

INDUCTION OF SENESCENCE AND FOLIAR ABSCISSION IN APPLE TREES WITH THE USE OF ABSCISIC ACID

ABSTRACT

Aims: Evaluate the effects of different concentrations of abscisic acid (ABA) on adult plants of productive age of 'Daiane' and 'Fuji Suprema', to follow the ecophysiological effects and their efficiency in inducing leaf senescence, sprouting and productivity, in the Midwestern region of the state of Santa Catarina. **Study design:** The experiment was arranged in a randomized block design with six replications. **Place and Duration of Study:** The experiment was carried out in the municipality of Caçador, Brazil (latitude 26°50'07" S, longitude 50°58'32" W, altitude 969 meters), during the growing seasons of 2013/2014 and 2014/2015. **Methodology:** The apple fruit tree were submitted to the following treatments: 1) Control (without treatment), 2) abscisic acid 750 mg L⁻¹, 3) abscisic acid 750 + 750 mg L⁻¹, 4) abscisic acid 1500 mg L⁻¹ and 5) abscisic acid 1500 + 1500 mg L⁻¹. ABA applications in the first year were carried out on May 2, 2013 in treatments 2, 3, 4, and 5, and after 11 days (05/13/13) the plants of treatments 3 and 5 were sprayed again. In the second year, ABA applications were carried out on May 6 and 15, 2014, following the same application methodology described for the first year. As the source of abscisic acid (ABA) the commercial product ProTone® (20% i.a.) was used, without the use of adjuvant. The exogenous application of ABA was effective in promoting the senescence and leaf fall of the 'Daiane' and 'Fuji Suprema' cultivars. In the 2013/2014 season, the concentration of 750 mg L⁻¹ was enough to induce 100% leaf fall in 'Daiane' plants. However, a difference was observed between the concentrations of ABA used. There was a difference between concentrations and number of

applications. Lower concentrations were efficient and deflated as much as the higher concentration. The application of ABA induces the abscission of apple leaves in early autumn in 'Daiane' and 'Fuji Suprema' apple plants. The ABA does not affect shoots of axillary buds and anticipates the beginning of shoots.

Keywords: Malus domestica Borkh, leaf abscission, dormancy.

1. INTRODUCTION

The apple is the second most produced fruit in the world, and in the year 2017, were produced 83.1 million tons, being surpassed only by the banana. China holds the first position in the world ranking, with about 41.4 million tons, equivalent to 49.8% of the total production. In Brazil, the production was around 1.3 million tons [1], mainly produced in the southern region of the country (regions with the highest winter cold). The main cultivars produced belong to the Gala and Fuji groups [2].

With the reduction of temperatures from autumn, leaves of plants originating in temperate regions such as apple trees senescence due to the activation of several enzymes from the hydrolases group, resulting in degradation of proteins, carbohydrates and nucleic acids, which are translocated from the leaves to branches, trunks and roots through the phloem. On the other hand, during the rest period, the low temperatures are responsible for the induction of the degradation of the starch in soluble sugars. This increase in the levels of soluble sugars, proteins and amino acids, is cited in the literature as an important mechanism of resistance to cold [3].

Leaf senescence is influenced by several internal and external factors or controlled by a number of biological and non-biological factors, such as changes in source-sink interaction and hormonal balance, water, light and nutrient supply, or induction by pathogens and pests [4,5]. During the process of leaf senescence of

36 deciduous plants, nitrogen in the leaves is generally translocated to the storage tissues,
37 and after remobilization to a new growth in the following spring [5,6].

38 In climatic conditions suitable for growth and development in the Southern
39 hemisphere, senescence and leaf fall occur naturally in early autumn, when there is a
40 reduction of photoperiod and ambient temperature [7]. In the colder regions of Southern
41 Brazil, leaf senescence generally occurs at the beginning of May, when there is a
42 reduction in temperature, and it is ideal that all leaves fall to the end of this month as a
43 way to optimize the control of foliar diseases and induce plant dormancy [8].

