

1 Original Research Article

2 Evaluation of Nb-Ni Influence on the  
3 Mechanical Behavior in a Cu-Al-Be Shape  
4 Memory Alloy

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13 **ABSTRACT**  
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**Aims:** the objective was to investigate the modifications induced by grain refiners on microstructural and mechanical behaviour of Cu-Al-Be shape memory alloy

**Study design:** The experiment was conducted in a completely randomized design.

**Place and Duration of Study:** the experiment was carried out at the Laboratory of Rapid Solidification of the Center Technology - CT, Federal University of Paraíba – UFPB, João Pessoa Campus, Paraíba, Brazil, between October 2017 and December 2018.

**Methodology:** The alloys were prepared by induction melting and hot rolled into strips of 1.0 mm thickness at room temperature without protective atmosphere, followed of heat treatments. Subsequently the microscope analysis, differential scanning calorimetry (DSC), and mechanical tests were carried out.

**Results:** The shape memory alloys produced present phase transformations corresponding to the superelastic effect (SE). Grain size reduced considerably with increases content of Nb-Ni. Additionally the mechanical tensile testing and hardness tests verified that the addition of Nb-Ni increases the stress of the alloy.

**Conclusion:** The manufactured of Cu-Al-Be alloys by induction melting and hot rolled without protective atmosphere is viable. The microstructure analysis shows the grain refinement in Cu-Al-Be alloys containing 1.0wt% and 1.5wt% of Nb-Ni alloy with considerable reduction in grain size. The reduction in the grain size shows the improvement in the hardness and mechanical tensile properties.

15 *Keywords: Shape Memory Alloys; Cu-Al-Be; Grain Refiners; Nb-Ni; Mechanical Strength.*  
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17 **1. INTRODUCTION**  
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19 Shape memory Alloys (SMAs) were discovered by Arne Ölander, but the  
20 importance of these materials came to the forefront, when researches revealed  
21 shape memory effect (SME) in Nickel-Titanium (Ni-Ti) alloys [1]. Since then, due the  
22 functional properties of SMA, many applications were proposed in different fields  
23 such as biomedical [2-4], civil engineering [5,6], automotive [7] and aerospace [8].  
24 SMAs are smart materials which can recover their original shape after large strains  
25 (~8%) by heating or removing mechanical load. It occurs because of reversible  
26 martensitic transformation (MT) [9,10]. SMAs can present Shape Memory Effect  
27 (SME) or Pseudoelastic Effect (PE). Shape Memory Effect was described as ability  
28 to return to a predetermined shape on heating above specific temperature, on the

29 other hand Pseudoelastic Effect was described as an ability to recover large  
30 deformations after removing mechanical load that caused deformation [11].

31 Several alloys exhibit functional properties associated with phase  
32 transformation, but only alloys such as nickel-titanium (Ni-Ti) and copper (Cu) based  
33 alloys have been extensively studied. Researches show that Ni-Ti SMA parts with  
34 shape from elementary products such as wires [12], coil springs [13] and Belleville  
35 washers [14] have exceptional mechanical properties, however the Ni-Ti SMA parts  
36 have high cost to process. On the other hand, copper based SMA have excellent  
37 cost-benefit ratio to process and show excellent ductility [15], however the industrial  
38 application is limited due to low plastic property, difficulty for machining, and short  
39 fatigue life [1,16].

40 The main Cu-based SMAs are derived from the copper-aluminium (Cu-Al)  
41 binary system, in which the stabilization of  $\beta$ -phase at lower temperatures is crucial  
42 to improve their thermo-mechanical properties. The  $\beta$ -phase stabilization is  
43 achieved through heat treatments and the addition of an element such as  
44 manganese (Mn), nickel (Ni) and beryllium (Be) have been used [17]. The addition  
45 of small amounts of Be cause to a sharp decrease in the martensitic transformation  
46 temperature in Cu-Al alloys close to the eutectoid composition [18].

