presented 1.87% for the total biomass and 2.51% for the wood component. The low errors  
 207 allied to the high coefficients of determination allow us to conclude that the modeling of the  
 208 independent variables by the Stepwise method are reliable and represent the stand  
 209 characteristic.

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 211 The Durbin-Watson statistic (DW) verifies the independence of the residues are the same or  
 212 have a certain degree of correlation. In general, when values are between 1 and 3 we  
 213 conclude that the residues do not self-correlate.

214

215 High values of adjusted coefficients of determination were observed by Viera et al. [14] 0.99;  
 216 0.95; 0.92; 0.85 and 0.99 for wood, bark, branch, leaf and total. Similarly to the present  
 217 study, the authors present low standard errors of estimation, 0.02 and 0.01 for volume with  
 218 and without bark respectively.

219

220 **Table 4 - Statistics of the regression equations and coefficients for each component,**  
 221 **form factor, shell and shelled volume of a *Eucalyptus saligna* Smith stand at 10 years**  
 222 **of age in São Gabriel-RS.**

Variable	b0	b1	b2	P≤0,05	R <sup>2</sup> aj.	Syx	Syx%	F	DW
Wood	11,1177	0,0005	-	0	0,9988	4,22	2,51	8276	1,94
Bark	0,64683	0,0006	1E <sup>-5</sup>	0	0,9974	0,41	3,14	2088	3,23
Branch	0,00297	0,00212	-3E <sup>-5</sup>	0	0,9739	0,87	14,03	206	1,38
Leaf	1,32762	3,94E <sup>-8</sup>	-3E <sup>-5</sup>	0	0,9892	0,29	8,27	505	1,57
Total	12,4426	0,00057	-	0	0,9992	3,57	1,87	14886	1,61
Vb.	0,0112	3,6E <sup>-5</sup>	-	0	0,9977	0,01	3,04	4839	1,0
Vw	0,00502	3,3E <sup>-5</sup>	-	0	0,9972	0,01	3,42	3938	0,93
Ff.	0,46376	5,28406	-	0,002	0,5934	0,01	2,64	17	1,67
High	42,025	-237,72	-	0	0,9711	0,87	3,18	369	2,02

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Where: Vb: volume with bark; Vw: volume without bark; Ff: form factor.

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225 The total biomass above the soil was 269.15 Mg ha<sup>-1</sup>, with 89% of wood, 5.9% of bark, 3.2%  
 226 of branch and 1.8% of leaf. The high percentage of wood biomass is mainly due to the  
 227 maturity of the stands. Several studies show that as the age advances, the contribution of  
 228 the wood component increases. The explanation for this can be given by Larcher[23] in the  
 229 initial years the carbohydrates are used for canopy production (leaves and branches),  
 230 however when closing them the relative production of wood increases significantly.

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232 Work developed with *Eucalyptus* spp at 2, 4, 6 and 8 years of age by Schumacher et al. [24]  
 233 show the increase of the relative contribution of wood, 54; 58; 82 and 83% at 2, 4, 6 and 8  
 234 years. The inverse was verified for leaves ranging from 12 to 3% at 2 and 8 years. The  
 235 branch component obtained the same reduction behavior: 26 and 7% at 2 and 8 years.

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237 **Table 5 - Biomass (Mg ha<sup>-1</sup>) in the different components in *Eucalyptus saligna* Smith**  
 238 **stands at 10 years of age.**

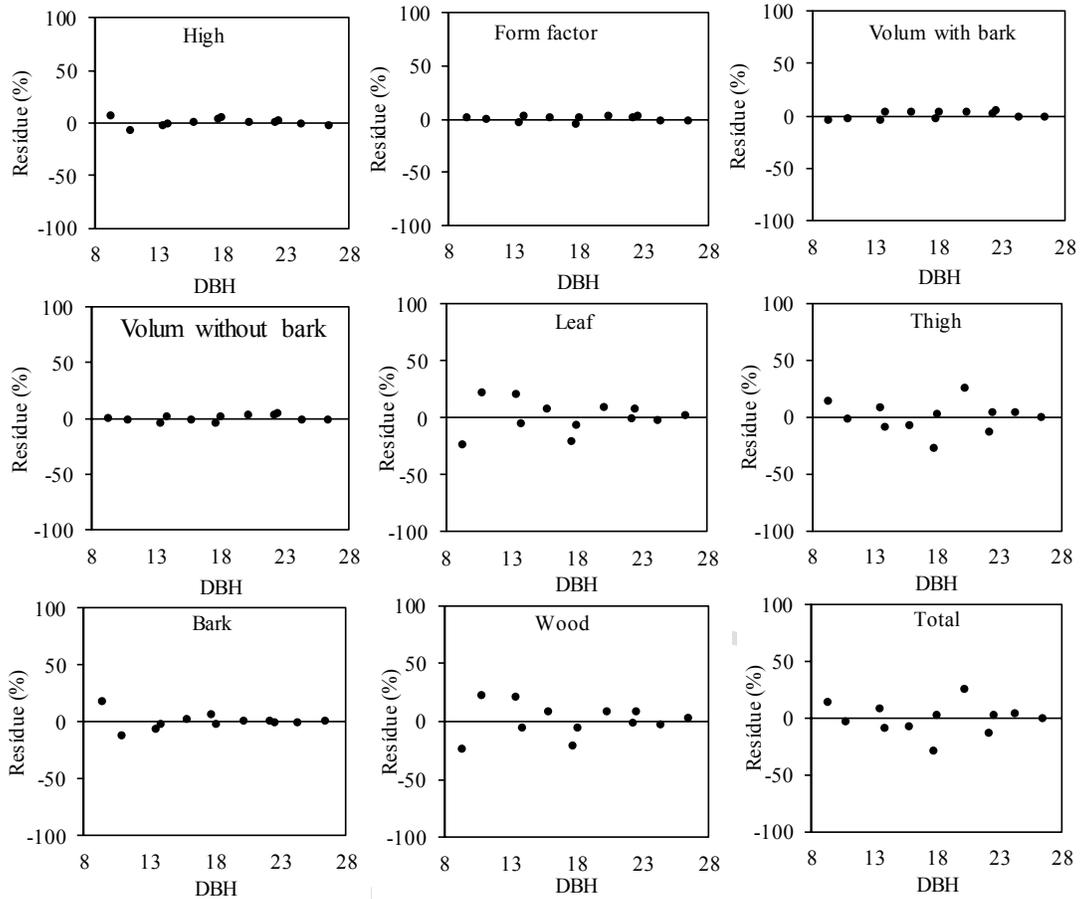
	Biomass				
	Wood	Bark	Branch	Leaf	Total
Mg ha <sup>-1</sup>	239,72	15,95	8,71	4,76	269,15
%	89,1	5,9	3,2	1,8	100,0

