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Inferring totalspecies richness and the exhaustive hierarchical structuring of species abundancesintropical Sea-Stars communities (Asteroidea), using numerical extrapolation of partial inventories

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Original Research article

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# 9 Abstract

Even when remaining substantially incomplete, the partial inventory of a species assemblage 10 can provide much more information than could be expected first. Indeed, retrieving this 11 12 information is made possible by applying arigorous procedure of numerical extrapolation to the partial inventory. This numerical extrapolation will deliver reliable estimates of the number of 13 the still unrecorded species and, furthermore, of the distribution of abundances of these 14 unrecorded species. As a result, the full range of the Species Abundance Distributionis finally 15 made available, despitedealing with data from partial sampling only. In turn, this allows to 16 address a series of descriptive and functional aspects of the internal organization of species 17 assemblages, which otherwise would have required disposing of exhaustive samplings. The 18 latter being, however, often impossible to achieve in practice. Fortunately, mathematic and 19 algorithmic basis for a reliable numerical extrapolation of incomplete samplings have been 20 developed recently, so that partial inventories no longer remain an obstacle to gain access to 21 the true (total) species richness and the full-range pattern of hierarchical structuring of species 22 abundances. 23 This approach is applied hereto the previously reported partial samplings of two communities 24 of tropical sea-stars associated to coral-reefs in the Central South China Sea. Among the main 25 newresultsderived from the numerical extrapolations of these partial samplings, the following 26 are highlighted: 27 -the extrapolated true (total) species richness of each of the two studied communities largely 28 exceed the recorded figures, thereby confirming the limited completeness of these partial 29 samplings: 53% and 67% completeness only; 30 -once properly completed by numerical extrapolation, the Species Abundance Distributions of 31 both communitiesprovebest fitting the "log-normal" than the "log-series" model, thereby 32

suggesting that many independent factors (rather than only one dominant factor) contribute
 together to the hierarchical structuring of species abundances within thesesea-stars
 communities;

-the intensity of theprocess of hierarchical structuration of species abundances proves being
close to what would be obtained for the corresponding "broken-stick" model, which means a
rather moderate level of structuration intensity, as compared to the range of values typically
obtained for other kinds of marine invertebrates.

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41 Key-words : Echinodermata, starfish, coral reef, species richness, diversity, rank abundance

- 42 distribution, evenness, incomplete inventory, Malaysia
- 43

# **1.** INTRODUCTION

Total species richness, taxonomic composition and the hierarchical structuring of species 46 abundances are three key features that appropriately characterize species communities in the 47 wild. Addressing properly these key features obviously requires disposing of exhaustive 48 inventories. Yet, inventories remaining substantially *incompleteare* common in practice and 49 50 even doomed to become still more frequent with the inevitable generalization of "rapid assessments" and "quick surveys". This is especially true when having to deal with species-rich 51 communities of invertebrates which often include a lot of rare, hard-to-detect species. Such 52 53 incomplete inventories prevent deriving reliable inferences and, thus, may often lead to 54 erroneous interpretations regarding the key aspects of species communities evoked above [1 – 55 3].

Fortunately, a reliable procedure of *numerical extrapolation* of partial inventories has been 56 developed recently, which can overcome these difficulties and is able to provide least-biased 57

- 58 estimationsof:
- (i) the number of those species remained undetected and, still further, 59

60 (ii) the respective abundances of each of these undetected species.

Thereby, reliable inferencescan finally be derived regarding (i) the *true*(total) species richness 61 62 and (ii) the *completed* distribution of species abundances, i.e. including the set of the still unrecorded species. Only the taxonomic identities of these undetected species escape, of 63 course, to any attempt to highlight them. In turn, once numerically *completed* (and *only* when it 64 65 is so: [4]), the Species Abundance Distribution ("S.A.D.") can then providesynthetic pieces of information about the process (either deterministic or stochastic) that drive the hierarchical 66 structuring of species abundances within community[5 – 9]. Accordingly, some light can thus 67 68 be shed, both qualitatively and quantitatively, on the biological and ecological determinants of the internal structuration among species within community. 69 70 Although no further details may be extracted from this synthetic overview, the latter has, yet,

the advantage of being straightforward, as it does not require the long and tedious analytical 71

approach that would be required otherwise to go deeper in the details of structuring process. As 72 such, this synthetic overviewcan serve as a convenient preliminary approach.

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75 Hereafter, I report and discuss the results from the numerical extrapolation of the partial 76 inventories of twosea-stars communities (Echinodermata: Asteroidea) associated to coral reefs 77 surrounding the small neighboring islets of Terumbu Siput ("Erica reef") and Terumbu Peninjau("Investigator shoal"), located in the Central South China Sea, off the Malaysian coast. 78 79 Such marine ecosystems, in tropical shallow waters, are of major interest to ecologists and conservationists, as they are considered as embodying remarkably high levels of biological 80 complexity [10 - 12]. 81

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# **2. MATERIALS AND METHODS**

#### 2.1 Materials 85

86 Coral reefssurrounding small islands dispersed in the Central South China Sea are home to a rich sea-stars fauna, reported as countingoverall no less than 230 species[13]. Yet, inventories 87 at the local scale of sea-stars communities in China Sea, additionally listing the abundances of 88 89 the recorded species, remain very scarce at the local scale. A recent report by KWANGet al.[12], however, opportunely provides such a series of local inventories of sea-stars from the 90 Archipelago of Beting Patinggi Ali to Pulau Layang-Layang in the Malaysian waters of Central 91

South China Sea.Yet, the high proportion of singletons (species detected only once during 92 sampling) that subsist in these inventories strongly suggests that samplings remain 93 94 substantially incomplete[14 – 16], thus requiring *numerical extrapolation* to take full advantages of the as-recorded data and avoid the risk of erroneous inference. 95