47 Among different Cu-based shape memory alloys, the Cu-Al-Be exhibits a  
48 technological interest, due their properties such as mechanical damping capability  
49 [19,20], high mechanical strength [21] and resistance to corrosion [22,23]. This alloy  
50 has been considered for several applications such as petroleum industries [21,24]  
51 and design of seismic resistant structures, due to damping or internal friction,  
52 resulting in a significant quantity energy absorbed [25].

53 The high energy absorption capability and consequently the recoverable  
54 strain of Cu-Al-Be depends on the grain size, therefore the effect of grain refiners on  
55 the mechanical properties, microstructure and phase transformations have been  
56 extensively studied in Cu-Al-Be SMA [26,27]. Current researches have shown that  
57 grain refiners can improve mechanical properties of Cu-Al-Be, Cu-Al-Mn and Cu-Al-  
58 Zn SMA by means of yield strength and structural optimize. [28-30].  
59 Albuquerque[31] shows that the addition of small amounts (about 0,5% wt%) of Nb  
60 element in Cu-Al-Be alloy, cause grain refinement on the order of 19 times in  
61 relation to the alloy without Nb. Cândido[32] verified that the presence of up to 0.2%  
62 of Cr in similar alloy, provides a significant reduction of the average grain size  
63 without causing phase changes in the microstructure.

64 In this context, its relevant evaluate the behaviour of Cu-Al-Be with addition  
65 of small amounts of grain refiners, thus this research studies the modifications  
66 induced by grain refiners on microstructural and mechanical behaviour of Cu-Al-Be  
67 shape memory alloy strips manufactured by induction melting. A Cu-11.8%Al-  
68 0.58%Be shape memory alloy containing a small quantity of Nb-Ni was chosen as a  
69 model alloy for the study of grain-size effects on mechanical behavior following  
70 previously studies that show the high properties of this alloy [26,31,33].

71 **2. MATERIAL AND METHODS**

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For the present study, three different Cu-Al-Be-Nb-Ni alloys were prepared by induction melting without protective atmosphere. Pure metals were used: Cu (99.9%), Al (99.9%), Cu-4%Be master alloy and Nb-35%Ni master alloy (wt%). The alloys ingot's nominal composition given in Table1.

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Table 1 Percentage Composition of Cu-Al-Be-Nb-Ni Alloy

Alloy ID	Chemical nominal composition (wt%)				
	Cu	Al	Be	Nb	Ni
Alloy <sub>1</sub>	87.12	11.8	0.58	0.32	0.18
Alloy <sub>2</sub>	86.62	11.8	0.58	0.65	0.35
Alloy <sub>3</sub>	86.12	11.8	0.58	0.97	0.53

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The alloys were cast in graphite crucible in inductive heating in an 8 KVA high frequency furnace followed of ingots manufacture using rectangular molds. Subsequently, the ingots produced were heat treated by homogenized at 850 °C for 12 hours in an electrical resistance furnace. Thereafter, the ingots were heated to 850°C at each step of hot rolling and cut into strips of 100x10x1 [mm]. The strips were heat treated at 850°C for 1 hour followed by water quenching at 25°C to obtain the shape memory effect.

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Samples used for metallographic examinations were mechanically ground polished and chemically etched with ferric chloride (FeCl<sub>3</sub>). The microstructural characterization was analyzed by optical microscopy (OM). Mean grain sizes were determined by grain boundary intersection count method in which the determination of the number of times a test line cuts across or is tangent to grain boundaries.

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The transformation temperatures (TTs) were determined by differential scanning calorimetry (DSC) using Shimadzu DSC-60 calorimeter machine. DSC measurements were performed in argon atmosphere through one heating/cooling cycle from -120°C to 60 °C with heating/cooling rates 10°C.min<sup>-1</sup>. The TTs were determined by drawing tangent lines to the beginning and end regions of the transformation and baseline of the heating and cooling curves.

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The tensile tests were carried out at room temperature (about 25 °C). with maximum applied strains of 6%. using the Shimadzu static-dynamic Servo pulser EHF machine. equipped with a 50kN load cell. The hardness tests were carried out with maximum load of 100 kgf for 10 sec.