44
45 However, in mild autumn or winter regions or in years with atypical winters, the
46 processes of maturation and fall of the leaves of the apple trees are delayed, and may
47 often not occur, and it is often necessary to adopt some technique that promotes leaf fall
48 to promote sprouting and flowering in the next cycle. Manual or early defoliation by an
49 artificial defoliant are alternatives that can be used to mitigate the effects caused by the
50 irregularity of the climate. Manual defoliation is commonly performed in nurseries, which
51 is necessary for better handling of seedlings, however, this technique is quite expensive
52 [9]. The chemical defoliation can also be used; however, it has been limited only to the
53 use of 10% urea or 1% copper sulphate, two to three months before the onset of
54 sprouting [7]. Recently commercial product ProTone[®] has been developed, which
55 contains in its formulation 10% or 20% synthetic abscisic acid (ABA). This product, when
56 is applied at the end of the cycle, causes the uniform fall of leaves, a process that would
57 naturally occur when induced by the incidence of low temperatures in plants [10].

58
59 The exogenous application of ABA is a common practice among fruit growers
60 with the aim of improving grape coloration [11], increasing water stress tolerance in
61 vegetables [12], promoting somatic embryogenesis in tissue culture in several species
62 [13] and to stimulate leaf and fruit abscission [14]. Because of its defoliant action, the
63 exogenous use of ABA may be an effective alternative to promote the senescence of
64 apple leaves in cases where leaf abscission is uneven and prolonged [15].

65

66 The objective of this work was to study the effect of the application of different
67 concentrations of ABA on the induction of senescence and defoliation in adult plants of
68 'Daiane' and 'Fuji Suprema' apple trees.

69

70 **2. MATERIAL AND METHODS**

71

72 The study was conducted in an experimental orchard in the Midwestern region of
73 Santa Catarina, in the municipality of Caçador at an altitude of 969 meters above sea
74 level (26°50'07 "S, 50°58'32" W), in seasons of 2013/2014 and 2014/2015. As plant
75 material, 12-year old 'Daiane' apple trees were harvested on the M7, with planting
76 density of 1,250 plants.ha⁻¹ and 'Fuji Suprema', 8 years old, on Marubakaido with M9
77 intergraft, with planting density of 2,500 plants.ha⁻¹, both conducted in the central leader
78 system and managed according to the recommendations of the apple production system
79 [7], and management practices in the integrated system of apple production [16]. The
80 accumulation of chill during the winter period (April to September), according to the
81 Modified North Carolina model, was 940 and 884 chill units, for the cycles 2013/2014
82 and 2014 / 2015, respectively [17].

83

84 The 'Fuji Suprema' cultivar is a natural mutation of 'Fuji', whose main
85 characteristic is the purple coloration of the fruit epidermis, observed early in its
86 development, which later develops into a uniform red coloration of the epidermis. Ja
87 'Daiane' is a Brazilian cultivar, with resistance to leaf spot of glomerella and good
88 characteristics of the fruits. Both cultivars present a long cycle, characterized by the
89 maintenance of the leaves until late autumn, a factor that negatively affects sprouting
90 and bud quality in the following spring. the 'Fuji Suprema' cultivar and 'Fuji' clones
91 correspond to approximately 30% of the Brazilian apples production, whereas 'Daiane'
92 has low expressiveness [2].

93

94 The experimental design was a randomized block design, consisting of five
95 treatments with six replicates, the experimental unit consisting of one plant, totaling 30
96 plants per cultivar. The apple plants were submitted to the following treatments: 1)
97 Control (untreated plants), 2) ABA 750 mg L⁻¹, 3) ABA 750 + 750 mg L⁻¹, 4) ABA 1500
98 mg L⁻¹ and 5) ABA 1500 + 1500 mg L⁻¹. ABA applications in season 2013/2014 were
99 carried out on May 2, 2013 in treatments 2, 3, 4, and 5, and after 11 days (05/13/13) the
100 plants of treatments 3 and 5 were sprayed again. In the season 2014/2015, ABA
101 applications were carried out on May 6 and 15, 2014, following the same application
102 methodology described for the 2013/2014 season. It is worth noting, that were
103 considered independent experiments for each cultivar studied apple tree. As the source
104 of ABA the commercial product ProTone® (20% i.a.) was used, without the use of
105 adjuvant.

