# The Statistical Based Approach to Flood Impact by Farmers in Rivers State

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#### Author's contributions

This work was carried out in collaboration between all authors. Author CAT design the study. performed the data analysis, and wrote the first draft of the manuscript. Author TB and PTO reviewed the first draft of the manuscript and helped with the revisions. All authors read and approved the final manuscript.

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22 23 **ABSTRACT** The study assessed the vulnerable groups and impact of flooding on the rural farmers and the different adaptive measures taken to reduce the impact.

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Aims: To examine the Statistical approach to Vulnerability by Farmers to the impact of flooding in Rivers State

Study design: Cross sectional survey design

Place and Duration of Study: The study was carried out in Okirika, Obio Akpor, Opobo/Nkoro, Andoni, Ahoada West and Bonny Local government area all in Rivers state, between December 2016 and September 2017

Methodology:. The survey method was employed whilst 399 copies of questionnaire were used to elicit information from 399 respondents. Purposive sampling technique was employed to choose the six communities affected by flooding. Pearson product moment correlation coefficient was employed to test the hypothesis.

**Results:** The findings showed that there was a significant relationship between knowledge of flooding and adaptation strategies of the farmers; also the rural female farmers are the most vulnerable groups affected by the impact of flooding. The major underlying cause of flooding was found to be farming in flood prone areas. On the adaptation measures, the study showed that majority of the respondents perceived that building of critical infrastructures have been a crucial measure in checkmating the impacts of flood; haphazard construction of houses along flood plains to be major factor militating against the efficient management of flooding in the respective communities

Conclusion: It was concluded that cluster groups should be organized so as to sensitize the people on the impact of flooding and possible adaptation measures

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Keywords: Vulnerability, Impact. Adaptation strategies and rural farmers

## 29 **1. INTRODUCTION**

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Flooding is one of the greatest environmental, economic and social problem that the world is experiencing currently [1]. Its impact is often felt most by rural farmers. Much literature, documentaries organization on the impact of flooding exist in some libraries and the internet [2]

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The impact of Flood is spatially heterogeneous across geopolitical scales in Nigeria, For instance, the risk is generally believed to be more acute in the south-south and Niger-Benue though regions of the country, Due to the fact that these areas rely heavily on climate-sensitive sectors, such as agriculture and fisheries, and have low GDPs, high level of poverty, low levels of education, and weak institutional, economic, technical, and financial capacity to manage floods [3]

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44 The implication is that vulnerability of countries and societies to the effects of climate change depends not only on the magnitude of climatic stress but 45 46 also on the sensitivity and capacity of affected societies to adapt to or cope with such stress [4]. Therefore, vulnerability is the degree to which a system is 47 48 susceptible or unable to cope with the adverse effects of climate change, 49 and extreme weather. Vulnerability is a function of the character, magnitude, and rate of climate variation to which a system is exposed to, its sensitivity, and 50 51 its adaptive capacity [3]

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53 An analysis of vulnerability adaptation to flooding is needed at the level that 54 would enable policy makers to tackle flooding problems with the precision 55 that is necessary [5]. After all, it is by understanding, planning for, and 56 adapting to a changing climate that individuals and societies can take advantage of opportunities and reduce risks [6]. This is particularly necessary 57 in Nigeria, the most populous country in Africa and 7th in the world with 162 58 59 million people, of which 51 percent reside in rural areas[7]. More importantly, 60 there is no national-level analysis of flood vulnerability that provides the 61 spatial picture that is needed to understand where and how flooding might constitute a threat to security in the country, even though studies 62 indicate that Nigeria lies within a high vulnerability region in Africa.[8]. 63 64

65 The aim of this research was to examine the statistical based approach to vulnerability and adaptation strategies to rural farmers to the impact of flooding in 66 Rivers state. The specific objectives of the study were to:Identifying the most 67 vulnerable areas and groups affected by the impact of flood 68 in the rural communities of Rivers state and to Examining the different adaptation measures to 69 the impact of flooding taken by rural farmers in Rivers state to reduce the impact of 70 flooding on development while the research hypothesis formulated was that There 71 is no significant relationship between knowledge of the impact of flooding and 72 adaptation strategies used by the people of Rivers state. 73