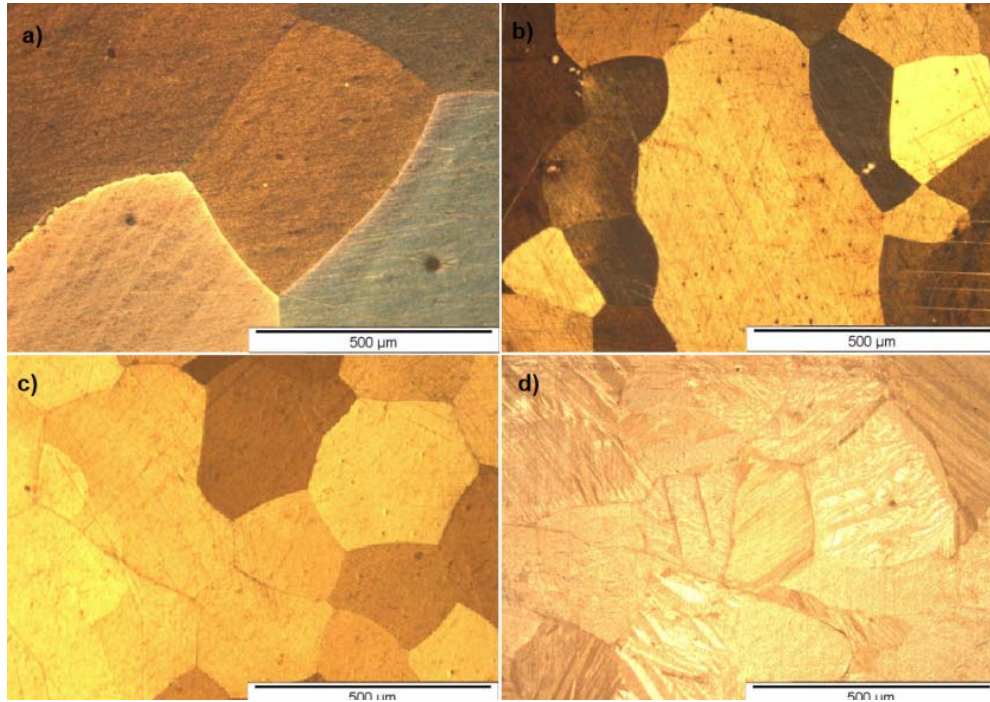
102 **3. RESULTS AND DISCUSSION**

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**3.1. Grain Refinement**

113 The grain size for each sample was acquired by optical microscopy. Figure 1  
114 shows the microstructures of Cu-Al-Be-Nb-Ni SMAs. The images present typical  
115 optical micrograph of the austenite phase (Fig. 1a. Fig. 1b. Fig. 1c). The samples  
116 preparation process (hot roller process) induced the phase transformation. So, it is  
117 possible to visualize the martensite phase in hot rolled samples without heat  
118 treatment Figure 1d. Grains in the ingots were roughly equiaxed, therefore with  
119 similar size in the longitudinal and transversal faces. It is also possible to verify the  
120 presence of precipitates characterized by dark spots.  
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117 Figure 1 - Optical micrograph illustrating the presence of austenite and martensite in the Cu-Al-Be-Nb-  
118 Ni SMA: (a) Alloy<sub>1</sub>. (b) Alloy<sub>2</sub>. (c) Alloy<sub>3</sub>. (d) Alloy<sub>3</sub> hot rolled.

121 The average grain sizes determined by arithmetic mean are shown in Figure  
122 2 for each alloy. As general behavior, it can be observed that the grain size  
123 decrease considerably with the increase of Nb-Ni content.

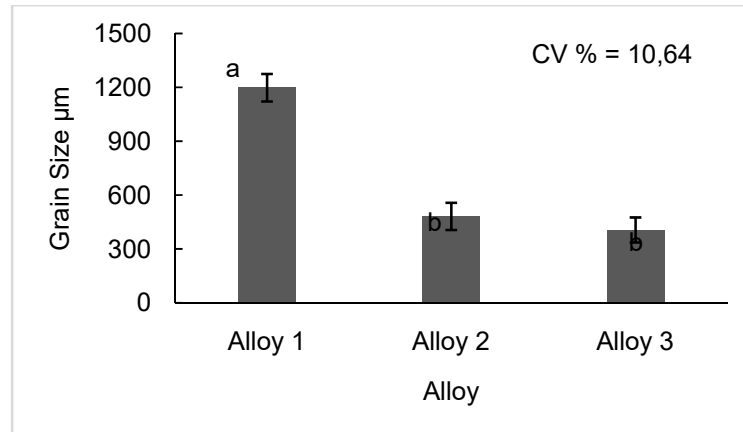


Figure 2 - The average grain sizes.

