# 1 2 3 4 5 6 8 10

# 11

# Short Communication **Determining Sustainability Index of Tobacco** Planted in Various Types of Land Typology in

Pamekasan, Madura

ABSTRACT

Aims: Economic importance of tobacco plantation has long been undeniable for East Java. In this province, especially in Madura, Pamekasan constitutes one of the greatest tobacco producers, cultivated in many types of farm typology such as sawah, tegal, and gunung. This current study aimed at investigating sustainability index of tobacco planted in various land typologies in Pamekasan.

Study design: Data were collected using in-depth interview and focus group discussion. Place and Duration of Study: The study was conducted in Pamekasan, Madura, between April 2016 and March 2016.

Methodology: Data obtained were evaluated using Rap-Tobacco (derived from Rap-Fish) commonly known as Multi-Dimensional Scaling (MDS), covering ecological, social economy, institutional and policy, and technological aspects.

Results: The results demonstrated that the highest sustainability index was observed at technological dimension, reaching up to 66.99 (sawah), 55.97 (tegal) and 58.13 (gunung). Although tobacco farming was sustainable in terms of technological dimension, more advanced technological supports might help it to reach a meaningful improvement, such as the use of information system for generating accurate climate data, as well as production and better distribution of proper tobacco seeds. Statistically, stress value was found <0.25%, while R2 ranged from 92% to 94%.

Conclusion: In short, we could conclude that the present model successfully fitted the testing data and could satisfactorily calculate sustainability index.

12 13

Keywords: tobacco, sustainability, multi-dimensional scaling

14 15

# **1. INTRODUCTION**

16 17

18 Plantation as a main part of agriculture sector has remarkably contributed to the national 19 economy according to national income, export revenue, and employment. In 2013, Gross 20 Domestic Product (GDP) of subsector plantation has reached Rp 175.25 billion. In this case, 21 tobacco is regarded as one of major commodities in this subsector.

22 East Java has been well known capable of providing great contribution to tobacco industry in 23 national scale, accounting for about 56.8% of total production in Indonesia [1]. Madura, an 24 Island in East Java near Surabaya, is capable of producing and developing tobacco plants, 25 as represented by farm land for the plant reaching up to 59,968 ha, existing in Sampang, 26 Pamekasan, and Sumenep [2]. Among these areas, Pamekasan ranked at first, having 27 tobacco farm area of 27,000 ha [3].

28 BPS-statistics showed that, there is a decline in tobacco farm area in Pamekasan and its 29 productivity [3]. However, the reduction did not cause the increasing price of tobacco. In last Comment [ 1]: The country name is appropriate

Comment [ 2]: Current data is more appropriate.

Comment [ 3]: Reference should be given.

Comment [ 4]: BPS should be given an openina.

30 years, tobacco farmers in Pamekasan suffered from low price of tobacco due to price 31 fluctuation, while the price was often below Break Event Point (BEP), which is economically

32 unfeasible. Although the price uncertainty has continuously occurred, most farmers in

Pamekasan are still planting tobacco. Tobacco is regarded as the more benefitable commodity compared to other commodities such as paddy rice and secondary crops named as palawija [4].

To date, farmers could sell their tobacco entirely, but tobacco business has been undeniably hindered by several constraints, both technical and non-technical. In terms of technicalrelated problems, the major constraint comes from low quality of tobacco, which is commonly unsuitable to criteria and demand [5]. Based on aforementioned elaboration, this attracted authors to investigate index and status of sustainability for tobacco farm in Pamekasan.

42 43 44

45

# 2. METHODOLOGY

## 46 2.1 Determination of Attributes and Score for Sustainability Index

Study on sustainability index of tobacco farmed in various farm tyoplogy in Pamekasan was performed to assess the sustainability of tobacco production. Farm typology was grouped into three types: sawah, tegal and pegunungan. Data were analyzed using ordination method of *Rap-Tobacco* (modified from *Rap-Fish*) commonly named as Multi Dimensional Scaling (MDS).

52 Index assessment was performed according to Rap-Tobacco modified from Rap-Fish, 53 through ordering objects based on a measured order using Multi-Dimensional Scaling 54 (MDS). MDS is a multivariate statistic tool able to determine position of an object over other 55 objects considering their degree of similarity [6]. This method is also popular as ordination in 56 reduced space. Ordination refers to object plotting along lines established according to 57 ordered relationship or in graphical system consisting of two or more lines [7]. Using ordinating concept, dispersion of multidimension can be projected in a simpler area. 58 Ordinating approach also allows researchers to obtain more quantitative information and 59 60 projecting value. MDS is also a statistic tool capable of transforming multidimension into a 61 simple dimension [8].