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240 Work developed by Salvador et al [4] at different ages of a settlement of *Eucalyptus saligna*  
 241 located in the municipality of Telêmaco Borba - Paraná also shows the relative decrease of  
 242 canopy components and relative increase of wood biomass. At the age of 6.7 the authors  
 243 estimated a biomass of 211 Mg ha<sup>-1</sup> of wood, representing 85% of the total above ground. In  
 244 younger settlements of the same species the contribution was lower: 45; 79 and 84% at 1.1;  
 245 3.6 and 5.5 years of age.

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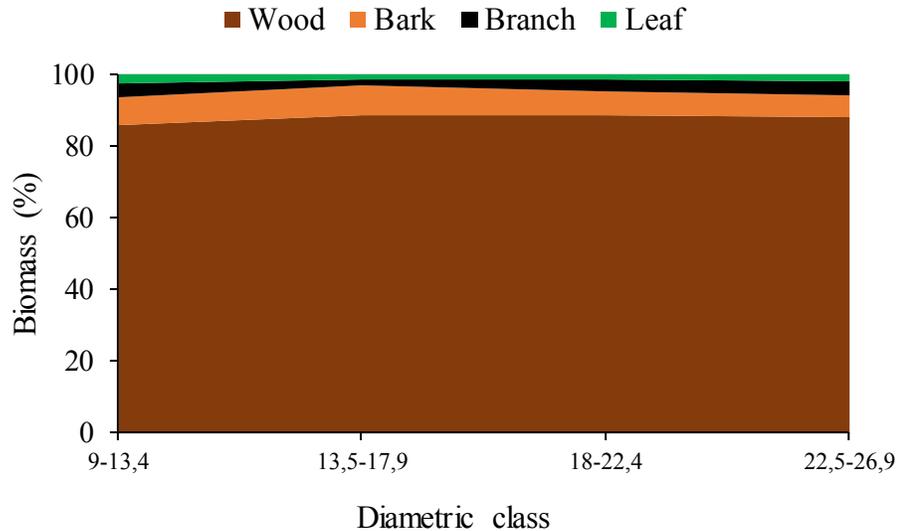
247 In Figure 4 we observed the graphical distribution of the residues as a function of the DBH  
 248 for each dependent variable. The distribution of residues (%) indicates good adjustments of  
 249 the models.



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**Figure 4 - Distribution of residues (%) as a function of DBH for the different dependent variables adjusted.**

The relative percentage of biomass components also varied among the different diameter classes. In Figure 5 we can observe the relative biomass and observe a slight increase of wood of the class of 9 - 13.4 for the class of 22,5 - 26,9 of DBH. The increase was 3%, from 85% to 88%. For Viera et al. [14] this variation was more marked. The percentage of wood ranged from 68% to 80% of the class of 9.1-13 for the 25.1-29 class of DBH.



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**Figure 5 - Relative biomass by diameter classes in *Eucalyptus saligna* Smith stands at 10 years of age.**

265 The total aerial biomass in *Eucalyptus saligna* stands at 10 years of age was 231 Mg ha<sup>-1</sup>, of  
266 these, 1.8; 4.1; 7.5 and 86.6% consisting of leaf, branch, bark and wood respectively [25].  
267 For the same author evaluating the same species at 2 years of age, the percentages were  
268 17.0; 16.9; 9.0 and 57% for leaf, branch, bark and wood.  
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## 5. CONCLUSION

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273 The selection of models presented high adjustments and low relative errors, increasing the  
274 reliability of biomass estimates. By means of the graphical distribution of the residues we  
275 observed that the models meet the estimates with well distributed errors without the  
276 occurrence of tendencies to overestimate or underestimate. The interaction between the two  
277 independent variables estimates the biomass components satisfactorily.  
278

279 The wood component was predominant, 89% of the total biomass. Considering the harvest  
280 only of the wood component, 11% of the biomass will remain in the site.

281 With the increase of the diametric class there is an increase in the percentages of wood and  
282 a decrease in the form factor. The average annual increase was 54.6 m<sup>3</sup> ha<sup>-1</sup>.  
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## COMPETING INTERESTS

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286 Authors have declared that no competing interests exist.  
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348 **APPENDIX**

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