106
107 The influence of ABA was evaluated on the percentage of leaf fall, axillary bud
108 break buds percentage, phenological stages (dates), yield per plant (fruits plant⁻¹ and kg
109 plant⁻¹) and productive efficiency (fruits cm⁻² and kg cm⁻²). The percentage of leaf fall was
110 calculated by the number of leaves remaining in each branch, for each evaluation date,
111 in relation to the initial number of leaves: defoliation (%) = 100 - (NFD / NFI * 100), where
112 NFD = number of leaves at the evaluation date and NFI = number of leaves initial.
113 Before plant sprouting, five and ten branches were identified in the ABA treated plants in
114 the 2013/2014 and 2014/2015 harvests, respectively, for the counting of the total number
115 of buds and the number of buds sprouted and flowered, to obtain the sprouting and
116 flowering percentage of the buds. For the evaluation of the phenological stages, 20
117 fruiting structures per plant were previously identified, stratified in long branches and
118 spurs, totaling 120 structures per treatment. The evaluations started when the buds were
119 in the green tip (C3) stage, and the phenological stages were evaluated twice a week
120 until full bloom (F2), as described initially by Fleckinger [18] and modified by
121 Francescatto [19]. The production (kg plant⁻¹ and fruits plant⁻¹) was evaluated by
122 counting and weighing the fruits of each plant; and the mean fresh fruit mass (g) was

123 obtained from the relation between the total mass and the total number of fruits
124 harvested per plant. The productive efficiency (kg cm^{-2} and fruits cm^{-2}) was obtained by
125 the relation between production (kg plant^{-1} and fruits plant^{-1}) and cross-sectional area of
126 the trunk.

127

128 The data obtained with the percentage of leaf drop were submitted to analysis of
129 variance and for the significant variables the analysis of contrasts was performed. The
130 percentages of sprouts were submitted to analysis of variance and, if significant
131 statistical difference was detected, the means were compared by the Scott-Knott test at
132 5% of probability, and the data were transformed into $\text{arc sen } (x / 100)^{1/2}$. For the
133 phenological evaluations that presented significant statistical difference, the averages
134 were compared through the Scott-Knott test at 5% probability. The contrasts analyzes
135 were performed with the help of Sisvar[®] [20] software version 5.6 and the others using
136 the software Assistat[®] [21] version 7.7 beta.

137

138 3.1 RESULTS AND DISCUSSION

139 The exogenous application of ABA was effective in promoting the senescence
140 and leaf fall of the 'Daiane' and 'Fuji Suprema' cultivars. In the season 2013/2014, the
141 concentration of 750 mg L^{-1} has been enough to induce 100% leaf drop in plants of
142 'Daiane'. However, a difference was observed between the concentrations of ABA used.
143 Lower concentrations were effective when compared to larger or control concentrations
144 (Figure 1).