## 75 2. METHODOLOGY

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The study population as seen in Table 1 was 2,738,331 persons which consisted of rural farmers of the selected thirty (30) communities in the six (6) local government areas. These were the areas affected most by flooding in Rivers state which represented 33% of the entire Rivers state population of 8,201,591[9]. Furthermore, the National Population Commission data of 2006 was used as the base year and projected to 2016 using an annual growth rate of 3.2%.

Data for this work was from both primary and secondary sources. The sample size
was determined through the use of the [10] sample size determination formula.

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86 The Purposive sampling technique was used to elicit information from the rural 87 farmers in the rural areas for the study. In the context of this research rural areas have few people who are mostly into farming and petty trading spread out over a 88 large area [11]. In the first stage, the State was grouped into three Strata (Senatorial 89 Districts); Rivers East, Rivers West, and Rivers-South East senatorial districts. The 90 second stage involved the stratification of the Senatorial Districts into Local 91 92 Government Area and two (2) Local Government Area from each of the Senatorial districts which included (Okirika and Obio-Akpor LGAs -Rivers East, Opobo/Nkoro 93 94 and Andoni L.G.A's - Rivers South and Ahoada west and Bonny LGAs-Rivers west ) 95 that were vulnerable to flooding was randomly selected to give a total of six (6) L.G.A's.. 96

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98 The third stage involved the stratification of each L.G.A's into Communities. Five (5) 99 rural communities were purposively chosen from each of the six (6) L.G.A's making 100 a total of thirty (30) communities with reference to Table 1. The rationale behind the 101 selection of the communities was based on the rural nature of such communities and 102 also the primary livelihood pattern which included farming and fishing.

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Furthermore, the data that was used for the research was derived largely form field survey through the use of 399 copies of questionnaire and field observation. The purpose of this method or design was to acquire information from a sample population in order to make an inference on the entire population (sample frame) of the study area.

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S/NO	LGAs	Senatoria I district	Communities	2006 Population	2016 Populat ion Projecti on	Questionna ire distributed	Retrieved questionnai e
1	Okirika	Rivers East	1.lbaka 2.Sarrah 3.Owuambo Kiri 4.Biebele 5.Owuigono	295,325	404,66 6	20 19 30 20 15 Total;104	18 13 20 20 15 Total:86
2	Obio Akpor	Rivers East	1.Rumuigbo 2.Woji 3.Oginigba 4.Elelenwo 5 Elioparanwo	535,800	734,17 5	21 30 25 21 10 Total;107	10 15 15 13 5 Total:58
3	Opobo/N koro	Rivers South	1.Epelema 2.Queens Town 3.Minimah 4.Kalibiama 5.Aya-ama	173,228	237,36 7	6 7 8 9 5 Total; 35	5 5 6 9 4 Total:29
4	Andoni	Rivers South	1.Ataba 2.Ayama 3.Dema 4.Ikuru 5.Ngo	248,532	340,54 8	15 11 8 9 7 Total;50	13 10 8 9 7 Total:47
5	Ahoada west	Rivers west	1.Akinima 2.Edeoha 3.Idoki 4.Oboh 5 Ochigba	285,116	390,67 6	12 9 8 9 18 Total;56	10 9 8 9 16 Total:52
6	Bonny	Rivers west	1.Finima 2.Oloma 3.Abalama 4.Abaja 5.Bonny	237,299	325,15 6	16. 12 .9 5 .5 Total;47	15 11 8 4 4 Total:42
	GRAND TOTAL			2,098,431	2,738,3 31	399	314

## 121 **Source;[2]**

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124 The following procedure and statistical technique was employed for the analysis 125 which included the Geographic information system using the choropleth technique 126 of mapping and descriptive statistics, Pearson product moment correlation 127 coefficient was employed so as to establish the relationship among variables under 128 consideration in order to arrive at a good decision. Apart from investigating causal 129 relationships between the variables, it was helpful in measuring the actual impact of 130 each independent variable in predicting the outcome of the dependent variable. 131 This technique could only be applied to make generalization about a larger sample 132 size. [12] recommended 15 samples to arrive at a fairly accurate result. Hence, the 133 adoption of this statistical technique was justified.

