**Original Research Article** 

Effect of different annealing temperatures on structural, optical, morphological and electrical properties of Cu - ZnO thin films prepared using sol—gel spin Coating techniques.

#### ABSTRACT

8 In this study, Cu - doped ZnO thin films were prepared at different annealing 9 temperatures from Copper acetate precursor by sol-gel spin coating method 10 for Photocatalytic Applications. The films were characterized by X-ray 11 diffractometer (XRD), scanning electron microscopy (SEM), and Fourier 12 transform infrared spectroscopy (FTIR). The obtained powders were annealed under air in the range of  $T_c = 250^{\circ} - 550^{\circ} C$ , for Cu – ZnO. The surface 13 morphological, structural, electrical and optical properties of the as-deposited 14 15 ZnO films have been investigated as a function of Cu-doping level. The 16 thickness of the films was estimated by Fizeau fringes interference method which 17 varied from 195 to 198 nm. The X-ray diffraction analysis indicated that the 18 wurtzite structure was maintained for all samples and copper was successfully 19 doped into ZnO at low T<sub>c</sub>. However, the formation of monoclinic CuO was 20 observed at higher T<sub>c</sub>. For Cu - ZnO, the crystallite size increased with the 21 annealing temperature from 15.86 to 24.24 nm. The isotherms obtained were 22 type IV with a hysteresis type H 3, confirming the mesoporous behavior of the catalysts. The surface area was in the range of 35.1 to 8.66  $m^2/g$ . All the 23 24 prepared catalysts mainly showed two emission regions: a sharp peak in the 25 ultraviolet region and another broad peak in the visible region. The 26 photocatalytic activity was achieved by the degradation of 300 mg/L malachite 27 green (MG) aqueous solution under UV irradiation. The findings showed that 28 the increased annealing of different concentration of Cu doped ZnO with CuO 29 on the surface resulted in highly improved photocatalytic activity. Various optical 30 constants such as absorbance, transmittance of the films have been studied. The 31 values of transmittance are high in the visible and IR region and it is minimum in 32 the UV region. Absorbance decreases with higher percentage of Cu 33 concentration. The band gap of the films varied 3.21 to 3.05 eV. The resistivity 34 gradually decreases with the increase of temperature, which indicates the 35 semiconducting nature of the materials. Resistivity also increases with the 36 increasing doping concentration. The conductivity decreases with the increasing 37 of Cu concentration. Keyword: Zinc Oxide; Sol - gel; Malachite Green; Annealing Temperature;

Copper; Photocatalytic Activity; Resistivity; Conductivity.

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# 47 **1. Introduction**

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49 Nanotechnology can be better demonstrated by the technology of design and applications of 50 nanomaterials. Nanoscale oxides of transition metals are of most significance for several 51 applications in optoelectronics [1]. The most emerging technology in today's world is 52 Transparent Electronics through which wide bandgap semiconductors are applied in 53 applications of optoelectronic devices [2] and potent exciting binding energy of 60 meV [3]. 54 Also, Nanoscale Oxides has other important application such as solar cell, gas sensor, Li-ion 55 batteries, supercapacitors [4]. The important advantages of ZnO include its ability to absorb 56 large quantity of visible light than TiO<sub>2</sub>, environmental sustainability, its low cost and high 57 catalytic efficiency. For this reason, ZnO is suitable and more effective in the photocatalytic 58 degradation of some dyes. ZnO crystallizes in a hexagonal wurtzite structure with the lattice 59 parameters of (c = 5.205 Å, a = 3.249 Å) [5]. Doping ZnO with a transition metal such as Cu 60 [6] has been verified as an effective method to adjust its functionality including electrical and 61 optical properties Cu-doped ZnO has shown significantly improved properties such as 62 electrical, magnetic, photocatalytic performance and gas sensing properties. In practice, 63 ZnO-based thin films can be grown by diverse growth techniques including radio frequency 64 magnetron sputtering (RFMS), pulsed laser deposition (PLD), laser molecular beam epitaxy 65 (P-MBE) [6], spray pyrolysis [7], metal organic chemical vapour deposition (MOCVD) [8], 66 and sol-gel spin coating method [9]. Among these methods, spin coating can provide the 67 ease of chemical composition of doping, which is an advantage over the others. Annealing and doping are important approaches used to improve ZnO as a photocatalyst and are more 68 69 effective and play important roles in controlling the intrinsic defects of ZnO. Moreover, 70 annealing increases the crystallinity of ZnO, decreases the defect on its surface, and reduces 71 its band gap energy. Radiation handling of polymers is a non-power application and at most 72 consists of cross linking, curing, grafting, and degradation. Ion beam irradiation is a well 73 decided tool for the modification of polymer surfaces, useful for controlled changes of a 74 variety of characteristics, like chemical reactivity, hardness, wear [10 -13]. In the present 75 work, Nanocrystalline thin films are prepared by simple, low-cost sol-gel spin coating 76 technique, and the effect of different annealing temperatures on structural, optical, 77 morphological and electrical properties of the films are investigated for Photocatalytic 78 Applications. The attraction can simply be attributed to the large exciton binding energy of 79 60 meV of ZnO potentially paving the way for efficient room-temperature exciton-based 80 emitters, and sharp transitions facilitating very low threshold semiconductor lasers [14-18]. 81 ZnO is a low-cost, ecofriendly, and versatile material to be used to generate emission colors 82 all over the rainbow spectrum. The reason for the color tuning is the band edge emission as 83 well as the different defect related emissions. The defect related emission in ZnO is 84 dependent on the synthesis method, annealing temperature, and several other parameters [19]. 85 The nanostructure tailoring of ZnO is dependent on growth conditions and doping 86 concentrations. ZnO nanostructures have attracted a great attention as optical components in 87 nanometer sized electronic devices due to the enhanced quantum confinement effect and high 88 surface to volume ratio [20-22]. Zinc oxide (ZnO) is a technologically useful material due to 89 its wide band gap of 3.37 eV. Furthermore, ZnO has other advantages such as high chemical 90 and physical stability, thermal stability in hydrogen plasma atmosphere, large exciton binding 91 energy of 60 meV [23-25].

