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³ Drugs Used in Thromboembolic

⁴ Disorders: An Insight Into Their

Mechanisms

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8 Abstract:

9 In the United States alone, more than 6 million patients receive long-term anti-platelet and 10 anticoagulation therapy. The hemostatic system must maintain a balance between fibrin 11 formation (coagulation) and fibrin dissolution (fibrinolysis). Thrombin and Factor Xa are two of the most important components of the coagulation cascade. Any disruption in this 12 13 cascade can lead to either thrombosis, hemorrhage or both. The clotting process is a dynamic, highly interwoven array of multiple processes. There are four different phases involved in the 14 response of activated platelets: Adhesion, aggregation, secretion and the procoagulant 15 activity. The coagulation cascade is a coordinated sequence of linked enzymatic reactions in 16 which each reaction product converts the subsequent inactive zymogen into an active serine 17 protease that are responsible for the conversion of soluble plasma fibrinogen into insoluble 18 19 fibrin. Several antithrombotic factors regulate coagulation and limit the production of thrombin to prevent the perpetuation of coagulation and thrombus formation; these include 20 protein C/protein S, antithrombin, heparin cofactor and tissue factor pathway inhibitor. 21 22 Antiplatelet agents play a major role in the management of cerebrovascular, peripheral vascular and cardiovascular diseases. Aspirin is a non-steroidal anti-inflammatory drug that 23 24 works by irreversibly inhibiting cyclo-oxygenase 1 and 2 by covalent acetylation. Cilostazol, a specific and strong inhibitor of PDE3 in platelets and smooth muscle cells was approved in 25 the USA in 1999 for the treatment of intermittent claudication. Dipyridamole affects platelet 26 function by inhibiting the reuptake of adenosine by red blood cells, in this way enhancing 27 plasma levels of this vasodilator and platelet inhibitory nucleoside; it acts as an inhibitor of 28 29 PDE5 and PDE3, thus increasing intraplatelet cAMP and/or cGMP; and it also acts as an 30 antioxidant by scavenging free radicals that inactivate cyclo-oxygenase, thus enhancing PGI2 biosynthesis. Vorapaxar, a first in its class, is an orally available PAR-1 antagonist approved 31 32 for patients with prior myocardial infarction or peripheral arterial disease with no previous 33 history of stroke or TIA, and is added to standard therapy for long term secondary prevention of thrombotic CV events. Abciximab, eptifibatide, tirofiban all bind the 34 glycoprotein receptor IIb/IIIa on activated platelets, preventing aggregation. Warfarin is a 35 Vitamin K antagonist that interferes with γ -carboxylation of vitamin K-dependent clotting 36 37 factors II, VII, IX, and X, and proteins C and S. Dabigatran is a potent, competitive inhibitor of thrombin, while Apixaban, edoxaban and rivaroxaban all selectively inhibit factor Xa. 38 Heparins, acts indirectly by binding to anti-thrombin (AT) rather than acting directly on the 39 coagulation factors. This interaction converts AT to a rapid inactivator of factor IIa and factor 40 41 Xa. Unfractionated heparin, LMW heparin (enoxaparin, dalteparin) and fondaparinux all

inactivate factor Xa, but unfractionated heparin is a much more efficient inactivator of
thrombin. Drugs such as anti-platelet therapy and anti-coagulants are frequently used in
clinical settings. It is imperative that the physicians have a thorough understanding of these
agents. An insight into the mechanism of how these medications act serves as a prelude to
understanding to the pharmacology of these drugs.

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49 **Introduction**:

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Cardiovascular diseases like myocardial infarction, stroke, deep-venous
 thrombosis, and pulmonary embolism are among the leading causes of mortality
 worldwide [1]. In the United States alone, more than 6 million patients receive
 long-term anti-platelet and anticoagulation therapy for the prevention of various
 causes of thromboembolism that include venous thromboembolism, atrial
 fibrillation or placement of a mechanical heart-valve prosthesis [2].

In order to ensure adequate perfusion of blood through tissues and to 57 prevent the free flow of blood at sites of injury, the hemostatic system must 58 maintain a balance between fibrin formation (coagulation) and fibrin dissolution 59 (fibrinolysis) [3]. The major structural component of blood clot is fibrin, which 60 is formed by the proteolytic action of thrombin on fibrinogen. Fibrinolysis is a 61 process by which fibrin is degraded into fibrin degradation products by the 62 action of plasmin. Thrombin and Factor Xa are two of the most important 63 components of the coagulation cascade [4]. Any disruption in this cascade can 64 lead to either thrombosis, hemorrhage or both. 65

Anticoagulants include a variety of agents that work by inhibiting one or
more steps in the coagulation cascade. Their mechanisms include direct
enzymatic inhibition, indirect inhibition by binding to antithrombin, antagonism
of vitamin K-dependent coagulation factors by either the modification of their
calcium-binding properties or by preventing their synthesis in the liver.