145

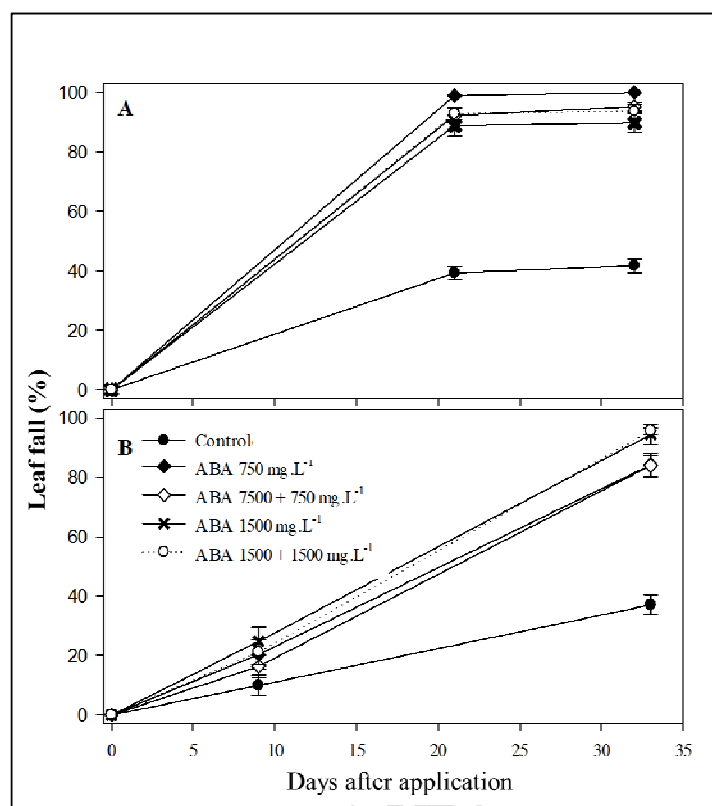


Figure 1. Percentage of leaf fall in 'Daiane' apple plants submitted to different treatments with ABA. A) Autumn 2013; B) Autumn 2014. Caçador-SC, Brazil, 2019.

For 'Fuji Suprema', the highest percentage of leaf fall was observed in the highest concentrations for season 2013/2014. There was a difference between concentrations and number of applications. Lower concentrations were efficient and defoliated as much as the higher concentration (Figure 2).

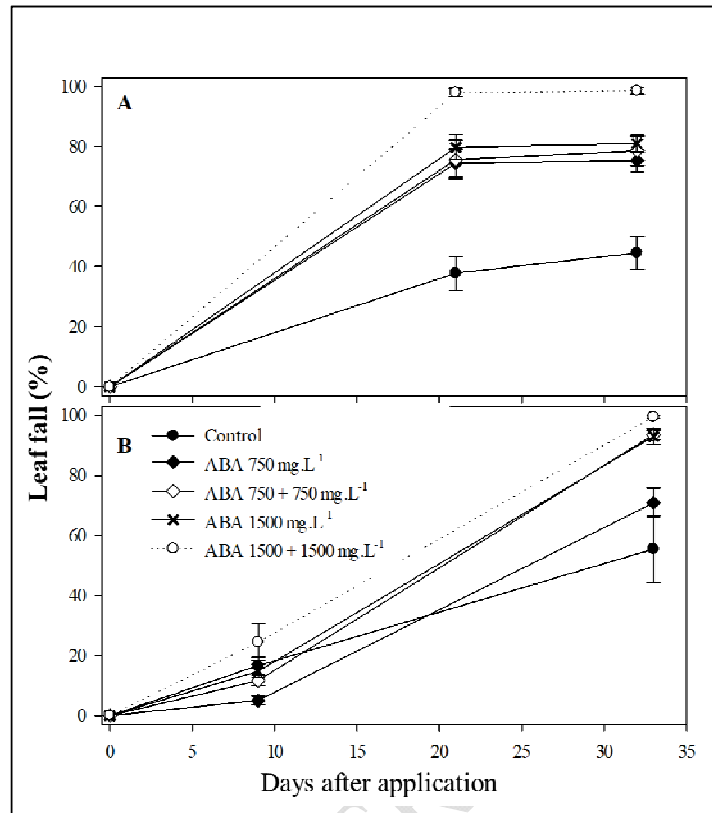


Figure 2. Percentage of leaf fall in 'Fuji Suprema' apple plants submitted to different treatments with ABA. A) Autumn 2013; B) Autumn 2014. Caçador-SC, Brazil, 2019.

In the first season, the highest percentages of defoliation were reached at 21 days after treatments (DAT). In the second season, unlike the first, the leaf fall period was prolonged, reaching the highest percentages at 33 DAT. All ABA treatments promoted senescence and leaf fall, while control plants remained leafy until late fall. Only with the first frosts occurred in June did the leaves fall (Figure 1 and 2).

According to Zhao et al [22], ABA plays a crucial role in plant survival under water stress conditions, promoting stomata closure, growth inhibition, bud dormancy and foliar senescence. In the case of water stress situations, the accumulation of abscisic acid occurs, reducing stomatal conductance, due to its stomata being closed for longer

170 [23]. Therefore, a possible accumulation of ABA due to water stress may have been the
 171 reason for the most pronounced defoliation in all treatments with ABA in the first season,
 172 agreeing with the results found by Gomez-Cadenas et al [24] and Meyer et al [25].

