

Aims: To determine if AIHA plays a role in anaemia associated with malignancies, and ascertain the cancers in which AIHA occurs.

Study design: This was a cross-sectional case-control study

Place and Duration of Study: Department of Haematology and Blood Transfusion, University of Port Harcourt Teaching Hospital, Rivers, Nigeria.

Methodology: We conducted the study on patients with malignancies either on admission in the wards or attending follow up clinics. Healthy age/sex matched subjects were used as controls. Cases with and without chemotherapy were analyzed as sub groups. Three hundred and seventy six (376) participants (188 cancer patients and 188 controls) were enrolled in the study. Full blood count, reticulocyte count, blood film, direct antiglobulin test (DAT), indirect antiglobulin test (IAT) and bilirubin assays were conducted on anticoagulated blood samples of all the patients and controls. The DAT was performed on fresh sample not more than 6 hours after collection using polyspecific anti-human globulin.

Results: Three (1.6%) of the 188 patients with malignancies were found to have a positive DAT of which 2 (1.1%) had strongly positive DAT with features of haemolysis and therefore had AIHA. The two patients with AIHA had chronic lymphocytic leukaemia. The third case was a weak positive DAT with malignant teratoma but did not have features of haemolysis. The cases of both AIHA and DAT were found in the group without chemotherapy. AIHA was the aetiology of anaemia in 2 (2%) of the 98 cases who had anaemia and were chemotherapy naive.

Conclusion: AIHA plays a minor role in the aetiology of anaemia in cancer and is more common in lymphoid malignancies. A positive DAT may occur without features of haemolysis.

Keywords: Autoimmune haemolytic anaemia, AIHA, cancer, haemolytic anaemia, anaemia

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1. INTRODUCTION

Autoimmune haemolytic anaemia (AIHA) is a heterogeneous group of diseases characterized by autoantibody production to red cell antigens causing shortened survival of the red cells. Anaemia caused by red blood cell (RBC) autoantibodies is not common.^[1] In 1946 it was observed that the red cells of patients with acquired haemolytic anaemia sometimes had a positive direct antiglobulin test (DAT) but patients with hereditary haemolytic anaemias were DAT negative and this led to the discovery of AIHA due to the development of autoantibody to the red cells.^[2]

AIHA has an incidence of 1–3 cases per 100,000/year.^[1] More than half the cases of AIHA are warm AIHA with an incidence of 1/50,000 - 80,000 persons.^[3,4] About 1 in 1,000 - 36,000 healthy blood donors may have a positive DAT result.^[5] AIHA can be broadly grouped into two: primary (or Idiopathic) and secondary, based on the presence or absence of an underlying disease. Idiopathic AIHA is more common in females with a peak incidence in the fourth and fifth decades of life.^[1] Up to 40% cases of AIHA are associated with an underlying disorder especially in lymphoid malignancies.^[6] The red cell antigens important for binding of autoantibodies include the Rh antigen system and the I,i antigen system. Depending on the optimal temperature of reactivity of the autoantibody, AIHA may be warm (usually IgG at 37^oC), cold (usually IgM at 0-4^oC), biphasic (due to a cold reacting IgG- Donath-Landsteiner antibody) or mixed AIHA with features of both warm and cold type. The type of antibody attached determines the rate or site of haemolysis, the propensity to fix complement and the clinical features of the disease.^[7] Serologically detectable warm-reactive autoantibodies do not always result in haemolysis. Majority of patients with AIHA have an associated primary clinical condition and the strength of the DAT reactivity for IgG and C3 correlates with the presence or absence of haemolysis.^[5]