The steadily expanding list of antiplatelet and anticoagulants provide a wide range of agents for prevention and management of thromboembolic diseases. However, the appropriate use of these agents require an understanding of their individual characteristics, benefits and risks. This article provides a review of the recent advances in the use of antiplatelet and anticoagulant therapies and an insight into their mechanisms in the coagulation cascade.

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78 Mechanisms of action

79 Normal haemostasis

- 80 The hemostatic system provides a balance between procoagulant and
- anticoagulant forces. When a blood vessel wall is disrupted, the hemostatic
- response must be quick, localized and carefully regulated. Any disturbances in
- this pathway may lead to either abnormal bleeding or thrombosis.
- 84 The clotting process is a dynamic, highly interwoven array of multiple
- 85 processes [5]. The phases of the process include:
- 86 1. Endothelial injury and formation of the platelet plug
- 2. Propagation of the clotting process by the coagulation cascade
- 88 3. Termination of clotting by antithrombotic control mechanisms.
- 89 4. Removal of the clot by fibrinolysis.
- 90

91 Formation of a platelet plug

- 92 In order to stop bleeding, the initial hemostatic response is the formation of a
- platelet plug at the site of vascular injury. Endothelial cell activation and the
- 94 exposure of the subendothelial elements may promote recruitment of platelets,
- procoagulant factors and other cell types [6].
- 96 The activation of platelets is done through a number of physiological stimuli
- 97 that include adenosine diphosphate (ADP), thrombin, collagen and epinephrine.
- The summary of all the important steps involved in formation of a platelet plug
- has been mentioned below in the Figure 1.
- 100 The adherence of platelets is prevented by the secretion of prostacyclin and
- nitric oxide from the intact endothelium. Endothelial injury impairs this process
- and leads to the exposure of subendothelial elements such as collagen that
- activates platelets. The two most important platelet collagen receptors are
- 104 GPIa/IIa (integrin- $\alpha 2\beta 1$) and GPVI [7].
- 105 The principle receptors for thrombin on human platelets are a family of G-
- 106 protein coupled protease-activated receptors (PAR). PAR-1 is a high-affinity
- 107 receptor that mediates the effect of thrombin at low concentrations, while PAR-
- 108 4 is a low-affinity receptor that requires high levels of thrombin for activation.
- 109 Notably the binding of thrombin to PAR-1, which represents a very potent
- 110 platelet activation pathway, is necessary for thrombus formation but may not be
- required for hemostasis [8, 9]. Vorapaxar is an oral PAR-1 antagonist developed
- as an antiplatelet agent [10].
- ADP released from damaged red blood cells and vessels activates the integrin
- 114 GPIIb-IIIa and subsequent binding of fibrinogen that induces platelet
- aggregation. ADP mediates its action through binding of two purinergic G-
- protein coupled receptors, P2Y1 and P2Y12 [11]. The P2Y1 receptor couples to
- 117 Gq and mobilizes intracellular calcium and mediate platelet shape change and
- rapidly reversible aggregation; P2Y12 is coupled to a Gi that mediates a more
- stable platelet aggregation [12]. The thienopyridine derivatives, clopidogrel and

- ticlopidine are antiplatelet agents that inhibit the platelet aggregation induced by
- 121 ADP, thereby reducing ischemic events [13].
- 122 There are four different phases involved in the response of activated platelets:
- Adhesion, aggregation, secretion and the procoagulant activity. The first
- response of activated platelets is the deposition of platelets on the
- subendothelial matrix followed by aggregation which is mediated via platelet-
- platelet cohesion and the secretion of platelet granule proteins and finally the
- enhancement of thrombin generation [14].
- 128
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Figure 1. Summary of all the important steps in the formation of the platelet

- 132 plug.
- 133

134 *The coagulation Cascade*

135 The coagulation cascade is a coordinated sequence of linked enzymatic

reactions in which each reaction product converts the subsequent inactive

137 zymogen into an active serine protease that are responsible for the conversion of

soluble plasma fibrinogen into insoluble fibrin [15].

