

Optimal Control Analysis of An Age-Structured Malaria Model Incorporating Children Under Five Years and Pregnant Women

Abstract

In this article, we apply the optimal control theory to a new age-structured malaria model with three infectious compartments for people under five years, over five years and pregnant women. The model is formulated for malaria endemic areas in the world and the following malaria control strategies ITN, IRS, Chemoprophylaxis and Improved Clinical Treatment were examined and analysed on the mode. The Cost-effectiveness Analysis points out that more attention should be given Insecticide -Treated bed nets (ITNs) in order to eliminate the malaria disease globally because the female Anopheles mosquitoes need human blood to lay their eggs. The expression for the effective reproduction number (R_e) has been derived by using the next-generation method. The impact of the controls on the R_e was studied and it came out that all the four controls have a positive impact such that the ITNs can reduce R_e to zero as the value of ITNs approaches one. Pontryagin's Maximum Principle was applied to analyse the optimal control model theoretically and the optimality system was solved numerically through an iterative scheme.

The optimal plots (Fig.4-8) reveal that best control strategies for malaria elimination is the combination of ITN, Chemoprophylaxis and Improved Clinical Treatment. However, the Cost-effectiveness Analysis points out that ITN is economically best solution for fighting malaria in poor malaria endemic areas.

Keywords: Insecticide Treated bed nets (ITNs), Indoor Residual Spraying (IRS), Chemoprophylaxis, Improved Clinical Treatment, effective reproduction number.

1.1 INTRODUCTION

Optimal Control Theory (OCT) is a powerful mathematical tool which is used in making fruitful decisions in dynamical systems [6, 9]. It has also been applied in disease modelling [8], however, not much has been done in the area of malaria modelling, Even the few applications of the theory to existing malaria modelling do not include models having separate compartments for children under 5years and pregnant women [1, 2, 3, 4,]. The technique behind applying Optimal Control Theory to malaria modelling is to minimise the infected humans and vector population while maximizing the recovered human population using limited resources available [9]. The technique for analyzing disease models when one is applying Optimal Control Theory comes from the Pontryagin Maximum Principle (PMP).

PMP is a classical result from optimal control theory which provides a necessary condition that must be satisfied by an optimal solution [8, 10]. We extend the existing malaria models on the time-optimal control of the SI epidemic model with compartments for children under five years and pregnant women. The control strategies to be incorporated in our model are Insecticide Treated bed nets (ITNs), Indoor Residual Spraying (IRS), Chemoprophylaxis and Improved Antimalarial drugs. Stability analysis has carried out on the model in this article in a previous article entitled "Analysis of an Age-Structured Malaria Model Incorporating Infants and Pregnant Women" [31]. Sensitivity analysis in the previous article proved that malaria can be controlled or eliminated if the following parameters such as biting

45 rates, recruitment rate and density-dependent natural mortality rate for mosquitoes and
46 clinical recovery rates for humans are controlled. Therefore, the focus of this article is to
47 apply optimal control theory to the said new model.

48

49 **1.2 PREVIOUS WORK**

50 Makinde and Okosun, [15] established the optimal strategies for malaria control with infected
51 immigrants. Also, Okosun, [16], Makinde and Okosun, [15], and Okosun et. al., [3] applied
52 optimal control theory to a continuous malaria model that includes treatment and vaccination
53 with waning immunity to study the impact of possible vaccination with treatment strategies in
54 controlling the spread of malaria. Silva and Torres [17] presented an optimal control
55 approach to malaria prevention via ITNs in which supervision control was introduced
56 representing information, education, communication (IEC) campaigns for improving the ITN
57 usage. The optimal control problem was developed and solved with the aim of minimizing
58 the number of infected humans while keeping the cost low. The numerical results showed the
59 effectiveness of the optimal control interventions. Only one prevention strategy, that is, ITN,
60 was investigated. Furthermore, Rafikov et al., [18] formulated a continuous model for
61 malaria vector control with the aim of studying how genetically modified mosquitoes should
62 be introduced in the environment using optimal control problem strategies.

63 Okosun et al., [3] showed that a possible vaccination combined with an effective treatment
64 regime would reduce the spread of the disease. Their research based on the combined
65 vaccination and treatment strategy. Optimal control strategy for Plasmodium vivax malaria
66 transmission in Korea was investigated using a deterministic system of differential equations.
67 This work suggested that if the cost of reducing the reproduction rate of the mosquito
68 population is more than that of prevention measures to minimize mosquito-human contacts,
69 the control of mosquito-human contacts needs to be taken for a longer period of time,
70 comparing the other situations [14]. Magombedze et al., [19] studied optimal control of
71 malaria chemotherapy in which an intra-host mathematical model of malaria that describes
72 the interaction of the immune system with the blood stage malaria merozoites was done. The
73 model was modified by incorporating the effects of malaria drugs that target blood stage
74 parasites. The optimal control represented percentage effects of the chemotherapy of
75 chloroquine in combination with chlorpheniramine on the reproduction of merozoites in
76 erythrocytes. Their results indicated that highly toxic drugs and small dosage sizes have the
77 potential of improving the quality of life and reduce economic costs of therapy.

78 Mwamtobe in his Ph.D. thesis applied optimal control theory to study optimal intervention
79 strategies for malaria epidemic in Karonga district in Malawi. Prevention strategies such
80 as insecticide treated bed-nets (ITNs) and indoor residual spraying (IRS) and treatment of
81 infected individuals were the control strategies considered in the study. Analysis of the model
82 suggested that effective control or eradication of malaria can be achieved by the combination
83 of protection and treatment measures. The work also suggested that making control strategies
84 readily available to both populations can play an important role in reducing or eradicating
85 malaria disease in Karonga District or in the entire Malawi nation. His work finally
86 recommended that a model with children under five years and pregnant women could shed
87 more light on which intervention strategy to prioritize to the specific groups [1]. Otieno et al
88 [5] study transmission dynamics and optimal control of malaria in Kenya. Their model use
89 SEIRS type for the human population with temporary immunity after recovery and the
90 mosquito population was described by the SEI model. The susceptible humans consist of

91 children under the age of five and pregnant women. The following control strategies were
92 considered in this model: (i) the use of treated bed nets, (ii) treatment of infective humans,
93 (iii) spray of insecticides and (iv) treatment to protect pregnant women and their newborn
94 children: intermittent preventive treatment for pregnant women (IPTp). The work suggested
95 that the optimal control strategy for malaria control in endemic areas is the combined use of
96 treatment and IRS; in epidemic-prone areas, it is the use of treatment and IRS; in seasonal
97 areas, it is the use of treatment, and in low - risk areas, is the use of ITNs and treatment. The
98 work finally concluded that following these strategies can effectively reduce the spread of
99 malaria disease in different malaria transmission settings in Kenya.

101 1.3 MODEL DESCRIPTION AND FORMULATION

102 The model proposed in this paper is an Age-Structured Malaria model having separate
103 Infectious Compartments for people under 5 years, over 5 years, and Pregnant women. Two
104 populations, that is, humans and adult female Anopheles mosquitoes are considered in the
105 model (1). The human population is partitioned into susceptible S_H , infected humans under
106 5 years I_I , infected humans over 5 years I_A and infected pregnant women I_P . The
107 mosquito population is also divided into

108 Susceptible S_M and infected mosquitoes. I_M . The total population sizes at time t for
109 humans and mosquitoes are denoted by $N_H(t)$ and $N_M(t)$ respectively. We employ the
110 SIS type model for humans to describe the disease with malaria acquired immunity for
111 those over 5 years as long as they continue to live in malaria endemic areas and SI model
112 for mosquitoes since they **do not recovery from** the parasite infection. We incorporate four
113 time-dependent control measures simultaneously: (1) Insecticide Treated bed nets (ITNs), (2)
114 Indoor Residual Spraying (IRS), (3) Chemoprophylaxis and (4) Improved Antimalarial drugs.
115 Detailed description of the control functions is given in table 1. $S_H(t)$ represents the number
116 of individuals not yet infected with the malaria parasite at time t and $I_I(t)$, $I_A(t)$ and
117 $I_P(t)$ represent those who are infected malaria parasites and are capable of transmitting the
118 parasites to susceptible mosquitoes. The susceptible humans consist of individual under 5
119 years, over 5 years and pregnant women. It is assumed every infected person recovers after a
120 one-time period and also through antimalarial drugs (clinical treatment). The immunity can
121 be lost through interruption of exposure, that is, if an immune person migrates to a non-
122 endemic malaria region where the exposure to the disease is not available, then he or she
123 automatically loses their immunity. The immunity can be restored through numerous years of
124 repeated infections, therefore a person living in malaria endemic area cannot lose his or her
125 immunity as long as they continue to stay in the area and the exposure to the disease
126 continues. The advantage of those with malaria immunity is that frequency of the malaria
127 infections is reduced, which could delay the frequency of malaria infections in those over
128 5 years [20]. Newborns have malaria immunity up to the first 3–6 months of their lives due to
129 passive transfer of maternal antibodies through the placenta. After these months, they are
130 vulnerable to clinical malaria episodes until they develop their own immunity [21]. People
131 enter the human population through the susceptible (S_H) compartment at per capita
132 recruitment rate (Z_H). When the malaria infection begins in humans, the individuals under
133 5 years move to I_I compartment, over 5 years who are not pregnant move to I_A
134 compartment and pregnant women move to I_P compartment. Those in infectious
135 compartments I_I and I_A and I_P are clinically treated (that is, gametocytes are
136 completely cleared) at the rates Λ_I , Λ_A and Λ_P respectively, before they return to S_H
137 compartment for re-infection. Also, the infectious individuals can exit the human
138 population through disease-induced deaths at the rates (π_I) , (π_A) and (π_P) respectively.
139 The infectious under 5 years can join the infectious over 5 years at the rate (ϕ) when they
140 attain aged 5 and also infectious over 5 years can join the infectious pregnant women

141 compartment at the rate (Ω) when the become pregnant. It is assumed that infectious
 142 pregnant women cannot join the infectious over 5years compartment since most infectious
 143 pregnant women are clinically treated before they give birth. Humans can also exit their
 144 population through density-dependent mortality rate (μ_H) in each compartment.
 145 The adult female Anopheles mosquito becomes infectious when it bites gametocyte carriers
 146 (that is, infectious humans) and ingests the gametocytes. The mosquito in the S_M
 147 compartment becomes infectious and moves to the I_M compartment only when the malaria
 148 parasites becomes mature and moves to the mosquito's salivary glands and remains in the
 149 infectious status for life. The mosquito exits its population through density-dependent
 150 mortality at the rate (μ_M) or mortality due to insecticides but cannot die directly from the
 151 malaria parasite infection [22]. Female mosquitoes enter their population through the
 152 susceptible compartment at per capita recruitment rate (Z_M). It is assumed that there is no
 153 immigration of infectious individuals in the human population.
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Table 1: Control functions