Hereafter, I focus on two of these investigated sea-starscommunities, those having the highest 96 number of recorded species (16 and 9 species) respectively. These two communities are 97 located in two small neighboring coral islets: Terumbu Siput ("Erica reef") and Terumbu 98 99 Peninjau ("Investigator shoal"), both being part of "Spratly Islands", off the coasts of the Philippines, Malaysia, and southern Vietnam. Further information regarding the precise 100 101 locations, the environment and the practical details of the sampling procedure are provided in 102 open reference [12]. ~

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#### 104 2.2 Numerical extrapolation procedure

#### 105 2.2.1 Total species richness

The least-biased estimation of the number of still undetected species after partial sampling and 106 107 the resulting least-biased estimation of the true, total species richness of apartially sampled 108 community are derived according to the procedure defined in [17, 18] and briefly summarized in Appendix 1, on the basis of the numbers f<sub>x</sub> of species recorded x-times during partial 109 sampling: Figures A.1 and A.2). 110

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2.2.2Completed Species Abundance Distribution:

To accurately exploit its full potential, the as-recorded Species Abundance Distribution 113 ("S.A.D.") requires[19, 20]: 114

- first, to becorrected for the statistical sampling bias resulting from the finite size of 115 samplings; 116

117 - second, but still more importantly to be completed by numerical extrapolation to the extent that the sampling is suspected to be incomplete (as revealed by the subsistence of several 118 singletons). 119

After being *corrected* and *completed by numericalextrapolation*, the S.A.D.: 120

-not only provides an overview of both the true species richness of the sampled community 121 122 and the diversity of the respective abundances of member-species

- butalso, can help addressing important questions regarding (i) the kind of causesthat 123 124 determine the hierarchical structuration of species abundances, (ii) the resulting degree of 125 abundance unevenness and (iii) the genuine intensity of the hierarchical structuring process (which by no means identifies to the degree of unevenness, contrary to a commonly held 126 127 opinion).

The appropriate procedures of correction and, then, numerical extrapolation of the "S.A.D." 128 beyondits as-recorded part, are described in details in reference [20] and briefly summarized 129 in Appendix 2. Also, a concrete example of implementation of the procedure is commented in 130

details in reference [21]. 131

- 132 Classically, the "S.A.D." is graphically presented according to the so-called "Ranked Abundance Distribution" (also known as "Whittaker plot"), according to which the (log-transformed) 133 abundances ai are plotted against the rank i of species, the latter being ordered by decreasing 134 135 values of abundance (with, thus,  $a_1$  and  $a_{st}$  respectively standing for the highest and the lowest abundances in a community having St species).
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2.2.3 species abundance structuration- (i) the apparent pattern: abundance unevenness

139 The "S.A.D." (either being exhaustive or completed by numerical extrapolation) conveys all the 140 relevant quantitative data required to address the internal organization of member-species 141 within a local community, especially the hierarchical structuration of species abundances. In 142 particular, it is always advisable to use such species-abundance plots to quantify the degree of 143 evenness or, more suggestively, the degree of *unevenness* of species abundances [22]. Indeed, 144 following [23], it is the degree of *unevenness* – rather than evenness itself – that should be 145 preferred to address properly the hierarchical structuring of species abundances in 146 communities. Optionally, the "S.A.D." may be synthetically reduced to its two major descriptors: 147 the *total species richness* St and the degree U of *abundance unevenness*.

According to the aforementioned, classical mode of representation of "S.A.D.", it goes natural to quantify the degree U of abundance unevenness as the average decreasing slope of the logtransformed abundance along the whole range of the abundance distribution, as already proposed by [24], that is:

152 153  $U = [log_{10}(a_1) - log_{10}(a_{st})]/(S_t - 1) = [log_{10}(a_1/a_{st})]/(S_t - 1)$ (1)

154 2.2.4 species abundance structuration- (ii)origin and intensity of the underlying process

Beyond the mere description of the pattern of hierarchical structuration, quantified by the degree of unevenness U, the complete "S.A.D." can help addressing several important questions regarding (i) the kind of *mechanism* involved in the process driving the hierarchical structuration of abundances and (ii) the *intensity* of this structuring process.

As regards the kind of *mechanism* involved, it is appropriate to distinguish between two major alternative hypotheses: schematically, the hierarchical structuration of abundances may result either (i) from the major contribution of *one strongly predominant* factor or (ii) from the combined contributions of *many mutually independent factors* acting together. This can be tested by checking the conformity of the "S.A.D." to either the *log-series* model or the *log-normal* model respectively [5, 25 – 28].

Now, as regards the genuine *intensity* of the structuring process, it is first necessary to remind
 that the degree of unevenness U does not univocally mirror the intensity of the structuring
 process, since it is *also* mathematically dependent (negatively) upon the species richness St [29,
 30, 31]; see also Appendix 3.

One possible solutionto cancel this mathematical influence is to compare the slope of the "S.A.D." with the slope of a theoretical distribution involving a *constant* structuring process, remaining *strictly independent*ofthe species richness. The "broken-stick" distribution meets precisely this requirement [32]. Accordingly, an index "I<sub>str</sub>", attempting to disentangle the part of unevenness which vary *independently of species richness*can be defined by standardizing the degree of unevenness U of the "S.A.D." to the degree of unevenness U' of the corresponding "broken-stick" distribution, computed for the same species richness St[33 - 35], that is:

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$$I_{str} = U/U' = [log_{10}(a_1/a_{st})/(S_t-1)]/[log_{10}(a'_1/a'_{st})/(S_t-1)]$$

that is finally:

$$I_{str} = \log_{10}(a_1/a_{st}) / \log_{10}(a'_1/a'_{st})$$
(2)

with  $a_1$  and  $a_{st}$  standing for the highest and the lowest abundances in the studied community and  $a'_1$  and  $a'_{st}$  standing for the highest and the lowest abundances in the corresponding "broken-stick" distribution computed for the same species richness  $S_t$ .