139 The primary initiating factor for the coagulation cascade is the exposure of

tissue factor at areas of endothelial or tissue injury to blood components and the

141 interaction of activated factor VII (FVIIa) with exposed tissue factor (TF).

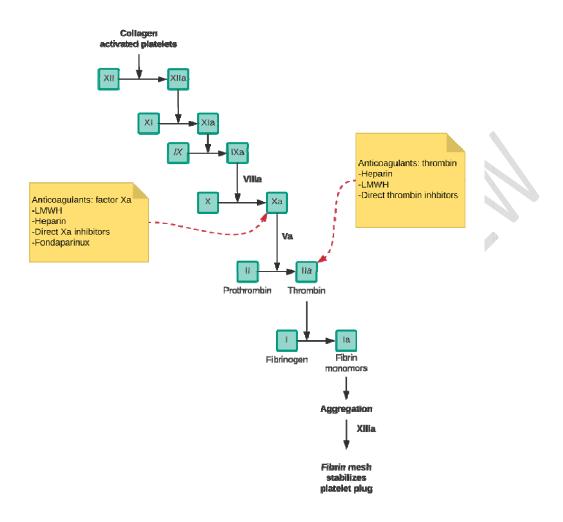
- 142 Tissue factor may be found in leukocytes or platelets or in bloodborne cell-
- 143 derived microparticles. The TF-FVIIa complex then activates factors IX (FIX)
- and X (FX), leading to the release and activation of factor V (FV) from platelet
- 145 α -granules. Activated FX (FXa) links with activated FV (FVa) converting factor

146 II (FII, also known as prothrombin) to thrombin (FIIa). Thrombin then converts

147 fibrinogen to fibrin and overlapping fibrin strands are cross-linked and

- stabilized by activated factor XIII (FXIIIa) [16].
- 149 Several antithrombotic factors regulate coagulation and limit the production of
- thrombin to prevent the perpetuation of coagulation and thrombus formation;
- these include protein C/protein S, antithrombin, heparin cofactor and tissue
- 152 factor pathway inhibitor (TFPI) [15]. Different anticoagulant agents work on
- this pathway; these include Heparin, LMWH, direct thrombin inhibitors, direct
- 154 Xa inhibitors, fondaparinux and thrombolytics such as alteplase. Coagulation

- cascade and sites of action of the anticoagulants have been mentioned below in
- the Figure 2.



- **Figure 2.** Illustrates and summarizes the coagulation cascade and the sites of
- action of different therapeutic agents.
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161 Antiplatelet Agents

- 162 Antiplatelet agents play a major role in the management of cerebrovascular,
- 163 peripheral vascular and cardiovascular diseases. Depending on the specific
- clinical indication and the patient's risk for thromboembolic or bleeding events,
- these agents can be used as monotherapy or combination therapy. A list of the
- currently available anti-platelet agents is provided in the Table 1.
- 167

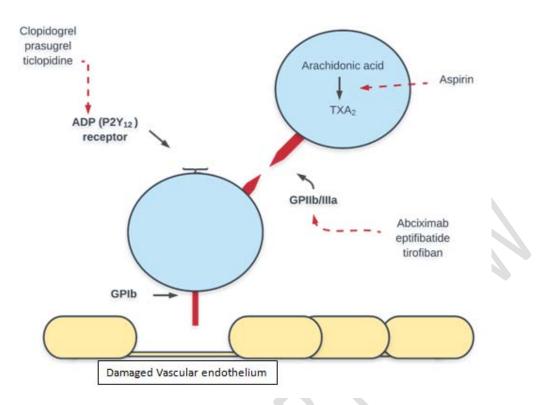
Table 1. List of the available anti-platelet medications (generic and brand

169 names).

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Drug generic name	Drug brand name
Aspirin / extended-release dipyridamole	Aggrenox
Aspirin / omeprazole	Yosprala
Aspirin extended-release	Durlaza
Cilostazol	Pletaal
Clopidogrel	Plavix
Dipyridamole	Persantine
Ticagrelor	Brilinta
Prasugrel	Effient
Ticlopidine	Ticlid
Vorapaxar	Zontivity
Abciximab	Reopro
Eptifibatide	Integrilin

171 172



174 *Figure 3.* Illustration of mechanism of action of different anti-platelet agents

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176 *Aspirin*

177 Aspirin is a non-steroidal anti inflammatory drug that works by irreversibly

inhibiting cyclo-oxygenase 1 and 2 by covalent acetylation. This leads to

decreased synthesis of prostaglandins and thromboxane A2 particularly in the

platelets. Thromboxane A2 is an important mediator that is essential for platelet

aggregation and therefore aspirin acts as an anti-aggregator of the platelets [17].
 Mechanism of action of various Antiplatelet agents has been described in the

183 Figure 3.

184 The therapeutic effects of aspirin are dose dependent. Antithrombotic effects of

aspirin have been reported with low doses (<300 mg/day), antipyretic, analgesic

effects are seen with Intermediate doses (300–2400 mg/day) and anti-

inflammatory effects are seen at High doses (2400–4000 mg/day) [18].