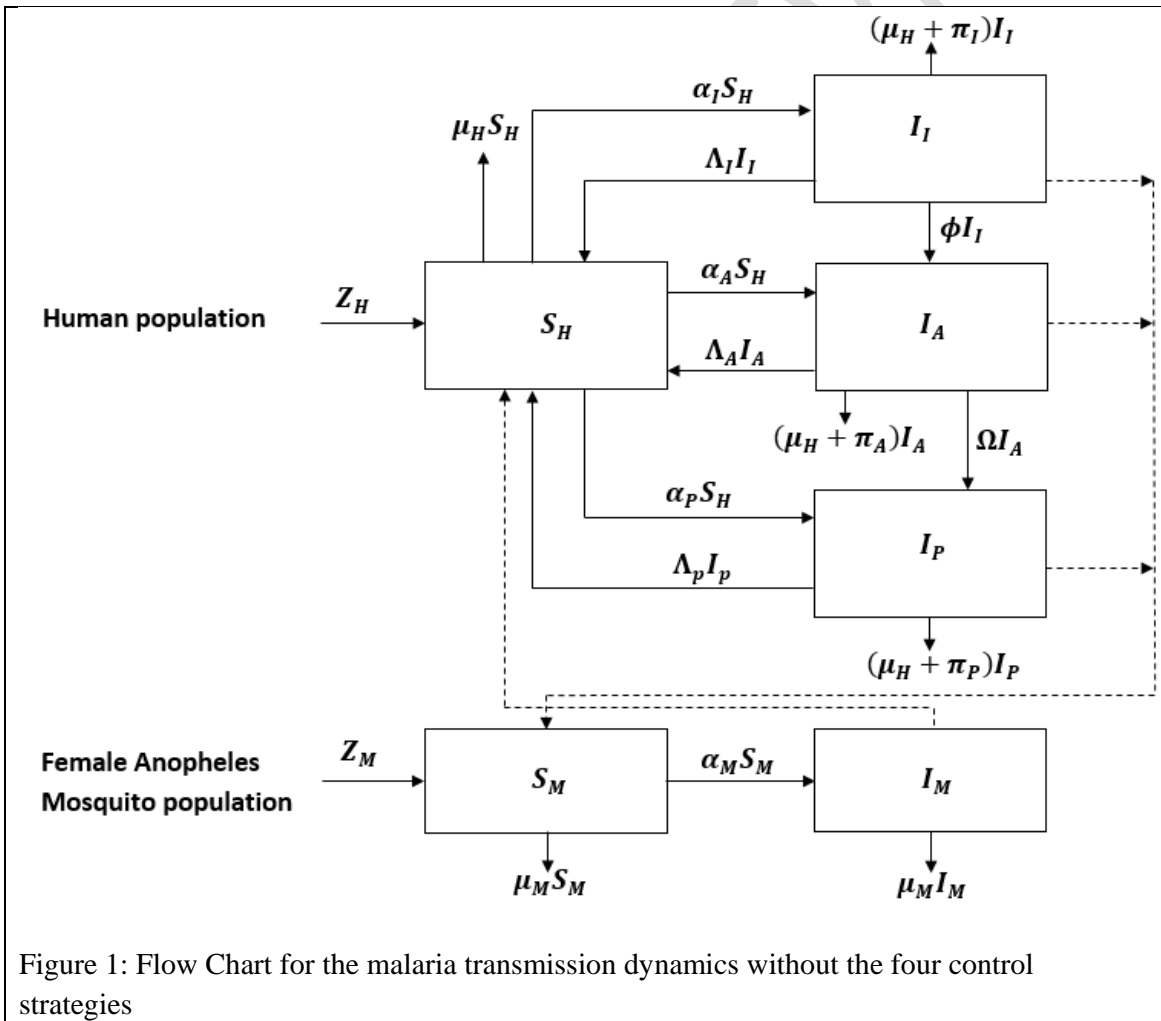
Control Functions	Description
u_1	It is a control variable which represents the fraction of individuals using the Insecticide Treated bed nets (ITNs). The impact of the control on the model is that, it will prevent the mosquitoes from biting the human population in sleeping areas and also reduce recruitment rate for mosquitoes, because the mosquitoes need blood to lay their eggs. In order to simplify the model, the force of infections are multiplied It by the factor $(1 - u_1(t))$, which represents the failure rate of using ITNs [2]. It benefits the members of the human population equally or uniformly.
u_2	It is a control variable representing the fraction of mosquitoes killed by indoor residual spraying (IRS). The IRS will reduce the mosquito population by killing the mosquitoes, especially those that rest indoors after taken a blood meal (so called endophilic mosquitoes) [29]. The mosquito population is reduced by $-\beta u_2(t)S_M$ in susceptible population and $-\beta u_2(t)I_M$ in infectious population It benefits the members of the human population equally or uniformly, where β is the rate at which mosquitoes are killed by insecticides application.
u_3	It is a control variable which represents the fraction of people under 5years using Chemoprophylaxis. It will prevent the malaria parasite from developing and growing in the human body. Therefore, when one takes Chemoprophylaxis, he or she will not develop malaria infection during the period. In order to simplify the model, the infectious people under 5years population is decreased by $u_3(t)I_I$ and susceptible human population is increased by $u_3(t)I_I$
u_4	It is a control variable which represents the fraction of people over 5years using Chemoprophylaxis. The infectious people over 5years population is decreased by $u_4(t)I_A$ and susceptible human population is increased by $u_4(t)I_A$.
u_5	It is a control variable which represents the fraction of pregnant women

	population using Chemoprophylaxis. The infectious pregnant women population is decreased by $u_5(t)I_P$ and susceptible human population is increased by $u_5(t)I_P$.
u_6	It is a control variable which represents the effort to increase the current recovery rate for people under 5years through the use of improved Antimalarial drugs
u_7	It is a control variable which represents the effort to increase the current recovery rate for people over 5years through the use of improved Antimalarial drugs
u_8	It is a control variable which represents the effort to increase the current recovery rate for pregnant women through the use of improved Antimalarial drugs

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157 The Flow Chart for malaria transmission dynamics without the four control strategies is given
 158 below as figure 1.

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162 The Flow Chart for malaria transmission dynamics with the four control strategies is given
 163 below as figure 2.
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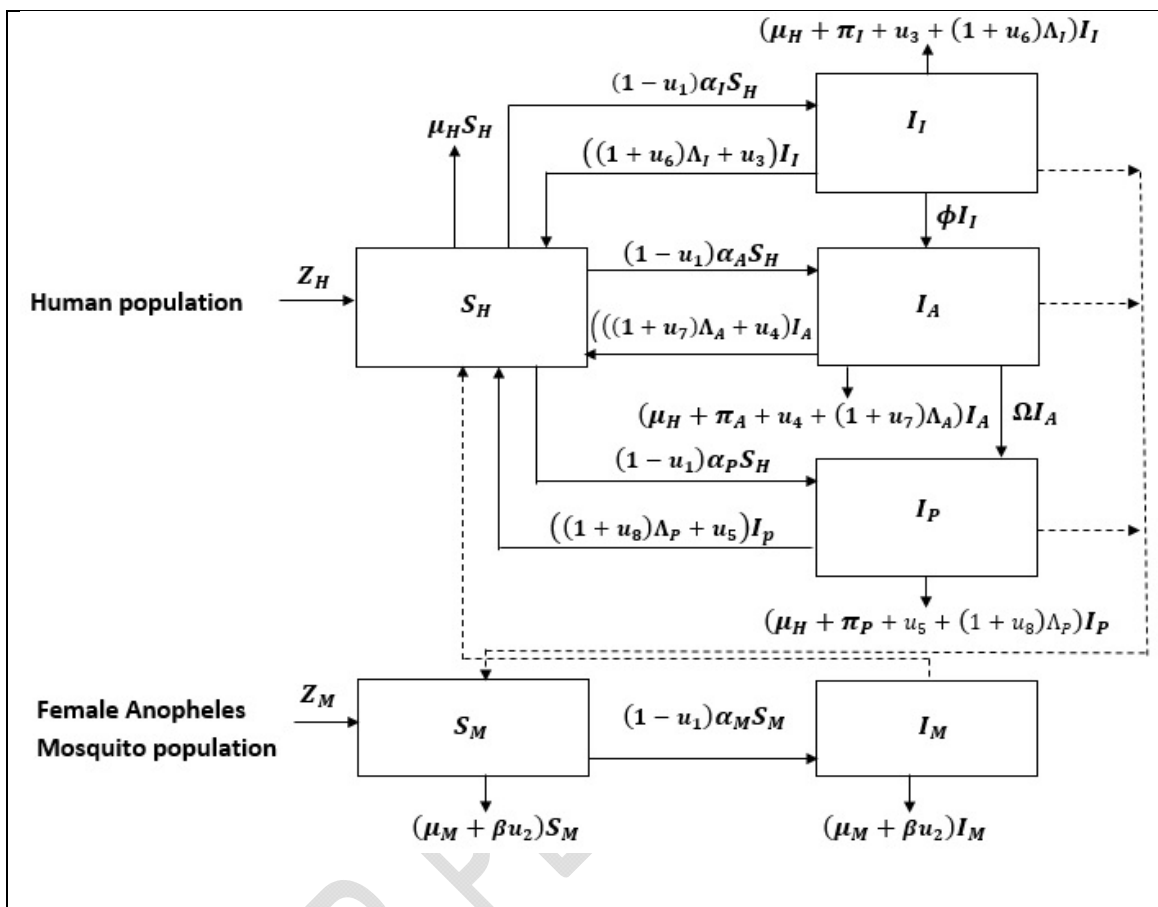


Figure 2: Flow Chart for the malaria transmission dynamics with the four control strategies

The flow chart demonstrates the interactions between human and mosquito populations and the movement of individuals from one compartment to another. The solid arrows show progression of individuals from one compartment to another and the dotted arrows show how the humans and mosquitoes interact and infect each other. Susceptible humans in S_H get infected when infectious mosquitoes from I_M bite them. They then progress to I_I , I_A and I_P when they are infectious. Humans in I_I , I_A and I_P move to S_H compartment for re-infection after clinical treatment. Susceptible mosquitoes in S_M get infected when they bite humans in I_I , I_A and I_P compartments and then move to I_M when they are infectious. Mosquitoes remain in I_M until they die through density-dependent mortality or insecticide (IRS). Humans exit their population through density-dependent mortality and disease-induced mortality. Mosquitoes enter their population at per capita recruitment rate and Humans enter through birth or immigration. Chemoprophylaxis and Improved Antimalarial drugs will reduce the number of humans in I_I , I_A and I_P compartments and increase the number of people in S_H compartment. IRS will reduce the mosquitoes in both S_M and I_M compartments and ITNs will also reduce the force of infections (α_I , α_A , α_P and α_M).