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## 136 3. RESULTS AND DISCUSSION

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139 Vulnerable Areas and Categories of People prone to the impact of flooding in the
 140 Rural Communities
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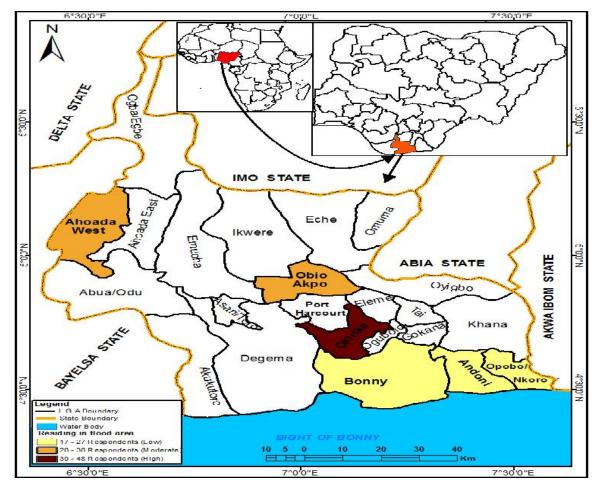
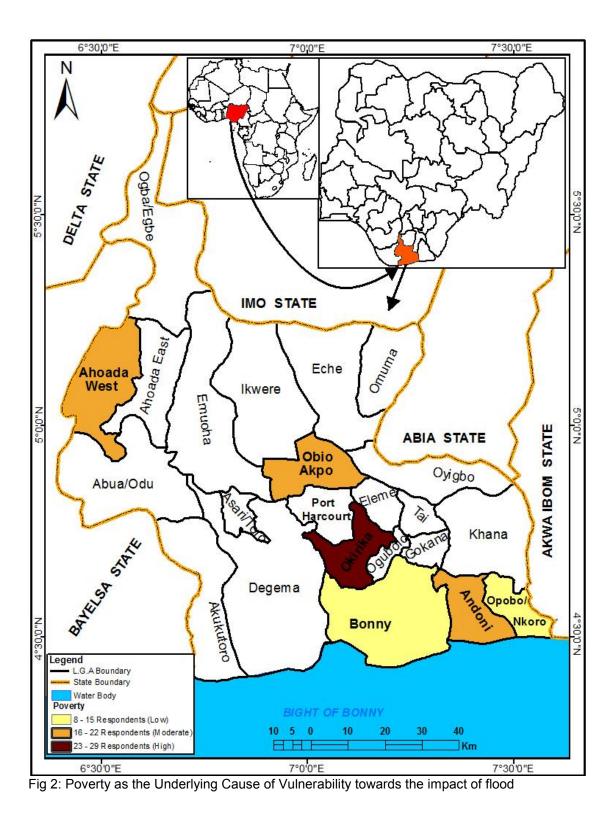
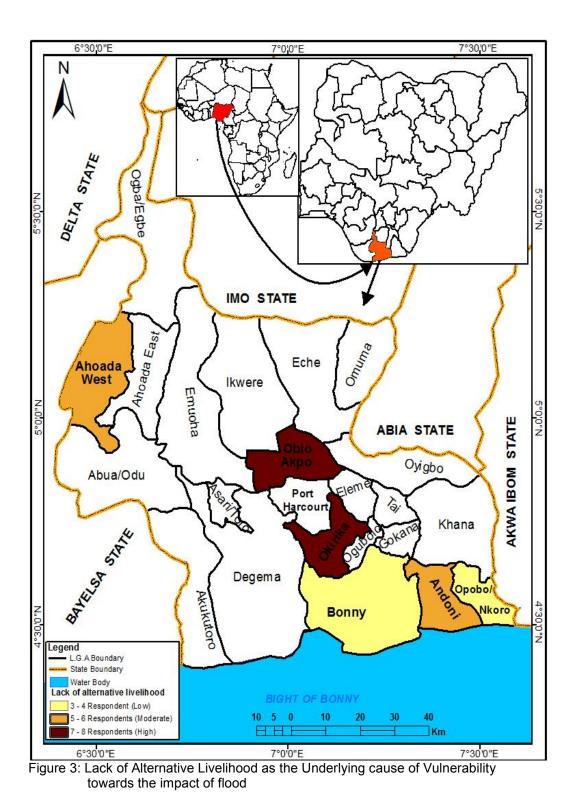