As the *invariable type* of structuring process involved in the "broken-stick" distribution is the process of random allocation of abundances to species [32], the index I<sub>str</sub> highlights the *intensity* of the *structuring process* in the focused community by comparison to the intensity of this stochastic process, taken as a reference.

It is even possible to continue the analysis even further in the same direction. Beyond 188 comparing the average slopes of the actual "S.A.D." to the corresponding "broken-stick" model, 189 190 it is additionally informative to operate the comparison separately for each of the two extremal 191 points that support the slopes – i.e. the maximum and the minimum abundances,  $a_1$  and  $a_{st}$ . 192 Thus, the ratio  $A_1 = (a_1/a'_1)$  mirrors the intensity of those kind(s) of factors which control the 193 abundance  $a_1$  of the more frequent species (especially the most frequent one) while the ratio  $A_{st} = (a_{st}/a'_{st})$  mirrors the intensity of those (presumably different) kind(s) of factors which 194 195 control the abundance  $a_{st}$  of the less frequent species (especially the least frequent one).

Splitted that way, the comparison with the "broken-stick" model (synthetically expressed by the index I<sub>str</sub>), highlights even better the underlying structuring *process* and its *genuine* intensity, beyond the immediately apparent pattern of abundance unevenness U. This is briefly detailed in the following section.

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#### 2.2.5 analyzing the determinants of the species abundance structuration

Focused on quantitative terms, the "S.A.D." can be synthetized by three main descriptive parameters,  $S_t$ , U,  $a_{st}$  (the fourth descriptive parameter, the higher abundance  $a_1$ , being entirely defined by the three preceding parameters through equation (1)).Now, which "determinants" are actually constraining the values of  $S_t$ ,  $a_1$  and  $a_{st}$ ?

Let consider first the case where the community has hypothetically reached its saturation level in term of species richness  $S_t$ , i.e. no more species may be added in the community without causing extinction(s) [36]. This means that both the abundance unevenness U and the lowest abundance  $a_{St}$  have reached their respective minimal threshold values that would necessarily be crossed over if any additional colonization would succeed in increasing species richness beyond the saturation level. Accordingly, in case of saturation, the species richness  $S_t$  is determined internally, the parameters U and  $a_{St}$  playing the role of determinants.

- 213 Now, it is widely admitted that saturation in species within a community is very uncommon 214 [36, 37, 38], so that non-saturation should be hypothesized first. In non-saturated communities, 215 species richness St is no more determined internally but externally, the determinant being the limitation in the colonizing flux of those species having characteristics compatible with the 216 217 habitat, a limitation which depend on both dispersal abilities and the richness of the regional 218 stock of species [37, 38, 39]. And, by contrast with the case where community is supposed 219 being saturated, here, the parameters U and  $a_{st}$  are no more involved as the determinants of  $S_t$ . 220 On the contrary, in this situation of limited availability of new colonizing species, it is the resulting limitation of species richness St which contributes to constrain the possible ranges of 221 222 values of the triplet of parametersU,  $a_{st}$  and  $a_1$ , through equation (1). And, finally, it is  $I_{str}$  which is ultimately constrained, according to equation (2), as the ratios  $A_1 = (a_1/a_1)$  and  $A_{St} =$ 223 (a<sub>st</sub>/a'<sub>st</sub>). 224
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#### **3. RESULTS**

#### 227 3.1 Estimation of the total species richness of eachsea-stars community

Based on the numbers  $f_x$  of species recorded x-times (with x = 1 to 5) at the end of the partial samplings (Figures A1 and A2 in Appendix 1), the selected, least-biased estimators of the number of undetected species are respectively Jackknife order 3 and Jackknife order 5 for the communities at Terumbu Siput and Terumbu Peninjau (see selective keyin Appendix 1). The corresponding least-biased estimations of (i) the number of undetected species, (ii) the resulting least-biased estimation of the total species richness Stof the sampled communities (iii) the level of completeness of the partial samplings, R<sub>0</sub>/St, are provided in Table 1. 236 Table 1 – Numerical characteristics of thesea-stars communities associated to coral reefs at Terumbu Siput,

and Terumbu Peninjau: the sampling-size  $N_0$ , the number of detected species  $R_0$  (=  $R(N_0)$ ), the selected, least-

238 biased estimator, the estimated number  $\Delta$  of undetected species, the resulting evaluation of the total species

239 richness  $S_t = R_0 + \Delta$  and the level of sampling completeness  $R_0/St$ .

site	No	R <sub>0</sub>	selected estimator	Δ	St	R <sub>0</sub> /St
Terumbu Siput	52	16	Jack-3	8	24	67 %
Terumbu Peninjau	26	9	Jack-5	8	17	53 %

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241 Due to the relatively low level of achieved sampling completeness (67% and 53%), further additional sampling could, alternatively, be considered of interest. In this perspective, the least-242 biased extrapolation of the Species Accumulation Curve can provide useful predictive 243 information regarding the additional sampling efforts that would be required to obtain any 244 desirable increase in sampling completeness. As an example, the expected increase in the 245 246 number of detected species, R(N), as a function of growing sampling size N, is given in Figures1 and 2 for the sampling at Terembu Siput and Figures 3 and 4 for the sampling at Terembu 247 248 Peninjau.