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Detailed description of the parameters and their values of figure 1 are given in Table 2 below.

Table 2: The parameters for the model 1 [30]

Parameter	Value	Description
Z_H	414521	Recruitment for the human population. Dimension: Humans \times Time ⁻¹
Z_M	134267979835	Recruitment rate for mosquitoes. Dimensions: Time ⁻¹
μ_H	0.016	Density-dependent natural mortality rate for humans. Dimensions: Time ⁻¹
μ_M	0.058176	Density-dependent natural mortality rate for adult female Anopheles mosquitoes. Dimensions: Time ⁻¹
π_I	0.020605	Per capita disease-induced mortality rate for people under 5 years. Dimensions: Time ⁻¹
π_A	0.19113	Per capita disease-induced mortality rate for people over 5 years Dimensions: Time ⁻¹
π_P	0.49273	Per capita disease-induced mortality rate for pregnant women Dimensions: Time ⁻¹
Λ_I	0.11855	Clinical recovery rate for people under 5 years. Dimensions: Time ⁻¹
Λ_A	0.14348	Clinical recovery rate for people over 5 years. Dimensions: Time ⁻¹
Λ_P	0.14154	Clinical recovery rate for the pregnant women. Dimensions: Time ⁻¹
θ_{MH}	0.00016937	Fraction of bites that successfully infect humans
θ_{HM}	0.00454	Fraction of bites that successfully infect mosquitoes.
Φ_I	0.33575	Number of bites on people under 5 years per female mosquito per unit time. Dimensions: Time ⁻¹
Φ_A	0.98982	Number of bites on people over 5 years per female mosquito per unit time. Dimensions: Time ⁻¹
Φ_P	0.012704	Number of bites on pregnant women per female mosquito per unit time. Dimensions: Time ⁻¹
ϕ	0.10743	Rate of progression from I_I to I_A compartment. Dimensions: Humans \times Time ⁻¹
Ω	0.016744	Rate of progression from I_A to I_P compartment. Dimensions: Humans \times Time ⁻¹

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1.4 MALARIA MODELS

Putting the assumptions and the ideas together, the malaria model without the four control is given by a system of six (6) differential equations as stated in (1) below.

$$\begin{aligned}
\frac{dS_H}{dt} &= Z_H + \Lambda_I I_I + \Lambda_A I_A + \Lambda_P I_P - \alpha_I S_H - \alpha_A S_H - \alpha_P S_H - \mu_H S_H \\
\frac{dI_I}{dt} &= \alpha_I S_H - \Lambda_I I_I - (\mu_H + \pi_I) I_I - \phi I_I \\
\frac{dI_A}{dt} &= \alpha_A S_H + \phi I_I - (\mu_H + \pi_A) I_A - \Lambda_A I_A - \Omega I_A \\
\frac{dI_P}{dt} &= \alpha_P S_H + \Omega I_A - (\mu_H + \pi_P) I_P - \Lambda_P I_P \\
\frac{dS_M}{dt} &= Z_M - \alpha_M S_M - \mu_M S_M \\
\frac{dI_M}{dt} &= \alpha_M S_M - \mu_M I_M
\end{aligned}
\tag{1}$$

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180 The malaria model with the four controls is given below as (2)

$$\begin{aligned}
\frac{dS_H}{dt} &= Z_H + ((1 + u_6)\Lambda_I + u_3)I_I + ((1 + u_7)\Lambda_A + u_4)I_A + ((1 + u_8)\Lambda_P + u_5)I_P \\
&\quad - (\mathbf{1} - \mathbf{u}_1)\alpha_I S_H - (\mathbf{1} - \mathbf{u}_1)\alpha_A S_H - (\mathbf{1} - \mathbf{u}_1)\alpha_P S_H - \mu_H S_H \\
\frac{dI_I}{dt} &= (\mathbf{1} - \mathbf{u}_1)\alpha_I S_H - (\mu_H + \pi_I + u_3 + (1 + u_6)\Lambda_I + \phi)I_I \\
\frac{dI_A}{dt} &= (\mathbf{1} - \mathbf{u}_1)\alpha_A S_H + \phi I_I - (\mu_H + \pi_A + u_4 + (1 + u_7)\Lambda_A + \Omega)I_A \\
\frac{dI_P}{dt} &= (\mathbf{1} - \mathbf{u}_1)\alpha_P S_H + \Omega I_A - (\mu_H + \pi_P + u_5 + (1 + u_8)\Lambda_P)I_P \\
\frac{dS_M}{dt} &= Z_M - (\mathbf{1} - \mathbf{u}_1)\alpha_M S_M - [\mu_M + \beta u_2]S_M \\
\frac{dI_M}{dt} &= (\mathbf{1} - \mathbf{u}_1)\alpha_M S_M - [\mu_M + \beta u_2]I_M
\end{aligned}
\tag{2}$$

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183 Applying the definitions of the force of infections as stated in the model of Addawe and Lope
184 [23] the force of infections for infants, adults and pregnant women are

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$$\alpha_I = \frac{\Phi_I \theta_{MH} I_M}{N_H}, \quad \alpha_A = \frac{\Phi_A \theta_{MH} I_M}{N_H} \quad \text{and} \quad \alpha_P = \frac{\Phi_P \theta_{MH} I_M}{N_H} \quad \dots \dots \dots (3)$$

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187 The force of infection for mosquitoes is

$$\alpha_M = \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P) \theta_{HM}}{N_H} \quad \dots \dots \dots (4)$$

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Substituting (3) and (4) into (2), leads to (5).

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$$\left. \begin{aligned}
\frac{dS_H}{dt} &= Z_H + ((1 + u_6)\Lambda_I + u_3)I_I + ((1 + u_7)\Lambda_A + u_4)I_A + ((1 + u_8)\Lambda_P + u_5)I_P \\
&\quad - \frac{(\Phi_I + \Phi_A + \Phi_P)(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H}{N_H} - \mu_H S_H \\
\frac{dI_I}{dt} &= \frac{\Phi_I(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H}{N_H} - (A_1 + u_3 + u_6\Lambda_I)I_I \\
\frac{dI_A}{dt} &= \frac{\Phi_A(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H}{N_H} + \phi I_I - (A_2 + u_4 + u_7\Lambda_A)I_A \\
\frac{dI_P}{dt} &= \frac{\Phi_P(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H}{N_H} + \Omega I_A - (A_3 + u_5 + u_8\Lambda_P)I_P \\
\frac{dS_M}{dt} &= Z_M - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(\mathbf{1} - \mathbf{u}_1)\theta_{HM} S_M}{N_H} - [\mu_M + \beta u_2] S_M \\
\frac{dI_M}{dt} &= \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(\mathbf{1} - \mathbf{u}_1)\theta_{HM} S_M}{N_H} - [\mu_M + \beta u_2] I_M
\end{aligned} \right\} \dots (5)$$

where $A_1 = (\mu_H + \pi_I + \Lambda_I + \phi)$, $A_2 = (\mu_H + \pi_A + \Lambda_A + \Omega)$ and $A_3 = (\mu_H + \pi_P + \Lambda_P)$.

190 There initial state variables are $S_H(0) = 15475505$, $I_I(0) = 1\ 303685$,
 $I_A(0) = 2045843$, $I_P(0) = 102834$, $S_M(0)$
 $= 246,498,646,800$ and
 $I_M(0) = 2,061,060,114,000$.

191 **1.5 INVARIANT REGION**

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193 The invariant region is a region where solutions of the model (5) exist biologically [13].
194 Biological entities cannot be negative, therefore all the solutions of the model (5) are
195 positive for all time $t \geq 0$. [24]

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197 The total population sizes N_H and N_M can be defined by $N_H = S_H + I_I + I_A + I_P$
198 and $N_M = S_M + I_M$.

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200 In absence of the malaria disease, the differential equation for N_H is given as

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$$\frac{dN_H}{dt} \leq Z_H - \mu_H N_H \quad \dots \dots \dots (6)$$

202 The differential equation for N_M is also given as

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$$\frac{dN_M}{dt} < Z_M - [\mu_M + \beta u_2] N_M \quad \dots \dots \dots (7)$$

204

205 **Lemma 1.** The model (5) has feasible solutions which are contained in the proper subset
206 $\Psi = \Psi_H \times \Psi_M$.

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208 Proof

209 Let $(S_H, I_I, I_A, I_P, S_M, I_M) \in R_+^6$ be any solution of the system
210 with non-negative initial conditions. Using (6)

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$$\frac{dN_H}{dt} \leq Z_H - \mu_H N_H \implies \int d(N_H e^{\mu_H t}) \leq Z_H \int e^{\mu_H t} dt$$

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$$N_H \leq \frac{Z_H}{\mu_H} + \left(N_{H0} - \frac{Z_H}{\mu_H}\right) e^{-\mu_H t} \dots \dots \dots (8)$$

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214 Therefore, as $t \rightarrow \infty$, the human population N_H approaches $\frac{Z_H}{\mu_H}$ and it follows that

215 [13]

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$$\lim_{t \rightarrow \infty} \sup N_H(t) \leq \frac{Z_H}{\mu_H} \text{ and } \lim_{t \rightarrow \infty} \sup N_M(t) \leq \frac{Z_M}{[\mu_M + \beta u_2]} .$$

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219 Therefore, the feasible solution set for the model (5) is given by

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$$\Psi = \left\{ \begin{array}{l} (S_H, I_I, I_A, I_P, S_M, I_M) \in R_+^6 : (S_H, S_M) > 0 \\ (I_I, I_A, I_P, I_M) \geq 0 ; S_H + I_I + I_A + I_P \leq \frac{Z_H}{\mu_H} ; S_M + I_M \leq \frac{Z_M}{[\mu_M + \beta u_2]} \end{array} \right\}$$

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223 1.6 DISEASE-FREE EQUILIBRIUM POINT

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225 **Definition 1** A disease-free equilibrium point (DFE) is a steady state solution of the model
226 for which there is no malaria disease in the population. It is obtained by setting (5) to
227 zero [13].

