

The Statistical Based Approach to Vulnerability and Adaptation Strategies by Rural Farmers to the Impact of Flooding In Rivers State, Nigeria.

Cookey A.Tammy^{1*}, Tombari Bodo¹ Perri T.Owunari²

¹Department of Geography and Natural Resources Management, University of Uyo, Akwa Ibom State, Nigeria

²Department of Geography and Environmental Studies, Ignatius Ajuru University of Education, Iwofe, Nigeria.

Author's contributions

This work was carried out in collaboration between all authors. Author CAT design the study, performed the data analysis, and wrote the first draft of the manuscript. Author TB and PTO reviewed the first draft of the manuscript and helped with the revisions. All authors read and approved the final manuscript.

ABSTRACT

Aims: To examine the Statistical based approach to Vulnerability and Adaptation Strategies by Rural Farmers to the impact of flooding in Rivers State

Study design: Cross sectional survey design

Place and Duration of Study: The study was carried out in Okirika, Obio Akpor, Opobo/Nkoro, Andoni, Ahoada West and Bonny Local government area all in Rivers state , between December 2016 and September 2017

Methodology: The survey method was employed whilst 399 copies of questionnaire were used to elicit information from 399 respondents. Purposive sampling technique was employed to choose the six communities affected by flooding. Pearson product moment correlation coefficient was employed to test the hypothesis.

Results: The findings showed that there was a significant relationship between knowledge of flooding and adaptation strategies of the farmers; also that rural female farmers are the most vulnerable groups affected by the impact of flooding. The major underlying cause of flooding was found to be farming in flood prone areas. On the adaptation measures, the study showed that majority of the respondents perceived that building of critical infrastructures have been a crucial measure in checkmating the impacts of flood; haphazard construction of houses along flood plains to be a major factor militating against the efficient management of flooding in the respective communities

Conclusion: It was concluded that cluster groups should be organized so as to sensitize the people on the impact of flooding and possible adaptation measures

Keywords: Vulnerability, Impact. Adaptation strategies and rural farmers

* E-mail address: cookeytammy@gmail.com

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1. INTRODUCTION

Flooding is one of the greatest environmental, economic and social problem that the world is experiencing currently [1]. Its impact is often felt most by rural farmers. Much literature, documentaries organization on the impact of flooding exist in some libraries and the internet[2]

The impact of Floodisspatiallyheterogeneousacross geopolitical scales in Nigeria,Forinstance, therisk isgenerallybelievedtobemore acutein the south-south and Niger-Benue though regions of the country, Due to the fact that these areas relyheavilyonclimate-sensitivesectors,suchasagricultureand fisheries, and havelowGDPs,highlevelofpoverty,lowlevelsof education,and weak institutional,economic,technical,and financialcapacity to manage floods [3]

Theimplicationisthat vulnerability ofcountries and societies totheeffects ofclimate change dependsnotonlyonthemagnitudeofclimaticstress butalsoonthesensitivityand capacityofaffectedsocieties toadapttoorcopewithsuchstress [4].Therefore,vulnerability isthedegreetowhichasystem issusceptibleor unabletocopewiththeadverseeffects ofclimate change, and extreme weather.Vulnerabilityisafunctionofthecharacter,magnitude,and rateofclimate variationtowhichasystem isexposed to,itssensitivity, anditsadaptivecapacity [3]

Ananalysisofvulnerability adaptation tofloodingisneededatthelevelthat wouldenablepolicy makers totacklefloodingproblemswiththeprecisionthat isnecessary [5].After all,itisbyunderstanding,planningfor,and adaptingtoachanging climate that individuals and societies cantakeadvantageofopportunities and reducerisks [6].Thisisparticularly necessary inNigeria, themost populouscountry inAfricaand 7thintheworldwith162million people,ofwhich51percentresideinruralareas[7].More importantly,thereisnonational-levelanalysisoffloodvulnerability that providesthe spatial picturethat isneededtounderstandwhere and how floodingmight constitute a threattosecurity inthecountry,even thoughstudies indicatethat Nigerialieswithinahighvulnerability region inAfrica.[8].

The aim of this research was to examine the statistical based approach to vulnerability and adaptation strategies to rural farmers to the impact of flooding in Rivers state. The specific objectives of the study were to:Identifying the most vulnerable areas and groups affected by the impact of flood in the rural communities of Rivers state and to Examining the different adaptation measures to the impact of flooding taken by rural farmers in Rivers state to reduce the impact of flooding on development while the research hypothesis formulated was that There is no significant relationship between knowledge of the impact of flooding and adaptation strategies used by the people of Rivers state.

2. METHODOLOGY

The study population as seen in Table 1 was 2,738,331 persons which consisted of rural farmers of these selected thirty (30) communities in the six (6) local government areas. These were the areas affected most by flooding in Rivers state which represented 33% of the entire Rivers state population of 8,201,591 [9].

Furthermore, the National Population Commission data of 2006 was used as the base year and projected to 2016 using an annual growth rate of 3.2%.

Data for this work was from both primary and secondary sources. The sample size was determined through the use of the [10] sample size determination formula.

The Purposive sampling technique was used to elicit information from the rural farmers in the rural areas for the study. In the context of this research rural areas have few people who are mostly into farming and petty trading spread out over a large area [11]. In the first stage, the State was grouped into three Strata (Senatorial Districts); Rivers East, Rivers West, and Rivers-South East senatorial districts.

This second stage involved the stratification of the Senatorial Districts into Local Government Area

and two (2) Local Government Areas from each of the Senatorial districts which included (Okirika and Obio-Akpor LGAs-Rivers East, Opobo/Nkoro and Andoni L.G.A's-Rivers South and Ahoada West and Bonny LGAs-Rivers West) that were vulnerable to flooding was randomly selected to give a total of six (6) L.G.A's..