Potential side effects when aspirin is used as an anti-platelet medication include gastric erosion, gastric and duodenal ulceration. Side effects that are rarely seen

- when used as anti-platelet medication include nephropathy due to reduced blood
- 191 flow to the kidneys, interstitial nephritis, hepatotoxicity, asthma, and GI
- 192 bleeding [19].
- 193
- 194

195 Cilostazol and dipyridamole

- 196 Inhibition of platelet aggregation can be achieved by inhibiting certain
- intracellular signalling pathways. Cyclic adenosine monophosphate (cAMP) and
- 198 cyclic guanosine monophosphate (cGMP) are two critical intracellular second
- messengers with strong inhibitory activity on fundamental platelet functions.
- 200 These second messengers (cAMP and cGMP) are both metabolized by the class
- of enzymes known as Phosphodiesterases (PDEs), which catalyzes the
- hydrolysis of cAMP and cGMP, thus regulating platelet function.
- Platelets possess three PDE isoforms (PDE2, PDE3 and PDE5), with different
- selectivity for cAMP and cGMP. While PDE2 catalyzes the hydrolysis of both
- cAMP and cGMP (cAMP = cGMP), PDE3 preferentially catalyzes the
- hydrolysis of cAMP over cGMP (cAMP > cGMP) and PDE5 catalyzes the
- 207 hydrolysis of cGMP. The inhibition of PDEs may therefore exert a strong
- 208 platelet inhibitory effect.
- 209 Cilostazol, a specific and strong inhibitor of PDE3 in platelets and smooth
- muscle cells was approved in the USA in 1999 for the treatment of intermittent
- claudication. The overall effect of the drug is that it diminishes intracellular
- calcium, causing smooth muscle cell relaxation and inhibition of platelet
- activation. Cilostazol inhibits adenosine uptake, thus enhancing adenosine
- levels that in turn enhance intracellular cAMP, resulting in additional increases
- in cAMP. Cilostazol enhances the antiplatelet effects of the endothelium-
- derived prostacyclin (PGI2), which inhibits thrombosis in vivo and inhibits
- shear, stress-induced platelet aggregation in vitro and ex vivo. One potential
- benefit of the use of cilostazol over conventional antiplatelet therapy is the
- relatively short recovery time of platelet function. The most common adverse
- effects of cilostazol are headache, tachycardia, palpitations, soft stools and
- 221 diarrhoea [20-22].
- 222 Dipyridamole affects platelet function by acting on the following different
- targets: it inhibits the reuptake of adenosine by red blood cells, in this way
- enhancing plasma levels of this vasodilator and platelet inhibitory nucleoside; it
- acts as an inhibitor of PDE5 and PDE3, thus increasing intraplatelet cAMP
- and/or cGMP; and it acts as a antioxidant by scavenging free radicals that
- inactivate cyclo-oxygenase, thus enhancing PGI₂ biosynthesis. Combination
- therapy of aspirin and dipyridamole is more effective than aspirin alone in the
- prevention of new serious vascular events in patients after non-disabling
- cerebral ischaemia of presumed arterial origin [20, 23].

231 ADP receptor inhibitors

- Red blood cells, damaged endothelial cells and activated platelets release ADP,
- which is a potent activator of platelets which induces its adhesion and
- aggregation. The response of the platelets to ADP is mediated by a family of