173

174 In Chile, in the Maule region, Yuri [26] tested the use of ABA and etefon as
 175 defoliants in apple trees. In ABA treatments, leaf fall occurs more readily. The treated
 176 plants require less hours of cold for flowering, whereas the control plants accumulate
 177 less hours of cold (HF) and require a higher sum of HF to start budding. Also from the
 178 Maule region, Yuri [27] found that plants treated with ABA and etefon reached 50% of
 179 the leaf fall about 10 and 18 days after the applications, respectively.

180

181 The shoots of axillary buds in cv. Daiane in the 2013/2014 crop were superior in
 182 the control treatments, ABA 750 mg L⁻¹ and ABA 1500 mg L⁻¹, but in the 2014/2015
 183 season, treatment no differed between them. Only in the evaluation of the axillary flower
 184 buds, the control was superior to the other ABA treatments (Table 1).

185

186 **Table 1. Evaluations of axillary buds of long branches 'Daiane' apple trees**
 187 **submitted to different concentrations of abscisic acid (ABA) in the 2013/2014 and**
 188 **2014/2015 seasons, Caçador-SC, Brazil, 2019.**

Treatments	Evaluations of axillary buds (%)		
	2013/2014 ¹	2014/2015 ²	
	GAB ³	GAB	GAF ⁴
T1. Control	43,4 a	41,2 ^{ns}	21,9 a
T2. ABA 750 mg L ⁻¹	44,9 a	38,6	9,7 b
T3. ABA 750 + 750 mg L ⁻¹	35,5 b	44,8	12,1 b
T4. ABA 1500 mg L ⁻¹	55,2 a	46,1	3,8 c
T5. ABA 1500 + 1500 mg L ⁻¹	36,4 b	39,3	9,9 b
CV (%)	45,1	47,4	139,5

189 Means followed by the same letter in the column do not differ from one another by the
 190 Scott-Knott test ($P=0.05$). * ns: not significant ($P>0.05$). ¹In the 2013/2014 harvest, five
 191 branches were marked per plant, totaling 30 branches per treatment. ²In the 2014/2015
 192 harvest ten branches were marked per plant, totaling 60 branches per treatment. ³GAB:
 193 Sprouting Axillary Gems. ⁴GAF: Axillary Gems with Flower.

194

For 'Fuji Suprema', no difference was observed in the percentage of shoots of axillary buds between treatments with ABA in the first season of evaluation. In the second year, the control treatments, ABA 750 mg L⁻¹ and ABA 1500 + 1500 mg L⁻¹ presented the highest percentage of shoots (Table 2). The treatments with ABA did not affect the shoots according to the results of Guak et al [28], who using urea and ABA as defoliants in 'Gala' / M26 apple plants, found that urea increased the level of N in the leaves and the reserve in all tissues. On the other hand, the treatments with ABA increased the senescence of the leaves and the mobilization of N, not affecting the shoots. As Kawamata et al [29], ABA induces dormancy and maintains its intensity, however, it has no effect on budding

Table 2. Evaluations of axillary buds of long branches 'Fuji Suprema' apple trees submitted to different concentrations of abscisic acid (ABA) in the 2013/2014 and 2014/2015 seasons, Caçador-SC, Brazil, 2019.

Treatments	Budding axillary buds (%)	
	2013/2014 ¹	2014/2015 ²
T1. Control	81,4 ^{ns}	66,7 a
T2. ABA 750 mg L ⁻¹	81,0	68,2 a
T3. ABA 750 + 750 mg L ⁻¹	77,0	57,6 b
T4. ABA 1500 mg L ⁻¹	73,7	53,3 b
T5. ABA 1500 + 1500 mg L ⁻¹	74,4	64,4 a
CV (%)	23,28	28,2

Means followed by the same letter in the column do not differ from one another by the Scott-Knott test ($P=0.05$). * ns: not significant ($P>0.05$). ¹In the 2013/2014 harvest, five branches were marked per plant, totaling 30 branches per treatment. ²In the 2014/2015 harvest ten branches were marked per plant, totaling 60 branches per treatment.

In the 'Daiane' during the evaluation of the phenological stages in terminal buds it was observed that the onset of budding (C3) was anticipated two days in all treatments with ABA in relation to the control, and full bloom (F2) in one day.

