

1 **APPLICATION OF RESPONSE SURFACE METHODOLOGY IN OPTIMIZING**
2 **BIOETHANOL PRODUCTION FROM CALABASH (*Crescentia cujete*) SUBSTRATE**
3 **USING *Saccharomyces cerevisiae*.**

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7 **Abstract**

8 **Aim:**

9 The study employed the Response surface methodology (RSM) model to optimize ethanol
10 production from Calabash (*Crescentia cujete*) pulp juice using *Saccharomyces cerevisiae*.

11 **Study Design**

12 The Calabash pulp was squeezed with muslin cloth, and vacuum filtered to clear solution before
13 use. The clear juice was tested for reducing sugars using the Dinitrosalicylic acid (DNS) method.
14 Twenty three runs (23), including 3 controls, of the fermentation was conducted at varying
15 temperatures, pH, and volumes of inoculum. The process parameters (input variables): volumes
16 of inoculum, temperature, and pH were subjected to response surface model, using the Central
17 composite design (CCD).

18 **Place and Duration of Study:**

19 This study was carried out at the Environmental Microbiology Laboratory, University of Port
20 Harcourt for six months.

21 **Methodology:**

22 Fermentation was done in conical flasks covered with cotton wool and foil in a stationary
23 incubator for four days (96 hours). Active stock culture of *Saccharomyces cerevisiae* was used,
24 with inoculum developed using Marcfaland's method. Samples were collected every 24 hours,
25 centrifuged, filtered and analyzed for measurement of the output variables: reducing sugar, cell
26 density and ethanol concentration.

27 **Results:**

28 The concentration of reducing sugars from Calabash pulp was 3.2 mg/ml. Results obtained also
29 revealed that the fermentation can take place on a wide range of temperature; 25-40⁰C . The
30 optimal pH range for performance of *S.cerevisiae* for the fermentation process was pH 5.0-6.5.
31 The optimum volume of inoculum was 5.5%v/v (ie 5.5 ml in 94.5ml juice). The optimized
32 process using the RSM model gave 6.19% v/v bioethanol.

33 **Control:**

34 The bioethanol yield from Calabash substrate is reasonable considering the concentration of
35 reducing sugars obtained from the juice and the duration of the fermentation.

1 Key words: Calabash juice, fermentation, optimization, Response surface methodology and
2 bioethanol.

3 **Introduction**

4 Bioethanol is an energy source of the future(Igwe *et al.*, 2012).It is a fermentation product of
5 various sugar sources including; sugar cane, corn, cassava, grasses and organic wastes, etc
6 (Gumienna *et al.*, 2013, Nwogwugwu, 2017).

7 There is increased search for renewable and alternative energy sources. This is due to uncertainty
8 in oil prices and effects of climate change due to the continuous use of fossil fuel (Bhatia *et*
9 *al.*,2012, Nwogwugwu,2017).

10 Bioethanol-petrol blends are available as ‘gasohol’; E10 as 1:9 ethanol/petrol or 10% ethanol and
11 90% petrol (Magdalena, 2012, Nwogwugwu, 2017).

12 Calabash (*Crescentia cujete*) is a lesser used plant in Nigeria. It is a perennial tree, producing
13 flowers and fruits throughout the year. The fruits are round and large, with diameter of 12-14 cm
14 (Edward and Dennis, 2014; Nwogwugwu, 2017).the pulp is not edible in Nigeria (Ogbuagu,
15 2008), and so was used as a substrate in this study. It is usually thrown away as waste, while the
16 shell(gourd) was used as containers, musical instruments, in decoration by artists, for storing
17 food materials, for fishing and processing of ‘garri’ and ‘fura de nunu’ (Ejelonu *et al.*, 2011;
18 Nwogwugwu, 2017).

19 Calabash pulp contains sugars which have been determined spectroscopically (Ejelonu *et al.*,
20 2011; Nwogwugwu *et al.*, 2016).it was on this inference that we used the pulp for bioethanol
21 production since it has been established that it contains fermentable sugars.