## 92 2. Experimental section

# 93 2.1Synthesis of ZnO and Cu- doped ZnO nanoparticles

24 Zinc acetate dihydrate [Zn (CH<sub>3</sub> COO)<sub>2</sub>  $.2H_2O$ ], absolute methanol, tartaric acid (CHOH-25 COOH)<sub>2</sub>, Copper nitrate trihydrate [Cu(NO<sub>3</sub>)<sub>2</sub>  $.3H_2O$ ] and malachite green (MG) were 26 purchased from Sigma-Aldrich. All chemicals were utilized without further purification.

97 Double distilled water was used in all solution preparations. Cu – doped ZnO thin films were 98 prepared by sol-gel method using zinc acetate dehydrate (CH<sub>3</sub> COO)<sub>2</sub> Zn·2H<sub>2</sub>O and Copper 99 acetate dihydrate  $Cu_3[(CH_3 COO)_2 H_2O]$  as starting precursors. The 2-methoxy ethanol 100  $(C_3H_8O_2)$  and diethanal amine (DEA) were selected as the solvent (0.5 M, 100 mL) and sol 101 stabilizer, respectively. The prepared mixture was vigorously stirred at 100 °C for 5 h by 102 magnetic stirrer and cooled to room temperature for 24 h. The precursors prepared at 103 different copper concentration of 2-20 wt.% were spin-coated on borosilicate substrates at 104 room temperature with speed of 3000 rpm for 30 s. After repeating the coating procedure 6-105 times, all coated films were annealed at various temperatures ranging from  $550^{\circ}$  C for 3 h in 106 ambient air.

# 107 2.2 Materials characterization

The morphology of the nanopowder samples was examined using a scanning electron 108 109 microscope. The samples were previously oven dried and coated with a thin film of gold to 110 provide ZnO powder surface with electrical conduction. The composition and average size of 111 nanoparticles were determined by the powder X-ray diffraction patterns, the samples were 112 recorded by a diffractometer (Bruker D8 – Advance), measurements were performed to 113 identify the structural properties and crystalline behavior of the films using Cu-K $\alpha$  radiation 114  $(\lambda = 0.15406 \text{ nm})$ . The accelerating voltage and scanning angle were 40 kV and  $20^{\circ} - 75^{\circ}c$ , respectively. The mode of chemical bonding in the prepared samples was studied by Fourier transform infrared spectroscopy (Model: Nicolet 6700) in the range 4000–400 cm<sup>-1</sup> with a 115 116 resolution of 4 cm<sup>-1</sup>. The surface area and pore sizes of nanoparticles were determined by the 117 118 Brunauer–Emmett–Teller (BET) method (aims to explain the physical adsorption of gas 119 molecules on a solid surface and serves as the basis for an important analysis technique for 120 the measurement of the specific surface area of materials) using a Micrometrics ASAP 202 apparatus (degas temperature: ambient to 200 °C for 20 min with pressure range of 0 to 950 121 122 mmHg). The optical measurements of the Cu – doped ZnO thin films were carried out at 123 room temperature using spectrophotometer [Laborned - UVS 2800] in the wavelength range 124 of 190 nm to 1100 nm. Room temperature photoluminescence studies were carried out using 125 the (Perkin Elmer LS55 Luminescence).

## 126 **2.3 Determination of heterogeneous photocatalytic activity**

127 The photoactivity of prepared ZnO and Cu-doped ZnO were examined using (MG) dye as a 128 pollutant and all experiments were carried out in a Pyrex photoreactor under UV irradiation. 129 After achieving adsorption equilibrium in the dark, the solution was illuminated for 130 photocatalytic kinetic study: The samples of the MG solution were taken after different 131 irradiation times and were analysed using UV-visible spectroscopy at a wavelength max=618 132 nm. Using the Beer-Lambert law, the absorption measurement was converted to 133 concentration. The photocatalytic degradation efficiency was calculated using the following 134 equation: [26-27],

Mg 
$$\% = [(C_0 - C_t)/C_0] \times 100\%$$

d

(1)

136 Where  $C_{\theta}$  is the [MG] initial concentration, and  $C_t$  is the [MG] concentration at time t.

# 137 2.4 Film Thickness

- 138 The film thicknesses of Cu- ZnO thin films were measured by the Fizeau fringes method in
- the department of Physics. The thickness of the film "d" can then be determined by the relation:
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$$=\frac{\lambda}{2}\frac{a}{b} \tag{2}$$

142 Where,  $\lambda$  is the wavelength and  $\frac{a}{b}$  is the fractional discontinuity. In general, the sodium light 143 is used, for which  $\lambda = 5893$  Å. In conclusion, it might be mentioned that the Tolansky method 144 of film-thickness measurement is the most widely used and in many respects also the most 145 accurate and satisfactory one. [28-29] The thickness of the films was estimated by Fizeau 146 fringes interference method which varied from 195 to 198 nm.

# 147 **3. Results and Discussion**

- 148 **3.1 Scanning electron microscopy image (SEM)**
- 149 The SEM micrographs of ZnO and Cu ZnO annealed at  $550^{\circ}$ C was shown in Fig.1. The
- 150 particles of the obtained powder were spherical in shape with a little agglomeration. Table 1
- 151 shows the weight percentages of the elements.