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251 Figure 1 – Extrapolated part of the Species Accumulation Curve (S.A.C.) for the community at Terembu Siput, 252 accounting for the increase of the number of detected species R(N) as a function of growing sample size N, 253 beyond the actually achieved sampling ( $N_0 = 52$ ,  $R(N_0) = 16$ ). Here, the selected, least-biased, nonparametric 254 estimator of the number of undetected species is Jackknife-3, leading to a total species richness  $S_t = 16 + 8 =$ 255 24. The associated, least-biased extrapolation of the S.A.C. R(N) is plotted as the coarse solid line. Also 256 plotted, for comparison, are the extrapolations of the S.A.C. associated to other, non-selected (as being more 257 biased) estimators: Jackknife-2, Jackknife-1, Chao1. The comparison highlights the practical importance of 258 selecting the *least-biased* estimator.





261 Figure 2 – Extrapolated part of the Species Accumulation Curve for the community at Terembu Siput,

associated to the selected, least-biased, nonparametric estimator (here Jackknife-3). In practice, the least-

biasedextrapolation of the Species Accumulation Curve allows to predict the expected additional sampling effort required to reach higher levels of sampling completeness (for example, the sample sizes required to

- reach 80%, 90% and 95% completeness would bearound N = 100, 180, 350 respectively).
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Figure 3 – Extrapolated part of the Species Accumulation Curve for the community at Terembu Peninjau, 268 accounting for the increase of the number of detected species R(N) as a function of growing sample size N, 269 270 beyond the actually achieved sampling ( $N_0 = 26$ ,  $R(N_0) = 9$ ). Here, the selected, least-biased, nonparametric 271 estimator of the number of undetected species is Jackknife-5, leading to a total species richness  $S_t = 9 + 8 =$ 272 17. The associated, least-biased extrapolation of the Species Accumulation Curve R(N) is plotted as the 273 coarse solid line. Also plotted, for comparison, are the extrapolations of the S.A.C. associated to other, here 274 non-selected (more biased) estimators: Jackknife 4, Jackknife 3, Jackknife-2, Jackknife- 1, Chao1. The 275 comparison highlights the practical importance of selecting the *least-biased* estimator. 276



Figure 4 – Extrapolated part of the Species Accumulation Curve for the community at *Terembu Peninjau*, associated to the selected, least-biased, nonparametric estimator (here Jackknife-5). In practice, the leastbiased extrapolation of the Species Accumulation Curve allows to predict the expected additional sampling effort required to reach higher levels of sampling completeness (for example, the sample sizes required to reach 70%, 80%, 90% and 95% completeness would be around N = 60, 100, 220, 500 respectively).

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#### 284 **3.2** Correction and extrapolation of the Species Abundance Distributions

The bias-corrected and extrapolated Species Abundance Distributions plotted in Figure 5 285 (recorded part: ranks i = 1 to 16; extrapolated part from rank17 to 24) for the community at 286 Terembu Siput and in Figure 6 (recorded part: ranks i = 1 to 9; extrapolated part from rank 10 287 to 17) for the community at Terembu Peninjau. Figures5and 6thus provide the entire 288 development of the Species Abundance Distribution. Note that the extrapolated part of the 289 290 distribution of abundances has no less importance than the *recorded* part since more or less 291 rare species may have asequal ecological importance as more common ones [40 – 49]. Figure 7 allows to compare directly the Species Abundance Distributions of the two communities. 292 293



Figure 5 – The completed Species Abundance Distribution for the community at *Terembu Siput*, including the correction of the recorded part (involving the 16 detected species: grey discs) and the least-biased extrapolation of the unrecorded part (involving the 8 undetected species: coarse double line). Note logarithmic scale for relative abundances, a classical convention of graphical representation.



Figure 6 – The completed Species Abundance Distribution for the community at *Terembu Peninjau*, including
 the correction of the recorded part (involving the 9 detected species: grey discs) and the least-biased
 extrapolation of the unrecorded part (involving the 8 undetected species: coarse double line). Note
 logarithmic scale for relative abundances, a classical convention of graphical representation.



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Figure 7 – Direct comparison between the completed Species Abundance Distributions of both communities grey figures: *Terumbu Siput*; white figures: *Terumbu Peninjau*

# 311 **3.3** Qualitative and quantitative characterizations of the hierarchical structuring of 312 species abundances

313 *3.3.1 the type of process likely involved in the hierarchical structuring of species abundances* 314 In order to investigate which kind of structuring process is at work in thesesea-stars 315 communities, two classical models of abundance distribution – the"log-normal" distribution and 316 the "log-series" distribution – were tentatively fitted to the completed Species Abundance 317 Distributions provided at Figures5 and 6. As shown in Figures 8 to 11, a fairly good fit is 318 obtained with the *log-normal* model for both studied communities while the accordance with 319 *log-series* model is comparatively unsatisfactory. 320





323 Distribution of the community at *Terumbu Siput*.

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326 Figure 9 – The classical "log-normal" model (sigmoid dotted line) fitted to the completed Species Abundance

- 327 Distribution of the community at *Terumbu Peninjau*.
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**Figure 10** – The two classical models: "log-normal" (sigmoid dotted line) and "log-series" (fine double line) compared to the Species Abundance Distribution of the community at *Terumbu Siput*.Best fit is clearly obtained with the "log-normal" distribution. Note that considering the *recorded part* of the Species Abundance Distribution*only* would have led to the opposite conclusion: a "J" shaped model such as the "logseries" model would have fit the recorded part best than a "sigmoid" shapedmodel such as the "log-normal" model.





Figure 11 – The two classical models: "log-normal" (coarse dotted line) and "log-series" (fine double line) compared to the Species Abundance Distribution of the community at *Terumbu Peninjau*. Best fit is clearly obtained with the "log-normal" distribution. Note that considering the *recorded part* of the Species Abundance Distribution *only* would have led to the opposite conclusion: a "J" shaped model such as the "logseries" model would have fit the recorded part best than a "sigmoid" shaped model such as the "log-normal" model.