22 The experimental design used for the fermentation was the Response surface methodology
23 (RSM). Fermentation lasted for 96 hours/ four days. This model helps to define the relationships
24 between multiple variables at the same time (Asadi and Zilouei, 2017; Nwogwugwu,2017). This
25 methodology has been applied in a lot of chemical and biochemical processes inorder to get
26 optimal yield in industrial processes (Wang *et al.*, 2013). This optimization process is aimed at
27 increasing the yield of the final product.

28 The Nigeria’s biofuel policy of 10% (bioethanol) and 20% biodiesel has been gazette as
29 Incentives No. 72 vol. 94 of June, 20, 2007. This target is expected to reach 2.0 billion litres by
30 the year 2020(Oshewolo, 2012;Nwogwugwu, 2017).

31 The relevance of RSM in this study, and as part of efforts to meet up with this target; is to
32 provide a good reference for future industrial production of bioethanol from Calabash juice.
33 There is need to explore other organic sources in the production of bioethanol.

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37 **Materials and Methods:**

1 **Isolation of the microbial strain**

2 The yeast *Saccharomyces cerevisiae* was isolated from palm wine. Standard solid medium of
3 composition: yeast extract 10g/l, peptone 20g/l, glucose 20g/l, agar 15g/l, pH 6.8 was used.
4 Glucose was filter sterilized and added after autoclaving the other ingredients (Piyapong *et al.*,
5 2014; Nwogwugwu, 2017).

6 **Preparation of Calabash juice**

7 **Calabash** was sourced from homes where they are used as hedges, and serve as shades from the
8 sun. The pulp juices were squeezed out with muslin cloth and concentrated at 50 for 4 hours.
9 Juices were further subjected to vacuum filtration using Whatman No 1 filter paper of
10 dimension 12.5cm. It was sterilized at 121⁰c and 15 psi for 10 minutes, allowed to cool before
11 used for fermentation.

12 **Molecular Characterization of the isolate, *Saccharomyces cerevisiae***

13 DNA extraction and 16S rRNA sequencing was done on the isolate. Further phylogenetic
14 analysis was done and sequences matched with National Biotechnology Information Center
15 (NCBI) database using Blast N, and linked using Clustal X (Saitou and Nei, 1987; Jukes and
16 Cantor, 1969).

17 **Fermentation of Calabash Juice**

18 **Experimental Design**

19 The fermentation was run with the Calabash juice in 100 ml amounts, using 250 ml capacity
20 Erlenmeyer flask, sterilized at 160⁰C for 1 hour. The juice was inoculated with *Saccharomyces*
21 *cereviae* in changeable amounts following the Response surface methodology model (RSM), and
22 covered with cotton wool and aluminium foil. A set of 23 replicates was used, including controls,
23 at varying pH and volumes of inocula. These were incubated at temperatures 25⁰C, 32.5⁰C and
24 40⁰C, based on the RSM for 96 hours in a stationary culture. Samples were set aside after 24
25 hours to check the concentrations of reducing sugar, ethanol, pH changes and cell density as the
26 fermentation progressed.

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DAY 1

Saccharomyces cerevisiae

Run	pH	Temp(°C)	Vol.(ml)	Cell Density(OD)	Reducing sugar(g/l)	Ethanol concentration (%v/v)
1	5.4	25	8	0.796	3.227	1.309
2	5.4	32.5	5.5	0.711	2.931	4.712
3	5.45	32.5	5.5	0.865	2.668	6.401
4	5.45	32.5	5.5	0.933	3.163	9.463
5	5.5	32.5	5.5	0.904	3.079	5.806
6	5.5	25	8	0.780	3.204	2.124
7	5.5	40	3	0.268	2.703	0.241
8	5.5	25	3	0.801	3.148	1.115
9	5.5	40	8	0.348	2.739	0.593
10	5.45	25	5.5	0.826	3.179	1.516
11	5.45	40	5.5	0.356	2.825	0.192
12	5.4	40	3	0.361	2.797	0.168
13	5.45	32.5	5.5	0.821	3.328	5.927
14	5.4	40	8	0.362	2.999	0.192
15	5.4	25	3	0.841	3.199	2.063
16	5.45	32.5	8	0.932	3.353	5.721
17	5.45	32.5	5.5	0.883	3.214	6.194
18	5.45	32.5	5.5	0.871	3.239	5.235
19	5.45	32.5	5.5	0.859	3.350	6.389
20	5.45	32.5	3	0.796	3.386	4.809