Autoimmune phenomena have been reported in both haematological and solid malignancies.^[8] Autoimmunity and 36 37 malignancy frequently coexist and may share aetiological and pathological mechanisms. In both conditions there is 38 associated impaired cellular regulation. In autoimmune diseases autoantibodies and autoreactive lymphocytes are due to 39 immune dysregulation while in malignancies there is impaired regulation of cellular maturation and proliferation which 40 causes uncontrolled neoplastic growth. Immune dysregulation is believed to play a pathogenic role in the development of 41 both autoimmunity and neoplasia. Normally there is immune surveillance with response against cancer cells. This is 42 because the cancer cells produce tumour associated antigens (TAAs) which express HLA class I molecules and so are recognized by T-cells as "foreign" which leads to death of the cancer cells. Production of "autoantibodies" to these TAAs causes death of cancer cells.^[8, 9] There is an increased incidence of autoantibodies in patients with neoplastic diseases. 43 44 Conversely, an increased incidence of malignancies occurs in autoimmune diseases. AIHA is the most common 45 autoimmune disorder associated with malignancies.^[10] The exact pathogenesis of the association between carcinoma and 46 AIHA is not fully understood. Cancer immune dysregulation may lead to loss of tolerance and subsequent development of 47 AIHA.^[2,11] The AIHA secondary to neoplasia may be due to; release of TAA by the tumour which mimic red cell membrane 48 antigens, production of autoantibodies by the tumour itself (in B-cell lymphomas) or secondary adsorption of immune 49 complexes formed from immune reaction to the tumour on the RBC membrane.^[8, 12] 50

51 Similarities in the pathogenesis of autoimmunity and cancer include; elderly age, genetic predisposition, aberrant 52 apoptosis, the use of immunosuppressive agents in the treatment of one disorder, which may lead to the development of 53 the other and chronic infections (chronic antigenic stimulation of lymphocytes may lead to susceptibility of malignant 54 transformation or autoimmunity).^[8,12,13, 14]

56 Autoimmune haemolytic anaemia has been associated with mostly lymphoid malignancies like chronic lymphocytic leukaemia (CLL) than non-lymphoid or solid malignancies, however it has also been reported in solid tumors including 57 renal cell carcinoma, Kaposi sarcoma, gastric cancers, cancers of the lungs, breasts, ovaries, colon, caecum, cervix, 58 International Journal of TROPICAL DISEASE & Health hypernephroma and melanoma.[15,16,17,18,19] Up to a third of CLL 59 patients have a positive DAT with 5-25% cases of CLL are associated with AIHA, usually by 4.1 years after the diagnosis 60 of CLL was made.^[3, 20] The incidence of AIHA in non-Hodgkin's lymphoma (NHL) is reported to be 13.7%.^{[21]*}AIHA 61 associated with malignancy usually subsides on successful treatment of the tumour^[22] and autopsies of patients with AIHA 62 associated with solid tumours demonstrated autoreactive IgG within the tumour location.^[23] 63 64

Paradoxically, lymphoproliferative neoplasms or other cancers may arise as a consequence of autoimmunity, including AIHA.^[13,24] For example, rheumatoid arthritis predisposes to the development of lymphoma. In Sjogren's Syndrome, there is a 44 times increased risk of developing lymphoma. The presence of AIHA increases the risk of NHL by 2.6 fold.^[25] Out of 175 patients on follow up for AIHA, a malignancy was found in 14% of them.^[8] About 18% of AIHA cases subsequently develop a clinically diagnosed lymphoproliferative neoplasm within an average of two years.^[21,14] In a large series of 1,463 patients with warm AIHA to evaluate the relationship between AIHA and cancer, 31% had a malignancy (CLL occurred most frequently, followed by NHL, solid tumours were seen in 5%.^[26]

The diagnosis of AIHA is based on the presence of anaemia, a positive direct antiglobulin test (DAT) with signs of haemolysis (reticulocytosis, low haptoglobin, increased lactate dehydrogenase, elevated unconjugated bilirubin). Sometimes, not all of these typical features are present.^[23] Although AIHA is uncommon, it should be considered in the differential diagnosis of anaemias associated with cancers, especially if the patient has a concomitant lymphoproliferative disorder.^[1]

The laboratory diagnosis of AIHA is made when there is a positive DAT in the presence of anaemia and features of haemolysis which include reticulocytosis, increased lactate dehydrogenase, hyperbilirubinaemia, reduced haptoglobin and sometimes haemosiderinuria.^[26] The DAT has a sensitivity of about 95%,^[27] with false negative and false positive rates in about 2-4% and 8% of all cases respectively.^[7]