The third stage involved the stratification of each L.G.A's into Communities. Five (5) rural communities were purposively chosen from each of the six (6) L.G.A's making a total of thirty (30) communities with reference to Table 1. The rationale behind the selection of the communities was based on the rural nature of such communities and also the primary livelihood pattern which included farming and fishing.

Furthermore, the data that was used for the research was derived largely from field survey through the use of 399 copies of questionnaire and field observation. The purpose of this method or design was to acquire information from a sample population in order to make an inference on the entire population (sample frame) of the study area.

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Table 1; Population distribution

S/NO	LGAs	Senatorial district	Communities	2006 Population	2016 Population Projection	Questionnaire distributed	Retrieved questionnaire
1	Okirika	Rivers East	1.Ibaka 2.Sarrah 3.Owuambo Kiri 4.Biebele 5.Owuigono	295,325	404,666	20 19 30 20 15 Total;104	18 13 20 20 15 Total:86
2	Obio Akpor	Rivers East	1.Rumuigbo 2.Woji 3.Oginigba 4.Elelenwo 5 Elioparanwo	535,800	734,175	21 30 25 21 10 Total;107	10 15 15 13 5 Total:58
3	Opobo/Nkoro	Rivers South	1.Epelema 2.Queens Town 3.Minimah 4.Kalibiyama 5.Aya-ama	173,228	237,367	6 7 8 9 5 Total; 35	5 5 6 9 4 Total:29
4	Andoni	Rivers South	1.Ataba 2.Ayama 3.Dema 4.Ikuru 5.Ngo	248,532	340,548	15 11 8 9 7 Total;50	13 10 8 9 7 Total:47
5	Ahoada west	Rivers west	1.Akinima 2.Edeoha 3.Idoki 4.Oboh 5 Ochigba	285,116	390,676	12 9 8 9 18 Total;56	10 9 8 9 16 Total:52
6	Bonny	Rivers west	1.Finima 2.Oloma 3.Abalama 4.Abaja 5.Bonny	237,299	325,156	16. 12 .9 5 .5 Total;47	15 11 8 4 4 Total:42
GRAND TOTAL				2,098,431	2,738,331	399	314

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Source:[2]

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128 The following procedure and statistical technique was employed for the analysis
129 which included the Geographic information system using the choropleth technique
130 of mapping and descriptive statistics, Pearson product moment correlation
131 coefficient was employed so as to establish the relationship among variables under
132 consideration in order to arrive at a good decision. Apart from investigating causal
133 relationships between the variables, it was helpful in measuring the actual impact of
134 each independent variable in predicting the outcome of the dependent variable.
135 This technique could only be applied to make generalization about a larger sample
136 size. [12] recommended 15 samples to arrive at a fairly accurate result. Hence, the
137 adoption of this statistical technique was justified.

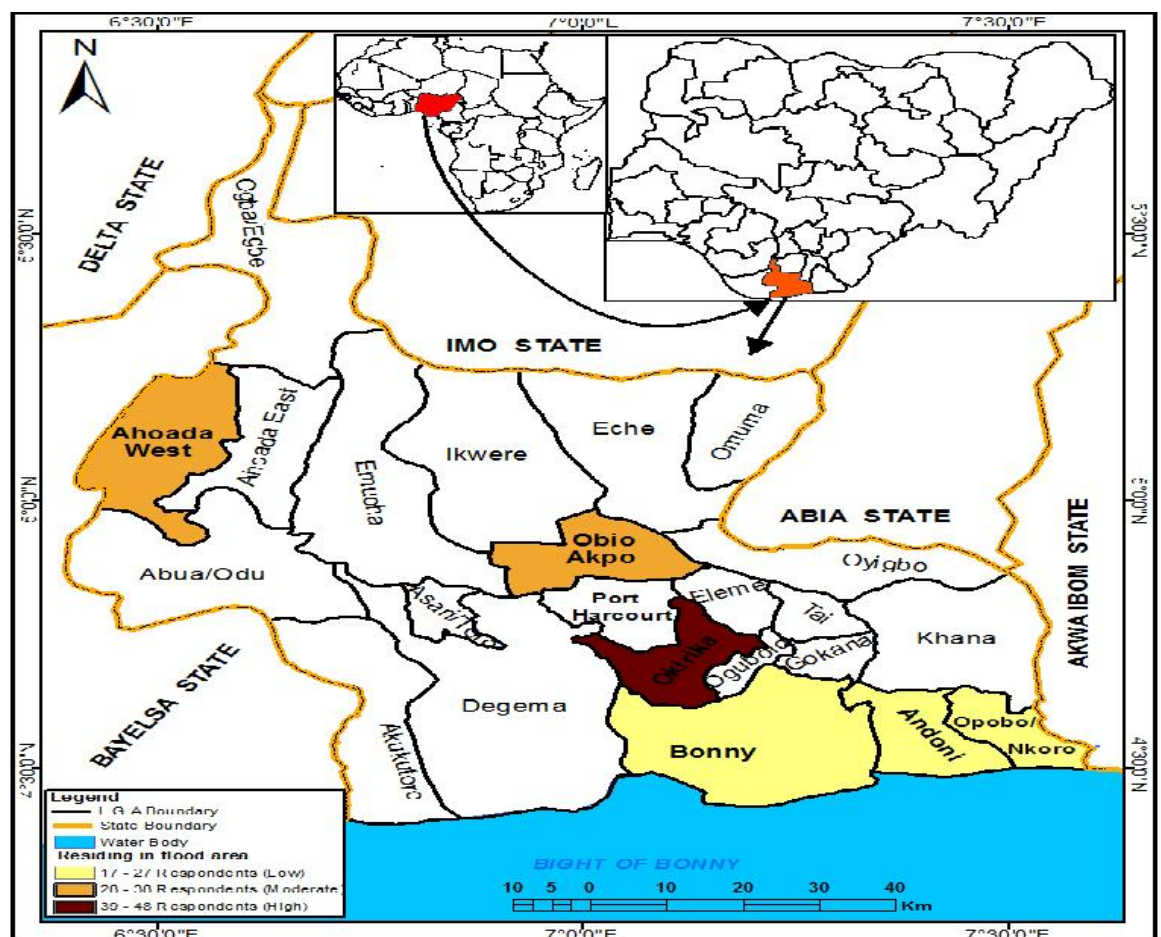
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140 3. RESULTS AND DISCUSSION