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123 As can be seen in Figure 2, the obtained average grain size is 1200μm  
124 469μm and 394μm for Alloy<sub>1</sub>, Alloy<sub>2</sub> and Alloy<sub>3</sub>, respectively. It is worth mentioning  
125 that grain refinement of Nb in Cu-Al-Be SMAs have also been reported acts such as  
126 strengthening mechanism [33,34]. The grain size refinement is influenced of fine  
127 Nb-rich precipitates that form nucleation sites during the solidification. Furthermore,  
128 grain growth is inhibited due Nb precipitates that appear by the pinning mechanism  
129 [35].

### 130 3.2. Thermal Characterization

131 After the microstructural evaluation the samples underwent DSC to  
132 determine the critical phase transformation temperatures without applied load. The  
133 samples used in these analyses were heat treated in the same way of the SMA  
134 strips produced. In Table 2 is listed the average of transformation temperatures for  
135 each alloy. The phase transformation temperatures were defined as: Martensite  
136 Finish Temperature ( $M_f$ ), Martensite Start Temperature ( $M_s$ ), Austenite Start  
137 Temperature ( $A_s$ ) and Austenite Finish Temperature ( $A_f$ )

138 Table 2 - Critical temperatures obtained from DSC for the Cu-Al-Be-Nb-Ni SMA.

Alloy ID	Temperatures [°C]			
	$M_f$	$M_s$	$A_s$	$A_f$
Alloy <sub>1</sub>	-22.5	19.9	2.2	27.7
Alloy <sub>2</sub>	-23.7	19.7	5.6	33.5
Alloy <sub>3</sub>	-27.8	15.5	2.6	19.4

139 Thermal characterization indicates superelastic behavior at room  
140 temperature (about 25°C, superior to  $M_s$ ). From Table 2 the austenite temperature  
141 intervals span between 2.2°C to 33.5°C. Figure 3 shows typical curves resulted from  
142 DSC tests for one heating/cooling cycle. In this case, the results are presented only  
143 for Alloy<sub>2</sub>, because all the alloys present similar curves. It was possible to confirm  
144 phase transformations throughout the presence of two peaks that characterize the  
145 transformations zone.

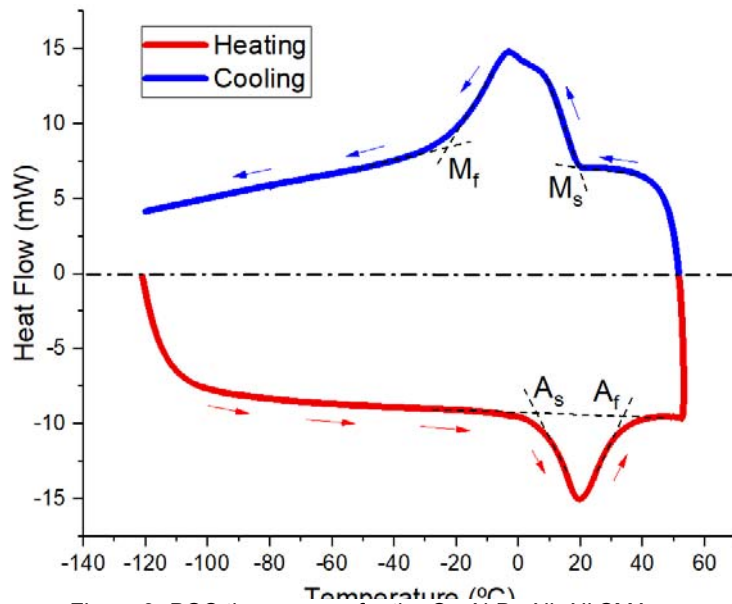


Figure 3 -DSC thermogram for the Cu-Al-Be-Nb-Ni SMA.