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229 The disease-free equilibrium E_0 of (5) is given by

$$E_0 = \left(\frac{Z_H}{\mu_H}, 0, 0, 0, \frac{Z_M}{[\mu_M + \beta u_2]}, 0 \right)$$

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231 1.7 THE EFFECTIVE REPRODUCTION NUMBER R_e

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233 The effective reproduction number is the basic reproduction number of the model with
234 the four controls (5) . The basic reproduction number is defined as the expected number of
235 secondary infection cases produced by a single infectious individual in a completely
236 susceptible population. The next generation method is used to derived the basic reproduction
237 number [23, 25].

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239 The effective reproduction number is

$$R_e = \sqrt{\frac{\phi\Omega L_1 L_6 + \phi L_1 L_5 L_9 + \Omega L_2 L_6 L_7 + L_1 L_4 L_8 L_9 + L_2 L_5 L_7 L_9 + L_3 L_6 L_7 L_8}{L_7 L_8 L_9 L_{10}}}$$

where $L_1 = (1 - u_1)\Phi_I\theta_{MH}$, $L_2 = (1 - u_1)\Phi_A\theta_{MH}$, $L_3 = (1 - u_1)\Phi_P\theta_{MH}$,

$$L_4 = \frac{(1 - u_1)\Phi_I\theta_{HM}\mu_H Z_M}{[\mu_M + \beta u_2]Z_H}, \quad L_5 = \frac{(1 - u_1)\Phi_A\theta_{HM}\mu_H Z_M}{[\mu_M + \beta u_2]Z_H}, \quad L_6 = \frac{(1 - u_1)\Phi_P\theta_{HM}\mu_H Z_M}{[\mu_M + \beta u_2]Z_H}$$

240 , $L_7 = (A_1 + u_3 + u_6\Lambda_I)$, $L_8 = (A_2 + u_4 + u_7\Lambda_A)$, $L_9 = (A_3 + u_5 + u_8\Lambda_P)$ and

$$L_{10} = [\mu_M + \beta u_2],$$

241 **1.8 THE IMPACT OF THE CONTROL STRATEGIES ON THE EFFECTIVE**
 242 **REPRODUCTION NUMBER**

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244 The effective reproduction number is plotted against the four (4) control strategies in order
 245 to show graphically the impact of the controls.

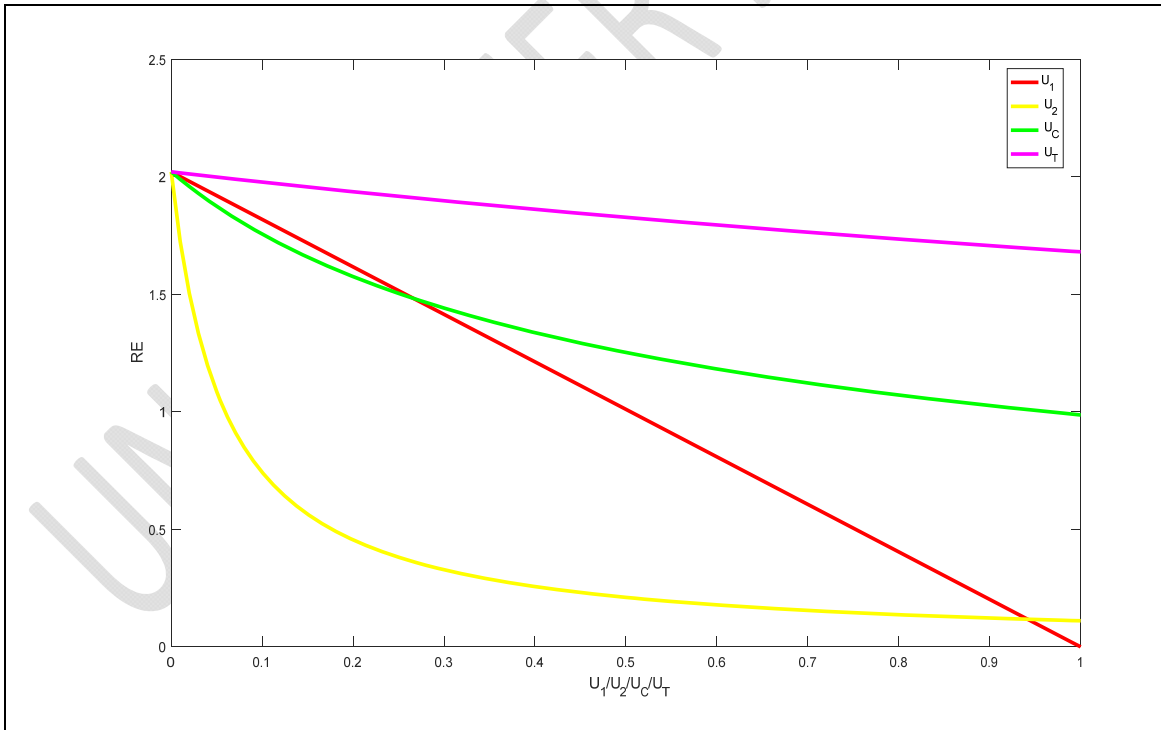


Figure 3: Shows the plots of the effective reproduction number against the controls: plot of R_e against only ITNs (red line), plot of R_e against only IRS (yellow line), plot of R_e against only Chemoprophylaxis (green line), and plot of R_e against Improved Treatment effort (magenta line).

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247 In fig. 3, it can be seen that as each control approaches one (1), the value of R_e decreases
 248 which means the controls have positive impact on the model. The use of ITNs (u_1) can
 249 even reduce the value of R_e to zero at $u_1 = 1$, which makes it the most effective control
 250 on R_e . The next effective control is the use of IRS (u_2), which can reduce the value of
 251 R_e to approximately 0.1 at $u_2 = 1$. Chemoprophylaxis (u_C) also has positive impact
 252 on the value of R_e , as it can decrease R_e to approximately 1 at $u_T = 1$. And finally,
 253 the use of Treatment effort. (u_T) can reduce the value of R_e to approximately 1.68 at
 254 $u_T = 1$. Therefore, all the control strategies have positive impact on the effective
 255 reproduction number as shown in fig. 3 above.
 256

257 1.9 OBJECTIVE FUNCTION

258 The goal is to minimise the infected human and female Anopheles mosquito populations
 259 while maximizing the susceptible human population. The control functions are practised in
 260 the time interval $[0, T]$. Therefore, we can define the objective function as

$$J[\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4, \mathbf{u}_5, \mathbf{u}_6, \mathbf{u}_7, \mathbf{u}_8] = \int_0^T \left[D_1 + \frac{1}{2} D_2 \right] dt \quad \dots \dots (9)$$

$$\text{where } D_1 = Y_1 I_I + Y_2 I_A + Y_3 I_P \\ + Y_4 N_M \text{ and}$$

$$D_2 = Z_1 u_1^2 + Z_2 u_2^2 + Z_3 u_3^2 + Z_4 u_4^2 + Z_5 u_5^2 + Z_6 u_6^2 + Z_7 u_7^2 \\ + Z_8 u_8^2$$

261 The infectious people under 5years (I_I), infectious people over 5years (I_A), infectious
 262 pregnant women (I_P) and the total female Anopheles mosquito ($N_M = S_M + I_M$)
 263 populations are included in the objective function, because we want to minimise these
 264 populations. The terms Y_1, Y_2, Y_3 and Y_4 are positive weights to balance the
 265 factors of I_I, I_A, I_P and N_M , while $Z_1, Z_2, Z_3, Z_4, Z_5, Z_6, Z_7$ and Z_8 are
 266 also positive weights constants which measure the relative costs of implementing the
 267 respective strategies. The term $\frac{1}{2} Z_1 u_1^2$ represents the cost of implementing ITNs,
 268 $\frac{1}{2} Z_2 u_2^2$ also represents the cost of implementing indoor residual spraying (IRS),
 269 $\frac{1}{2} (Z_3 u_3^2 + Z_4 u_4^2 + Z_5 u_5^2)$ represent the cost of implementing of Chemoprophylaxis and
 270 finally, $\frac{1}{2} (Z_6 u_6^2 + Z_7 u_7^2 + Z_8 u_8^2)$ represents the cost of implementing of Improved
 271 clinical treatment (improved Antimalarial drugs).
 272

273 We seek an optimal control

$$274 u_1^*(t), u_2^*(t), u_3^*(t), u_4^*(t), u_5^*(t), u_6^*(t), u_7^*(t) \text{ and } u_8^*(t)$$

275 such that [12]

$$J(u_1^*, u_2^*, u_3^*, u_4^*, u_5^*, u_6^*, u_7^*, u_8^*) = \min_{W_1 \in U} \{ J(W_1) \mid W_1 \in U \},$$

where $W_1 = u_1, u_2, u_3, u_4, u_5, u_6, u_7, u_8$

276 U is the control set.

277 The control set U is defined as

$$U = \{ u_i \text{ is lebesgue measurable, } 0 \leq u_i \leq 1, i = 1, \dots, 8, \text{ for } t \in [0, T] \rightarrow [0, 1] \} .$$

278 The Lagrangian for the control problem is defined as

$$L = D_1 + \frac{1}{2}D_2$$

279 The necessary conditions that an optimal control must satisfy come from the Pontryagin
280 Maximum Principle [9]. This principle converts (5) and (9) into a problem of
281 minimising pointwise a Hamiltonian H, with respect to W_1

$$\begin{aligned} H = L + \lambda_{S_H} \frac{dS_H}{dt} + \lambda_{I_I} \frac{dI_I}{dt} + \lambda_{I_A} \frac{dI_A}{dt} + \lambda_{I_P} \frac{dI_P}{dt} + \lambda_{S_M} \frac{dS_M}{dt} + \lambda_{I_M} \frac{dI_M}{dt} \dots \dots \dots (10) \\ Y_1 I_I + Y_2 I_A + Y_3 I_P + Y_4 N_M + \\ \frac{1}{2} (Z_1 u_1^2 + Z_2 u_2^2 + Z_3 u_3^2 + Z_4 u_4^2 + Z_5 u_6^2 + Z_6 u_6^2 + Z_7 u_7^2 + Z_8 u_8^2) + \\ \lambda_{S_H} \left[\begin{aligned} & Z_H + ((1 + u_6)\Lambda_I + u_3)I_I + ((1 + u_7)\Lambda_A + u_4)I_A + \\ & ((1 + u_8)\Lambda_P + u_5)I_P - \frac{(\Phi_I + \Phi_A + \Phi_P)(1 - \mathbf{u}_1)\theta_{MH}I_M S_H}{N_H} - \mu_H S_H \end{aligned} \right] \\ H = \left. \begin{aligned} & + \lambda_{I_I} \left[\frac{(1 - \mathbf{u}_1)\Phi_I \theta_{MH} I_M S_H}{N_H} \right] + \lambda_{I_A} \left[\frac{(1 - \mathbf{u}_1)\Phi_A \theta_{MH} I_M S_H}{N_H} + \right. \\ & \left. - (A_1 + u_3 + u_6 \Lambda_I)I_I \right] \left[\phi I_I - (A_2 + u_4 + u_7 \Lambda_A)I_A \right] + \\ & \lambda_{I_P} \left[\frac{(1 - \mathbf{u}_1)\Phi_P \theta_{MH} I_M S_H}{N_H} + \right] + \lambda_{S_M} \left[\frac{Z_M - [\mu_M + \beta u_2]S_M -}{N_H} \right. \\ & \left. \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(1 - \mathbf{u}_1)\theta_{HM} S_M}{N_H} \right] \\ & + \lambda_{I_M} \left[\frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(1 - \mathbf{u}_1)\theta_{HM} S_M}{N_H} \right. \\ & \left. - [\mu_M + \beta u_2]I_M \right] \end{aligned} \right\} \end{aligned}$$