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143 **Vulnerable Areas and Categories of People prone to the impact of flooding in the**
144 **Rural Communities**

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Fig 1: Residing in Flood Prone areas as the Underlying cause of Vulnerability

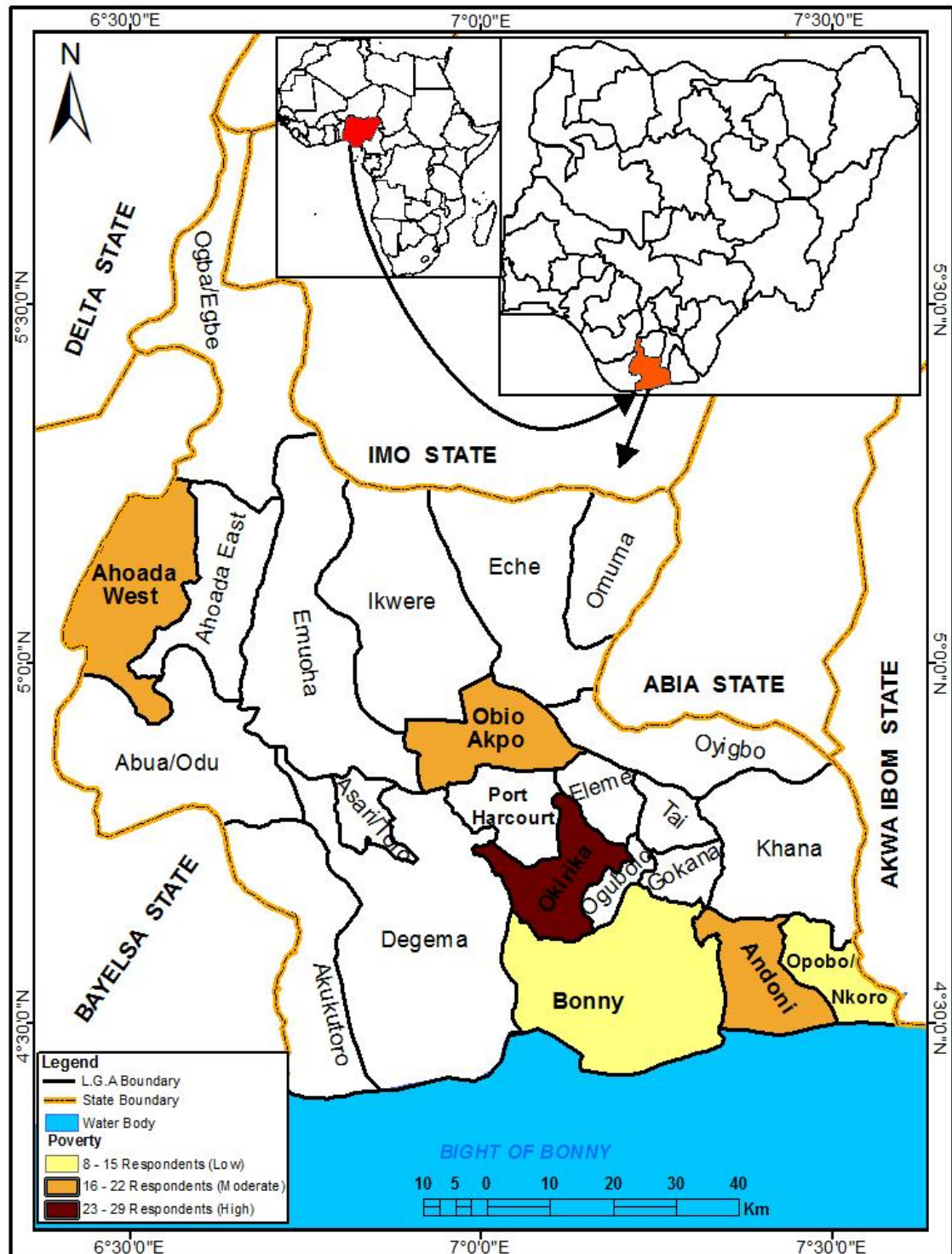


Fig 2: Poverty as the Underlying Cause of Vulnerability towards the impact of flood