In Rap-Fish approach, the more appropriate model was indicated by lower stress value (S<0.25), with greater R<sup>2</sup> value (at maximum of 1.0). Scale for system sustainability index ranged from 0 – 100%, in which index of >50% is attributed to "sustainable", while index of <50% refers to "not sustainable" [9].

In this experiment, assessment of tobacco sustainability was based on 4 main dimensions covering ecology (11 attributes), social-economy (14 attributes), institution (9 attributes) and technology (10 attributes). All these attributes were specifically presented in following Table 1-4.

70 71

72

### Table 1. Attributes and score for sustainability index based on ecological aspects

No.	Dimension and Attributes		
		good	Bad
(1)	(2)	(3)	(4)
1.	Area of dry land, which is based on percentage of rain-dependent areas ( <i>luas lahan kering</i> )	3	0
2.	Elevation of tobacco farm, expressed as above mean sea level (ketinggian lahan tanam)	3	0
3.	Potential tobacco farm expansion (potensi lahan untuk perluasan lahan tembakau)	3	0
4.	Tobacco farm land extension ( <i>penambahan luas lahan</i> )	3	0

**Comment [ 5]:** Benefitable can be preferred instead of suitable

5.	Land conversion of tobacco farm land ( <i>konversi untuk lahan tembakau</i> )	0	3
6.	Use of pesticide (dose per ha tobacco farm land) (pengunaan pupuk/pestisida)	0	3
7.	Use of organic matters derived from agricultural waste for fertilization (penggunaan bahan organik - BO)	3	0
8.	Tobacco productivity (kg/ha) (produktivitas tembakau)	3	0
9.	Land conversion, from tobacco farm to another crop use (konversi lahan tembakau untuk tanaman lain)	0	3
10.	Harvesting failure due to climate/weather disturbance (gagal panen akibat cuaca)	0	3
11.	Tobacco quality ( <i>mutu tembakau</i> )	3	0
			Þ

# Table 2. Attribute and score for sustainability index based on social-economy aspects

No.	Dimension and Attributes		
		good	bad
(1)	(2)	(3)	(4)
1.	Fluctuation and stability of the price (fluktuasi harga)	3	0
2.	Contribution to Regional Original Revenue (PAD) (kontribusi pada PAD)	3	0
3.	Contribution to farmer's economy (kontribusi pada pendapatan petani)	3	0
4.	Sufficiency in tobacco production for market demand ( <i>Ikecukupan produksi</i> )	3	0
5.	Benefit distribution ( <i>distribusi pemerataan hasil</i> )	3	0
6.	Labor cost (biaya tenaga kerja)	3	0
7.	Farmer's income source excluding tobacco, i.e. from other crops/commodities (pendapatan usaha tani selain tembakau)	3	0
8.	Tobacco selling price (based on BEP) ( <i>harga jual tembakau terhadap BEP</i> )	3	0
9.	Annual cost for production inputs (saprodi) (harga saprodi dari tahun ke tahun)	3	0
10.	Availability of saprodi (use effectivity to farmers) (tingkat ketepatan ketersediaan saprodi)	3	0
11.	Product market, which is based on marketing area (luas jangkau pemasaran tembakau)	3	0
12.	Farmer's bargaining to costumers (posisi tawar petani)	3	0
13.	Öwn financial support (ketersediaan modal oleh petani sendiri)	3	0
14.	Dependence on subsidy (ketergantungan pada subsidi)	3	0

<sup>76</sup> 77 78 79

## Table 3. Attributes and score for sustainability index based on institutional and policy aspect

No.	Dimension and Attributes		Status	
		good	bad	
(1)	(2)	(3)	(4)	
1.	Government's policy on tobacco trade (Aktifitas dan kinerja pemerintah dalam kebijakan pertembakauan)	3	0	
2.	Performance of cooperation (KUD)	3	0	

<sup>73</sup> 74 75

	(Aktifitas dan kinerja kelembagaan KUD)		
3.	Availability of institution/business agent/service facilitating inputs and outputs (Aksebilitas dan ketersediaan pelayanan saprodi)	3	0
4.	Contribution of extension activities to farmers (Aktifitas dan kinerja kelembagaan penyuluhan pertanian)	3	0
5.	Financial supports by small finance bodies (bank/credit) ( (Aktifitas dan kinerja kelembagaan perkreditan)	3	0
6.	Technological service related to tobacco farm (Aktifitas dan kinerja lembaga layanan teknologi)	3	0
7.	(Aktifitas dan kinerja layanan pemasaran)	3	0
8.	Performance of non-governmental organization for supporting governmental service agents	3	0
0	(Aktifitas dan kinerja kelembagaan swadaya masyarakat)		
9.	Performance of partnership institution on linking farmers to investors (Aktifitas dan kinerja kelembagaan kemitraan)	3	0