In the evaluation of the phenological stages in spurs, the onset of budding was anticipated in approximately five days in the application of ABA 1500 mg L⁻¹ in relation to the control. The ABA treatment 1500 mg L⁻¹ presented a significant difference in relation

221 to the other treatments, being the one that most anticipated the beginning of the
222 budding.

223

224 Full bloom was anticipated around three days in the treatment ABA 1500 mg L⁻¹
225 in relation to the control. The same treatment presented a significant difference in
226 relation to the others, being the one that anticipated the full bloom (Table 3).

227

228 **Table 3.** Number of days from the application of Absciscic Acid (ABA) until the
229 beginning of Budding (C3) and Full Flowering (F2) in terminal buds of long
230 branches and spurs in cv. Daiane. Caçador-SC, Brazil, 2019.

Treatments	Terminal buds		Spur	
	C3	F2	C3	F2
	----- NDAT ^{a,b} -----			
T1. Control	144,4 a	159,8 a	146,2 a	159,1 a
T2. ABA 750 mg L ⁻¹	143,5 b	158,9 b	145,2 a	158,5 a
T3. ABA 750 + 750 mg L ⁻¹	143,1 b	158,4 b	143,8 b	157,1 b
T4. ABA 1500 mg L ⁻¹	143,1 b	159,1 b	140,8 c	155,9 c
T5. ABA 1500 + 1500 mg L ⁻¹	142,5 b	158,5 b	145,1 a	158,6 a
CV (%)	2,07	0,90	2,43	1,48

231 Means followed by the same letter in the column do not differ from one another by the
232 Scott-Knott test ($P=0.05$). ^aNDAT: Number of days after treatment with ABA. ^bApplication
233 of the ABA was carried out on 05/06/2014.

234

235 In 'Fuji Suprema' the evaluation of the phenological stages, the onset of budding
236 (C3) in terminal buds was delayed by approximately two days in treatment ABA 1500 mg
237 L⁻¹ in relation to the others. However, the total flowering did not present a significant
238 difference between treatments. In spurs the onset of sprouting was anticipated in
239 approximately five days in the treatments ABA 750 mg L⁻¹ and ABA 750 + 750 mg L⁻¹ in
240 relation to the other treatments with ABA. In full bloom there was no difference between
241 treatments (Table 4).

242

Table 4. Number of days from the application of Absciscic Acid (ABA) until the beginning of Budding (C3) and Full Flowering (F2) in terminal buds of long branches and spurs in cv. Fuji Suprema. Caçador-SC, Brazil, 2019.

Treatments	Terminal buds		Spur	
	C3	F2	C3	F2
	-----NDAT ^{a,b} -----			
T1. Control	141,6 b	153,6 ^{ns}	145,3 a	153,6 ^{ns}
T2. ABA 750 mg.L ⁻¹	140,2 b	152,0	143,3 b	156,6
T3. ABA 750 + 750 mg.L ⁻¹	141,1 b	153,6	140,3 b	156,3
T4. ABA 1500 mg.L ⁻¹	142,7 a	156,3	147,4 a	158,6
T5. ABA 1500 + 1500 mg.L ⁻¹	140,8 b	155,6	148,6 a	0 ^c
CV (%)	2,73	1,33	4,70	1,87

Means followed by the same letter in the column do not differ from one another by the Scott-Knott test ($P=0.05$). *ns: not significant ($P>0.05$). ^aNDAT: Number of days after treatment with ABA. ^bApplication of the ABA was carried out on 05/06/2014. ^cThe T5 was not considered in the statistical analysis, because it did not have any flower spur sprouts.

The results indicate that the ABA anticipated the beginning of the shoots and the full flowering. It is suggested that ABA had an effect on bud dormancy, promoting the uniformity of sprouting of apple trees. This fact is of great relevance, since the early sprouting and flowering are subject to frost damage, heavy precipitation and pest attack, can cause direct and indirect losses to the producer, both in the pollination of flowers and in the formation and development of fruits. However, the anticipation of flowering does not correspond to the anticipation of fruit maturation in the same proportion [30].