282 where the $\lambda_{S_H}, \lambda_{I_I}, \lambda_{I_A}, \lambda_{I_P}, \lambda_{S_M}, \lambda_{I_M}$ are the adjoint variables or co-state
283 variables. ([2, 26], Corollary 4. 1) gives the existence of optimal control due to the
284 convexity of the integrand of J with respect to
285 $u_1, u_2, u_3, u_4, u_5, u_6, u_7$ and u_8 , a priori boundedness of the state solutions,
286 and the Lipschitz property of the state system with respect to the state variables. Applying
287 Pontryagin's Maximum Principle [27] and the existence result for the optimal control from
288 [26], we obtain the following theorem.

289 **Theorem 1**

290 Given an optimal control $u_1^*, u_2^*, u_3^*, u_4^*, u_5^*, u_6^*, u_7^*, u_8^*$ and $S_H^*, I_I^*, I_A^*, I_P^*,$
 291 S_M^*, I_M^* of the corresponding state system (5) that minimises
 292 $J(u_1, u_2, u_3, u_4, u_5, u_6, u_7, u_8)$ over U . Then there exists adjoint variables
 293 $\lambda_{S_H}, \lambda_{I_I}, \lambda_{I_A}, \lambda_{I_P}, \lambda_{S_M}, \lambda_{I_M}$ satisfying

$$\left. \begin{aligned} & \lambda_{S_H} \left[\frac{(\Phi_I + \Phi_A + \Phi_P)(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M}{N_H} \left(1 - \frac{S_H}{N_H}\right) + \mu_H \right] \\ \frac{d\lambda_{S_H}}{dt} = & - \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M}{N_H} \left(1 - \frac{S_H}{N_H}\right) \left[\Phi_I\lambda_{I_I} + \Phi_A\lambda_{I_A} + \Phi_P\lambda_{I_P} \right] \\ & + \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(\mathbf{1} - \mathbf{u}_1)\theta_{HM}S_M(\lambda_{I_M} - \lambda_{S_M})}{N_H^2} \end{aligned} \right\} \dots (11)$$

$$\left. \begin{aligned} & - [Y_1 + \lambda_{S_H}((1 + u_6)\Lambda_I + u_3) + \lambda_{I_A}\phi] + \lambda_{I_I}(A_1 + u_3 + u_6\Lambda_I) + \\ \frac{d\lambda_{I_I}}{dt} = & \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H [\Phi_I(\lambda_{I_I} - \lambda_{S_H}) + \Phi_A(\lambda_{I_A} - \lambda_{S_H}) + \Phi_P(\lambda_{I_P} - \lambda_{S_H})]}{N_H^2} \\ & + \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{HM}S_M(\lambda_{S_M} - \lambda_{I_M})}{N_H} \left[\Phi_I - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)}{N_H} \right] \end{aligned} \right\} \dots (12)$$

294

$$\left. \begin{aligned} & - [Y_2 + \lambda_{S_H}((1 + u_7)\Lambda_A + u_4) + \lambda_{I_P}\Omega] + \lambda_{I_A}(A_2 + u_4 + u_7\Lambda_A) + \\ \frac{d\lambda_{I_A}}{dt} = & \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H [\Phi_I(\lambda_{I_I} - \lambda_{S_H}) + \Phi_A(\lambda_{I_A} - \lambda_{S_H}) + \Phi_P(\lambda_{I_P} - \lambda_{S_H})]}{N_H^2} \\ & + \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{HM}S_M(\lambda_{S_M} - \lambda_{I_M})}{N_H} \left[\Phi_A - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)}{N_H^2} \right] \end{aligned} \right\} \dots (13)$$

$$\left. \begin{aligned} & - [Y_3 + \lambda_{S_H}((1 + u_8)\Lambda_P + u_5)] + \lambda_{I_P}(A_3 + u_5 + u_8\Lambda_P) + \\ \frac{d\lambda_{I_P}}{dt} = & \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{MH}I_M S_H [\Phi_I(\lambda_{I_I} - \lambda_{S_H}) + \Phi_A(\lambda_{I_A} - \lambda_{S_H}) + \Phi_P(\lambda_{I_P} - \lambda_{S_H})]}{N_H^2} \\ & + \frac{(\mathbf{1} - \mathbf{u}_1)\theta_{HM}S_M(\lambda_{S_M} - \lambda_{I_M})}{N_H} \left[\Phi_P - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)}{N_H^2} \right] \end{aligned} \right\} \dots (14)$$

$$\left. \begin{aligned} & -Y_4 + \lambda_{S_M} \left[\frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(\mathbf{1} - \mathbf{u}_1)\theta_{HM}}{N_H} + [\mu_M + \beta u_2] \right] \\ \frac{d\lambda_{S_M}}{dt} = & - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)(\mathbf{1} - \mathbf{u}_1)\theta_{HM}\lambda_{I_M}}{N_H} \end{aligned} \right\} \dots (15)$$

$$\frac{d\lambda_{I_M}}{dt} = \left. \begin{aligned} & -Y_4 + \lambda_{S_H} \left[\frac{(\Phi_I + \Phi_A + \Phi_P)(1 - \mathbf{u}_1)\theta_{MH}S_H}{N_H} \right] - \\ & \frac{(1 - \mathbf{u}_1)\theta_{MH}S_H[\Phi_I \lambda_{I_I} + \Phi_A \lambda_{I_A} + \Phi_P \lambda_{I_P}]}{N_H} + \lambda_{I_M}[\mu_M + \beta u_2] \end{aligned} \right\} \dots (16)$$

295

296 The above adjoint equations [6] (11) - (16) satisfy transversality conditions

$$\lambda_{S_H}(T) = \lambda_{I_I}(T) = \lambda_{I_A}(T) = \lambda_{I_P}(T) = \lambda_{S_M}(T) = \lambda_{I_M}(T) = 0 \quad \dots \dots \dots (17)$$

297 and the controls u_1^* , u_2^* , u_3^* , u_4^* , u_5^* , u_6^* , u_7^* and u_8^* satisfy the optimality
298 condition

$$\left. \begin{aligned} u_1^* &= \max \left\{ 0, \min \left(1, \frac{1}{Z_1 N_H} (\theta_{MH} I_M^* S_H^* D_3 + D_4) \right) \right\} \\ u_2^* &= \max \left\{ 0, \min \left(1, \frac{\beta}{Z_2} (\lambda_{S_M} S_M^* + \lambda_{I_M} I_M^*) \right) \right\} \\ u_3^* &= \max \left\{ 0, \min \left(1, \frac{I_I^*}{Z_3} (\lambda_{I_I} - \lambda_{S_H}) \right) \right\} \\ u_4^* &= \max \left\{ 0, \min \left(1, \frac{I_A^*}{Z_4} (\lambda_{I_A} - \lambda_{S_H}) \right) \right\} \\ u_5^* &= \max \left\{ 0, \min \left(1, \frac{I_P^*}{Z_5} (\lambda_{I_P} - \lambda_{S_H}) \right) \right\} \\ u_6^* &= \max \left\{ 0, \min \left(1, \frac{\Lambda_I I_I^*}{Z_6} (\lambda_{I_I} - \lambda_{S_H}) \right) \right\} \\ u_7^* &= \max \left\{ 0, \min \left(1, \frac{\Lambda_A I_A^*}{Z_7} (\lambda_{I_A} - \lambda_{S_H}) \right) \right\} \\ u_8^* &= \max \left\{ 0, \min \left(1, \frac{\Lambda_P I_P^*}{Z_8} (\lambda_{I_P} - \lambda_{S_H}) \right) \right\} \end{aligned} \right\} \dots \dots \dots (18)$$

where $D_3 = \Phi_I(\lambda_{I_I} - \lambda_{S_H}) + \Phi_A(\lambda_{I_A} - \lambda_{S_H}) + \Phi_P(\lambda_{I_P} - \lambda_{S_H})$ and

$$D_4 = (\Phi_I I_I^* + \Phi_A I_A^* + \Phi_P I_P^*) \theta_{MH} S_M^* (\lambda_{S_M} + \lambda_{I_M}) .$$

299 Proof

300 We can obtain u_1^* as follows:

$$\frac{\partial H}{\partial \mathbf{u}_1} = \left[\begin{array}{l} Z_1 \mathbf{u}_1 + \frac{(\Phi_I + \Phi_A + \Phi_P)\theta_{MH}I_M^*S_H^* \lambda_{SH}}{N_H} \\ - \frac{\Phi_I\theta_{MH}I_M^*S_H^*\lambda_{I_I}}{N_H} - \frac{\Phi_A\theta_{MH}I_M^*S_H^*\lambda_{I_A}}{N_H} \\ - \frac{\Phi_P\theta_{MH}I_M^*S_H^*\lambda_{I_P}}{N_H} \\ - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{S_M}}{N_H} \\ - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{I_M}}{N_H} \end{array} \right] = 0$$