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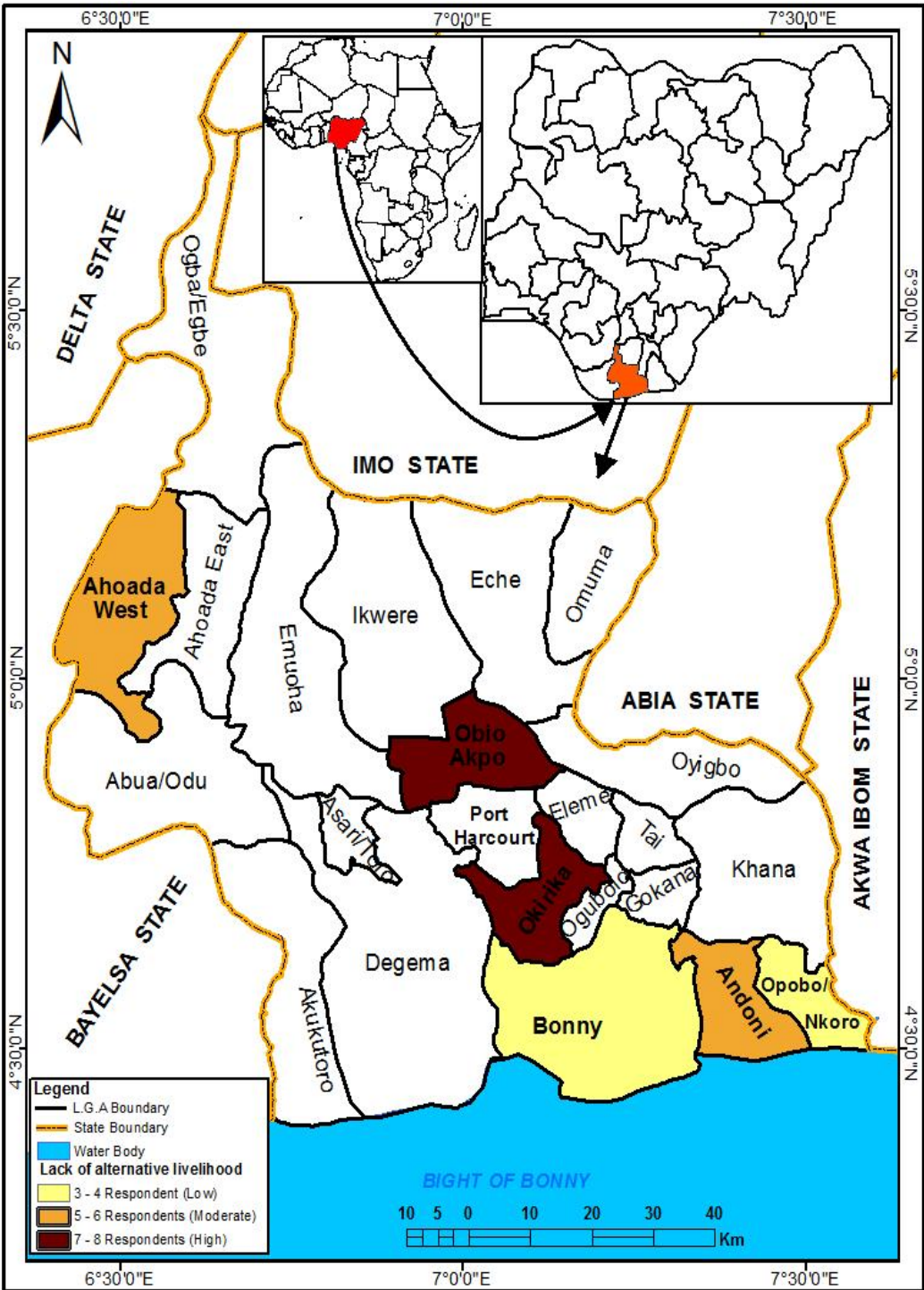


Figure 3: Lack of Alternative Livelihood as the Underlying cause of Vulnerability towards the impact of flood

Category

The percentage scores of respondents (65%) as shown on Table 2 indicated that the female respondents are the most vulnerable to the impact of flooding while the male respondents are the least vulnerable with a response rate of 35%.

Meanwhile, it is important to stress that women generally tend to be more vulnerable to the impact of flooding due to limited access to resources (wealth, knowledge and skills, technology, infrastructure and information) than men. This limitation has the potentials to increase vulnerability and thus limit their ability to cope.

Underlying Cause of Vulnerability

Investigation reveals that the underlying cause of vulnerability posed some consequences on the respondents. For instance 56% of the surveyed respondents, opined that residing in area prone to the impact of flooding was the major cause of vulnerability. Their reasons for their opinion were borne out of the fact that most of the rural communities under study lack adequate land for building/construction and they are close to the floodplain. Furthermore, based on this fact that most of them reside in flood prone areas, it was discovered that the major reason for this was poverty and this correlates with the second highest opinion which reveals that poverty was the major underlying cause of vulnerability as 34% of the respondent concurred to that. Thus, if poverty exists, then definitely there would be no way they could have an alternative livelihood and this accounts for the 10% of respondents who agreed that lack of alternative livelihood was a major factor of vulnerability.