1 **DAY 2**

2 *Saccharomyces cerevisiae*

Run	pH	Temp (°C)	Vol.(ml)	Cell Density (OD)	Reducing sugar (g/l)	Ethanol concentration (%v/v)
1	5.4	25	8	0.826	3.199	1.467
2	5.4	32.5	5.5	0.734	2.921	3.351
3	5.45	32.5	5.5	0.868	2.642	5.186
4	5.45	32.5	5.5	0.943	3.138	8.089
5	5.5	32.5	5.5	0.910	3.055	4.712
6	5.5	25	8	0.841	3.173	2.574
7	5.5	40	3	0.333	2.670	1.674
8	5.5	25	3	0.870	3.123	2.294
9	5.5	40	8	0.439	2.708	1.881
10	5.45	25	5.5	0.865	3.148	1.711
11	5.45	40	5.5	0.469	2.799	1.236
12	5.4	40	3	0.434	2.749	1.723
13	5.45	32.5	5.5	0.832	3.302	4.566
14	5.4	40	8	0.440	2.976	1.844
15	5.4	25	3	0.880	3.148	3.157
16	5.45	32.5	8	0.938	3.345	4.323
17	5.45	32.5	5.5	0.893	3.194	4.857
18	5.45	32.5	5.5	0.878	3.214	3.812
19	5.45	32.5	5.5	0.869	3.342	4.979
20	5.45	32.5	3	0.798	3.636	3.484

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DAY 3

2 *Saccharomyces cerevisiae*

Run	pH	Temp (°C)	Vol.(ml)	Cell Density (OD)	Reducing sugar (g/l)	Ethanol concentration (%v/v)
1	5.4	25	8	0.856	2.822	3.569
2	5.4	32.5	5.5	0.734	2.567	3.655
3	5.45	32.5	5.5	0.868	2.561	5.429
4	5.45	32.5	5.5	0.943	2.880	8.369
5	5.5	32.5	5.5	0.910	3.042	5.186
6	5.5	25	8	0.861	2.658	2.343
7	5.5	40	3	0.699	2.597	1.371
8	5.5	25	3	0.890	2.897	3.752
9	5.5	40	8	0.691	2.582	1.589
10	5.45	25	5.5	0.889	2.569	3.132
11	5.45	40	5.5	0.652	2.562	1.030
12	5.4	40	3	0.612	2.496	1.419
13	5.45	32.5	5.5	0.832	3.103	5.016
14	5.4	40	8	0.643	2.471	1.516
15	5.4	25	3	0.895	2.468	3.569
16	5.45	32.5	8	0.938	3.042	4.699
17	5.45	32.5	5.5	0.893	2.941	5.162
18	5.45	32.5	5.5	0.878	2.946	4.092
19	5.45	32.5	5.5	0.869	3.118	5.283
20	5.45	32.5	3	0.798	3.087	3.971