Figures 12& 13 – The same as Figures8&9, but, here, the species relative abundances are plotted untransformed (instead of being classically log-transformed: [32]) for a better visualization of the good general fit with log-normal model of the recorded part as well. The identities of the eighteen recorded species have been added.

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- 360 *3.3.2.the intensity of the hierarchical structuring process*
- 361 According to the definitions provided in Methods section:

the degree, U, of unevenness of species abundance distribution resulting from the process
 driving the hierarchical structuration of abundances is computed according to equation (1);

- the genuine intensity, I<sub>str</sub>, of the process driving the hierarchical structuration of abundances is relevantly appreciated by comparing the "S.A.D." of the studied community to the corresponding "broken-stick" model, computed for the same species richness. Figures14 and 15 allows this comparison, from which the genuine intensity I<sub>str</sub> of the structuring process is derived according to equation (2).

The corresponding results are summarized in Table 2 which highlights (i) the true total species 369 richness  $S_t$ , (ii) the ratio  $a_1/a_{st}$  between the abundances of the commonest and the rarest 370 species, (iii) the degree of unevenness of species abundances U and, finally, (iv) the genuine 371 372 intensity Istr of the process driving the hierarchical structuration of species abundances. Note that the parameters U and Istraccounts for two complementary aspects of the hierarchical 373 structuration of species abundances: while U quantifies the apparent *pattern* of species 374 375 abundance structuration, Istrhighlights the genuine intensity of the underlying process driving this structuration. Being understood that, in guantitative terms, the unevenness pattern is far 376 377 from faithfully reflecting the structuring process itself, as already emphasized.

The ratios  $A_1 = (a_1/a'_1)$  and  $A_{St} = (a_{St}/a'_{St})$  (which mirror the intensity of those factors which control the abundances  $a_1$  and  $a_{St}$  of the more and the less frequent species: see section Methods) are derived accordingly:  $A_1 = 0.92$ ,  $A_{St} = 0.90$  at Terembu Siput and  $A_1 = 0.96$ ,  $A_{St} =$ 0.57at Terembu Peninjau (Figures 16 and 17).

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383**Table 2** – A synthetic summary of the main quantitative features of the hierarchical organization of species384abundances within community, as derived from each numerically completed "S.A.D." : (i) the total species385richness St of the community ; (ii) the relative abundances  $a_1$  and  $a_{st}$  of the most and the least abundant386species (species rank 1 and St) ; (iii) the unevenness of abundances in the community:  $U = log(a_1/a_{st})/(S_t-1)$ ;387(iv) the unevenness of abundances in the corresponding "broken-stick" distribution:  $U' = log(a'_1/a'_{st})/(S_t-1)$ ,388(v) the genuineintensity of the structuring process  $I_{str} = U/U'$  and, at last, the ratios  $A_1 = (a_1/a'_1)$  and  $A_{st} = (a_{st}/a'_{st})$ .

sites	<b>S</b> <sub>t</sub>	a1	a <sub>st</sub>	$a_1/a_{st}$	a'1	a' <sub>st</sub>	U	U'	<b>I</b> <sub>str</sub>	A1	$\mathbf{A}_{St}$
T. Siput	24	0.14422	0.00157	94	0.15733	0.00174	0.0854	0.0851	1.003	0.92	0.90
T. Peninjau	17	0.19341	0.00197	98	0.20233	0.00346	0.1246	0.1104	1.128	0.96	0.57





Figure 14 – The Species Abundance Distribution of the community at *Terumbu Siput*, plotted together with
 the corresponding "broken-stick" distribution (i.e. computed for the same species richness).





397 with the corresponding "broken-stick" distribution (i.e. computed for the same species richness).





Figure 16 – The variations of the maximum and minimum abundances,  $a'_1$  and  $a'_{st}$  of the "broken-stick" model (double lines) and the uniform abundance level (=  $1/S_t$ ) of the perfectly "even" model (dashed line) as a function of the species richness  $S_t$ .

Figure 17 – The maximum and minimum abundances,  $a_1$  and  $a_{st}$ , for each of the two studied sea-stars communities plotted jointly with the maximum and minimum abundances,  $a'_1$  and  $a'_{st}$  of the "broken-stick" model and the uniform abundance level (=  $1/S_t$ ) of the perfectly "even" model.

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410 Linckia laevigata(Linnaeus 1758) © dr.scott.millsLinckia multifora(Lamarck 1816)© Frédéric Ducarme

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Culcita novaeguineaeMüller & Troschel 1842 © Shizhao Echinaster luzonicus(Gray 1840) © Bernard Dupont



Fromia monilis(Perrier 1869)© HectonichusAcanthaster planci (Linnaeus 1758)© Michel Dammeron



20 Echinaster callosusMarrenzeller 1895© Nick HobgoodLeiaster speciosusvon Martens 1866© MDC Seamark



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Choriaster granulatus(Lütken, 1869) © Ed. Callaghan Asteropsis carinifera (Lamarck 1816) © Ph. Bourjon

# 4. DISCUSSION

Trying to get a comprehensive understanding – species by species – of the internal organization
 of species-rich communities would normally require long and tedious programs of field
 investigations, often beyond the usual practical possibilities.

Limiting the scope to the mere evaluation of the total species richness and the overall, synthetic characterization of the hierarchical structuring of abundances would yet still require the *exhaustive* sampling of the studied species assemblage.And even thisless demanding requirementis often difficult to reach in practice, especially when having to deal with speciesrich communities including a lot of rare species, as is, for example, often the case with invertebrate faunas.

Fortunately, the implementation of appropriate methods f numerical extrapolation can 435 436 "force" incomplete samplings and partial inventories to reveal much more information than one 437 wouldhave expected *a priori*. Indeed, proper numerical extrapolations of both the Species 438 Accumulation Curve and the Species Abundance Distribution can provide an unexpectedly 439 richset of additional information relative to those species remaining *undetected* after partial sampling. This, in turn, allows to tackle the main issues relative to the evaluation of true (total) 440 species richness and the hierarchical organization of species 441 abundance,even whencommunities are only partially sampled - all subjects that otherwise would have 442 443 requiredexhaustiveinventories.