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**Figure 1:** SEM micrographs of ZnO films annealed at (a) 550<sup>o</sup>c, (b) 20 wt. % Cu doped ZnO film.

155 It was clearly observed that both films have a smooth surface comprising uniform grain size. 156 As the annealing temperature increases, the crystalline structure and grain size of the film 157 improves. The morphology of the 20 wt. % CZO show mixtures of Nanocrystalline CZO 158 grains and a big number of other granular particles which may be CuO particle due to 159 redundant Cu doping. This characteristic suggested the limitation of Cu doped on ZnO film. 160 The random tendency of grains and the uneven surface may be related to the growth being 161 along different crystal orientation.

Concentration %	Elements	Wt.%	At%
0	Zn	81.68	60.98
	0	6.68	20.37
5	Zn	46.06	24.58
	Cu	4.74	2.60
	0	15.23	33.20
15	Zn	59.18	37.19
	Cu	10.20	6.60
	0	11.80	30.28
20	Zn	37.69	18.50
	Cu	12.32	5.74
	0	21.20	44.52

162 **Table 1:** Weight percentage of the elements obtained from EDX

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# 165 **3.2 Characterization of the prepared catalysts by X-ray diffraction (XRD)**

The structural properties of annealed ZnO and Cu-doped ZnO films on glass substrates are investigated by X-ray diffraction patterns. XRD patterns of ZnO and Cu doped ZnO films at various concentrations are shown in fig 2. The results show broad peaks at positions  $31.61^{\circ}$ ,  $34.39^{\circ}$ ,  $36.11^{\circ}$ ,  $47.40^{\circ}$ ,  $56.52^{\circ}$ ,  $62.72^{\circ}$ ,  $66.29^{\circ}$ ,  $67.91^{\circ}$  and  $69.08^{\circ}$  of the spectra. These values are in good agreement with the standard card file (JCPDS 36-1451) for ZnO and can be indexed as the hexagonal wurtzite structure. In addition, the appearance of a very lowintensity diffraction peak at position  $38.65^{\circ}$ , corresponding to CuO as the monoclinic (base-

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173 centered) phase(JCPDS#18-1916) was observed. The appearance of this peak is due to the

174 high quantity of doped Cu and can be detected by XRD. The average crystallite size (D) of

the prepared nanomaterial shown in Table 2 was calculated using Scherrer's equation: [30],

176 
$$D = \frac{(0.9\lambda)}{(\beta \cos \theta)}(3)$$

177 Where, **D** is the grain diameter,  $\lambda$  is the X-ray wavelength of Cu K $\alpha$  (0.154 nm), **\beta** is the full-

178 width at half maximum (FWHM) and  $\theta$  is the Bragg angle [31].

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The intense peaks in the XRD pattern of all samples clearly show the information of the hexagonal wurtzite phase of ZnO having prominent (002) plane in all samples, which is the most stable phase of ZnO. The shift in the (002) peak for higher Cu concentrations might be the substitution of Zn by Cu in the hexagonal lattice. The effect of Cu doping can be observed

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at the same annealing temperature ( $T_c = 550^0$  C) as shown in Fig. 2. In comparison with 246 ZnO, the introduction of copper ions shifted the diffraction peaks to higher angles by 0.060. 247 248 Indeed, this change can be attributed to the replacement of Zn ions (ionic radii = 0.60 A) by 249 Cu ions (ionic radii = 0.57 A) or may be due to structural stresses and modification of the 250 lattice parameters of ZnO. This shift value indicated a decrease in the lattice parameters since 251 the value of c parameter decreased by about 0.01 A from undoped ZnO (5.226 A) to Cu-252 doped ZnO (5.217 A). This decrease confirmed that the substitution of Zn ions by Cu in the 253 ZnO lattice, was facilitated by the similarity of the ionic radii. Sharma et al. [32], in their 254 study on Mn-doped ZnO, reported similar observations. 255 The annealing temperature  $(T_c)$  effect was observed on both intensities of XRD peaks and 256 lattice parameters as shown in Fig. 2. Crystallite sizes of the deposited films have been

257 calculated using (002) plane. The values of crystallite sizes are obtained 7.01 nm for ZnO 258 and 3.21 nm, 2.75 nm, 4.82 nm, 3.86 nm and 2.41 nm for ZnO: Cu samples with Cu 259 concentrations 5%, 10 %, 15%, 20% respectively. The grain size values and lattice 260 parameters with different Cu concentrations are given in the following Table 2. Lattice 261 constant slightly vary with doping concentration of Cu but no linear relation with Cu 262 concentration. The shift in the lattice parameter is mainly due to the dopant occupying 263 interstitial positions in the lattice. It is observed that the crystalline size in the doped films 264 does not vary in any regular pattern with Cu dopant concentration, which is attributed to the 265 lattice disorder produced in the films at higher dopant concentration due to difference in the ionic radii of  $Zn^{2+}$  and  $Cu^{2+}$  ions and the combination of two different structures, hexagonal 266 and cubic. 267

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Cu ZnO %	a (Å)	c (Å)	c/a ratio	Grain size (nm)
0	3.2604	5.2244	1.6024	7.01
5	3.2602	5.2277	1.6035	3.21
10	3.2678	5.2293	1.6002	2.75
15	3.2620	5.2210	1.6006	4.82
20	3.2674	5.2272	1.5998	3.86
JCPDS 36-1451	3.249	5.206	1.602	

Table 2: Lattice parameters and grain size of undoped and Cu-doped ZnO thin films 269