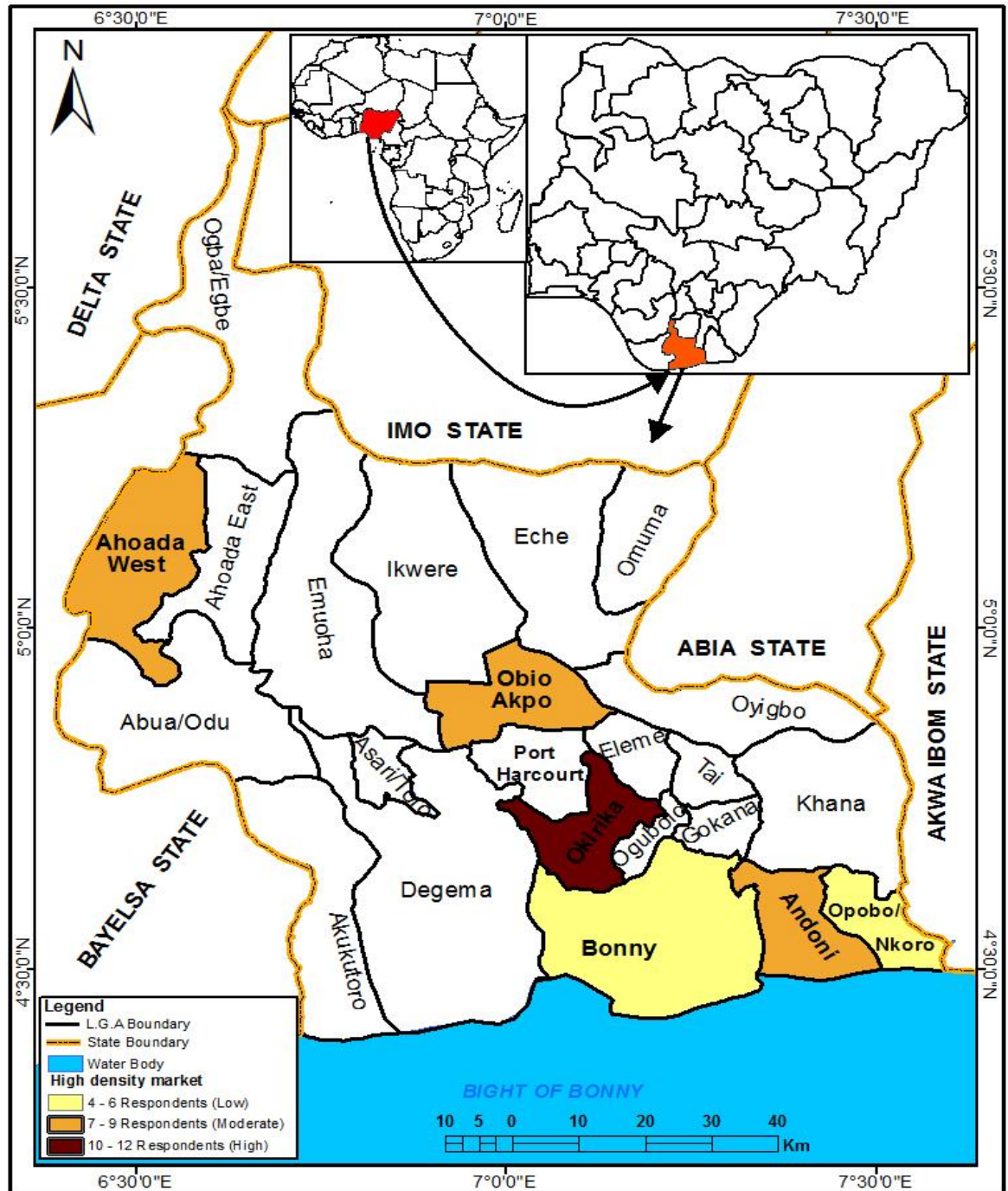
Figure 1 shows the choropleth map on residing in flooding prone areas which was carried out by the researcher based on the surveyed local government areas. The legend indicated that 17-27 respondents had the opinion that their location is low to vulnerability while 28-38 respondents are located in a moderate flood prone area and 39-48 respondents are located in a high flood prone area.

Furthermore, Figure 2 shows the choropleth map on poverty as the underlying cause of vulnerability which was carried out by the researcher based on the surveyed local government areas. The legend indicated that 8-15 respondents had a low opinion that poverty was a major cause of vulnerability while 16-22 respondents had a moderate opinion that poverty was a major cause of vulnerability in their location and 23-29 respondents had a high opinion that poverty was a major cause of vulnerability

Figure 3 shows the correlate mapping on lack of alternative livelihood as the underlying cause of vulnerability which was carried out by the researcher based on the surveyed local government areas. The legend indicates that 3-4 respondents had a low opinion that lack of alternative livelihood was a major cause of vulnerability while 5-6 respondents had a moderate opinion that lack of alternative livelihood was a major cause of vulnerability in their location and 7-8 respondents had a high opinion that lack of alternative livelihood was a major cause of vulnerability.

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Areas Most Vulnerable to Flooding



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Figure 4: High Density Market as Most Vulnerable to flood impact