A thorough analysis of twosea-starscommunities associated to coral reefs, located in the
 Central South China Sea,has been conducted accordingly, in compliance with this
 methodological approach.

### 448 **4.1** Total species richness estimates and the forecasted additional sampling efforts 449 required to improve sampling completeness

450 At first, the procedure of numerical extrapolation implies selecting the *least-biased* estimator of 451 the number of undetected species. Here, estimators Jackknife-3 and Jackknife-5 are selected for the communities at Trembu Siput and Terembu Peninjau respectively. Accordingly, the total 452 species richness estimated this way reaches 24 species at Trembu Siput and 17 speciesat 453 454 Terembu Peninjau(Table 1), which substantially exceeds the recorded numbers (16 and 9 455 species respectively). This confirms the limited levels of sampling completeness (67% and 53% respectively) and thus justifies, a *posteriori*, the need for implementing numerical 456 extrapolations of these inventories. The importance of selecting for each community 457

the corresponding *least-biased* estimator of the number of undetected species – and the
associated least-biased extrapolation of the Species Accumulation Curve – is advocated at
Figures 1 and 3, which both highlight the marked differences that separate the extrapolations of
the Species Accumulation Curve associated to different types of estimators. In particular, here,
Jackknife-1 and Chao estimators prove being strongly biased negatively (Figures 1 and 3).

463 Although the least-biased numerical extrapolationcan provide a lot of additional interesting 464 information regarding the set of still unrecorded species, further sampling effort, aiming at 465 increasing the completeness of inventories, might alternatively be considered. In this perspective, reliable forecasts of the additional sampling efforts in order to meet 466 anytargetedgain in sampling-completeness would be useful for the optimal planning of the 467 468 additional efforts to be implemented. The least-biased extrapolation of the Species 469 Accumulation Curve answers appropriately this demand, as shown in Figures 2 and 4). Clearly, 470 further improvements of sampling completeness would rapidly requirevery substantial 471 additional efforts. For example, increasing completeness at Terembu Siput, from the actual 472 67% level up to 80%, 90%, 95% completeness levels would require multiplying the actual 473 sample-size ( $N_0 = 52$ ) by a factor 2, a factor 3.5, a factor 7, respectively. Being able to reliably 474 estimate the required additional efforts, as shown above, is of obvious prime interest to 475 rationally decide whether to continue sampling operation any further orto rely only on actual 476 partial inventories, subsequently completed by numerical extrapolation.

### 477

# 478 4.2 Correction and extrapolation of the Species Abundance Distribution

479 As-recorded Species Abundance Distributionsneed correction and extrapolation because both 480 are (i) slightly *biased* due to sampling stochasticity and (ii) most importantly, *incomplete*, as no 481 less than eight species had remained undetected, in each studied community. After correction 482 and extrapolation are applied, the complete development of the Species Abundance 483 Distributions, including the estimated distribution of the abundances of the still undetected 484 species, is made available: Figures5, 6, 7. Note that complementation of Species Abundance 485 Distribution to include the (undetected) less abundant species may be more important than it might seem at first glance. Indeed, less abundant species may possibly have ecological 486 487 importance no less than more common species, as it has already been repeatedly emphasized 488 [40 - 49]. Inaddition, considering the *full range* of the Species Abundance Distribution is 489 essential, not only to deliver a full description of the *pattern* of abundances but, also, to 490 question the kind of process actually involved in the hierarchical structuring of abundance 491 distribution as well as the genuine intensity of this structuring process. Indeed, answering 492 these questions properly requires comparing the *full range* of Species Abundance Distribution 493 to different theoretical models [5], at the risk, otherwise, to provide severely erroneous 494 inferences. 495

# 496 **4.3** Inferring the type of process driving the hierarchical structuring of species abundances

497 Considered full range, the Species Abundance Distributions of bothstudied communitiesclearly 498 fit bestthe "log-normal" distribution than the "log-series" distribution (Figures8 to 11). This 499 suggests that the *processof structuration* of thesesea-starscommunitiesis likely driven by the 500 combined contributions of many independent factors, rather than by only one (or very few) 501 dominant factor. This, in fact, might well be a rather general trend, as already argued elsewhere 502 [5, 25, 26 – 28]. Moreover, the fairly goodfitto the "log-normal" model standsas good within the 503 range of most abundant species (i.e. for lower ranks i). Thissuggeststhat, in these sea-stars 504 communities, no additional negatively (resp. positively) density-dependent factor actually occurs that, otherwise, would have depressed(resp. increased) the levels of abundance of themost abundantspecies.

At last, it is also worth noting that relying *only*upon the recorded part of the Species Abundance Distribution would have led to the *opposite conclusion*: indeed, the "J" shape of the "log-series" model fits the *recorded* part best than does the "sigmoid" shape of the "log-normal" model. This is a new confirmation that relyingupon incomplete distributions of abundances *only* (i.e. neglecting the numerical extrapolation when required) may often leads to *erroneous diagnostics*, as already emphasized by several authors [4, 6, 20, 27, 50, 51].

513

# 514 **4.4** Quantifying the degree of hierarchical structuration of species abundances

Here also, considering the full range of the Species Abundance Distribution is necessary, not only to duly include the subset of the still undetected species but, also, to make possible the standardization of the Species Abundance Distribution slope to the corresponding "brokenstick" reference (Figures 14 & 15). As argued above, this is a key-condition to unveil the *genuine intensity* lstrof the process driving the hierarchical structuration of species abundances.