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#### 271 3.3 Fourier transform infrared studies (FTIR)

272 The chemical bonding and formation of wurtzite structure in ZnO and Cu-doped ZnO were 273 confirmed by FTIR measurements at room temperature. The spectra are shown in Fig. 3. The 274 broad absorption band at 3439.39, 1077.74, 3446.53 and 1075.74 cm<sup>-1</sup> can be attributed to the 275 normal polymeric O-H stretching vibration of H<sub>2</sub>O, respectively in ZnO and Cu - ZnO 276 lattices [33]. Other sharp peaks observed at 1621.45 and 1615.60 cm<sup>-1</sup> can be attributed to H– 277 O–H bending vibration, which in turn can be assigned to the small amount of  $H_2O$  in the ZnO 278 and Cu – ZnO nanocrystals [34]. The absorption band observed between 2300 and 2400 cm<sup>-</sup> 279 <sup>1</sup>are due to the existence of CO<sub>2</sub>molecule in the air. The vibration band at446.31 cm<sup>-1</sup>assigned to the stretching mode of ZnO, shifted to a lower frequency at 438.48 cm<sup>-1</sup> for Cu - ZnO. 280







Figure 5 shows the nitrogen adsorption-desorption isotherms and Barett Joyner-Halenda 297 298 (BJH) pore size distribution for ZnO and Cu-doped annealed at 550°C. All the isotherms 299 obtained are Type IV and correspond to a capillary condensation, according to the 300 classification of the International Union for Pure and Applied Chemistry (IUPAC). The 301 hysteresis is Type H3, and is characteristic of the mesoporous material with slit-shape pores. 302 Based on the results obtained from Fig. 4, the adsorption of  $N_2$  on ZnO and Cu – ZnO 303 catalysts, slightly increased from a low relative pressure of about 0.02 to 0.8 at higher  $T_{\rm C}$ 304 (450 and 550  $^{0}$ C) to 0.6 at lower T<sub>C</sub> (250 and 350  $^{0}$ C), and then followed by a sharp rise from 305 0.6 or 0.8 and above due to substantial interparticle porosity [36]. The highest and least volume of absorbed N<sub>2</sub> can be attributed to the catalysts annealed at  $T_{\rm C}$  equal to 250<sup>0</sup> and 306 550° C, respectively. All the desorption branches are different from those of adsorption, 307 indicating differences in their pore's texture [37]. Among all the catalysts, Cu -ZnO annealed 308 309 at  $T_C = 250^{\circ}$  C showed the highest volume of adsorption and widest desorption branch as 310 shown in Fig. 4. The Barret-Joyner-Halenda (BJH) pore size distribution for all catalysts is 311 shown in Fig. 4. All plots are located in the mesoporous range, which is in good agreement 312 with the Type IV adsorption isotherm. The BJH pore size distribution of ZnO and Cu – ZnO annealed at  $T_C = 550$  °C (Fig. 5) indicates different features of Cu – ZnO annealed at TC = 313 250, 350 and 450 °C, specifically from the average pore diameter centered at around 70 nm. 314 315 This shows the result of the modification in pore texture. Based on the obtained results, it can 316 be suggested that the annealing temperature has a clear effect on specific surface area and 317 pore size distribution of the doped catalysts. On the other hand, for the same  $T_C = 550$  °C, the 318 obtained isotherms for ZnO and doped ZnO are very similar, showing almost the same 319 specific surface area. This shows that the effect of doping is less important than the annealing 320 temperature. By increasing the annealing temperature, a remarkable decrease in surface area 321 of ZnO and Cu - ZnO can be observed. This phenomenon could be explained by clogging 322 pores caused by the occurrence of possible aggregation when the annealing temperature

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ZnO pure

Cu - ZnO

Cu - ZnO

Cu - ZnO

Cu - ZnO

 $T_{c} = 550 C$ 

 $T_{c} = 250 C$ 

 $T_{c} = 350 C$ 

= 450 C Τ,

= 550 C



Cu - ZnO

Cu - ZnO

Cu - ZnO

Cu - ZnO

ZnO pure

T = 250°C

T\_= 350°C

T\_= 450°C

T\_= 550°C

T.= 550°C

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160

140

120

100 80

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0.003

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335 Figure 4: N<sub>2</sub> adsorption-desorption isotherms and pore size distribution (inset) of ZnO and Cu-doped ZnO 336 annealed at different temperature. 337

#### 338 **3.5** Thermal analysis of the prepared xerogel catalysts

339 The thermal stability of the prepared ZnO and Cu – ZnO by the fast sol-gel method was 340 studied by TGA and DTG as shown in Fig. 5a, b. For the ZnO, the weight loss of the xerogel 341 occurred principally in two steps. In the first step, weight loss (8 %) was in the range of 80– 342  $178^{\circ}$ C, due to the dehydration of the absorbed surface water, as well as hydrate from the 343 remaining zinc acetate and methanol solvent. In the second step, weight loss occurred in the 344 range from 190 to 244<sup>o</sup>c, which corresponds to 17 % due to the decomposition of tartaric acid. In the last step, weight loss ranged from 284 to 482 °C due to the conversion of zinc 345 346 tartarate to zinc oxide and the percentage of weight loss was found to be 41.5 %. After 482 347  $^{0}$ C, no weight loss was observed and zinc oxide formed was further confirmed by XRD. On 348 the other hand, for Cu - ZnO, a total weight loss of 7.9 % was observed in all four steps, and 349 this is very low as compared with ZnO xerogel (64.5 %), indicating an increase in its stability 350 due to the presence of Cu.