80 81

### Table 4. Attributes and score for sustainability index based on technological aspect

82

No.	Dimension and Attributes	Stat	us
		good	bad
(1)	(2)	(3)	(4)
1.	Land and water management (pengelolaan lahan dan air)	3	0
2.	Seeding technology (pengadaan bibit)	3	0
3.	The use of recommended tobacco seed <i>Prancak</i> (95, N1, and N2) (penggunaan bibit anjuran)	3	0
4.	Fertilizing management based on recommendation from extension agents (penggunaan pupuk dan pestisida)	3	0
5.	Plant disease management (pengendalian OPT)	3	0
6.	Harvesting time, based on optimum plant maturity depending on farm land (panen optimum)	3	0
7.	The use of agriculture machinery (penggunaan alsintani)	3	0
8.	Appropriateness on post-harvest handling (pengolahan tembakau)	3	0
9.	Harvesting management, top pruning technique and gradual harvesting (pemanenan dan pemangkasan )	3	0
10	Climate information system (sistem informasi iklim)	3	0

83 84

## 85 2.2 Analysis Steps

Ordination analysis by Rap-Tobacco was performed through several steps: 1) determination of attributes involved in sustainable tobacco production; 2) scoring of attributes based on criteria; 3) ordinating analysis to determine ordination of stress value; 4) establishment of index and status for sustainability of tobacco in general and specific perspective in each dimension, 5) leverage analysis for determining the most sensitive attributes; 6) Monte Carlo analysis for estimating the uncertainty. Scoring in these attributes represented their sustainability, indicating degree of goodness and badness.

- 94 3. RESULTS AND DISCUSSION
- 95

## 96 **3.1 Ecological Dimension**

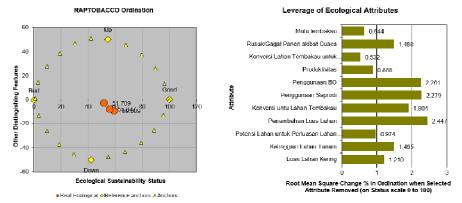
97

Sustainability index of tobacco planted in *sawah*, *tegal*, and *gunung* was depicted in Figure
The results suggested that tobacco farming in these farm typologies was considered
"sustainable", i.e. 59.089, 55.85 and 51.7, respectively. This is due to appropriateness of
farming land in Madura (specifically in Pamekasan) for tobacco plantation, with rainfall

Comment [ 6]: 59.09

average of 108 mm per year (BPS-Statistics, 2015). In general, local farmers in Madura are
 rich in local knowledge for dealing with technical barriers of tobacco farming. With a stress
 value of 0.1550852, the resulting model was at fair level; while, R<sup>2</sup> value reached 94.66%

105 (Table 5), indicating that the model appropriately fitted data.



# Fig. 1. Sustainability index and sensitive attributes for tobacco planted in *sawah*, *tegal*, and *gunung* based on ecological dimension

109

111

### 110 Table 5. Stress value for ecological dimension

Stress =	0.1550852	Iteration	Stress	Delta
Squared Correlation (RSQ) =	0.9465894	1	0.226478	9E+20
Number of iterations =	2	2	0.22615	0.000328
Memory needed (words) =	6782			
Return value (error if > 0)	0			
Rotation angle (degrees) =	184.88043			

112

113 As exhibited in Fig. 1, the sensitive attribute was listed as follows: 1) use of organic matter; 114 2) use of agricultural machinery; 3) land extensification. Intervention on these attributes 115 could more significantly alter sustainability index in terms of ecological aspect. The utilization of organic matter was regarded as sensitive since organic materials derived from agricultural 116 waste (particularly tobacco stems) were not further used for farming; but they were removed 117 118 or used for traditional wood burning stove. Furthermore, agricultural machinery was also sensitive since it is not used properly by farmers as suggested by extension agents or 119 120 government. This may be linked to the limitation on its availability and distribution. Next, land 121 extensification is also sensitive attribute since, at a higher selling price, farmers massively 122 extend their tobacco farm lands for further planting session at absence of well-planned 123 strategy.