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### 3.3. Mechanical properties

150 As previously discussed, the grain size is an important parameter in  
151 polycrystalline specimens. It was observed that as the grain size decreases, the  
152 Rockwell hardness increases. The variation of Rockwell A hardness of the samples  
153 produced is shown in Figure 4. The averages of values were 71 RCA, 72 RCA and  
154 76 RCA for Alloy<sub>1</sub>, Alloy<sub>2</sub> and Alloy<sub>3</sub>, respectively. This increase of hardness  
155 observed with the addition of Nb. It is believed that this increase is due to the Nb-  
156 rich precipitates increase the rigidity of the material.

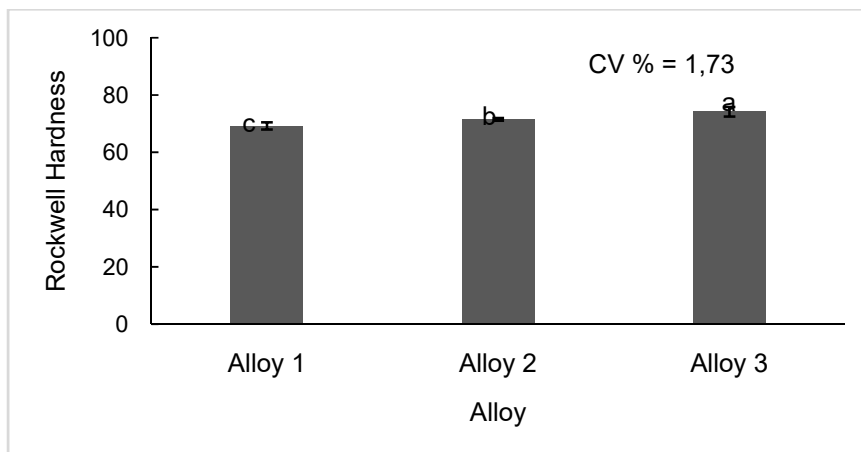


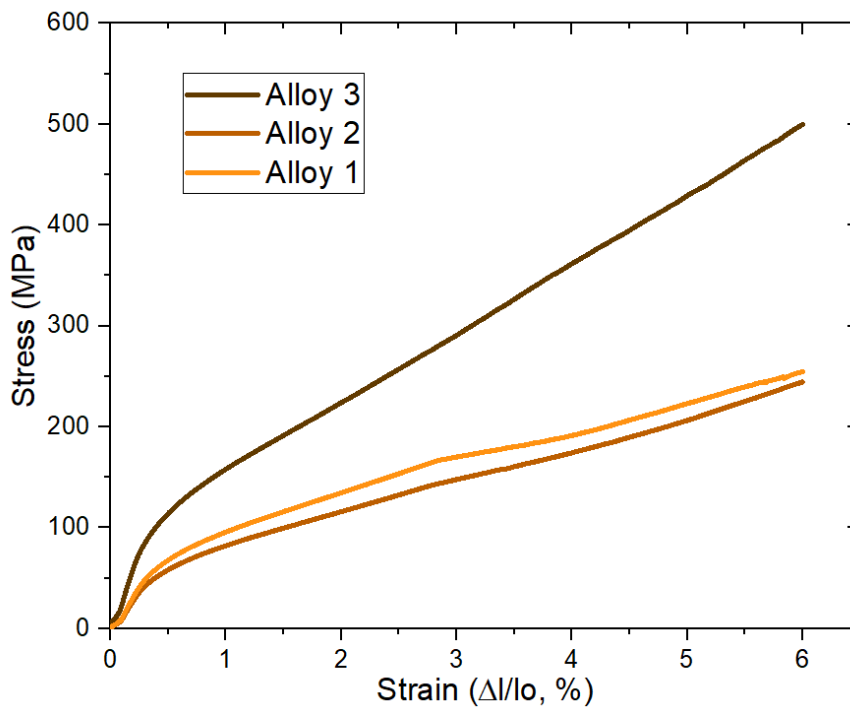
Figure 4 - Rockwell hardness for the Cu-Al-Be-Nb-Ni SMA.