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Figure 5: TG-DTG analysis of (a) ZnO and (b) Cu – ZnO xerogel powders.

# 368 3.6 Characterization of ZnO and Cu – ZnO by Photocatalytic degradation of Malachite 369 Green

370 The photocatalytic performances of ZnO and Cu-doped ZnO samples were investigated under 371 UV light through the degradation of Malachite Green (MG), as a model organic pollutant. Figure 5 shows the photodegradation activity of ZnO annealed at 550  $^{0}$ c and Cu – ZnO 372 373 annealed at  $T_{\rm C}$  of 250–550 <sup>o</sup>c photocatalysts. The results show that there is no degradation in 374 the absence of the photocatalyst. On the other hand, the photocatalytic activity of ZnO was 375 found to be very low: only 20 % of MG was degraded after 3h of irradiation. However, after 376 doping ZnO with copper significantly increased its photocatalytic efficiency towards the 377 degradation of MG. However, the efficiency of ZnO was significantly increased after doping 378 with copper. As observed in Fig. 5, the kinetics were well fitted to pseudo first order then, the 379 obtained values of the rate constant using Origin.8 software were plotted against the 380 annealing temperature. It's known that the photocatalytic activity is related to the surface area 381 of the photocatalyst. The present results were showed that the Cu-doping ZnO and the 382 annealing temperature have played a crucial role on the enhancement of the photocatalytic 383 efficiency by increasing the surface area. Nevertheless, this is not simply a question of 384 specific surface area and adsorption, despite the fact that adsorption is a very important step 385 in the photocatalytic process. Figure 6 shows the adsorption in the dark, which depends to the 386 nature of the catalyst. The most adsorbed quantity of the MG was found over the Cu-doped catalyst annealed at  $T_C = 250$  <sup>o</sup>C. This result is in a good agreement with the obtained specific 387 surface area value (35.10  $m^2/g$ ), but this catalyst was found to be less photoactive towards 388 MG degradation, among other Cu-doped catalysts. Itis also important to note that the 389 390 photocatalytic efficiency towards the degradation of MG with Cu – ZnO increased with 391 increase in annealing temperature and formation of a CuO phase on the surface. This clearly 392 demonstrates that photocatalytic activity depends on the annealing temperature rather than 393 particles size and surface area. Similarly, it was shown that the photocatalytic activity of a 394 catalyst is related to its microstructure, such as crystal plane, crystallinity, surface properties, 395 BET specific surface area [38]. Many studies have shown that the difference in photocatalytic 396 activity among all catalysts, not only related to the surface adsorption ability, but also to the 397 type and concentration of oxygen defects on the surface and/or surface layers. Two major 398 parameters can be affected by the annealing temperature: the increase in crystallinity and 399 decrease of surface OH groups. Normally, since photocatalysis is a surface phenomenon, the 400 decrease in surface OH groups may cause a loss in photocatalytic activity. However, the highest photoactivity in terms of MG degradation rate or kinetic constant was obtained from 401 402 the catalyst annealed at the highest temperature ( $T_{\rm C} = 550$   $^{0}{\rm C}$ ) and with the lowest specific 403 surface area (8.66  $m^2/g$ ). Therefore, an optimal quantity of hydroxyl groups on the catalyst 404 surface in combination with a certain degree of crystallinity, and specific kinds of oxygen 405 defects on the surface and /or surface layers are required to achieve optimal photoactivity 406 [35]. In this study, the increase in photocatalytic activity of Cu - ZnO  $T_C = 550^{\circ}C$  may be 407 attributed to the good crystallinity and oxygen defects as reported for ZnO [39]. However, the 408 increasing crystallinity level with annealing temperature and the introduction of the native 409 defects in the catalyst crystal in the form of neutral (VO), singly charged (VO+) or doubly 410 charged (VO++) oxygen vacancies at higher annealing temperatures, may play a major role 411 in the enhancement of its photocatalytic efficiency [40]. It is well known that these defects in 412 the nanoparticles reduce the electron-hole recombination; hence increase the quantum yield 413 and thus, enhance the photocatalytic activity. Furthermore, a high concentration of oxygen

414 vacancies on the catalyst surface at higher annealing temperature and CuO phase can also

- serve as trap for electrons from the conduction band [41].
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Figure 6: Effect of mesoporous catalysts on dark adsorption after60 min, and degradation of (MG) and (SBET m<sup>2</sup>/g)
 m<sup>2</sup>/g)

#### 440 **3.6.1 Adsorption kinetics of MG dye**

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Kinetics adsorption were studied using 250 ml of MG solution with initial concentration of
 300 mg/L and 750 mg of solid was added and stirred in the dark. A sample was withdrawn
 every 15 min, diluted, centrifuged and residual concentration measured by spectrophotometer

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- 444 [Labomed UVS-2800] at a maximum wavelength of 618 nm. The quantity of MG adsorbed
- 445 per gram of catalysts at time (min) is calculated using following equation [42]: 446  $q_t = \frac{(C_0 - C_t)V}{m}$  (4) 447 Where q<sub>t</sub> is the quantity of adsorbed MG per gram of adsorbent (catalysts)at time t, C<sub>0</sub> is the 448 initial MG concentration, Ct the concentration of MG at time t, V is the volume of the MG
- solution (ml) and m is the mass of the solid used (mg). All the data was fitted using pseudo-first order nonlinear Lagergren model [43]:
- 451  $q_t = q_e(1 e^{-kt})$

(5)