8384 2. METHODS

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The aim of this study is to determine the prevalence of AIHA in cancer patients in Port Harcourt, if AIHA plays a role in the pathogenesis of anaemia seen in patients with cancer diagnoses and to assess which cancers are associated with AIHA. 89 This cross-sectional case-control study was conducted on cancer patients, using healthy age-sex matched subjects as controls. Subjects recruited into this study were patients with any morphologically/ histologically diagnosed cancer who 90 91 gave written informed consent to participate in the study. In the case of participants less than 18 years, consent was 92 obtained from the parent or legal guardian. Cases included those who were chemotherapy naïve and those who had commenced chemotherapy. Cases that had received chemotherapy must have received a minimum of two cycles of 93 94 chemotherapy and samples were taken after a minimum of seven days from when the last chemotherapeutic agent was received. Blood transfusion in the preceding three months was an exclusion criteria. Patients who tested positive for HIV, 95 hepatitis B or C or had other co-morbidities and pregnant women were also excluded. Subjects who fulfilled these criteria 96 were selected in a systematic manner. The control group consisted of healthy age-sex matched subject without cancer 97 98 diagnoses or any chronic disease. Approval for this research was given by the institution's ethics committee.

100 Sample Size determination:

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101 The sample size expression; 102

to estimate a quantity of interest with a specified precision was used to determine the sample size,^[28] where:

105 e= usually set at 0.005 106 π = the estimated prevalence of the particular characteristic in the target population. In this case using the prevalence of 107 AIHA in CLL with a prevalence rate of 7%;^[29]

$$n = \frac{0.07 (1-0.07)}{0.005} = 13$$

Using the above estimate, a minimum sample size of 13 for each of the common haematological and non-haematological
cancers was used to carry out the study. A total of 376 subjects were enrolled in this study (188 cases and 188 controls).
Venous blood was collected by venipuncture from all participants and analyzed for haemoglobin concentration, peripheral
blood film, reticulocyte count, direct antiglobulin test, indirect antiglobulin test and bilirubin assays (total & unconjugated).

All tests were carried out in duplicates.
The haemoglobin (Hb) concentration and packed cell volume (PCV) were done using an auto-analyzer. Hb was regarded as low if 11g/dl or less in males, 10g/dl or less for adult females and 10g/dl or less in children below 18 years. Peripheral blood film was made using Leishman stain to assess for the presence of polychromasia, spherocytes or fragmented cells.
Reticulocyte count was done manually using new methylene blue stain and the number of reticulocytes per 1,000 red cells was counted. The corrected reticulocyte count was calculated using the formula below, taking the expected PCV for females and children as 36% and that for males as 40%. The normal reticulocyte count was taken as 0.5 – 1.5%.

123 124 Corrected Reticulocyte Count =

Reticulocyte count (%) X Patient's PCV (%) Average normal PCV (%)

A direct antiglobulin test was done to determine the presence of autoantibodies on the red cells of subjects, using polyspecific anti-human globulin which detects both IgG and complement fragment C3. An indirect antiglobulin test (IAT) was done on the samples with a positive DAT to determine if the autoantibody is 'pan-reactive'. The IAT detects antibodies that are present in the serum of subjects.

Total and unconjugated bilirubin assays were done by the department of chemical pathology based on the Jendrassik and Groff method. Normal values for total bilirubin and unconjugated bilirubin were taken as 5-17 µmol/L and <8.5µmol/L respectively.

134 Data was analyzed using statistical software package- Microsoft Excel®.

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138 **3. RESULTS AND DISCUSSION**

A total of 376 participants (188 patients with cancer diagnoses and 188 age-sex matched controls), consisting of 69 adult males, 98 adult females and 21 children <18 years. The mean age of the total population was 46.5 ±20 years (range-5months to 89 years). Of the total cases, 149 (79.3%) were chemotherapy naïve, while 39 (20.7%) were on chemotherapy. The demographics of the cases is stated in table 1.