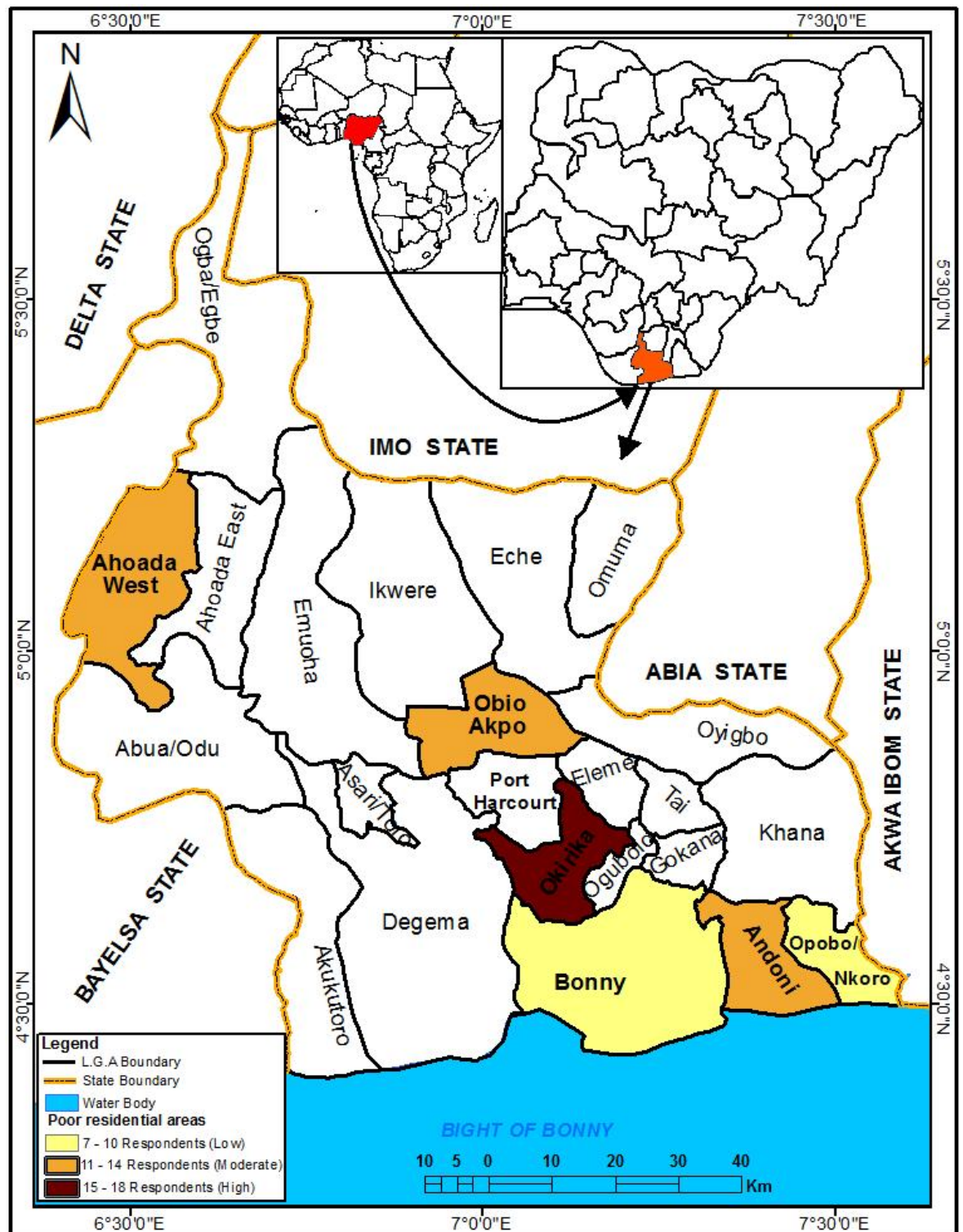


Figure 5: Poor Residential Areas as Areas Most Vulnerable to the impact of flooding

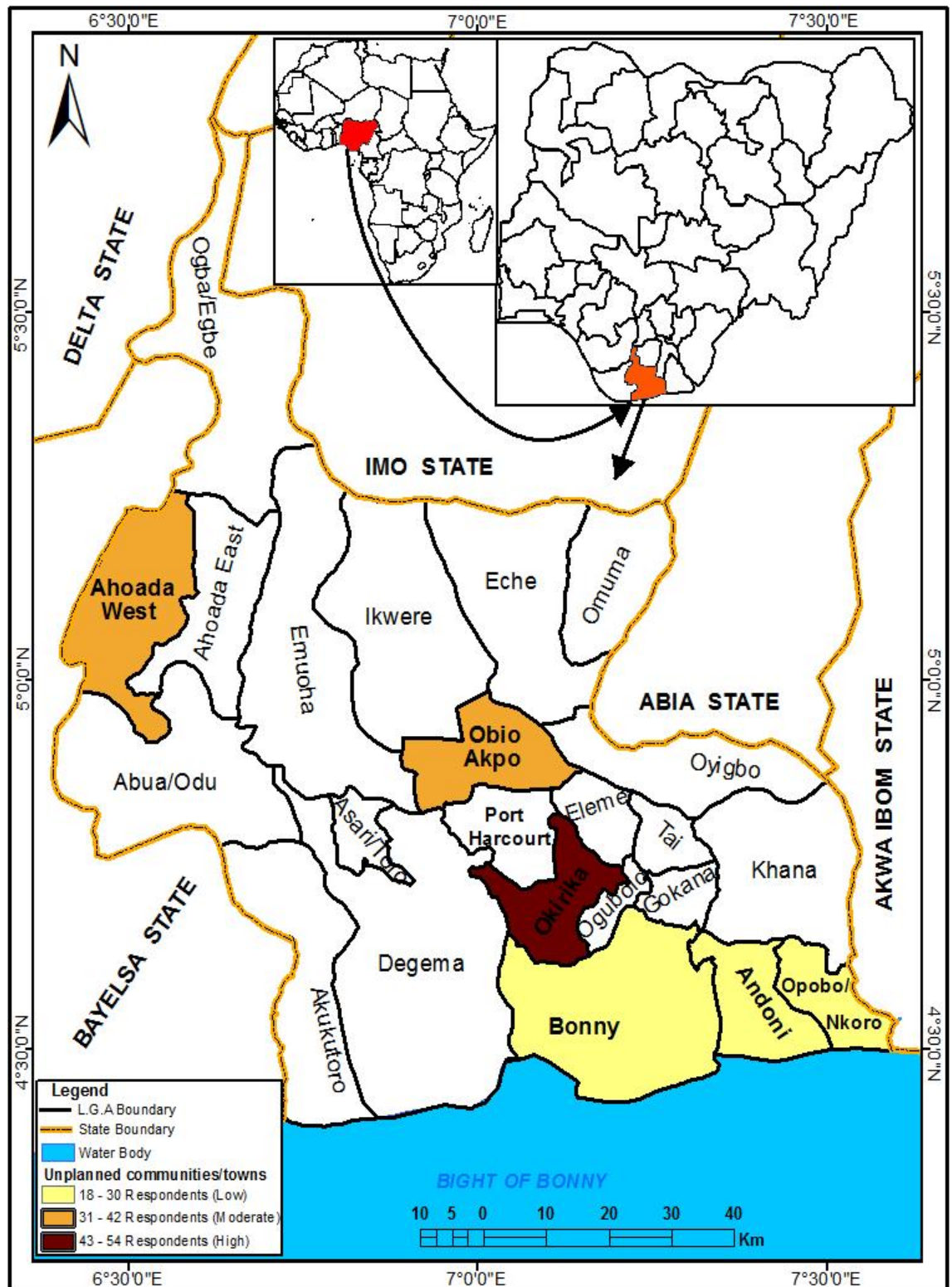


Figure 6: Unplanned Communities/Town as Areas most vulnerable to flood impact

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Table 2: Category of People Vulnerable to the impact of flooding (n=314)