Fig 1: Residing in Flood Prone areas as the Underlying cause of Vulnerability







## 157 Category

- The percentage scores of respondents (65%) as shown on Table 2 indicated that the female respondents are the most vulnerable to the impact of flooding while the male respondents are the least vulnerable with a response rate of 35%.
  - Meanwhile, it is important to stress that women generally tend to be more vulnerable to the impact of flooding due to limited access to resources (wealth, knowledge and skills, technology, infrastructure and information) than men. This limitation has the potentials to increase vulnerability and thus limit their ability to cope.
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## Underlying Cause of Vulnerability

- 169 170 Investigation reveals that the underlying cause of vulnerability posed some 171 consequences on the respondents. For instance 56% of the surveyed respondents, 172 opined that residing in area prone to the impact of floding was the major cause of 173 vulnerability. Their reasons for their opinion were borne out of the fact that most of 174 the rural communities under study lack adequate land for building/construction and 175 they are close to the floodplain. Furthermore, based on this fact that most of them 176 reside in flood prone areas, it was discovered that the major reason for this was 177 poverty and this correlates with the second highest opinion which reveals that 178 poverty was the major underlying cause of vulnerability as 34% of the respondent 179 concurred to that. Thus, if poverty exists, then definitely there would be no way they could have an alternative livelihood and this accounts for the 10% of respondents 180 181 who agreed that lack of alternative livelihood was a major factor of vulnerability.
- Figure 1 shows the choropleth map on residing in flooding prone areas which was carried out by the researcher based on the surveyed local government areas. The legend indicated that 17-27 respondents had the opinion that their location is low to vulnerability while 28-38 respondents are located in a moderate flood prone area and 39-48 respondents are located in a high flood prone area.
- Furthermore, Figure 2 shows the choropleth map on poverty as the underlying cause of vulnerability which was carried out by the researcher based on the surveyed local government areas. The legend indicated that 8-15 respondents had a low opinion that poverty was a major cause of vulnerability while 16-22 respondents had a moderate opinion that poverty was a major cause of vulnerability in their location and 23-29 respondents had a high opinion that poverty was a major cause of vulnerability
- 197 Figure 3 shows the correlate mapping on lack of alternative livelihood as the 198 underlying cause of vulnerability which was carried out by the researcher based on 199 the surveyed local government areas. The legend indicates that 3-4 respondents 200 had a low opinion that lack of alternative livelihood was a major cause of 201 vulnerability while 5-6 respondents had a moderate opinion that lack of alternative 202 livelihood was a major cause of vulnerability in their location and 7-8 respondents 203 had a high opinion that lack of alternative livelihood was a major cause of 204 vulnerability.
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#### Areas Most Vulnerable to Flooding