The haemoglobin concentration (Hb Conc.) for the total cases ranged from 3.3 - 15.3g/dl (mean 9.6g/dL ± 2.2). The prevalence of anaemia in the total cancer population was 64.9% (122 cases: 47 adult males, 62 adult females and 13 children). There was no statistically significant difference in the prevalence of anaemia between the chemotherapy naïve cases (65.1%, mean Hb conc. 8.1g/dL, range 6.3 - 9.7g/dL) and the cases on chemotherapy (64.1%, mean Hb conc.

- 148 8.3g/dL, range 3.3 10.7g/dL). In this study it appears chemotherapy did not make subjects to be more anaemic 149 (p=0.53). Only 5 (2.7%) of the control population had anaemia, p= <0.00001. A summary of the variables are listed in 150 tables 2 and 3.
- Although 33 of the total 122 cases (27%) with anaemia had reticulocytosis, only 6 (4.9%) had a true reticulocytosis using the mean corrected reticulocyte count. Of the total cancer population with anaemia, reticulocytopenia was seen in 29 cases (23.8%) using the absolute reticulocyte count. Only one participant from the control group had reticulocytosis with a corrected reticulocyte count of 2.7%.
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Table 1: Distribution of cases based on exposure to chemotherapy

| | Chemotherap | oy Naïve Cases | Cases on Cł | nemotherapy | Total CASE Population | | |
|---------------|------------------------|--------------------|-------------|------------------|-----------------------|----------------|--|
| | Mean Age Number (%) | | Number | Mean Age | Number | Mean Age | |
| | | (Years) | (%) | (Years) | (%) | (Years) | |
| Adult Males | 60 (40.3%) | 55.1 <i>± 19.9</i> | 9 (23.1%) | 46.7 <i>±</i> 20 | 69 (36.7%) | 54 ± 16.4 | |
| Adult Females | 74 (49.7%) | 49.1 <i>± 18.8</i> | 24 (61.5%) | 52.0 ± 14.1 | 98 (52.1%) | 49.8 ± 13.5 | |
| Children | 15 (10%) | 6.4 ± 3.2 | 6 (15.4%) | 5.3 ± 1.9 | 21 (11.2%) | 6.1 <i>±</i> 4 | |
| TOTAL | 149 (79.3%) | 47.2 ± 19.6 | 39 (20.7%) | 43.6 ± 22 | 188 (100%) | 46.5 ± 20.1 | |

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Table 2: Summary of variables based on exposure to chemotherapy among Cases

| | CHEMOTH | HERAPY N | IAÏVE CASE | S | CASES ON CHEMOTHERAPY | | | | |
|----------------------------------|-------------|------------------|-----------------------|------------|-----------------------|------------------|-----------------------|------------------|----------------|
| | Adult Males | Adult Females | Children <18 years | TOTAL | Adult Males | Adult Females | Children <18 years | TOTAL | TOTAL CASES |
| (Number) | 60 | 74 | 15 | 149 | 9 | 24 | 6 | 39 | 188 |
| $ar{\mathcal{X}}$ Age (years) | 55.1±15.7 | 49.1±13.3 | 6.4±4.6 | 47.2±19.6 | 46.7±20 | 52.0±14.1 | 5.3±1.9 | 43.6±22 | 46.5±20.1 |
| <i>X̄</i> Hb conc. (g/dL) | 9.8±2.4 | 9.5±2 | 9.2±2.3 | 9.6±2.3 | 8.6±1.4 | 9.6±2.3 | 9.6±2 | 9.4±2.1 | 9.6±2.2 |
| Freq. of Anaemia | 39 (65%) | 47 (63.5%) | 11(73.3%) | 97 (65.1%) | 8 (88.9%) | 15 (62.5%) | 2 (33.3%) | 25 (64.1% | 122 (64.9%) |
| <i>X</i> ̄ Retic. Count (%) | 1.6±1.4 | 1.5±1.5 | 2±2.6 | 1.6±1.6 | 2.8±2.1 | 1.4±1.1 | 0.78±0.6 | 1.6±1.5 | 1.6±1.6 |
| <i>x</i> ̄ Cor. Retic (%) | 0.96±0.6 | 1±0.8 | 0.99±0.6 | 1±0.7 | 1.6±1.3 | 1±0.7 | 0.69±0.6 | 1.1±0.9 | 1±0.7 |
| Freq. of Polychrom. | 13 (21.7%) | 15 (20.3%) | 5 (33.3%) | 33 (22.1%) | 5 (55.6%) | 7 (29.2%) | 1 (16.7%) | 13 (33.3%) | 46 (24.5%) |
| Freq. of Spherocyte | 6(10%) | 4(5.4%) | 1(6.7%) | 11 (7.4%) | 1(11.1%) | 1(4.2%) | 0(0%) | 2(5.1%) | 13 (6.9%) |