124

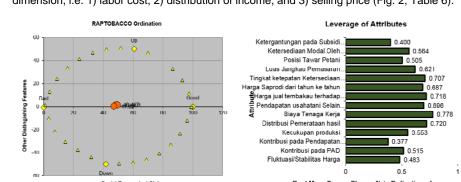
# 125 3.2 Social Economical Dimension126

127 The results indicated that sustainability index of tobacco planted in *sawah, tegal* and 128 *pegunungan,* reached up to 48.47, 49.55 and 47.02, respectively, suggesting that tobacco 129 farming was less sustainable in terms of social economical dimension. Currently, tobacco

have received a myriad of social-economic pressures, including tobacco recognized as
 addictive materials, no smoking campaign, and FAO recommendation according to Frame

132 Work Convention on Tobacco Control-FCTC, resulting in a declined demand of tobacco.

Among these attributes, we identified the most three attributes affecting social economical dimension, i.e. 1) labor cost, 2) distribution of income, and 3) selling price (Fig. 2, Table 6).



Social Economical Status
 Heal Socials Economics 
 Anchors

Root Mean Square Change % in Ordination when Selected Attribute Removed (on Status scale 0 to 100)

# 135 Selected Attribute Removed (on Status scale 0 to 100) 136 Fig. 2. Sustainability index and sensitive attributes for tobacco planted in sawah, 137 tegal, and gunung based on social economical dimension

138 Sensitivity of labor cost was a result from high cost of employment. For fresh tobacco

139 (tembakau basahan), irrigation accounted for 20.55% of total cost, while it contributed to

24.22% of total cost for dried tobacco (*tembakau rajangan*). Furthermore, stress value of the
 model was 0.1634116, categorized as fair level, while the R<sup>2</sup> value reached 94.26% (almost

142 1.0), indicating that the model could properly fit the data.

# 143 Table 6. Stress value for social economical dimension

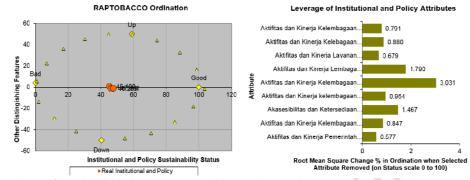
Stress =	0.1634116	Iteration	Stress	Delta
Squared Correlation (RSQ) =	0.9425861	1	0.227909	9E+20
Number of iterations =	3	2	0.226239	0.00167
Memory needed (words) =	8438	3	0.226272	-3.3E-05
Return value (error if > 0)	0			
Rotation angle (degrees) =	0.2348149			

# 145

# 146 3.3 Institutional and Policy Dimension

147 The results showed that sustainability index of tobacco planted in sawah, tegal and gunung 148 was 45,09, 46.29 and 47.66, respectively, which suggested that tobacco farming in terms of 149 institutional and policy dimension was less sustainable. The factor mainly responsible for the unsustainability is associated with the failure of relevant institution (in this case KUD) to 150 facilitate farmers. Afterwards, the top three sensitive attributes included: (1) performance of 151 152 credit institution, (2) technological service, and (3) accessibility and availability of agricultural 153 machinery (Fig. 3, Table 7). Financial support seemed to be very sensitive due to absence of 154 governmental policy on offering affordable credit scheme to farmers through either state or private institutions. Technological service was also recorded as sensitive attribute, mainly for 155 156 institutional performance on feeding farmers with various technologies for better tobacco **Comment [7]:** Passive structure should be preferred.

# farming activities. Subsequently, accessibility of agricultural machinery was also not proportionally distributed and even inaccessible for some farmers living far from city.



# Fig. 3. Sustainability index and sensitive attributes for tobacco planted in *sawah*, *tegal*, and *gunung* based on institutional and policy dimension

162 Statistically, the model demonstrated adequate stress value, i.e. 0.1696784, which 163 make it at fair level. Similarly, the R<sup>2</sup> value was 94.00%, which means that the model of the 164

164 testing data is satisfactory.

#### 165 **Table 7. Stress value for institutional and policy dimension** 166

Stress =	0.1696784	Iteration	Stress	Delta
Squared Correlation (RSQ) =	0.9400167	1	0.235113	9E+20
Number of iterations =	3	2	0.233684	0.001428
Memory needed (words) =	6062	3	0.233708	-2.4E-05
Return value (error if > 0)	0			
Rotation angle (degrees) =	6.4476357			

167

### 168 **3.3 Technological Dimension**

169 The technological dimension in this experiment was classified as sustainable since the index 170 reached 66.99, 55.97 and 58.13 for each farm typology, respectively. Based on sensitivity 171 assessment, climate information system is recorded at first rank, followed by the use of recommended seed, and provision of seed. Information system for climate prediction is 172 173 essential considering that tobacco is highly susceptible to rainfall, requiring an accurate 174 prediction of climate. To date, technology in the climate prediction is rarely used by farmers; 175 but they have mostly depended on traditional method for climate estimation. The use and 176 provision of recommended tobacco seed is sensitive attribute since most farmers tended to 177 use unstandardized seeds that are produced by themselves with conventional method. 178 Furthermore, statistical assessment on the model showed that the stress value was 0.1648743, with a fair level. Meanwhile, with R<sup>2</sup> of 92.48%, the testing data could be well 179 180 fitted by model.