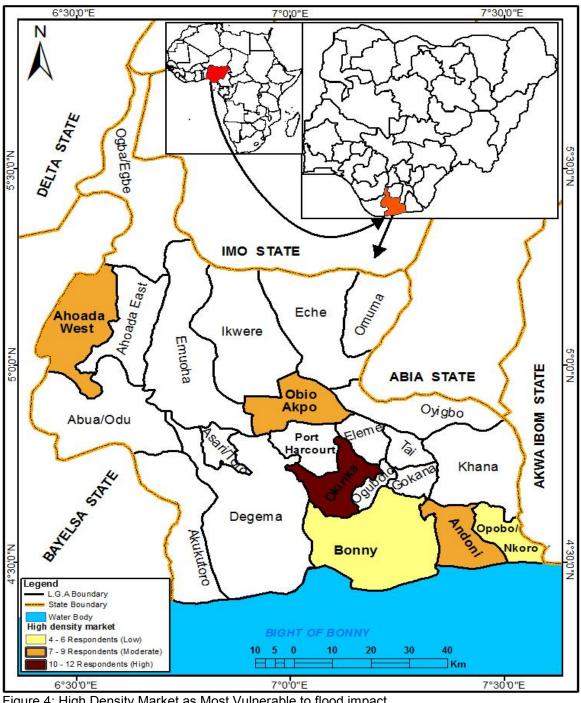




Figure 4: High Density Market as Most Vulnerable to flood impact

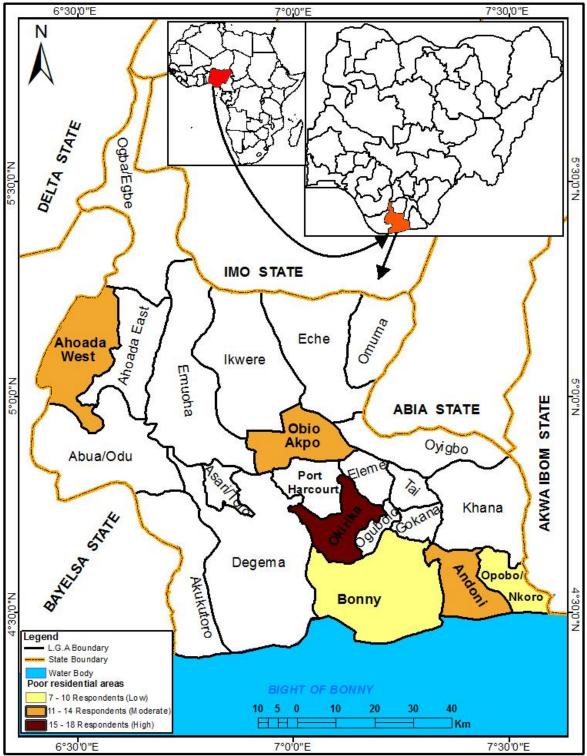




Figure 5: Poor Residential Areas as Areas Most Vulnerable to the impact of flooding

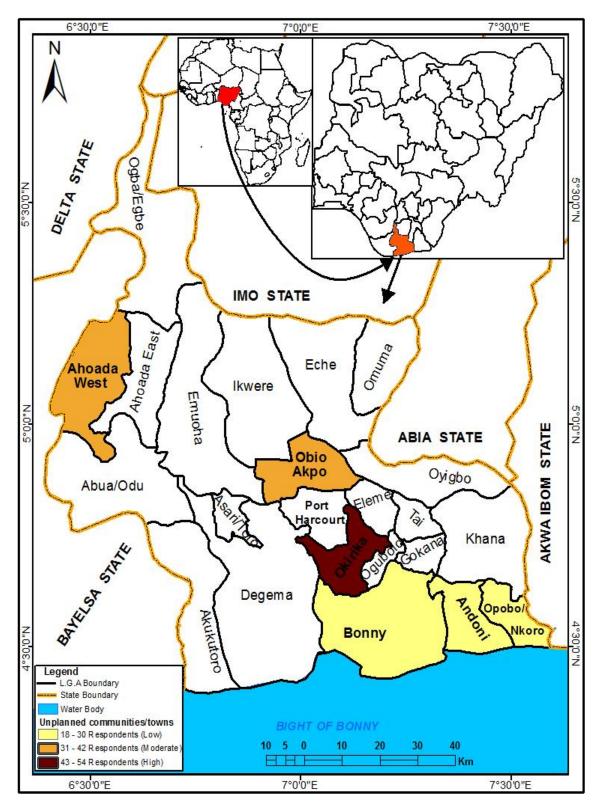


Figure 6: Unplanned Communities/Town as Areas most vulnerable to flood impact

Response	Frequency	%
Category		
Male	109	35
Female	205	65
Underlying cause of Vulnerability*		
Residing in flood prone area	177	56
Poverty	106	34
Lack of alternative livelihood	31	10
Areas Most Vulnerable to the impact of	flood*	
High density market	45	14
Poor residential areas	73	23
Unplanned communities/towns	196	62