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DAY 4

2 *Saccharomyces cerevisiae*

Run	pH	Temp (°C)	Vol.(ml)	Cell Density (OD)	Reducing sugar (g/l)	Ethanol concentration (%v/v)
1	5.4	25	8	0.882	2.314	0.666
2	5.4	32.5	5.5	0.760	2.061	3.776
3	5.45	32.5	5.5	0.892	2.142	5.550
4	5.45	32.5	5.5	0.962	2.415	8.515
5	5.5	32.5	5.5	0.932	2.612	5.332
6	5.5	25	8	0.916	2.147	0.848
7	5.5	40	3	0.892	2.339	1.286
8	5.5	25	3	0.911	2.266	1.784
9	5.5	40	8	0.791	2.066	1.479
10	5.45	25	5.5	0.939	1.935	1.128
11	5.45	40	5.5	0.780	2.288	0.994
12	5.4	40	3	0.762	2.192	1.383
13	5.45	32.5	5.5	0.846	3.027	5.186
14	5.4	40	8	0.780	2.061	1.443
15	5.4	25	3	0.955	1.871	0.787
16	5.45	32.5	8	0.962	2.976	5.064
17	5.45	32.5	5.5	0.902	2.890	5.332
18	5.45	32.5	5.5	0.898	2.926	4.554
19	5.45	32.5	5.5	0.892	3.045	5.526
20	5.45	32.5	3	0.832	3.027	4.092

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1 **Application of Response Surface Methodology (RSM)**

2 The RSM establishes functional relationships between a variable of interest, known as the
3 response/ dependent/ output variable (y) and a number of associated independent/input/control
4 variables denoted by

5 X_1, X_2, \dots, X_n

6 The polynomial model of this form approximates such relationships thus:

7 $Y = f(x)\beta + (\epsilon) \text{-----}(1)$

8 Where

9 $X = (X_1, X_2, \dots, X_n)$, $f(x)$ is a vector function of p elements consisting of powers
10 and cross products of powers of X_1, X_2, \dots, X_n up to a certain degree denoted by $d (\geq 1)$, β is a
11 vector of unknown constant coefficients referred to as parameters, and ϵ is a random
12 experimental error assumed to have a mean of zero.

13 The equation (1) above is assumed to provide an adequate representation of the response. This
14 implies that the quantity $f(x)\beta$ represents the mean response, that is, the expected value of y .

15 **Physicochemical Analysis of the Juice**

16 Determination of Reducing Sugars

17 Dinitrosalicylic acid (DNS) method was used.

18 Standard glucose solution was prepared and a standard curve plotted to extrapolate the
19 concentration of the unknown sample in mg/ml.

20 One milliliter (1 ml) of the sample was measured and 2 ml of dinitrosalicylic acid reagent(DNS)
21 added in a clean test tube. It was placed in a boiling water bath for 5 minutes. It was left to cool
22 and 7 ml of distilled water added. The absorbance was read at 540nm using blank as control.

23 **Determination of ethanol concentration**

24 The potassium dichromate method was used.

25 Ethanol calibration curve was plotted using 20% absolute ethanol. Five milliliter (5 ml) of the
26 sample was taken and 2 ml of acidified potassium dichromate solution added; allowed for color
27 development and absorbance read at 588 nm. The ethanol concentration of the sample was
28 extrapolated from the calibration curve.

29 **Recovery of ethanol**

30 Ethanol was recovered from the fermentation broth by distillation. Fermentation broth was
31 dispensed in a round- bottom flask fixed to a distillation column enclosed in a running tap water.
32 A quick-fit flask at the other end was used to collect the distillate. Heating mantle had
33 temperature adjusted to 78°C .

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Determination of ethanol concentration by gas chromatography (GC-FID)

To validate the qualitative and quantitative properties of bioethanol, gas –chromatography flame-ionization detector (GC-FID) was performed on the distillates from the test samples (Upendra *et al.*, 2013). Analysis was done using GC type: HP5890II. The GC was connected to a computer running peak simple software version 2.8. Oven temperature was set initially at 40⁰C for 2 minutes, 180⁰C final for 5 minutes at 15⁰C/min and then 300⁰C final at 20⁰C. two microliter (2µl) sample was mixed with 5% Acetonitrile at the ratio of 1:1, was injected manually at time zero 0, using a 5 µl Hamilton syringe and temperature cycle was started. Ethanol regularly came out at retention time equivalent to 65⁰C.