301

$$\Rightarrow \left[\begin{array}{l} Z_1 \mathbf{u}_1 + \frac{(\Phi_I + \Phi_A + \Phi_P)\theta_{MH}I_M^*S_H^* \lambda_{SH}}{N_H} \\ - \frac{\Phi_I\theta_{MH}I_M^*S_H^*\lambda_{I_I}}{N_H} - \frac{\Phi_A\theta_{MH}I_M^*S_H^*\lambda_{I_A}}{N_H} \\ - \frac{\Phi_P\theta_{MH}I_M^*S_H^*\lambda_{I_P}}{N_H} \\ - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{S_M}}{N_H} \\ - \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{I_M}}{N_H} \end{array} \right] = 0$$

$$\Rightarrow Z_1 \mathbf{u}_1 = \frac{(\Phi_I + \Phi_A + \Phi_P)\theta_{MH}I_M^*S_H^* \lambda_{SH}}{N_H} + \frac{\Phi_I\theta_{MH}I_M^*S_H^*\lambda_{I_I}}{N_H} + \frac{\Phi_A\theta_{MH}I_M^*S_H^*\lambda_{I_A}}{N_H} + \frac{\Phi_P\theta_{MH}I_M^*S_H^*\lambda_{I_P}}{N_H} + \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{S_M}}{N_H} + \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P)\theta_{HM}S_M^*\lambda_{I_M}}{N_H}$$

$$\begin{aligned} & \frac{\Phi_I \theta_{MH} I_M^* S_H^* (\lambda_{I_I} - \lambda_{S_H})}{N_H} + \frac{\Phi_A \theta_{MH} I_M^* S_H^* (\lambda_{I_A} - \lambda_{S_H})}{N_H} \\ \Rightarrow Z_1 \mathbf{u}_1 = & + \frac{\Phi_P \theta_{MH} I_M^* S_H^* (\lambda_{I_P} - \lambda_{S_H})}{N_H} \\ & \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P) \theta_{HM} S_M (\lambda_{S_M} + \lambda_{I_M})}{N_H} \\ \Rightarrow Z_1 \mathbf{u}_1 = & \frac{\theta_{MH} I_M^* S_H^*}{N_H} [\Phi_I (\lambda_{I_I} - \lambda_{S_H}) + \Phi_A (\lambda_{I_A} - \lambda_{S_H}) + \Phi_P (\lambda_{I_P} - \lambda_{S_H})] \\ & \frac{(\Phi_I I_I + \Phi_A I_A + \Phi_P I_P) \theta_{HM} S_M (\lambda_{S_M} + \lambda_{I_M})}{N_H} \end{aligned}$$

Let $D_3 = \Phi_I (\lambda_{I_I} - \lambda_{S_H}) + \Phi_A (\lambda_{I_A} - \lambda_{S_H}) + \Phi_P (\lambda_{I_P} - \lambda_{S_H})$ and

$$D_4 = (\Phi_I I_I^* + \Phi_A I_A^* + \Phi_P I_P^*) \theta_{HM} S_M^* (\lambda_{S_M} + \lambda_{I_M}) .$$

$$\Rightarrow \mathbf{u}_1 = \frac{1}{Z_1} \left[\frac{\theta_{MH} I_M^* S_H^*}{N_H} [D_3] + \frac{D_4}{N_H} \right] = \frac{1}{Z_1 N_H} (\theta_{MH} I_M^* S_H^* D_3 + D_4)$$

$$u_1^* = \frac{1}{Z_1 N_H} (\theta_{MH} I_M^* S_H^* D_3 + D_4)$$

302 Similarly, the remaining control policies can be obtained by this method.

303 The next thing to consider is the numerical solutions of the optimality system.

304 1.10 NUMERICAL RESULTS

305 Numerical solution to the optimality system is obtained by solving optimality system using
 306 an iterative scheme. The solution process involves using an initial guess of the controls to
 307 solve the state equations with forward scheme. The resulting solution of the state equation
 308 together with guessed controls are used to solve the co-state equation with a backward
 309 scheme due to the nature of the transversality conditions, which are final time conditions. The
 310 controls are updated using a convex combination of their previous values and the values from
 311 the characterizations. This process is continued until the unknowns at the present iteration are
 312 sufficiently close to those in the previous one [6, 11, 28].

313 The following weights factors $\mathbf{Y}_1 = 1000$, $\mathbf{Y}_2 = 600$, $\mathbf{Y}_3 = 800$ and $\mathbf{Y}_4 = 200$ are
 314 used for the numerical simulations. The cost associated with \mathbf{u}_1 includes purchasing bed-
 315 net and insecticide chemicals for treating the bed-net and the cost associated with \mathbf{u}_2 will
 316 include the cost of buying insecticide chemical and labour cost of spraying. The cost
 317 associated with chemoprophylaxis (\mathbf{u}_3 , \mathbf{u}_4 and \mathbf{u}_5) is the cost of buying the drugs for
 318 the whole year. And finally, the cost associated with clinical
 319 treatment (\mathbf{u}_6 , \mathbf{u}_7 and \mathbf{u}_8) includes the cost of antimalarial drugs., pain relief drugs,
 320 laboratory test cost and medical consultation fee. Therefore, we have $Z_1 = \$6.00$, $Z_2 =$

321 $\$14.40$, $Z_3 = \$196.4$, $Z_4 = \$312$, $Z_5 = \$1.67$, $Z_6 = \$28.93$, $Z_7 = \$19.28$ and
 322 $Z_8 = \$24.10$. The parameter $\beta = 0.00003$

323

324 We begin by plotting the single controls, that is, plotting ITN only, IRS only,
 325 Chemoprophylaxis only and Clinical treatment only, in order to compare the impact of each
 326 control.

327

328

329

330

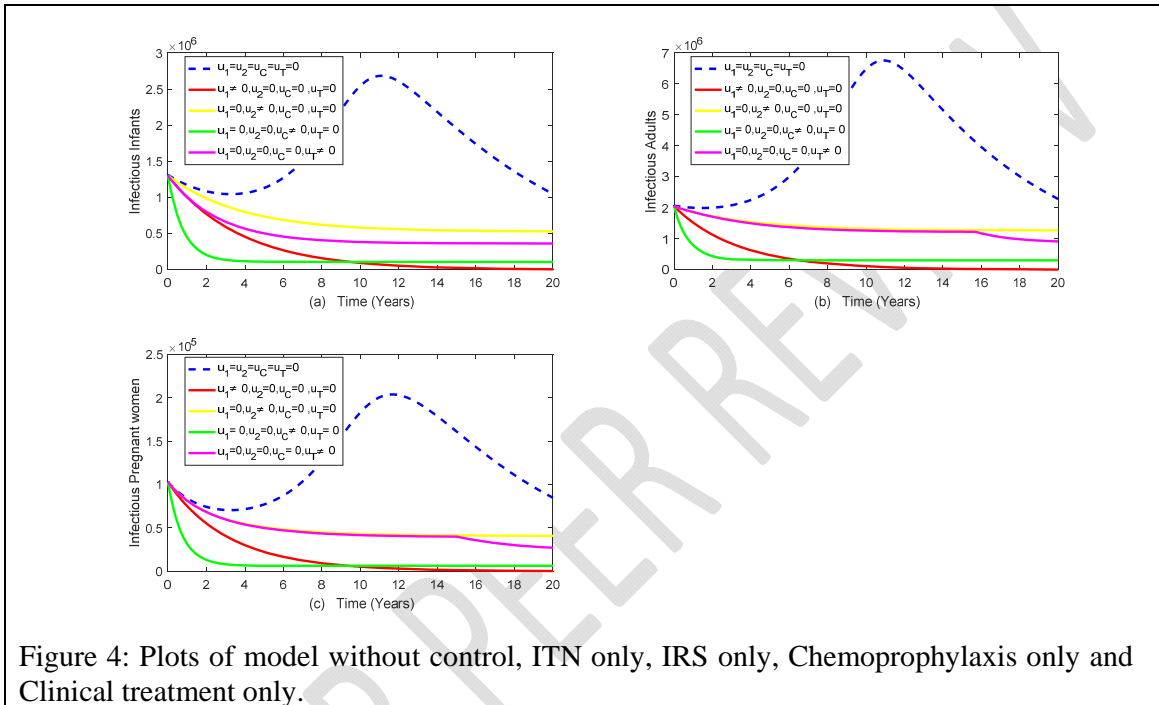


Figure 4: Plots of model without control, ITN only, IRS only, Chemoprophylaxis only and Clinical treatment only.

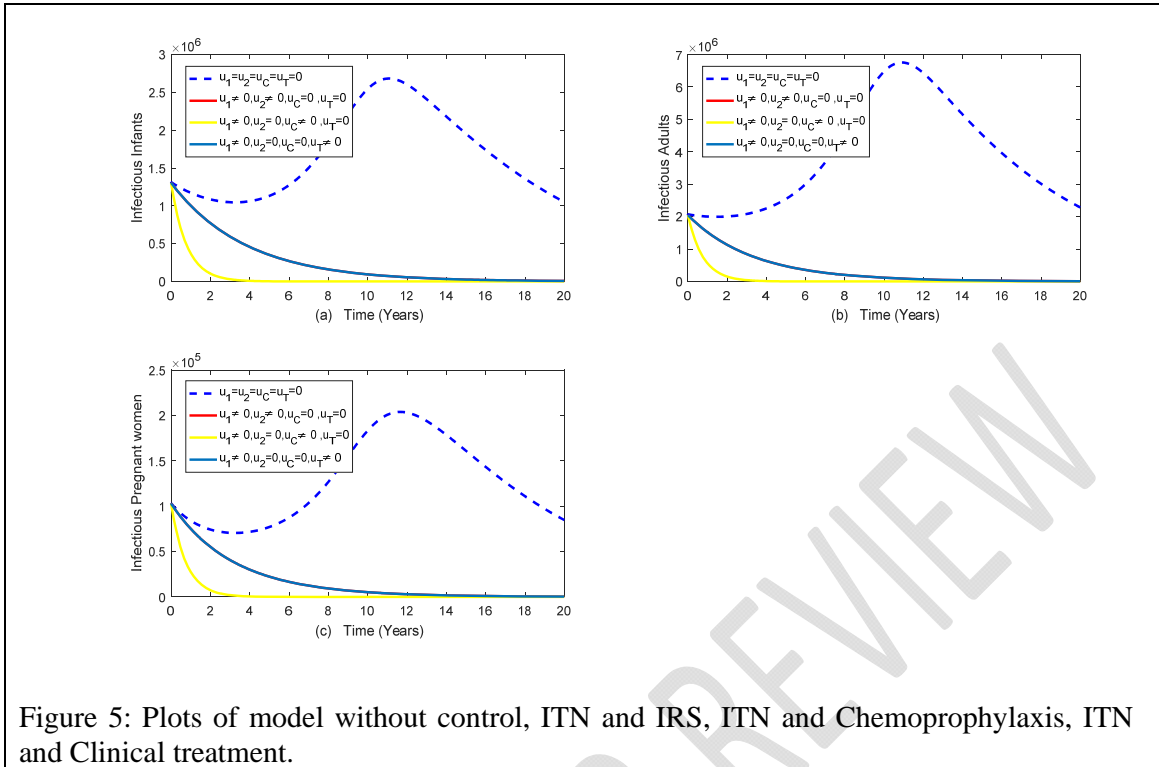
331

332 From fig. 4, it can be seen that Chemoprophylaxis u_C (u_3 , u_4 , u_5) only as a single
 333 control has greatest impact on malaria prevention, that is, it can prevent approximately a total
 334 of **88,097,000** malaria infection cases in humans. It is followed by ITN (u_1) only which
 335 can also prevent **86,878,000** malaria infection cases. An improvement in Clinical
 336 treatment u_T (u_6 , u_7 , u_8) effort only can prevent **65,746,000** malaria infection
 337 cases. The control strategy with least malaria prevention is IRS only which can prevent
 338 **60,935,000** .