| Freq. of Schistocyte | 7 (11.7%) | 1(1.4%) | 1(6.7%) | 9(6%) | 2 (22.2%) | 1(4.2%) | 1(16.7%) | 4(10.3%) | 13 (6.9%) |
|--------------------------------------|-----------|---------|---------|-----------|-----------|---------|----------|------------------|-----------|
| <i>x̄</i> T. Bil (μmol/L) | 10.6±6 | 12.9±14 | 9±3.1 | 11.6±10.6 | 9.9±5 | 9.9±3.1 | 7.9±2.6 | 9.6±3.6 | 11.2±9.6 |
| <i>x̄</i> Unconj. Bil (μmol/L) | 6.7±3.5 | 7.1±4.2 | 6±1.4 | 6.8±3.7 | 7.4±2.2 | 5.8±1.6 | 5.75±1.9 | 6.2 <i>±</i> 1.9 | 6.7±3.4 |
| Freq. of DAT +ve | 2 (3.3%) | 1(1.4%) | 0 (0%) | 3(2%) | 0(0%) | 0(0%) | 0(0%) | 0(0%) | 3(1.6%) |
| Freq. of AIHA | 1(1.7%) | 1(1.4%) | 0 (0%) | 2(1.3%) | 0(0%) | 0(0%) | 0(0%) | 0(0%) | 2(1.06%) |

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162 x-Mean; Hb conc- Haemoglobin concentration; Freq- Frequency; Retic.- Reticulocyte; Cor. Retic- Corrected Reticulocyte; Polychrom.-

163 Polychromasia; T.Bil- Total bilirubin; Unconj. Bil- Unconjugated bilirubin; DAT- Direct Antiglobulin Test; AIHA- Autoimmune haemolytic

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167 **Table 3: Comparison of variables between cases based on chemotherapy and between the total cases & controls**

| Variable | No Chemo (149) | Chemo (39) | <i>p</i> -value | CASES (188) | CONTROLS (188) | <i>p</i> -value |
|---|-------------------|---------------|-----------------|----------------|-------------------|-----------------|
| $ar{m{\mathcal{X}}}$ Hb (g/dL) | 9.61 | 9.37 | 0.53 | 9.56 | 12.78 | <0.0001* |
| $ar{m{X}}$ Retic (%) | 1.61 | 1.61 | 0.99 | 1.61 | 1.08 | <0.00001* |
| $ar{X}$ Corr. Retic (%) | 1.00 | 1.08 | 1.00 | 1.02 | 1.11 | 0.17 |
| Freq. of Polychromasia (N, %) | 33 (22.1%) | 13 (33.3%) | 0.15 | 46 (24.5%) | 12 (6.5%) | <0.00001* |
| Freq. of Spherocytosis (<i>N, %</i>) | 11 (7.4%) | 2 (5.1%) | 0.62 | 13 (6.9%) | 3 (1.6%) | 0.01* |
| Freq. of Schistocytes <i>(N, %)</i> | 9 (6%) | 4 (10.3%) | 0.36 | 13 (6.9%) | 4 (2.2%) | 0.03* |
| $ar{X}$ TBII (µmol/L) | 11.60 | 9.63 | 0.06 | 11.19 | 9.48 | 0.03* |
| $ar{X}$ UBil (µmol/L) | 6.85 | 6.16 | 0.11 | 6.71 | 6.28 | 0.12 |
| Freq. of DAT+ <i>(N, %)</i> | 3 (2%) | 0 (0%) | 0.37 | 3 (1.6%) | 0 (0%) | 0.08 |
| Freq. of AIHA (N, %) | 2 (1.3%) | 0 (0%) | 0.47 | 2 (1.1%) | 0 (0%) | 0.15 |