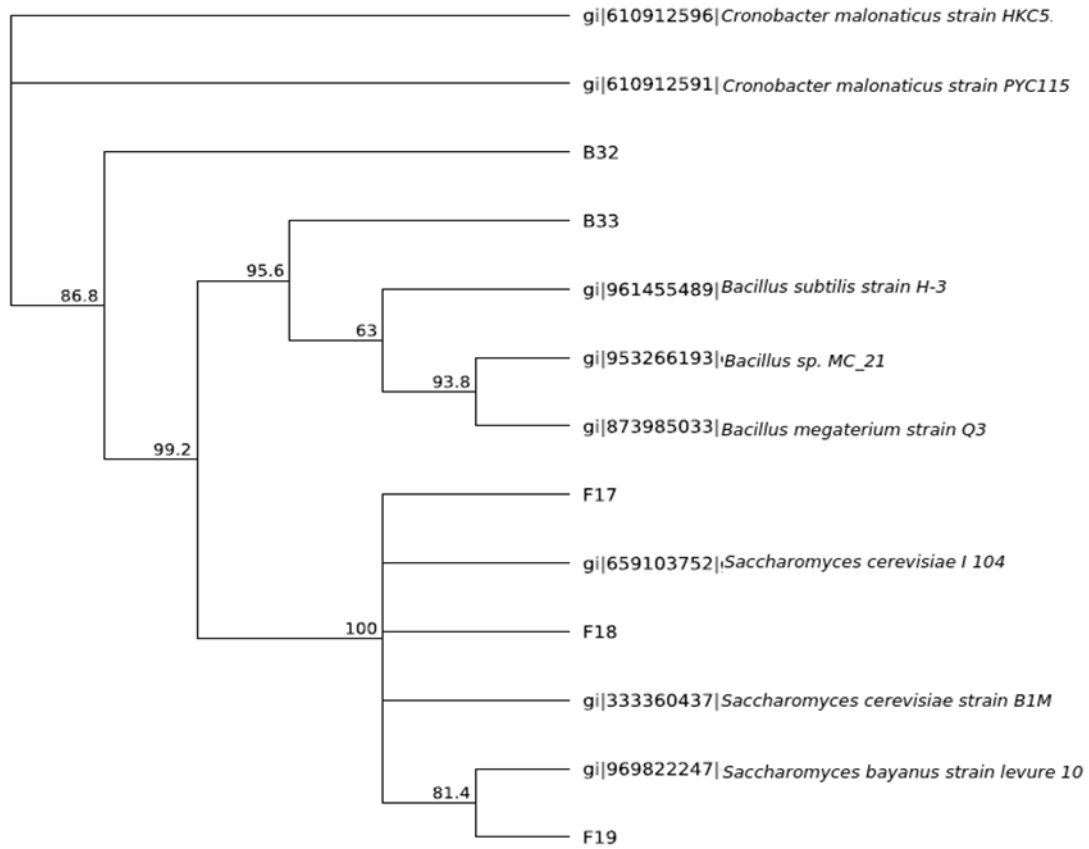
Results

Characterisation of the microbial strain

Table 1.0: Morphological and Biochemical Characteristics of Isolate from palm wine

Test /Attribute	Remark
Colonial Characteristics	Smooth creamish
Cell shape	spherical
Gram Reaction	+
Fermentation Tests	
Glucose	AG
Fructose	AG
Sucrose	AG
Galactose	AG
Lactose	–
Mannitol	–
Microorganism	<i>Saccharomyces cerevisiae</i>