- membrane bound receptors called P2 receptors. ADP binds to the P2Y 1 and 235
- P2X 1 receptors leading to platelet aggregation. The binding of ADP to its Gi -236
- coupled P2Y₁₂ receptor liberates the Gi protein subunits G α and G β y and this 237
- results in stabilisation of platelet aggregation. The subunit $G\alpha$ leads to inhibition 238
- of adenylyl cyclase (AC), which reduces cyclic adenosine monophosphate 239
- (cAMP) levels. This is also known to cause glyprotein IIb/IIIa receptor 240
- activation resulting in aggregation of platelets. Furthermore, $G\beta\gamma$ is known to 241
- independently cause platelet aggregation via phosphatidylinositol 3-kinase 242
- pathway, which can cause platelet aggregation. 243
- The thienopyridine compounds ticlopidine and clopidogrel were the first ADP 244
- inhibitors to be used clinically as antithrombotic drugs. Other agents in this 245
- category include; prasugrel and ticagrelor. These agents inhibit platelet 246
- aggregation by blocking the $P2Y_{12}$ receptor thus preventing the expression of 247
- glycoprotein IIb/IIIa on platelets surface. Ticlopidine and clopidogrel are 248
- effective antiplatelet agents and are useful in the prevention of stroke, 249
- myocardial infarction, and vascular death in patients with vascular disease. 250
- However, the major concern regarding safety of ticlopidine is severe and 251
- sometimes fatal blood dyscrasias which is not observed with Clopidogrel [24, 252 25]. S
- 253
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255 Vorapaxar

- **\$** Thrombin is a potent platelet activator which acts via cell-surface protease-256
- activated receptors (PARs) which are widely expressed in human platelets. 257
- Platelet activation through PAR-1 signalling results in extracellular ADP 258
- 259 release, resulting in activation of platelet ADP receptors, thereby promoting
- aggregation of platelets. The PAR-1 receptor blockade produces potent 260
- antiplatelet effects without affecting the ability of thrombin to generate fibrin 261
- and without inhibiting platelet activation by collagen. 262
- Vorapaxar, a first in its class, is an orally available PAR-1 antagonist approved 263 for patients with prior myocardial infarction or peripheral arterial disease and is 264 added to standard therapy for long-term secondary prevention of thrombotic CV 265 events. However, the use of this medication is associated with an increased risk 266 for bleeding due to which the prescribing information of the drug carries a 267 boxed warning for the same. Further, it is contraindicated in patients who have 268 history of stroke, TIA, or intracranial haemorrhage, because of an increased risk 269 for intracranial haemorrhage in these patient populations. [26]. 270
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Glycoprotein IIb/IIIa inhibitors 272

- Abciximab, eptifibatide, tirofiban all bind the glycoprotein receptor IIb/IIIa on 273
- activated platelets, preventing aggregation. Glycoprotein IIb/IIIa inhibitors have 274

been shown to reduce cardiac complications in patients undergoing

percutaneous coronary intervention and also to reduce the mortality in patients

with acute coronary syndromes who are not routinely scheduled for early

revascularization [27].

279 Anticoagulant Agents:

280 There are a variety of parenteral and oral anticoagulants available for the

management of thromboembolic disease. Parenteral agents such as low

molecular weight heparin (LMWH), unfractionated heparin (UFH) and

fondaparinux are used for initial, and sometimes longer term, treatment. On the

other hand Vitamin K antagonists are used for long-term treatment. The

available oral antagonists consist of warfarin, rivaroxaban, dabigatran,

edoxaban and apixaban. A list of available anticoagulants have been mentionedin Table 2 [28, 29].

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Table 2: List of available anti-coagulants, their generic name, brand name and route of administration (ROA).

291 292

Drug generic name	Drug brand name	ROA
Heparin		Intravenous/Subcutaneous
Fondaparinux	Arixtra	Intravenous/Subcutaneous
Dalteparin	Fragmin	Intravenous/Subcutaneous
Enoxaparin 🧹	Lovenox	Intravenous/Subcutaneous
Warfarin	Coumadin	Oral
Rivaroxaban	Xarelto	Oral
Dabigatran	Pradaxa	Oral
Edoxaban	Savaysa	Oral
Apixaban	Eliquis	Oral

293

294 Vitamin K antagonists (VKA)

295 Warfarin is the most widely used anticoagulant in the world. It is most

commonly used to prevent embolic strokes in patients with atrial fibrillation.

297 Warfarin is a Vitamin K antagonist that interferes with γ -carboxylation of

vitamin K-dependent clotting factors II, VII, IX, and X, and proteins C and S.