- Here (Table 2), the intensity of the structuring process is very close to 1(i.e. very similar to the intensity in the "broken-stick" model) for the community at Terembu Siput ( $I_{str} = 1.003$ ), while
- 521 in the community at Terembu Peninjau, the intensity of the structuring process is somewhat 522 (12%) stronger ( $I_{str}$  = 1.128). In turn, this stronger structuring intensity is only marginally 523 524 related to the level of dominance of the most abundant species (since the values of  $A_1 =$  $(a_1/a_1)$  for both communities are very similar: Table 2, Figure 17). Instead, the stronger 525 526 structuring intensity is mainly due to the lower value of  $A_{st}$  = ( $a_{st}/a'_{st}$ ) (0.90 at Terembu Siput 527 and 0.57 only at T. Peninjau: Table 2, Figure 17). In other words, the larger intensity of the 528 structuring process highlighted in the community at Terembu Peninjau mainly involves the 529 right-hand part of the abundance distribution, that is the set of less abundant species.
- Another interesting question is: how the structuring intensitiesI<sub>str</sub>in these two sea-stars communities would compare with the structuring intensities in other types of marine invertebrates communities. The currently available data in this respectremains still limited [21, 34, 35], but yetsuggests that tropical marine gastropod communities tend to be more stronglystructured than the two studied sea-stars communities.

As regards the *unevenness pattern*, the difference in the degree of unevenness U between the two studied communitiesproves being still far larger (> 46%, Table 2) than is the difference in the genuine intensity of the structuring process (12%). As already emphasized, such discrepancy between the pattern (U) and the underlying process (I<sub>str</sub>) is the mere mathematical consequence of the difference in species richness between the two communities.

At last it should be noted that if the three more abundant species are the same in both 540 541 communities (labelled a, b, c: see Figures 12 &13); the taxonomic composition of less abundant species is, on the contrary, very different – at least as regards the set of recorded species. Yet, 542 543 this cannot be considered as firmly conclusive, as it might well be possible that this difference 544 would be less pronounced if the taxonomic identities of the unrecorded species were unveiled. 545 In this respect, numerical extrapolation finds its limit and only further sampling can actually provide a sound answer. An answer, however, at a very substantial extra-cost in term of 546 547 additional sampling effort. Additional effort that the numerical extrapolation of the Species 548 Accumulation Curvescan efficiently help to predict (Figures 2 & 4).

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550

#### **5.** CONCLUSION

When dealing with substantially incomplete species inventories, the numerical extrapolations 553 of (i) the Species Accumulation Curve and (ii) the Species Abundance Distribution offer 554 remarkable opportunities to unveil an unexpectedly rich sum of information relative to the set 555 of undetected species. In turn, thanks to the resulting access to the *full range* of the Species 556 557 Abundance Distribution, interesting additional information may be derived, regarding the 558 process driving the hierarchical organization of species abundances within a partially sampled community. The numerical extrapolations – hereapplied to the partial inventories of two sea-559 560 stars communities – demonstrate concretely the wide range of ecological questions that may be addressed and successfully answered, even when no less thanone third up to almost half of the 561 562 member-species had remained unrecorded.

In short, this clearly highlights the potential interest of numerical extrapolations applied to partial inventories, in the context of increasingly frequentpractice of "quick assessments" of biodiversity, especially when having to deal with highly species-richassemblages, as is often the case with invertebrate faunas under tropical climates.

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- 568 569

#### Appendix 1

### 570 Bias-reduced extrapolation of the Species Accumulation Curve and associated bias-571 reduced estimation of the number of missing species,based on the recorded numbers of 572 species occurring 1 to 5 times

Consider the survey of an assemblage of species of size N<sub>0</sub> (with sampling effort N<sub>0</sub> typically identified either to the number of recorded individuals or to the number of sampled sites, according to the inventory being in terms of either species abundances or species incidences), including R(N<sub>0</sub>) species among which f<sub>1</sub>, f<sub>2</sub>, f<sub>3</sub>, f<sub>4</sub>, f<sub>5</sub>, of them are recorded 1, 2, 3, 4, 5 times respectively. The following procedure, designed to select the less-biased solution, results from a general mathematical relationship that constrains the theoretical expression of *any* theoretical Species Accumulation Curves R(N) : see [17, 52, 53]:

$$^{x}R_{(N)}/N^{x} = (-1)^{(x-1)} f_{x(N)}/C_{N,x}$$
  $(-1)^{(x-1)} (x!/N^{x}) f_{x(N)}$  ( $\approx as N >> x$ ) (A1.1)

Compliance with the mathematical constraint (equation (A.1)) warrants *reduced-bias* expression for the extrapolation of the Species Accumulation Curves R(N) (i.e. for N >N<sub>0</sub>). Below are provided, accordingly, the polynomial solutions  $R_x$  (N) that respectively satisfy the mathematical constraint (A1.1), considering increasing orders x of derivation  ${}^{x}R_{(N)}/{}^{Nx}$ . Each solution  $R_x$  (N) is appropriate for a given range of values of  $f_1$  compared to the other numbers  $f_{x,}$ according to [17]:

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\* for  $f_1up$  to  $f_2 \rightarrow R_1(N) = (R(N_0) + f_1) - f_1 N_0 / N$ 

593 \* for f<sub>1</sub> up to 2f<sub>2</sub> − f<sub>3</sub> → R<sub>2</sub>(N) = (R(N<sub>0</sub>) + 2f<sub>1</sub>− f<sub>2</sub>) − (3f<sub>1</sub>− 2f<sub>2</sub>).N<sub>0</sub>/N − 594 (f<sub>2</sub>− f<sub>1</sub>).N<sub>0</sub><sup>2</sup>/N<sup>2</sup>