Response	Frequency	%
Category		
Male	109	35
Female	205	65
Underlying cause of Vulnerability*		
Residing in flood prone area	177	56
Poverty	106	34
Lack of alternative livelihood	31	10
Areas Most Vulnerable to the impact of flood*		
High density market	45	14
Poor residential areas	73	23
Unplanned communities/towns	196	62

Source: Data Analysis, 2017

• **Multiple Response**

The areas which are vulnerable to the impact of flooding had impact on the respondents who lived in those locations. Majority of about 62% of the respondents as seen on Table 2 adjudged that unplanned communities and towns are highly vulnerable to the impact of climate change. In expatiation to the reason given, based on observation, it was seen that these communities are unplanned due to the fact that there are no existing building laws that regulate the construction of building in the communities. Further investigation revealed that most of the buildings are carried out haphazardly with no proper plan on ground. Hence, if the communities are unplanned, it will definitely lead to poor residential environment which is clearly visible in almost all the surveyed locations of study. This accounts for the 23% of responses which relied on the fact that the poor residential areas are prone to flooding because they neglect building codes and ethics. The least percentage of responses was on high density markets as revealed by 14% of respondents.

Subsequently Fig.4 shows the choropleth mapping on high density mapping of areas most vulnerable to the impact of flooding which was carried out by the researchers based on the surveyed local government areas. The legend indicates that 4-6 respondents had a low opinion that high density markets are areas most vulnerable to the impact of climate change while 7-9 respondents had a moderate opinion that high density markets are areas most vulnerable to the impact of flooding and 10-12 respondents had a high opinion that high density markets are areas most vulnerable to the impact of flooding.

Fig 5 reveals the choropleth mapping on poor residential areas as areas most vulnerable to flooding which was carried out by the researcher based on the surveyed local government areas. The legend indicates that 7-10 respondents had a low opinion that poor residential areas are most vulnerable to flooding while 11-14 respondents had a moderate opinion that poor residential areas are areas most vulnerable to flooding and 15-18 respondents had a high opinion that poor residential areas are areas most vulnerable to the impact of flooding .

Figure 6 reveals the choropleth mapping on unplanned communities/towns as areas most vulnerable to the impact of flooding which was carried out by the researcher

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based on the surveyed local government areas. The legend indicates that 18-30 respondents had a low opinion that unplanned communities/town are most vulnerable to the impact of flooding while 31-42 respondents had a moderate opinion that unplanned communities/town are areas most vulnerable to the impact of flooding and 43-54 respondents had a high opinion that unplanned communities/town are areas most vulnerable to the impact of flooding.

Different Adaptive Measures to Flooding Taken by the Rural Communities

Table 3: Multiple Responses on the Different Adaptive Measures to the impact of flooding Taken by the Rural farmers to Reduce the Impact (n=314)

Adaptive measure*	Frequency	%
Indigenous Ways Adopted by the People		
Use of sand bags	31	10
Formation of local groups	67	21
Planting of trees	32	10
Construction of drainage channels	252	80
Building of soak pit	87	28
Adaptation Measures Used to Cushion Flooding		
Building of critical infrastructure in the community	278	88
Training of volunteer on flood, capacity building etc.	38	12
Movement from a flood prone area	77	25
Listening to information about flooding through mass media such as radio, newspaper etc.	99	32
Others	62	20
Factors Militating Against Efficient Management of Flood Disaster		
Lack of implementation of existing flood policies	98	31
Poor town planning such as haphazard construction of houses in the community	124	40
Rising population and increased density	67	21
Others	23	7
Information Needed to Adapt to Flooding		
Cause of flooding	190	61
Effect of flooding	65	21
Adaptive measures	209	67

Source Data analysis 2017

Indigenous Ways Adopted by the People.

Data analysis shows that the most common indigenous measures undertaken by majority of the respondents in the communities as seen in Table 3 were the construction of drainage and building of soak pits. These two measures were applied by 80% and 28% respectively of the surveyed respondents in the sampled communities. Such high success rate in the adaptation measures can be explained by (i) the drainages were constructed by the locals with high gradient and proper layout; likewise the soak pits formed from the runoff from the drainage channels. However, on careful observation carried out by the researchers, it was found out that majority of the drainages that were constructed are not really constructed with

mortar, leading to an imminent collapse within a short time frame. Furthermore, rural dwellers found in the various communities have resulted in a self-help effort by the formation of local groups which account for the 21%. This self-help effort groups are headed by well exposed individuals who are, most times, trained by volunteers on the impact of flooding. The duty of these heads is to enlighten other members of the groups found in the community on recent adaptation measures. This correlates to the preceding 10% who attributed that they have adopted the planting of trees as an adaptation measure towards flooding. The reason for this percentage is as a result of the activities and the effort of the local groups in educating the rural dwellers on different adaptation measures. Moreover, the least percentage went to the use of sand bags. The reason for this low response from the respondents was based on the fact that from, a careful observation, Impact of flood in form of flood often washes away the sand easily , it might also lead to erosion which may become disastrous for the communities.