168 *statistically significant p-value <0.05

bilirubin; Unconj. Bil- Unconjugated bilirubin; DAT- Direct Antiglobulin Test; AIHA- Autoimmune haemolytic anaemia

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The cancers in this study were both haematological (n=65, 34.6%) and non-haematological cancers (n=122, 64.9%) including a peculiar case of mixed cancer in a female that had both breast cancer and chronic myeloid leukaemia (CML)see table 4. The direct antiglobulin test (DAT) was positive in 3 patients in the total cancer population, 2 (both adult females) of whom had chronic lymphocytic leukaemia (CLL) and the third was a case of malignant teratoma in an adult

¹⁶⁹ *x̄*-Mean; *Hb conc*- Haemoglobin concentration; *Freq*- Frequency; *Retic*.- Reticulocyte; *Cor. Retic*- Corrected Reticulocyte; *T.Bil*- Total

male (table 5). Of the 3 cases with a positive DAT, only 2 (1.1%) had strongly positive DAT (4+, 3+) with anaemia and features of haemolysis, and therefore had AIHA. The case of malignant teratoma had a weak DAT (1+), mild anaemia no features of haemolysis and was taken as a case of positive DAT only. These 3 cases that were DAT positive also had agglutination on the indirect antiglobulin test (IAT).

181 The 2 of the 14 cases of CLL who had AIHA in the total cancer population (14.3%) belonged to the chemotherapy naïve 182 group, giving a prevalence of 16.7% of AIHA in that arm. AIHA was found in 4.2% of the 48 patients with 183 lymphoproliferative neoplasm (LPN). There were 34 mature lymphoid neoplasms (chronic lymphocytic leukaemia, non-184 Hodgkins lymphoma, Hodgkin's lymphoma, multiple myeloma) and AIHA was found in 5.88% of them, Autoimmune 185 haemolytic anaemia was present in 2 (1.3%) of the 149 cases in the chemotherapy naïve group and 2 (1.06%) of the total 186 187 188 cases with cancer. AIHA accounted for 2 of the 97 chemotherapy naïve cases with anaemia (2.1%) and 2 of the 122 188 cases with anaemia in the total cancer population (1.6%). There was no case of AIHA in the cancer group on 189 chemotherapy, but this was not statistically significant (p= 0.47). The control group did not record any positive DAT nor 190 AIHA, although this difference was also not statistically significant. (p=0.08 & 0.15 respectively).

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Table 4: Breakdown of Types of Cancers in participants and DAT Prevalence

| TYPES OF CANCERS | | | | | | | | | |
|-------------------|--------------|-----------|------------|------------|----------|---------------|-----|-------|--|
| Haematolo | ogical Cance | rs | Non-Haem | atological | Cancers | Mixed Cancers | | | |
| (65 Cases) | | | (122 Cases |) | | (1 Case) | | | |
| Туре | No. | DAT + | Туре | No. | DAT + | Туре | No. | DAT + | |
| Myeloid N | leoplasm | | Bladder | 14 | 0 | | | | |
| CML (14) | 17 | 0 (0%) | Breast | 17 | 0 | | | | |
| AML (3) | | | Prostate | 15 | 0 | | | | |
| Lymphoid Neoplasm | | | Cervix | 14 | 0 | Breast | | | |
| ALL (13) | 13 | 0 (0%) | Colon | 14 | 0 | & CML | 1 | 0 | |
| CLL (14) | 14 | 2 (14.3%) | Ovarian | 14 | 0 | | | | |
| NHL (13) | | | | | | | | | |
| HL (3) | 21 | 0 (0%) | OTHERS* | 34 | 1 (2.9%) | | | | |
| MM (5) | | | | | | | | | |

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195 CML- Chronic myeloid leukaemia; AML- Acute Myeloid Leukaemia; ALL- Acute Lymphoid Leukaemia; CLL- Chronic Lymphoid