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159 The samples were always heated at temperature higher than  $A_f$  and cooling  
160 down to the room temperature before tensile tests. Figure 5 shows stress-strain

161 curves obtained at room temperature (~25°C). On loading, the austenite elastically  
162 deforms in initial linear part followed pseudoelastic slope when starts the typical  
163 martensite induced transformation.

164 The conventional tensile test carried out show that the addition of Nb-Ni  
165 increases the ultimate strength of the Cu-Al-Be alloy. The maximum strain to the  
166 rupture and the rupture stress were 250.7 MPa and 6.2% for Alloy<sub>1</sub>. 283.2 MPa and  
167 7.1% for Alloy<sub>2</sub> and 529.2 MPa and 6.5% for Alloy<sub>3</sub>. As the grain size decreases the  
168 stress corresponding to the end of the initial linear part will referred as the  
169 martensite-start stress ( $\sigma_{ms}$ ) increases, following a Hall-Petch relation type in  $\beta$  Cu-  
170 Al-Be [36,37]. This behavior has been found in other shape memory alloys  
171 [26,34,37]. To compare the results between the alloys produced, the  $\sigma_{ms}$  were  
172 44.1MPa, 53.4 MPa and 103.4MPa for Alloy<sub>1</sub>. Alloy<sub>2</sub> and Alloy<sub>3</sub>, respectively. These  
173 results indicate that the effect of grain size on  $\sigma_{ms}$  for Cu-Al-Be is stronger than for  
174 the other alloys [34].



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176 Figure 5 - Typical stress-strain curve to Cu-Al-Be-Nb-Ni alloy T=298K.

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178 It was not possible to distinguish the plastic strain of the martensite that  
179 should precede the rupture. The average strength values were 243.2MPa.  
180 255.3MPa and 501.9MPa for Alloy<sub>1</sub>. Alloy<sub>2</sub> and Alloy<sub>3</sub>. respectively in the maximum  
181 strain (6%). The grain refiners seem to have a reducing effect on the ductility of  
182 Alloy, as well show in other studies [26,34], so the strength increases considerably  
183 to submit the material to the same elongation, this is in accordance with the stiffness  
184 values shown previously.

185 It is known that the mechanical strength increases as larger the volumetric  
186 fraction of precipitates and the smaller their dimensions [38]. Orowan's theory  
187 explain that the mechanical resistance increases by precipitation, when very small  
188 precipitates and a large volumetric fraction are added to the metal matrix. The  
189 precipitates work as barriers to the movement of the dislocations increases  
190 mechanical resistance [39].

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#### 192 **4. CONCLUSION**

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194 In this study, the effect of grain size on the mechanical behavior of Cu-Al-Be  
195 shape memory alloy has been evaluated and the following conclusions can be  
196 drawn:

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198 1. Increasing the amount of Nb-Ni leads to grain reduce considerably (about  
199 four times lower) comparing Alloy<sub>1</sub> and Alloy<sub>3</sub>. Grain refinement is  
200 considered effective in alloys with a percentage of Nb-Ni greater than 1%.

201 The grain size effects not only on the start critical parameters but on the  
202 entire transformation behavior.

203 2. Cu-Al-Be-Nb-Ni SMA strips manufactured by hot rolled present fully  
204 martensitic microstructure. Also, after the heat treatment all the alloys  
205 present an austenitic microstructure and the superelastic effect.

206 According with the DSC curves the difference in chemical composition is  
207 not enough to change the critical temperatures. The TTs show austenite  
208 phase appears in SMA produced at room temperature without loading.

209 3. Decreasing the grain size improve the mechanical strength values, which  
210 increases as grain size is reduced. This mechanical strength-grain size  
211 dependence has been found to be governed by the Hall-Petch  
212 relationship.

213 4. The mechanical presence of Nb precipitates presence. increase the  
214 rigidity of the material in accordance of showed in literature.

215

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220 development project.

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222 Ethical declaration and consent are not applicable

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