- This kinetics are shown in Figure.7 for the ZnO and the Cu ZnO photocatalysts annealed at different temperatures ( $T_c$ ). As it can be seen all kinetics obey to the first order according to Lagergren model (Equation 5) experimental data are represented by scatter points and Lagergren simulation by continuous line. However, it can be deduced from Figure.7, stirring the MG + catalyst suspensions must be maintained in the dark for at least60 min which represents the solid saturation time ( $t_{sat}$ ) prior to the degradation reaction.
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461 Figure 7:MG Adsorption kinetics on catalysts annealed at different temperatures and Lagergren simulation.
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464 Figures.8 (a) and (b) show, the effects of annealing temperature on the apparent adsorption 465 kinetic constants  $(k_{ads})$  and equilibrium adsorbed amounts  $(q_e)$  deduced from the Figure 7. by 466 the Lagergren simulation. For the same annealing temperature ( $T_c = 550^{\circ}C$ ), doping ZnO with Cu decreases the rate of adsorption reaction Figures.8 (a) but in contrast the equilibrium 467 468 adsorbed amount  $(q_e)$  is approximately doubled (1.7 times higher; Figures.8 (b) which can be explained by both: increasing of the specific surface area (1.15 times higher) and increasing 469 470 site numbers created by the presence of copper (1.4 times higher). On the other hand, the 471 adsorption rate seems to depend on both annealing temperature and specific surface area. In 472 deed the normalized adsorption rate constant increases gradually with the increase of T<sub>C</sub>.

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473 Figure 8: Effect of annealing temperature (a) on the MG adsorption kinetic constant and (b) on the equilibrium474 MG adsorbed amount

# 476 3.6.2 Photocatalytic degradation of MG mechanism

477 When mixtures of MG aqueous solution and a suspension of photocatalyst were irradiated 478 with VU light, the green solution of MG markedly changed, as a result of the decomposition 479 of the free radicals formed in the solution. The photocatalytic activity mechanism of Cu-ZnO 480 can be understood as follows: The Cu doped ZnO resulted in the creation of intermediate 481 energy levels, which cause a delay in the recombination of charge carriers, thereby enhancing 482 the photocatalytic activity. Moreover, it can be noticed that the delay in the recombination of 483 charge carriers increases whenever the crystallinity of Cu –ZnO photocatalyst is improved by 484 the annealing temperature rise. In the mechanism of photodegradation of MG in the presence of Cu -ZnO photocatalyst. The excitation of photocatalyst by UV light results to the 485 486 formation of electrone-in both Cu/ZnO and CuO conduction bands. The direct bandgap of ZnO and ZnO/CuO is estimated by Tauc's formula,  $(\alpha hv)^n = B(hv - E_a)$ , where **B** 487 is a constant related to the material, hv is the photon energy in eV, h is Planck's constant, Eg 488 489 is the optical bandgap in eV, n is an exponent that can take a value of either 2 for a direct 490 transition or 1/2 for an indirect transition, and  $\alpha$  is the absorption coefficient (in cm<sup>-1</sup>) [44]. The electrons  $e_{CB,Cu0}^{-}$  are transferred to the Cu/ZnO conduction band and then convert 491 dissolved oxygen to super oxide radical  $O_2^-.$  While the holes  $h_{VB,ZnO}^+$  and  $h_{VB,CuO}^+$  from the 492 CuO valence band level react with water to form the strongest oxidizing agent, hydroxide 493

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Figure.10 shows the effects of annealing temperature on the main properties of, prepared, catalysts: Several correlations can be observed as for example the decrease in the specific surface area due to a sintering phenomenon linked to the increase in temperature, which increases the size of the crystallites by aggregation and confirms the effect on the surface. However, it is important to note that the degradation of MG increases with the annealing temperature despite the drop in specific surface proving that the photocatalysis is not, mainly, due to the adsorptive capacity of the catalyst.



534 **Figure 10:** Dependences and correlation between NBE area, SBET and crystallite size and MG degradation on the annealing T<sub>c</sub>

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#### 537 **3.7Optical Properties**

538 The optical transmission spectra of all samples in the visible region is a very important factor 539 in many applications. So, measured at room temperature are illustrated in Fig. 11. All 540 transmission spectra indicate sharp absorption edge. Moreover, as Cu composition increases, 541 the transmission spectra exhibit the obvious blue shift of absorption edge of an optical band 542 gap of the film and highly transparent within a visible region with increasing Cu composition. 543 From the graph it is seen that the values of transmittance are high in the visible and IR region 544 and it is minimum in the UV region. These spectra show high transmittance near about 75 -545 85% in the wavelength range from 400-1100 nm. For direct transition the observed optical 546 band gap depends on the doping concentration and varies from 3.21 eV to 3.05 eV which is 547 shown in table 3. Similar result was also reported by Lee, H. J. et al [47]. The variation of 548 direct band gap energies with different doping concentration of Cu is shown in Fig.9 with 549 wavelength range 300 nm -1100 nm. Table 3 refer to variation of band gap of Cu ZnO thin 550 films with different doping concentration of Cu. The optical absorption spectra are shown in 551 fig. 12 with wavelength range 300 nm - 1100 nm. The figures show the variation of

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absorbance with the doping concentration of copper. From the figure it is seen that the optical

absorption decreases with the doping concentration of copper of the Cu - ZnO thin films [48].
These spectra show high absorbance in the wavelength range from 300-400 nm. The optical
band gap can be determined by analyzing the transmission data using the classical relation
[49],