196 Leukaemia; NHL- Non-Hodgkin's Lymphoma; HL- Hodgkin's Lymphoma; MM- Multiple Myeloma;

197 (OTHERS* included: nephroblastoma, primary liver cell carcinoma, parotid cancer, rhabdomyosarcoma, choriocarcinoma, gastric cancer,

198 Kaposi sarcoma, melanoma, malignant teratoma, Dermatofibrosarcoma, colorectal cancer, oesophageal cancer, vulval cancer, layryngeal

199 cancer, Liposarcoma, lung cancer & Chondrosarcoma.)

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TABLE 5: Characteristics of the three cases with positive DAT

| | | Cancer | Hb | Retic | C.Retic | Pol. | Sph | T-Bil | U-Bil | DAT | IAT | AIHA |
|---|---|-----------------------|-----|-------|---------|------|-----|-------|-------|------|-----|------|
| 1 | L | CLL | 8 | 3.4% | 2.8% | ++ | ++ | 25 | 20 | ++++ | +++ | Yes |
| 2 | 2 | CLL | 8.6 | 5.5% | 3.9% | +++ | + | 34 | 21 | +++ | ++ | Yes |
| 3 | 3 | Malignant Teratoma | 9.7 | 1.9% | 1.4% | - | - | 11 | 5.6 | + | + | No |

*Hb conc.- Haemoglobin concentration (g/dl); Retic-Reticulocyte count; C.Retic-Corrected Reticulocyte count; Pol- Polychromasia;
 Sph- Spherocytosis; T-Bil- Total Bilirubin (μmol/L); U-bil- Unconjugated bilirubin (μmol/L)

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It is estimated that about 50% - 64% of cancer patients will be anaemic.^[30,31,32] The survey in this study shows that of the 188 total cancer cases, 122 (64.9%) had anaemia. Although chemotherapy is a known cause of anaemia, it did not appear to affect the prevalence of anemia in the chemotherapy group, compared to the chemotherapy naïve group (64.1% vs 65.1%, p=0.54). There was a low mean Hb concentration of the total cancer population irrespective of chemotherapy. The control group had a normal mean Hb concentration for age and sex, although 2.7% of the control group had anaemia.

A useful indicator of bone marrow erythropoietic activity is the reticulocyte count and the corrected reticulocyte count 219 220 determines how efficient erythropoiesis is. The proportion of patients with reticulocytosis were more in the group receiving chemotherapy (19.2% compared to 8.7% in those without chemotherapy), whereas the patients without chemotherapy 221 222 had more cases of reticulocytopenia (16.4%) compared to 11.5% in the chemotherapy group. This may be due to bone 223 marrow recovery after chemotherapy, as cases were sampled after a week or more from the time of receiving the dose of their chemotherapy. It may also be due to the myriad of causes of hypoproliferative anaemia in cancer patients.^[30-32] From 224 the total cancer population, about a third of the anaemic population had reticulocytosis (35.4%) with the uncorrected 225 226 reticulocyte count, but this was further reduced to only about a tenth of them having reticulocytosis using the corrected 227 reticulocyte count. Therefore, the anaemia in this survey was mostly hypoproliferative anaemia. 228

In this study, a positive DAT was seen in 3 cases with cancer, and none in the control group. The DAT positive cancer cases were all not on chemotherapy. The 3 DAT positive cases were made up of 2 cases of CLL (both females above the age of 60 years) and 1 malignant teratoma (male, 33 years). Autoimmune phenomena are more common in females and increases with age as was seen in our study.^[33] The DAT positive CLL patients had anaemia with features of haemolysis (AIHA) but the case of malignant teratoma with a PCV of 29% had no features of haemolysis. AIHA occurs most frequently in lymphoid neoplasms and CLL is the most common LPN associated with AIHA.^[3] This is because in B-cell neoplasms, there is production of autoantibodies by the malignant lymphocytes.^[8,12] No positive DAT was seen in the group receiving chemotherapy; this may be due to the effect of chemotherapy on AIHA.^[23] AIHA is the commonest autoimmune phenomena in cancer^[13] and occurs more in haematological malignancies

AIHA is the commonest autoimmune phenomena in cancer^[13] and occurs more in haematological malignancies (especially lymphoid malignancies)^[34] as was seen in this study. There is an association between CLL and AIHA in our study. The diagnosis of AIHA is usually made at about 4.1yrs after diagnosis of CLL.^[3] Our study is a cross-sectional study and therefore we cannot say how long after diagnosis of CLL it took to develop AIHA. Although AIHA may also precede the onset of CLL,^[26] this too cannot be estimated from this cross-sectional study.