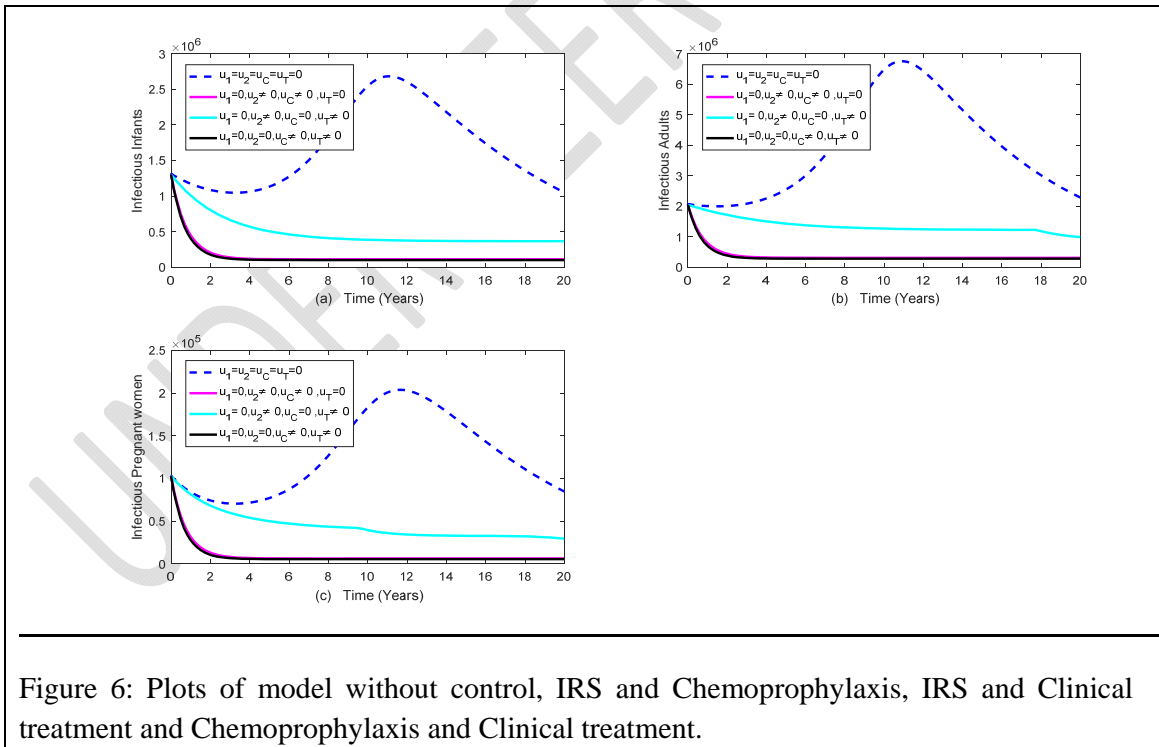
339

340 Therefore, we now move on to compare the impact of combining two control strategies such
 341 as ITN and IRS, ITN and Chemoprophylaxis, ITN and Clinical treatment, IRS and
 342 Chemoprophylaxis, IRS and Clinical treatment and Chemoprophylaxis and Clinical
 343 treatment. Their plots are given in fig. 5 and 6 below.

344



345



346

347 From fig. 5 and 6, the combination with the highest malaria prevention cases is ITN and
 348 Chemoprophylaxis, it can prevent a total of **95,022,000** malaria infection cases in
 349 humans. It is followed by the combination of ITN and Treatment which can prevent

350 **90,192,000** malaria infection cases. The combination of Chemoprophylaxis and
 351 Treatment can prevent **88,943,000** malaria infection cases. This is also followed by the
 352 combination of IRS and Chemoprophylaxis which can prevent **88,097,000** malaria
 353 infection cases. We also have the combination of ITN and IRS which can prevent
 354 **86,878,000** malaria infection cases. And finally, the combination of IRS and Treatment
 355 gives the least prevention, which is the sum total of **65,293,000** malaria infection cases.

356

357 Next, we consider the combination of three control strategies such as ITN, IRS and
 358 Chemoprophylaxis; ITN, IRS and Clinical treatment; ITN, Chemoprophylaxis and Clinical
 359 treatment and IRS, Chemoprophylaxis and Clinical treatment.

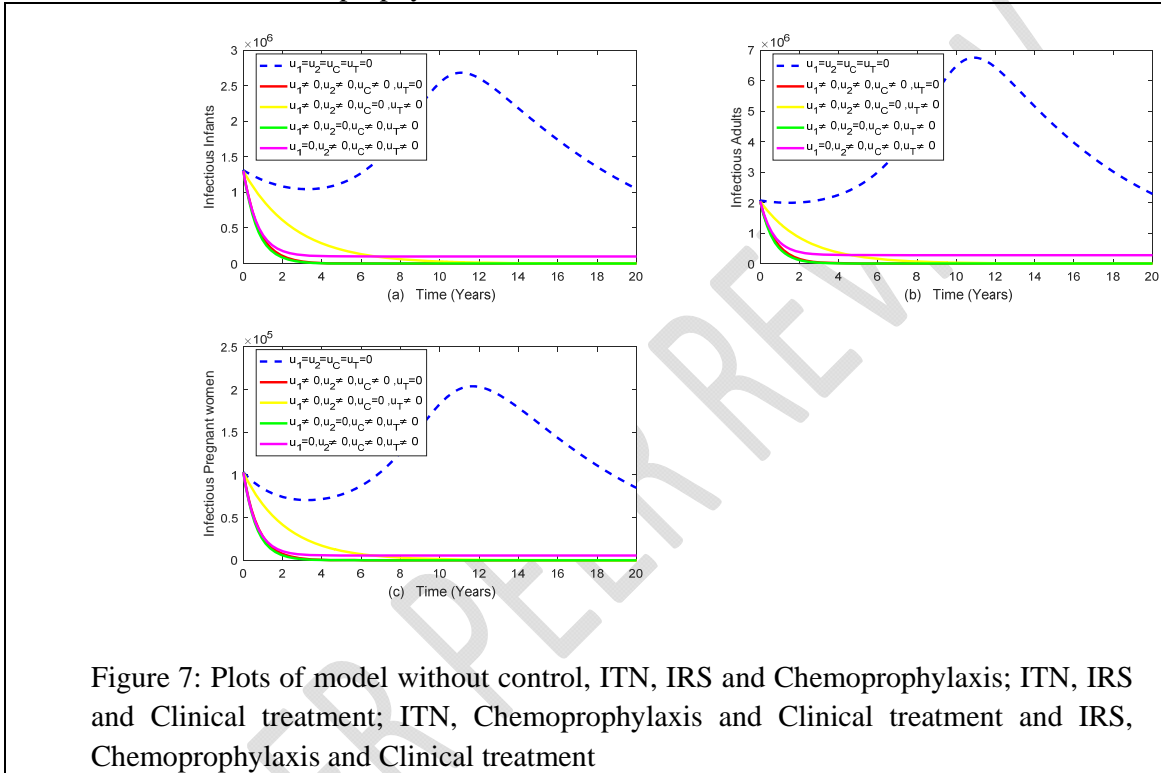


Figure 7: Plots of model without control, ITN, IRS and Chemoprophylaxis; ITN, IRS and Clinical treatment; ITN, Chemoprophylaxis and Clinical treatment and IRS, Chemoprophylaxis and Clinical treatment

360

361 From figure 7, it can be seen that the combination of ITN, Chemoprophylaxis and Treatment
 362 improvement effort recorded the highest malarial prevention among the categories of the
 363 combining three control strategies. This combination can prevent a total of **95,237,000**
 364 malaria infection cases. This is followed by the combination of ITN, IRS and
 365 Chemoprophylaxis which can prevent **95,022,000** malaria infection cases. The
 366 combination of ITN, IRS and Treatment can also prevent **90,192,000** malaria infection
 367 cases. The combination of IRS, Chemoprophylaxis and Treatment gives the least malaria
 368 prevention in this category, which is **88,943,000** malaria infection cases.

369 Finally, we now consider the impact of combining all four control strategies on the optimality
 370 system.

371

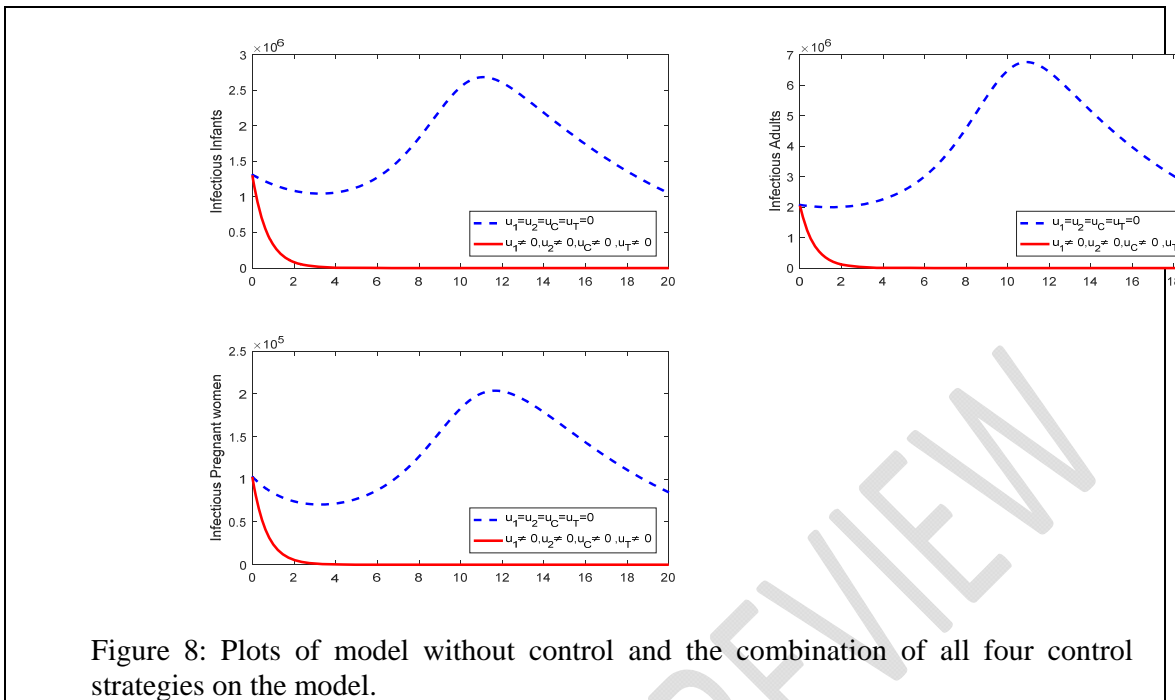


Figure 8: Plots of model without control and the combination of all four control strategies on the model.