The main adverse effect associated with warfarin is bleeding. The patients on 299 warfarin therapy are monitored regularly by measuring International 300 Normalized Ratio (INR). The target range for INR in patients is between 2 and 301 3. An INR over 3 increases the risk for bleeding whereas an INR below the 302 level of 2 increases the risk for thrombosis. Warfarin's narrow therapeutic index 303 makes it difficult to maintain this predefined target range of INR. This problem 304 is additionally compounded by the individual and pharmacogenomic variations 305 that effect the warfarin metabolism as well as the drug interactions associated 306 with the therapy. Polymorphisms in the genes encoding CYP2C9, (Hepatic 307 cytochrome that metabolizes warfarin) and VKORC1, (vitamin K epoxide 308 reductase, an enzyme that warfarin inhibits) have been shown to be the major 309 determinants of warfarin dosage. Warfarin also interferes with the formation of 310 γ -carboxyglutamate proteins that is an essential part of osteocalcin, a bone 311 regulating protein. This effect contributes to the teratogenic effects ranging 312 from skeletal to cartilaginous malformations [30, 31, 32]. 313

314

315 Direct oral anticoagulants

The treatment of venous thromboembolism (VTE) traditionally consists of

- initial low-molecular-weight heparin (LMWH) or unfractionated heparin
- followed by warfarin for at least 3 months. Recently, the development and
- introduction of direct oral anticoagulants have proven to be an effective and safe
- alternative [33]. These agents work by inhibiting factor Xa and IIa (thrombin) in
- the coagulation cascade leading to inhibition of fibrin formation. Dabigatran is a
- potent, competitive inhibitor of thrombin, while Apixaban, edoxaban and
- rivaroxaban all selectively inhibit factor Xa.
- Advantages of direct oral anticoagulants, unlike Vitamin K antagonists (VKA)
- like warfarin is that their therapy does not require frequent laboratory
- monitoring and subsequent dose adjustments, which represents a major shift
- from the traditional VKA-based therapies. Direct oral anticoagulants for the
- treatment and prevention of thromboembolic disorders represent a major shift
- from the traditional VKA-based therapies. Four direct oral anticoagulants
- apixaban, dabigatran, edoxaban and rivaroxaban have completed large phase III
- clinical studies and have been currently approved by the FDA for treatment and
- prophylaxis of various thromboembolic conditions. These direct oral
- anticoagulants bind directly to key proteins of the clotting cascade, leading to
- the inhibition of fibrin formation.
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336 Heparin and LMW heparin

- Heparins, including unfractionated heparin and a variety of low molecular
- weight (LMW) heparin products have been used for over eighty years as an
- anticoagulant in treatment and prophylaxis of venous thromboembolism,

- Coronary Artery Disease, Unstable Angina and Non–Q-Wave Myocardial 340
- infarction, Acute Myocardial infarction, Coronary Thrombolysis, Coronary 341
- Angioplasty, Atrial Fibrillation, etc. These agents act indirectly by binding to 342
- anti-thrombin (AT) rather than acting directly on the coagulation factors. This 343
- interaction converts AT to a rapid inactivator of factor IIa and factor Xa [34]. 344
- Unfractionated heparin, LMW heparin (enoxaparin, dalteparin) and 345
- fondaparinux all inactivate factor Xa, but unfractionated heparin is a much more 346
- efficient inactivator of thrombin [35, 36]. Unfractionated heparin generally is 347
- administered intravenously as an initial bolus followed by a continuous infusion 348
- using a heparin nomogram while LMW heparin is administered subcutaneously 349
- in fixed or weight-based dosing without monitoring [37]. 350
- The limitations of heparin use include osteopenia and heparin-induced 351
- thrombocytopenia (HIT). Osteopenia is caused as a result of binding of heparin 352
- to osteoblasts, which then release factors that activate osteoclasts, whereas HIT 353
- results from heparin binding to a platelet factor, forming an epitope to which the 354
- antibody binds. The dosage regimen of the heparins are calculated based on the 355
- body weight and therefore there is a possibility of an inadvertent overexposure. 356
- In case of Unfractionated heparin, Protamine sulfate is used as an antidote to 357
- counter the excess heparin. However there are no clear guidelines for 358
- management of toxicity of LMW heparins [38, 39] 359
- 360

Conclusion: 361

Cardiovascular events such as myocardial infarction, stroke, deep-venous 362 thrombosis, and pulmonary embolism are the most common life-threatening 363 conditions that are required to be treated on a timely basis and therefore prompt 364 recognition and management is vital. Drugs such as anti-platelet therapy and 365 anti coagulants are frequently used in clinical settings. It is imperative that the 366 physicians have a thorough understanding of these agents. An insight into the 367 mechanism of how these medications act serves as a prelude to understanding to 368 the pharmacology of these drugs. 369 \mathbf{i}

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