243 There was no record of a positive DAT or AIHA in the myeloid neoplasms. Although AIHA has been reported in several 244 solid malignancies, our study did not record any case of AIHA in the non-haematological malignancies. However, we had a case of solid malignancy with a positive DAT but no haemolysis. Although a positive DAT is usually associated haemolysis in AIHA, in a few cases some autoantibodies do not result in haemolysis.^[5] A positive DAT may be found in 7-8% of hospitalized patients, who do not necessarily have AIHA,^[35] which is a possibility in this case. The DAT positive 245 246 247 anaemia in this study who did not have reticulocytosis or features of haemolysis may fall into this category. 248 Reticulocytopenia may also occur in AIHA.^[36] Therefore although this study indicates that 1 of the 3 DAT positive patients 249 did not have features of haemolysis, it is possible that the patient still had AIHA but due to apoptotis of developing 250 erythroblasts he presented with reticulocytopenia and as such, no features of haemolysis (rather than reticulocytosis as 251

expected).^[36] The case of DAT positive anaemia may be at risk of developing AIHA or a lymphoid malignancy in the future.^[5] The cases with AIHA in this study had anisocytosis due to polychromasia and spherocytosis on their blood films.
 The case of positive DAT without haemolysis had a relatively normal blood film.

The autoantibodies in AIHA result in panagglutination thereby causing confusion during the crossmatch process. The three cases with positive DAT in this study had incompatible crossmatch with pooled blood group O red cells, using the indirect antiglobulin test. In this study only a small fraction (1.1%) of the subjects with cancer had AIHA. Therefore although AIHA may cause anaemia; it only plays a minor role in the aetiology of anaemia in cancers.

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261 4. CONCLUSION

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263 Anaemia is common in patients with cancer although the mechanisms by which it occurs are diverse. Autoimmune haemolytic anaemia does play a role in the anaemia of cancer even though it accounts for a very small percentage. AIHA 264 occurred more frequently in patients with chronic lymphocytic leukaemia. In addition, this data shows that it is possible to 265 have a positive direct antiglobulin test in the absence of features of haemolysis (therefore a positive DAT does not always 266 equate to AIHA). The prevalence of 1.6% for positive DAT and 1.1% for AIHA in cancer patients from our study is higher 267 than in the general population (0.01%).[1,5] We have also documented the presence of AIHA in cancer patients, but only 268 in haematological malignancies. We suggest a larger study to confirm these observations. We recommend that although 269 270 AIHA is uncommon, patients that have anaemia in cancer especially with features of haemolysis should also have a DAT 271 done as part of their investigations.

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273 LIST OF ABBREVIATIONS

| AIHA | Autoimmune haemolytic anaemia |
|----------|-------------------------------|
| CLL | Chronic lymphocytic leukaemia |
| CML | Chronic myeloid leukaemia |
| DAT | Direct antiglobulin test |
| Hb | Haemoglobin |
| Hb conc. | Haemoglobin concentration |
| IAT | Indirect antiglobulin test |
| LPN | Lymphoproliferative neoplasm |
| NHL | Non-Hodgkin's lymphoma |
| PCV | Packed cell volume |
| RBC | Red blood cell |
| ТАА | Tumour associated antigens |
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276 277 COMPETING INTERESTS

278 The Authors declare that we have no competing interests.

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280 CONSENT & ETHICAL APPROVAL

Informed consent was obtained from all participants in the study. Ethical approval was obtained from the hospital Ethics
 Board where the study was conducted.

- 284
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