Adaptation Measures to Cushion Flooding

Almost all respondents adapted to one or more measures so as to cushion flooding. Results as seen in Table 3 reveal that the most common adaptive measures to cushion the impact of flooding e.g. flooding were the construction of critical infrastructures in the community. About 88% of the respondents had this opinion and obvious findings show that most of these critical infrastructures were carried out by external assistance and in other times by the locals. Also, it was discovered that most of the ancient infrastructures that were put in place by the past administration have been overstretched by the inhabitants. Furthermore, since these critical infrastructures have been provided, the respondents are of the opinion that they have started listening to programmes and documentaries on the impact of flood through the mass media which include flood forecasting from the radio and television, etc., and this attests to 32% of the respondents who have opinion on this factor.

In the same vein, in putting what they have heard through the mass media into action, respondents have decided to liaise with internal/external organizations who are willing to train those who have decided to become volunteers. These volunteers are trained on pre-requisite knowledge on flood control with global practice on how to adapt to flood disaster. Lastly enlightenment on movement to a flood prone area is seen as the last option and this attests to the 25% of respondents having opinion on this factor. The reason for this low percentage is as a result of poor linkage of nearby communities in the area and also that relocation is not a good strategy. Thus, making them reside where they have been used to.

Factors Militating against the Adaptation strategies towards flood Disaster

According to the perception of respondents, a combination of factors has militated against the efficient management of flooding disaster. These factors were lack of implementation of existing policies on the impact of flooding , poor town planning such as haphazard construction of houses in the communities, rising population and increased density and other factors.

As stated earlier majority of the respondents (40%) stated that poor town planning such as haphazard construction of houses in the communities had been a major factor militating efficient management of climate change disaster in their communities. Careful observation by the researcher to find out why there is a haphazard construction of buildings shows that those who are to implement these

laws are not even coming to work as most of them reside in the urban areas and come only when there is verification of staff by their local government council secretariats. Thus, if those who are supposed to ensure the strict compliance of this laws are not on ground, it will lead to lack or poor implementation of the existing climate change policies if even they have any. This fact concurs to the 31% respondents who concurred to the existing views of the researcher. A critical evaluation of the flood policies in the local council shows that there is no existing flood policy document in the study area as it was discovered that the process of domesticating the existing flood policy document of the state is still in the process and bureaucracy has been a bottle neck in its enactment.

This, therefore, poses a serious challenge as there has been rising population resulting in an increase in density of the area which accounts for 21% of the respondents. It is pertinent to note that on careful observation, a large number of the populace is yet to be acquainted with the state policy on the impact of climate change. This calls for more effort in the sensitization of the rural populace. 7% of the respondents accounted for other factors which were not mentioned in the data collecting instrument as factors hindering the efficient management of the impact of flooding.

Information Needed to Adapt to the impact of flood

Observation revealed that the source of information needed to adapt to the impact of flood has substantially changed over the past years. This perception was corroborated by the data as seen in Table 3.

When respondents were asked on the appropriate information needed to adapt to the impact of flooding, about 67% of them felt that information on adaptive measures are very vital in adapting to the impact of climate change, the reason for this 67% is that respondents are of the opinion that the existing source of listening to the impact of flooding information needs to be expanded and its content expatiated on adaptive measures as they were already feeling the impact. Furthermore, on a careful observation of the content of the information about the impact of flooding which was made available to the rural farmers, it was discovered that it lacked critical information needed for adaptation in line with global best practices and this concurs to the percentage of the respondents. In the same vein, 61% are of the opinion that they still need to be abreast with information on the cause of flooding so as not to contradict their local knowledge as can be seen in the percentage of respondents who attested to this factor while 21% of the respondents preferred having information on the effect since most of them are already conversant with the cause and adaptive measures

Testing of Hypothesis

Ho: There is no relationship between knowledge regime and adaptation strategies.

H1: There is a significant relationship between knowledge regime and adaptation strategies.

Result on Table 4 indicates that there is a significant relationship between knowledge of flooding regime and adaptation strategies ($r=0.612$; $p<0.01$) which resulted in rejection of null hypothesis at 0.05 level of significance. Given strong positive correlation of 0.612, this implies that a percentage change (increase or

decrease) in knowledge of flooding regime would lead to a corresponding change in adaptation strategies and vice versa.

Table 4: Pearson's Product moment Correlation Matrix Showing relationship between Knowledge of Flooding Regime and Adaptation Strategies
 **Correlation is significant at the 0.01 level (2-tailed).

		Indigenous ways	Adaptation strategies
Knowledge of flooding regime	Pearson Correlation	1	.521**
	Sig. (2-tailed)		.000
	N	290	290
Adaptation strategies	Pearson Correlation	.521**	1
	Sig. (2-tailed)	.000	
	N	290	290

Source: Data Analysis, 2017

4. CONCLUSION

The study assessed the vulnerable groups and impact of flooding on the rural farmers and the different adaptive measures taken to reduce the impact. On identifying the most vulnerable groups that are often affected the study revealed that the rural females farmers are the most vulnerable groups affected by flooding and also that the major underlying cause of vulnerability is residing in flood areas.

On the adaptation measures the study showed that majority of the respondent's perceived that building of critical infrastructures has been a crucial measure in adaptation while poor town planning such as haphazard construction of houses were perceived as a major factor militating against the efficient management of the impact of flooding in the respective communities furthermore the study showed that information on adaptive measure is what is highly needed by the respondents to adapt to the impact of flooding.

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COMPETING INTERESTS

Authors have declared that no competing interest exist

ETHICAL APPROVAL

Approval for this study was obtained from the Department of geography and Natural resources management of the University of Uyo. Also, verbal informed consent was obtained from each respondent. All the participants were informed that the study is voluntary and that they could opt out of the study at any time. Also participants were assured that confidentiality would be maintained during and after data collection and that information given will be used for research purposes only. And lastly articles and authors used were sighted accordingly in this research

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491 **APPENDIX**

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