557  $\alpha hv = A (hv - E_a)^n$ 

(3)

where, A is a constant, "h v" is the photon energy and " $E_g$ " is the optical band gap of the 558 559 semiconductor and "n" is index related to the density of states for the energy band and is 560 determined by the nature of optical transition involved in the absorption process. It is 561 observed that small amount of Cu present in the films greatly affects the optical band gap of 562 ZnO. The band gap decreases as the Cu concentration increases. Researcher think that this 563 shift of the band gap with the Cu incorporation interpreted as mainly due to the sp-d 564 exchange interactions between the band electrons and localized d electrons of the  $Cu^{+2}$  ions 565 substituting Zn ions. The s-p and p-d exchange interactions give rise to a negative and a 566 positive correction to conduction and valance band edges, leading to narrowing the band gap 567 [50]. The nature of this variation in the band gap energy may be useful to design a suitable 568 window material in fabrication of solar cells, means this thin-film technologies, that are still 569 in an early stage of ongoing research or with limited commercial availability, are often 570 classified as emerging or third generation photovoltaic cells and include, organic, dye-571 sensitized, and polymer solar cells, as well as quantum dot, copper zinc, nanocrystal, 572 micromorph and perovskite solar cells. The variation of direct band gap energies with 573 different doping concentration of Cu is shown in fig. 13 (f).



585 586 **Figure 11:** Variation of optical transmittance with wavelength of Cu ZnO thin films for different concentration.



- 599
- Figure 12: Variation of optical absorption with wavelength of Cu ZnO thin films for different concentration.
- Table 3:Band gap of Cu ZnO thin films with different doping concentration of Cu.





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640 **Figure 13:** Variation of  $(\alpha h v)^2$  with photon energy for(a) ZnO. (b) 5% (c), 10%, (d), 15% and (e) 20% Cu

641 doped ZnO thin film. (f) Band gap energies with concentration of Cu for Cu ZnO thin films

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#### 643 **3.8 Photoluminescence**

644 The technique of photoluminescence excitation has become a standard one for obtaining 645 information on the nanostructures. The photoluminescence excitation technique involves 646 scanning the frequency of the excitation signal and recording the emission within a very 647 narrow spectral range. In this study, the photoluminescence (PL) spectra of ZnO 648 nanoparticles were estimated, to explore the effect of Cu-doping and annealing temperature 649 on its optical properties. Figure 14 shows the emission spectrum of ZnO and Cu - ZnO 650 catalysts, using an excitation wavelength of 325 nm at room temperature. The 651 photoluminescence (PL) emission was observed for all the samples ( $T_c = 250-550$  <sup>o</sup>C) 652 covering the range: from a short wavelength of 350 nm to a long wavelength of 550 nm. As 653 the annealing temperature increased at  $550^{\circ}$ c, all UV and visible luminescence also increased. 654 The increase in UV emission may be ascribed to the improvement in observed crystalline 655 quality due to annealing. The enhancement in blue emissions intensities is likely due to the 656 strong exchange interactions between Zn and the second phase formed by Cu, after annealing 657 of the sample. The first one, which originates from the recombination of free exciton [51], is 658 clearly observed for ZnO at 379 nm. Thus, Cu -ZnO was annealed at the highest temperature 659  $T_{\rm C} = 550$  °C at 385 nm, and this may be attributed to exciton-related near-band edge emission 660 (NBE). The second peak was observed according to the annealing temperature  $T_{\rm C}$  at 417, 661 421, 428.5 and 430 nm, which corresponds to the blue emission [52]. As can be seen, the 662 second peak seems to be observed only for Cu - ZnO catalysts, while the intensity of both 663 peaks depends strongly on the annealing temperature. As the annealing temperature 664 increases, the ultraviolet emission peak of the ZnO and Cu - ZnO catalysts, gradually, 665 increases especially for  $T_C = 550$ °C. This increase was also observed for the blue emission 666 peak for all annealing temperatures. For further understanding of the PL analysis, Figure.15. 667 (a)-(e) shows that all PL spectra are well fitted with a Gaussian function (the lowest 668 correlation coefficient is 99.4%). For ZnO the de-convoluted PL spectrum (Figure 15. (a)) 669 shows three peaks: two UV emission peaks of approximately the same intensity at 373 and 670 389 nm corresponding to the near-band emission of ZnO [53] and a violet emission peak at 671 410 nm with lower intensity. The effect of Cu doping can be seen at the same  $T_c = 550^{\circ}C$ . By 672 comparison of Figure 15(a) and (b), it was observed that there is a red-shift of approximately 673 5 nm in the Cu-doped sample, which may correspond to a reduction in ZnO band gap due to 674 Cu doping and the substitution of Cu ions into the Zn sites in the lattice as reported in the 675 XRD study. Xu et al. [54] and Udayabhaskar et al. [55], reported similar results on Cu-doped 676 ZnO, Ni-doped ZnO and Ca-doped ZnO materials, respectively. It was noticed that the 677 violet peak at 410 in the ZnO completely disappeared this may be attributed to the defects 678 and/or lattice imperfections of the ZnO sample, which can be removed by low-temperature 679 thermal annealing. However, it seems that the peak was replaced by the two blue emission 680 peaks in the Cu – ZnO mesoporous catalysts. The observation of visible emissions may be 681 related to intrinsic defects in ZnO and their enhancement in the presence of Cu ions induced a 682 poorer crystallinity and greater level of structural defects, which can be attributed to the more 683 intrinsic defects introduced by Cu ion incorporation into the ZnO lattice. This result is in line 684 with the above XRD findings. Xu et al. [54] related similar results to Raman observations 685 regarding the defects gradually generated with the Cu doping ratio. The effect of annealing 686 temperature T<sub>C</sub> and its comparison is shown in Table 4 and fig 15 (a-e). As the annealing 687 temperature increased from 250 to 550°C, all UV and visible luminescence increased. The 688 increase in UV emission may be ascribed to the improvement in observed crystalline quality 689 due to annealing. The enhancement in blue emissions intensities is likely due to the strong 690 exchange interactions between Zn and the secondary phase formed by Cu, after annealing of

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atom sites by Cu atoms into the lattice of ZnO. 