372

373 From figure 8, the combination of all four control strategies can prevent approximately a total
374 of

375 **95,237,000** malaria infections cases in humans which is the same as the result produced by
376 combining ITN, Chemoprophylaxis and Treatment in fig. 7.

377

378 It can be seen that in fig. 4, **Chemoprophylaxis only recoded highest malaria prevention** as
379 a single control in the optimality system. It produces the same result as combining IRS and
380 Chemoprophylaxis in fig. 6 and produced a better result than the results produced by the
381 combinations of ITN and IRS, and IRS and Treatment in figures. 5 and 6 respectively. ITN
382 **only as single control on the** system in fig. 4 produces the same result as the result recorded
383 by the combination of ITN and IRS in fig. 5 and better than the result produced by IRS
384 and Treatment in fig. 6. The result produced by the combination of ITN and
385 Chemoprophylaxis in fig. 5 is the same as the result produced by ITN, IRS and
386 Chemoprophylaxis in fig. 7 and better than the results recorded by the combinations of
387 ITN, IRS and Treatment and IRS, Chemoprophylaxis and Treatment in fig 7. It is not
388 enough to use only graphs to determine the most efficient strategy, therefore, we employ a
389 quantitative methodology such as cost effectiveness analysis to do that [28].

390

391 1.11 COST EFFECTIVENESS ANALYSIS

392 The CEA is a type of economic evaluation which compares the costs and outcomes of health
393 programs when the interventions have a common health outcome but differ in effectiveness.
394 In order to assess the extent to which our control intervention strategies are beneficial and
395 cost effective, we employ the incremental cost-effectiveness ratio (ICER). The ICER is often
396 defined as the additional cost per additional health outcome and provides a means of
397 comparing intervention strategies so that we are able to determine which strategy is most
398 **cost-effective control in disease eradications.**

399 Mathematically, the ICER between two strategies is defined in this work as:

400

$$\text{ICER} = \frac{\text{Differences in cost of interventions strategies}}{\text{Differences in number of infection averted by the strategies}} \dots\dots (19)$$

401 The basic assumption in using the ICER is based on the understanding that the
 402 prime goal of using ITN, IRS, Chemoprophylaxis and an Improvement of the current
 403 Treatment is to reduce malaria infection. In order to use the ICER, we are required to
 404 rank all the intervention strategies according to their effectiveness on the
 405 basis of securing maximum effect rather than considering cost [1, 7].

406
 407 Based on the model simulation results, the strategies worth ranking in term of
 408 cost-effectiveness are stated in the table 3 below:

409 Table 3: Ranking of the intervention strategies

Strategies	Total infection averted	Cost (\$)
No strategy	0	0
IRS only	60,935,000	877,470.000
Treatment only	65,746,000	1,470,900,000
ITN only	86,878,000	417,020.000
Chemoprophylaxis only	88,097,000	23,854,000,000
ITN and Treatment	90,192,000	1,530,050,000

410

411 The ICER, is computed as follows:

$$\text{ICER(IRS only)} = \frac{877,470.000 - 0}{60,935,000 - 0} = \frac{877,470.000}{60,935,000} = 14.4$$

$$\text{ICER(Treatment only)} = \frac{1,470,900,000 - 877,470.000}{65,746,000 - 60,935,000} = \frac{593,430,000}{4,811,000} = 123.35$$

412 Comparing ICER(IRS only) and ICER(Treatment only) , it can be seen that there is a cost
 413 of \$14.4 for strategy IRS only over strategy Treatment only . The lower ICER for
 414 strategy IRS only shows that strategy Treatment only is strongly dominated. This makes
 415 strategy Treatment only more costly and less effective than strategy IRS only . Hence,
 416 strategy Treatment only is excluded from the from the set of alternatives so it does not
 417 consume limited resources. This leads to table 4

418

419 Table 4: Ranking of IRS only, ITN only, Chemoprophylaxis only and ITN and
 420 Treatment combination strategies

Strategies	Total infection averted	Cost (\$)
IRS only	60,935,000	877,470.000
ITN only	86,878,000	417,020.000
Chemoprophylaxis only	88,097,000	23,854,000,000
ITN and Treatment	90,192,000	1,530,050,000

421

422 We recalculate ICER,

$$\text{CER(IRS only)} = \frac{877,470.000}{60,935,000} = 14.4$$

$$\text{ICER(ITN only)} = \frac{417,020.000 - 877,470.000}{88,943,000 - 60,935,000} = \frac{-460,450,000}{27,162,000} = -16.95$$

423 Again, comparing CER(IRS only) and ICER(ITN only), we have a cost saving of
 424 \$16.95 for strategy ITN only over IRS only. The negative ICER for strategy
 425 ITN only shows that strategy IRS only is strongly dominated. Therefore, strategy
 426 IRS only is excluded and this leads to table 5.

427 Table 5: Ranking of ITN only, Chemoprophylaxis only and ITN and Treatment
 428 combination strategies

Strategies	Total infection averted	Cost (\$)
ITN only	86,878,000	417,020,000
Chemoprophylaxis only	88,097,000	23,854,000,000
ITN and Treatment	90,192,000	1,530,050,000

429

430 We recalculate ICER,

$$\text{ICER(ITN only)} = \frac{417,020.000}{86,878,000} = 4.8$$

$$\begin{aligned} \text{ICER(Chemoprophylaxis only)} &= \frac{23,854,000,000 - 417,020.000}{88,097,000 - 86,878,000} = \frac{23,436,980,000}{1219000} \\ &= 19226.4 \end{aligned}$$

431 Hence, strategy Chemoprophylaxis only is excluded from the from the set of alternatives
 432 so it does not consume limited resources. This leads to table 6.

433

434 Table 6: Ranking of ITN only and ITN and Treatment combination strategies

Strategies	Total infection averted	Cost (\$)
ITN only	86,878,000	417,020,000
ITN and Treatment	90,192,000	1,530,050,000

435

436 We recalculate ICER,

$$\text{ICER(ITN only)} = \frac{417,020.000}{86,878,000} = 4.8$$

$$\text{ICER(ITN and Treatment)} = \frac{1,530,050,000 - 417,020,000}{90,192,000 - 86,878,000} = - \frac{1,113,030,000}{3314000}$$

$$= 335.86$$

437 Hence, strategy ITN and Treatment is excluded from the from the set of alternatives so it
 438 does not consume limited resources. This leads to table 7

439 **Table 7: ITN strategy only**

Strategies	Total infection averted	Cost (\$)
ITN only	86,878,000	417,020,000

440

441 Therefore, it can be seen that the strategy ITN only is best for controlling malaria in terms of
 442 cost. Therefore, I will recommend that policy makers on Malaria Control Programmes in
 443 endemic areas should advise their governments to subsidy ITN for its citizens or make it free
 444 and compulsory. However, this does not mean other strategies are not necessary, it is only
 445 telling us that more attention should be given to ITN. Although, the other strategies such as
 446 Chemoprophylaxis, IRS and Improved Treatment are good to avert malaria infections as seen
 447 in figures 4 to 8. However, their costs do not make them economically viable to assist malaria
 448 victims. For example, Chemoprophylaxis for those over 5years is about Twenty-Seven Ghana
 449 Cedis (6 US dollars) per week and for pregnant women it is free when they visit Anti-
 450 neonatal clinic and Seven Ghana Cedis and Fifty Pesewas (1.67 US dollars) at a
 451 Pharmaceutical shop in Ghana for a dosage during a pregnancy in the year 2018. Therefore,
 452 only privileged few of the malaria victims can afford it and also it is recommended for a short
 453 time and not for our whole life time.

454 Therefore, as the Cost-effectiveness Analysis points out in this work that ITN is economically
 455 best solution for fighting malaria in poor endemic areas, I will recommend that more
 456 attention should be given to the ITN; because personally I used one ITN **for most three years**
 457 at Navrongo in the Upper East region of Ghana which I received for free during a Malaria
 458 Control Programme.

459 **1.12 CONCLUSION**

460 In this article, we apply the optimal control theory to a new model formulated for malaria
 461 disease in endemic areas in the world. The following malaria control strategies ITN, IRS,
 462 Chemoprophylaxis and Improved Clinical Treatment were examined and analysed on the
 463 mode. The Cost-effectiveness Analysis points out that more attention should be given
 464 Insecticide -Treated bed nets (ITNs) in order to eliminate the malaria disease globally,
 465 because the female Anopheles mosquitoes need human blood to lay their eggs [10]. The
 466 expression for the effective reproduction number (R_e) has been derived by using the next-
 467 generation method. The impact of the controls on the R_e was studied and it came out that all
 468 four controls have positive impact. The epidemiological theory states if R_e is less than one,
 469 then the disease can easily be eliminated. An analysis of controls on R_e reveals that the
 470 ITNs can reduce R_e to zero as the value of ITNs approaches one. Pontryagin's Maximum

471 Principle is applied to analyse the optimal control model theoretically and the optimality
472 system is solved numerically through an iterative scheme. The optimal plots (Fig.4-8) reveal
473 that best control strategies for malaria elimination is the combination of ITN,
474 Chemoprophylaxis and Improved Clinical Treatment. However, the Cost-effectiveness
475 Analysis points out in this article that ITN is economically best solution for fighting malaria
476 in poor endemic areas.

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