In the 2013/2014 season, the production (kg plant⁻¹ and fruits plant⁻¹) for 'Daiane' was higher in T3 and T5. Plants treated with ABA in concentrations with two applications had higher production although that, in relation to the number of fruits per plant, only the lowest concentration was equal to control (data not shown). In the 2014/2015 season, the plants with the best yield were in the control and ABA 1500 + 1500 mg L⁻¹ treatments. There was no difference in fruits per plant between treatments, however, T1, T2, T3 and T5 presented higher fruit mass (data not shown).

267 For 'Fuji Suprema', in the 2013/2014 season, there was no difference between
268 the treatments of defoliation and control. However, in the 2014/2015 season, production
269 was lower than in the previous year in all treatments, but the average fresh fruit mass (g
270 fruit⁻¹) was higher in treatments T3 and T4, reaching 117g and 116g respectively (data
271 not shown).

272

273 The results of production in both cultivars and seasons, do not offer subsidies to
274 affirm that the application of ABA promotes improvement in crop yield, since the plants
275 used in the experiment had never received ABA treatment. It is possible that productivity
276 increases will be more effective after a few years of application of the product, and its
277 effect may be cumulative due to the ABA to promote a better regularization of the
278 vegetative cycle and mobilization of some nutrients from the leaves that senescence to
279 the branches, thus enabling , an increase in the accumulation of nutrients in the wood
280 year after year and that can lead to increased production.

281

282 For 'Daiane' there was no significant difference in the productive efficiency in the
283 two seasons evaluated. For 'Fuji Suprema' there was a difference between treatments
284 T1, T3, T4 and T5 in season 2013/2014. However in the second season there was no
285 difference between treatments (data not shown).

286 The productive efficiency, as well as the production per plant, did not present
287 significant differences indicating that the ABA application may have promoted
288 improvement in this characteristic. Even in the 'Fuji Suprema' in the 2013/2014 season,
289 where the difference between treatments was observed, the applications of higher doses
290 of ABA did not differ from the control. Due to this, it is hypothesized that the increase in
291 productive efficiency should also have a cumulative effect with successive applications
292 of ABA over the years.

293

294 The application of ABA presented a relevant influence on the phenological
295 behavior of the treated plants, directly interfering in the budding and development

296 process. However, it can be perceived, it can be perceived, that the sprouting of plants
297 has varying behavior between the years. Often, the efficiency of budding inducers varies
298 from year to year, as reported by Fenili et al [31], who worked with different apple
299 products and cultivars in southern Brazil. The variable plant behavior between the years
300 of study may be associated with weather factors, such as the variable cold accumulation,
301 which often interferes with the intensity of dormancy stage the apple plant reaches often
302 does not even reach endodormancy [32]. The water availability and water accumulation
303 in the tissues can also influence the dormancy overcoming ability, as well as the bud
304 sprouting velocity in the different parts of the plant [33]. In addition to the environmental
305 factors to which the plants are exposed, the application of growth regulators, such as
306 ANA (Nafetalene Acetic Acid) and BA (Benzyladenine), to promote the adjustment of the
307 fruit load, or even the production obtained in the previous production season, may have
308 a direct influence on the quality of the buds, accumulation of reserves and return
309 flowering in the next growing season [34].

310

311 **4.1 CONCLUSION**

312

313 The application of ABA induces the abscission of apple leaves in early autumn in
314 'Daiane' and 'Fuji Suprema' apple plants.

315

316 The ABA does not affect shoots of axillary buds and anticipates the beginning of
317 shoots.

318 The application of ABA is efficient in the promotion of foliar abscission of 'Daiane'
319 and 'Fuji Suprema' apple trees when applied at concentrations of 750 mg L⁻¹

320

321 **COMPETING INTERESTS**

322

323 Authors have declared that no competing interests exist.

324

325 **COMPETING INTERESTS DISCLAIMER:**

Authors have declared that no competing interests exist. The products used for this research are commonly and predominantly use products in our area of research and country. There is absolutely no conflict of interest between the authors and producers of the products because we do not intend to use these products as an avenue for any litigation but for the advancement of knowledge. Also, the research was not funded by the producing company rather it was funded by personal efforts of the authors.

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