701 702





711 712 713 714 715 Figure 14:PL Spectra of the ZnO annealed at 550 °c and Cu-ZnO annealed at different temperatures at excitation wavelength 325nm<sup>0</sup>c.

Table 4: Photoluminescence Emission Values of pure and Cu-doped ZnO deduced from de-convolution by Gaussian function of PL spectra.

Catalysts	Near band edge , NEB (nm)	NEB intensity (a.u)	Blue emission (nm)
ZnO, $T_c = 550^{0}c$	373- 389	32.40- 31.41	410(violet)
$Cu - ZnO, T_c = 550 \ ^{0}c$	378	27.87	423.45
$T_{c} = 450^{0} c$	379	4.89	429.46
$T_c = 350 \ ^{0}c$	379	3.44	429.46
$T_c = 250  {}^{0}c$	378	3.13	419.45

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721 722 723 724 725 **Figure 15:** PL emissions spectra of ZnO  $T_C = 550$  <sup>0</sup>C, (b) Cu – ZnO  $T_C = 250$  <sup>0</sup>C, (c)  $T_C = 350$  <sup>0</sup>C, (d)  $T_C = 450^{\circ}$  C and (e)  $T_C = 550$  <sup>0</sup>C NPs using the excitation wavelength at 325 nm.

# 727 **3.9 Electrical Properties**

728 Resistivity of the prepared ZnO and Cu doped ZnO thin films have been measured by van-der 729 Pauw method [56]. The resistivity measurement has been performed over a range from room 730 temperature to 440 K. During the measurement, the temperature increased slowly as a result 731 the whole film is heated with uniform temperature. The variation of resistivity with 732 temperature for films and resistivity gradually decreases with the increase of temperature, 733 which indicates the semiconducting nature of the materials is shown in Fig. 16. The electrical 734 resistivity of ZnO was increased by doping Cu indicating the acceptor like behavior of the Cu 735 dopant. The four coordinated Zn, Cu and Cu cations have ionic radii of 0.06, 0.06 and 0.057 nm respectively, with stable electronic configuration,  $Zn^{2+}$  (3d<sup>10</sup>),  $Cu^{2+}$  (3d<sup>9</sup>) and  $Cu^{+}$ (3d<sup>10</sup>). 736 Diffusion at high firing temperature may lead defect reactions in which  $Cu^{2+}$  cations 737 substitute  $Zn^{2+}$  cations in the wurtzite unit cell of ZnO. The stability of the coulomb forces of 738 the interactions between the acceptor defect ( $Cu^{1+}Zn$ ) and intrinsic ZnO donors may occur by 739 740 capture of an electron from the lattice.



Figure 16: Variation of electrical resistivity with temperature for Cu ZnO thin films

The variations of electrical conductivity with temperature for Cu ZnO thin films are shown in Fig. 17. From the figure it is seen that the conductivity increases with the increase of temperature. This type of variation indicates the semiconducting behavior of the films. The conductivity decreases with the increasing of Cu concentration [47]. Incorporation of Cu into ZnO reduces its conductivity as Cu introduces deep acceptor level and it traps electrons from the conduction band.

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798 799 800

Figure 17: Variation of electrical conductivity with temperature for Cu ZnO thin films

#### 801 4. Conclusions

In the present work, Zinc oxide and Cu-doped ZnO thin films were synthesized by a sol-gel 802 803 method in the presence of tartaric acid. The obtained powders were annealed at different 804 temperatures. XRD studies confirmed the dominant presence of hexagonal wurtzite ZnO with 805 the formation of monoclinic CuO phase on the surface of ZnO. Films of different doping 806 concentration of Cu were deposited on glass substrate. it was shown that specific surface area 807 decreases with annealing temperature due to the occurrence of the aggregation phenomenon. 808 The photocatalytic ability showed that the catalyst activity was influenced by both different 809 doping concentration of Cu loading on ZnO and annealing temperature. The Cu - ZnO 810 catalyst annealed at 550 °C exhibited the highest photocatalytic activity due to the interlacing 811 of several factors such as the efficient charge separation as proven by the PL spectra, the 812 enhancement of crystallinity and the introduction of the native defects in the catalyst crystal 813 in the form of neutral (VO), singly charged (VO<sup>+</sup>) or doubly charged (VO<sup>++</sup>) oxygen 814 vacancies. Moreover, the CuO phase on the surface resulted in delayed recombination charge 815 and increased the photocatalytic activity of catalysts at a higher temperature. Various optical 816 constants such as absorbance, transmittance of the films have been studied for the as-817 deposited films are recorded in the wavelength ranges from 300 to 1100 nm. The values of 818 transmittance are high in the visible and IR region and it is minimum in the UV region. 819 Absorbance decreases with higher percentage of Cu concentration. The band gap of the films 820 varied 3.21 to 3.05 eV. The increase in the Cu incorporation in the film results in the overall 821 decrease in the refractive index. The electrical resistivity measurements were made on 822 number of films from the room temperature up to 430K. The figure shows that the resistivity 823 gradually decreases with the increase of temperature, which indicates the semiconducting 824 nature of the materials. Resistivity also increases with the increasing doping concentration. 825 The conductivity decreases with the increasing of Cu concentration. Incorporation of Cu into 826 ZnO reduces its conductivity as Cu introduces deep acceptor level and it traps electrons from 827 the conduction band.

# 828 **Competing Interests**

829 The author declares that there are no personal, organizational or financial conflicts of interest.

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