227 Source: Data Analysis, 2017

Multiple Response

The areas which are vulnerable to the impact of flooding had impact on the respondents who lived in those locations. Majority of about 62% of the respondents as seen on Table 2 adjudged that unplanned communities and towns are highly vulnerable to the impact of climate change. In expatiation to the reason given, based on observation, it was seen that these communities are unplanned due to the fact that there are no existing building laws that regulate the construction of building in the communities. Further investigation revealed that most of the buildings are carried out haphazardly with no proper plan on ground. Hence, if the communities are unplanned, it will definitely lead to poor residential environment which is clearly visible in almost all the surveyed locations of study. This accounts for the 23% of responses which relied on the fact that the poor residential areas are prone to flooding because they neglect building codes and ethics. The least percentage of responses was on high density markets as revealed by 14% of respondents.

- 244 Subsequently Fig.4 shows the choropleth mapping on high density mapping of areas 245 most vulnerable to the impact of flooding which was carried out by the researchers 246 based on the surveyed local government areas. The legend indicates that 4-6 247 respondents had a low opinion that high density markets are areas most vulnerable 248 to the impact of climate change while 7-9 respondents had a moderate opinion that 249 high density markets are areas most vulnerable to the impact of flooding and 10-12 250 respondents had a high opinion that high density markets are areas most vulnerable 251 to the impact of flooding.
- 253 Fig 5 reveals the choropleth mapping on poor residential areas as areas most 254 vulnerable to flooding which was carried out by the researcher based on the surveyed local government areas. The legend indicates that 7-10 respondents had a 255 256 low opinion that poor residential areas are most vulnerable to flooding while 11-14 257 respondents had a moderate opinion that poor residential areas are areas most 258 vulnerable to flooding and 15-18 respondents had a high opinion that poor 259 residential areas are areas most vulnerable to the impact of flooding .
- 261 Figure 6 reveals the choropleth mapping on unplanned communities/towns as areas most vulnerable to the impact of flooding which was carried out by the researcher 262

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based on the surveyed local government areas. The legend indicates that 18-30 respondents had a low opinion that unplanned communities/town are most vulnerable to the impact of flooding while 31-42 respondents had a moderate opinion that unplanned communities/town are areas most vulnerable to the impact of flooding and 43-54 respondents had a high opinion that unplanned communities/town are areas most vulnerable to the impact of communities/town are areas most vulnerable to the impact of flooding.

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## 271 Different Adaptive Measures to Flooding Taken by the Rural Communities

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#### Table 3: Multiple Responses on the Different Adaptive Measures to the impact of flooding Taken by the Rural farmers to Reduce the Impact (n=314)

Adaptive measure*	Frequency	%
Indigenous Ways Adopted by the People		
Use of sand bags	31	10
Formation of local groups	67	21
Planting of trees	32	10
Construction of drainage channels	252	80
Building of soak pit	87	28
Adaptation Measures Used to Cushion Flooding		
Building of critical infrastructure in the community	278	88
Training of volunteer on flood, capacity building etc.	38	12
Movement from a flood prone area	77	25
Listening to information about flooding through mass media such as	99	32
radio, newspaper etc.		
Others	62	20
Factors Militating Against Efficient Management of Flood Disaster		
Lack of implementation of existing flood policies	98	31
Poor town planning such as haphazard construction of houses in the	124	40
community		
Rising population and increased density	67	21
Others	23	7
Information Needed to Adapt to Flooding		
Cause of flooding	190	61
Effect of flooding	65	21
Adaptive measures	209	67

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## Indigenous Ways Adopted by the People.