Fig. 4. Sustainability index and sensitive attributes for tobacco planted in sawah, 183 tegal, and gunung based on technological dimension

184 Table 7. Stress value for technological dimension

0.1648743	Iteration	Stress	Delta
0.9248397		0.241661	9E+20
3	2	0.239434	0.002227
6062	3	0.239625	-0.00019
0			
238.13672			
	0.9248397 3 6062 0	0.9248397 1 3 2 6062 3 0	0.9248397 1 0.241661 3 2 0.239434 6062 3 0.239625 0

185 186

#### 4. CONCLUSION 187

## 188

Assessment of sustainability index using Rap-Tobacco based on ecological, social economy, 189 institutional and policy, and technological aspects for tobacco planted in various types of 190 191 land typology in Pamekasan demonstrated that the highest sustainability index was attributed to technological dimension, with regard to the sustainability status reaching up to 192 66.99 (sawah), 55.97 (tegal) and 58.13 (gunung). Additionally, assessment on attribute 193 194 sensitivity put the climate information system in the first rank, followed by the recommended 195 seed availability and seed distribution. Next, ecological dimension was also considered 196 sustainable, with the sustainability status of 59.089 (sawah), 55.85 (tegal) and 51.7 197 (gunung), while the sensitive attribute on this aspect included the use of organic matter, 198 agricultural machinery, and land extensification. On the other hand, the two remaining 199 dimensions (institutional and policy dimension; economical and social dimension) were 200 known to be less sustainable; thus, further consideration on the key attributes within these aspects needs to be highlighted in order to achieve sustainable availability of Madura 201 202 tobacco.

203

204

## 205 COMPETING INTERESTS

206 207 208

Authors have declared that no competing interests exist.

All authors read and approved the final manuscript.

## AUTHORS' CONTRIBUTIONS

209 210

211

- 212
- 213

# 214 **REFERENCES**

215

216 1. Direktorat Jendral Perkebunan. 2013. Perkembangan Luas Areal Perkebunan 2005-2013.
217 Available: www.deptan .go.id.

218 2. Hariyanto E. 2013. Keterbukaan Pabrikan Permudah Estimasi Kebutuhan Tembakau.

219 Dinamika Perkebunan, Majalah Dinas Perkebunan Propinsi Jawa Timur, Mei 2013.

3. BPS-statistics. 2014. Kabupaten Pamekasan dalam Angka. Badan Pusat Statistik,
 Pamekasan.

4. Ahsan A. 2012. Kondisi Pertanian Tembakau, Tata Niaga dan RPP Pengendalian Rokok.
 Kegiatan Pertemuan Teknis Komoditas tentang Paparan Komoditas Tembakau untuk
 PBK/SRG/PL Biro AnalisisPasar–Bappebti. Lembaga Demografi UI. Selasa, 10 Juli 2012,

225 Hotel Ibis Arcadia Jakarta.

5. Direktorat Budidaya Tanaman Semusim. 2006. Road Map Tembakau Tahun 2006-2025.
 Direktorat Jendral Perkebunan, Departemen Pertanian, Jakarta.

6. Jaworska N, Chupetlovska-Anastasova A. 2009. A review of Muldimensional Scalling (MDS) and its Utility in Various Psychological Domains. Tutorial in Quantitative Methods for

Pschology. Vol 5(1):1-10.
7. Legendre, P. and L. Legendre. 2012. Numerical Ecology. 3<sup>rd</sup> English Edition. Amsterdam,
The Netherlands, Elsevier Science BV.

8. Fauzi A, Anna S. 2005. Pemodelan Sumberdaya Perikanan dan Lautan untuk Analisis
 Kebijakan, Gramedia Pustaka Utama, Jakarta.

9. Kavanagh P, Pitcher TJ. 2004. Implementing Microsoft Excel Software for Rapfish: A
 technique for The Rapid Appraisal Status. Canada: University of British Columbia.