594 595

602

596 \* for f<sub>1</sub> up to 
$$3f_2 - 3f_3 + f_4 \rightarrow R_3(N) = (R(N_0) + 3f_1 - 3f_2 + f_3) - (6f_1 - 8f_2 + 3f_3).N_0/N$$
  
597 - (- 4f\_1 + 7f\_2 - 3f\_3).N\_0<sup>2</sup>/N<sup>2</sup> - (f\_1 - 2f\_2 + f\_3).N\_0<sup>3</sup>/N<sup>3</sup>  
598 \* for f\_1 up to 4f\_2 - 6f\_3 + 4f\_4 - f\_5 → R\_4(N) = (R(N\_0) + 4f\_1 - 6f\_2 + 4f\_3 - f\_4) - (10f\_1 - 6f\_2 + 4f\_3 - f\_4)

$$600 \qquad 20f_2 + 15f_3 - 4f_4).N_0/N - (-10f_1 + 25f_2 - 21f_3 + 6f_4).N_0^2/N^2 - (5f_1 - 14f_2 + 13f_3)$$

601  $-4f_4$ ).N<sub>0</sub><sup>3</sup>/N<sup>3</sup> - (-f<sub>1</sub> + 3f<sub>2</sub>- 3f<sub>3</sub> + f<sub>4</sub>).N<sub>0</sub><sup>4</sup>/N<sup>4</sup>

\* for  $f_1$  larger than  $4f_2 - 6f_3 + 4f_4 - f_5 \rightarrow R_5(N) = (R(N_0) + 5f_1 - 10f_2 + 10f_3 - 5f_4 + f_5)$ 603 604  $-(15f_1-40f_2+45f_3-24f_4+5f_5).N_0/N - (-20f_1+65f_2-81f_3+46f_4)$  $-10f_5$ ).N<sub>0</sub><sup>2</sup>/N<sup>2</sup> - (15f<sub>1</sub>-54f<sub>2</sub> + 73f<sub>3</sub> - 44f<sub>4</sub> + 10f<sub>5</sub>).N<sub>0</sub><sup>3</sup>/N<sup>3</sup> - (-6f<sub>1</sub> + 23f<sub>2</sub>-33f<sub>3</sub>) 605 +  $21f_4 - 5f_5$ ). $N_0^4/N^4 - (f_1 - 4f_2 + 6f_3 - 4f_4 + f_5)$ . $N_0^5/N^5$ 606 607 608 The associated non-parametric estimators of the number \_\_\_\_\_ of missing species in the sample [with  $J = R(N = ) - R(N_0)$ ] are derived immediately: 609 610 611 \* 0.6  $f_2 < f_1 \le f_2 \rightarrow$   $J_1 = f_1 ; R_1(N)$ 612 613 \*  $f_2 < f_{1\leq} 2f_2 - f_3 \rightarrow J_2 = 2f_1 - f_2$ ;  $R_2(N)$ 614 615 \*  $2f_2 - f_3 < f_1 \le 3f_2 - 3f_3 + f_4 \rightarrow J_3 = 3f_1 - 3f_2 + f_3$ ; R<sub>3</sub>(N) 616 617 \*  $3f_2 - 3f_3 + f_4 < \mathbf{f_{1\leq}} 4f_2 - 6f_3 + 4f_4 - f_5 \rightarrow J_4 = 4f_1 - 6f_2 + 4f_3 - f_4$ ;  $R_4(N)$ 618 619 \*  $f_1 > 4f_2 - 6f_3 + 4f_4 - f_5 \rightarrow J_5 = 5f_1 - 10f_2 + 10f_3 - 5f_4 + f_5 ; R_5(N)$ 620 621

**N.B.1**: As indicated above (and demonstrated in details in BEGUINOT[17], this series of inequalities define the ranges that are best appropriate, respectively, to the use of each of the five estimators, JK-1 to JK-5. That is the respective ranges within which each estimator will benefit of minimal bias for the predicted number of missing species.

Besides, it is easy to verify that another consequence of these preferred ranges is that the 626 627 selected estimator will always provide the highest estimate, as compared to the other 628 estimators. Interestingly, this mathematical consequence, of general relevance, is in line with the already admitted opinion that all non-parametric estimators provide *under*-estimates of the 629 true number of missing species [2, 3, 15, 16, 54]. Also, this shows that the approach initially 630 proposed by BROSE et al.[55] - which has regrettably suffered from its somewhat difficult 631 632 implementation in practice – might be advantageously reconsidered, now, in light of the very 633 simple selection key above, of far much easier practical use. 634

635 **N.B.2**: In order to reduce the influence of drawing stochasticity on the values of the  $f_{x_i}$  the as-636 recorded distribution of the  $f_x$  should preferably be smoothened: this may be obtained either by 637 rarefaction processing or by regression of the as-recorded distribution of the  $f_x$  versus x.

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639 **N.B. 3**: For  $f_1$  falling beneath 0.6 x  $f_2$  (that is when sampling completeness closely approaches 640 exhaustivity), then Chao estimator may be selected: see reference [18].



All things equal otherwise, the larger the species richness, the weaker is the slope of the Species
Abundance Distribution. This can be easily exemplified and quantified, on a theoretical basis,
by considering a theoretically constant structuring process - such as the random distribution of
the relative abundances that characterises the "broken-stick" distribution model. By applying
this model successively to a series of communities with increasing species richness, a steadily
decrease of the slope of abundance distributions is highlighted: Figure A3

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**Figure A3** – The "broken-stick" distribution model applied to species communities with increasing species richness  $S_t = 10, 20, 30, 60$ . Although the theoretical structuring process involved in the "broken-stick" model remains unchanged (random apportionment of relative abundances among member species), the slope of the species abundance distribution strongly depends upon (and monotonously decreases with) the level of species richness  $S_t$ .

690

# 691 **COMPETING INTERESTS**

692 Author has declared that no competing interests exist.

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#### 694 695

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