282 Data analysis shows that the most common indigenous measures undertaken by 283 majority of the respondents in the communities as seen in Table 3 were the construction of drainage and building of soak pits. These two measures were 284 285 applied by 80% and 28% respectively of the surveyed respondents in the sampled 286 communities. Such high success rate in the adaptation measures can be explained 287 by (i) the drainages were constructed by the locals with high gradient and proper 288 layout; likewise the soak pits formed from the runoff from the drainage channels. However, on careful observation carried out by the researchers, it was found out that 289 290 majority of the drainages that were constructed are not really constructed with

- 291 mortar, leading to an imminent collapse within a short time frame. Furthermore, rural 292 dwellers found in the various communities have resulted in a self-help effort by the 293 formation of local groups which account for the 21%. This self-help effort groups are 294 headed by well exposed individuals who are, most times, trained by volunteers on 295 the impact of flooding. The duty of these heads is to enlighten other members of the 296 groups found in the community on recent adaptation measures. This correlates to 297 the preceding 10% who attributed that they have adopted the planting of trees as an 298 adaptation measure towards flooding. The reason for this percentage is as a result 299 of the activities and the effort of the local groups in educating the rural dwellers on 300 different adaptation measures. Moreover, the least percentage went to the use of 301 sand bags. The reason for this low response from the respondents was based on 302 the fact that from, a careful observation, Impact of flood in form of flood often 303 washes away the sand easily, it might also lead to erosion which may become 304 disastrous for the communities.
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## Adaptation Measures to Cushion Flooding

- 307 Almost all respondents adapted to one or more measures so as to cushion flooding. 308 Results as seen in Table 3 reveal that the most common adaptive measures to 309 cushion the impact of flooding e.g. flooding were the construction of critical 310 infrastructures in the community. About 88% of the respondents had this opinion and obvious findings show that most of these critical infrastructures were carried out by 311 312 external assistance and in other times by the locals. Also, it was discovered that 313 most of the ancient infrastructures that were put in place by the past administration 314 have been overstretched by the inhabitants. Furthermore, since these critical 315 infrastructures have been provided, the respondents are of the opinion that they 316 have started listening to programmes and documentaries on the impact of flood 317 through the mass media which include flood forecasting from the radio and 318 television, etc., and this attests to 32% of the respondents who have opinion on this 319 factor. 320
- In the same vein, in putting what they have heard through the mass media into 321 322 action, respondents have decided to liaise with internal/external organizations who 323 are willing to train those who have decided to become volunteers. These volunteers 324 are trained on pre-requisite knowledge on flood control with global practice on how 325 to adapt to flood disaster. Lastly enlightenment on movement to a flood prone area 326 is seen as the last option and this attests to the 25% of respondents having opinion 327 on this factor. The reason for this low percentage is as a result of poor linkage of 328 nearby communities in the area and also that relocation is not a good strategy. 329 Thus, making them reside where they have been used to. 330

#### Factors Militating against the Adaptation strategies towards flood Disaster

- According to the perception of respondents, a combination of factors has militated against the efficient management of flooding disaster. These factors were lack of implementation of existing policies on the impact of flooding, poor town planning such as haphazard construction of houses in the communities, rising population and increased density and other factors.
- As stated earlier majority of the respondents (40%) stated that poor town planning such as haphazard construction of houses in the communities had been a major factor militating efficient management of climate change disaster in their communities. Careful observation by the researcher to find out why there is a haphazard construction of buildings shows that those who are to implement these

344 laws are not even coming to work as most of them reside in the urban areas and 345 come only when there is verification of staff by their local government council 346 secretariats. Thus, if those who are supposed to ensure the strict compliance of this 347 laws are not on ground, it will lead to lack or poor implementation of the existing 348 climate change policies if even they have any. This fact concurs to the 31% 349 respondents who concurred to the existing views of the researcher. A critical 350 evaluation of the flood policies in the local council shows that there is no existing 351 flood policy document in the study area as it was discovered that the process of 352 domesticating the existing flood policy document of the state is still in the process and bureaucracy has been a bottle neck in its enactment. 353

355 This, therefore, poses a serious challenge as there has been rising population 356 resulting in an increase in density of the area which accounts for 21% of the 357 respondents. It is pertinent to note that on careful observation, a large number of the 358 populace is yet to be acquainted with the state policy on the impact of climate 359 change. This calls for more effort in the sensitization of the rural populace. 7% of the 360 respondents accounted for other factors which were not mentioned in the data 361 collecting instrument as factors hindering the efficient management of the impact of 362 flooding.

#### 363 Information Needed to Adapt to the impact of flood

Observation revealed that the source of information needed to adapt to the impact of flood has substantially changed over the past years. This perception was corroborated by the data as seen in Table 3.

369 When respondents were asked on the appropriate information needed to adapt to the impact of flooding, about 67% of them felt that information on adaptive measures 370 371 are very vital in adapting to the impact of climate change, the reason for this 67% is 372 that respondents are of the opinion that the existing source of listening to the impact 373 of flooding information needs to be expanded and its content expatiated on adaptive 374 measures as they were already feeling the impact. Furthermore, on a careful 375 observation of the content of the information about the impact of flooding which was 376 made available to the rural farmers, it was discovered that it lacked critical 377 information needed for adaptation in line with global best practices and this concurs 378 to the percentage of the respondents. In the same vein, 61% are of the opinion that 379 they still need to be abreast with information on the cause of flooding so as not to 380 contradict their local knowledge as can been seen in the percentage of respondents who attested to this factor while 21% of the respondents preferred having 381 382 information on the effect since most of them are already conversant with the cause 383 and adaptive measures

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#### Testing of Hypothesis

388 Ho: There is no relationship between knowledge regime and adaptation 389 strategies.

390 H1: There is a significant relationship between knowledge regime and391 adaptation strategies.

Result on Table 4 indicates that there is a significant relationship between knowledge of flooding regime and adaptation strategies (r=0.612; p<0.01) which resulted in rejection of null hypothesis at 0.05 level of significance. Given strong positive correlation of 0.612, this implies that a percentage change (increase or decrease) in knowledge of flooding regime would lead to a corresponding change in adaptation strategies and vice versa.

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 Table 4: Pearson's Product moment Correlation Matrix Showing relationship

 between Knowledge of Flooding Regime and Adaptation Strategies

 \*\*Correlation is significant at the 0.01 level (2-tailed).

		Indigenous ways	Adaptation strategies
Knowledge of flooding regime	Pearson Correlation	1	.521**
	Sig. (2-tailed)		.000
	N	290	290
	Pearson Correlation	.521**	1
Adaptation strategies	Sig. (2-tailed)	.000	
_	N	290	290
Source: Data Analy	ysis, 2017		

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## 411 4. CONCLUSION

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The study assessed the vulnerable groups and impact of flooding on the rural farmers and the different adaptive measures taken to reduce the impact. On identifying the most vulnerable groups that are often affected the study revealed that the rural females farmers are the most vulnerable groups affected by flooding and also that the major underlying cause of vulnerability is residing in flood areas.

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On the adaptation measures the study showed that majority of the respondent's perceived that building of critical infrastructures has been a crucial measure in adaptation while poor town planning such as haphazard construction of houses were perceived as a major factor militating against the efficient management of the impact of flooding in the respective communities furthermore the study showed that information on adaptive measure is what is highly needed by the respondents to adapt to the impact of flooding.

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 Uyo, Akwa Ibom state.

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## 435 COMPETING INTERESTS

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437 Authors have declared that no competing interest exist 438

## 439 ETHICAL APPROVAL

Approval for this study was obtained from the Department of geography and Natural resources management of the University of Uyo. Also, verbal informed consent was obtained from each respondent. All the participants were informed that the study is voluntary and that they could opt out of the study at any time. Also participants were assured that confidentiality would be maintained during and after data collection and that information given will be used for research purposes only. And lastly articles and authors used were sighted accordingly in this research

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488 APPENDIX