Key: + positive; – no fermentation; AG Acid/ gas production



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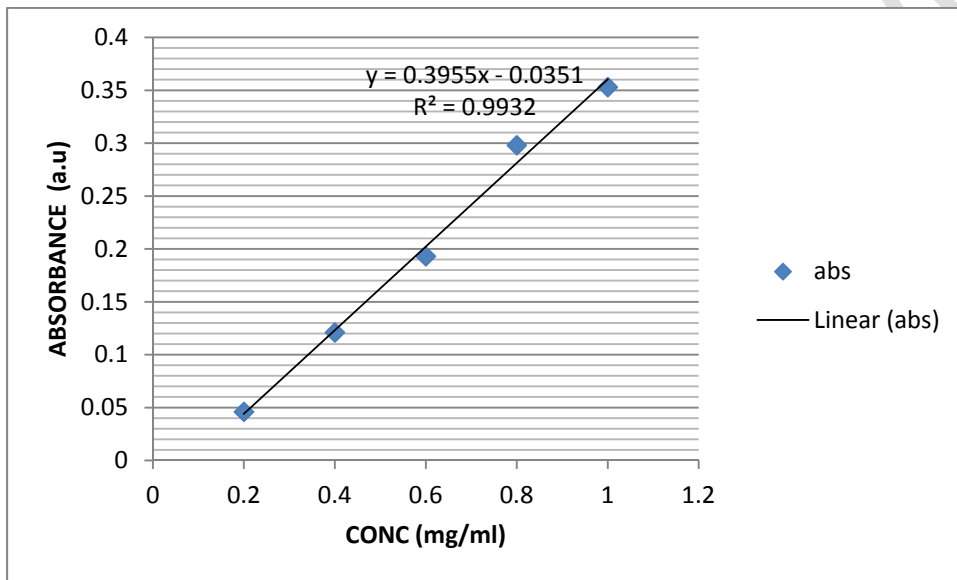
Fig 1.0 : Evolutionary relationship among the bacterial and yeast isolates from the study

1 **Determination of reducing sugars**

2 **Table 2.0 Absorbance Values for Glucose Calibration Curve**

Concentration (mg/ml)	Absorbance (a.u)
0.2	0.046
0.4	0.121
0.6	0.193
0.8	0.298
1	0.353

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5 **Fig.2.0 : Glucose Calibration Curve**

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7 The concentration of the calabash juice was extrapolated from the calibration curve, using the
8 mean absorbance value of 1.240 at 540 nm

9 Using the equation from the standard curve $y=0.3955x-0.0355x$

10 Reducing sugar = 3.22mg/ml.

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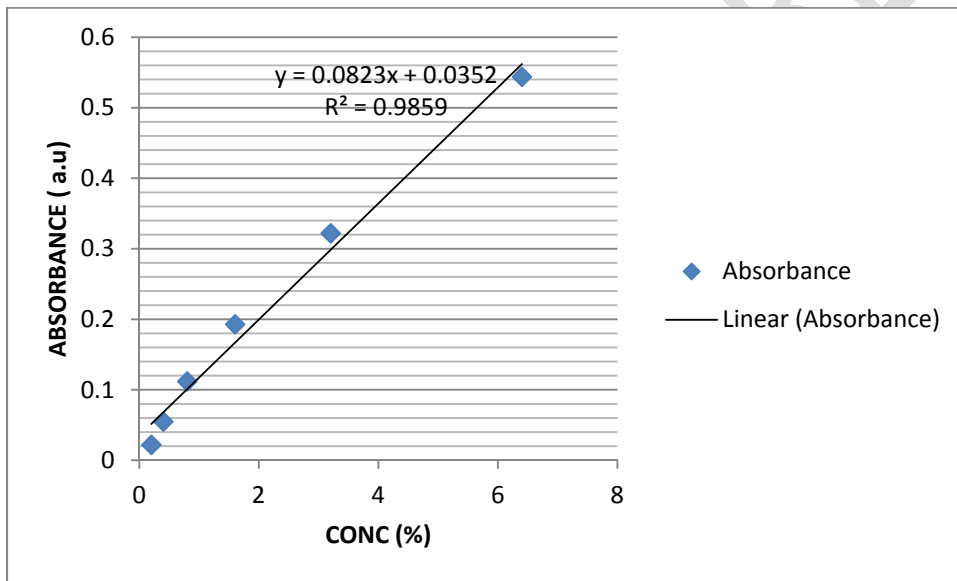
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1 **Determination of ethanol concentration**

2 **Table 3.0 Absorbance values for Ethanol Calibration Curve**

Ethanol concentration (%)	Absorbance (a.u)
0.2	0.022
0.4	0.055
0.8	0.112
1.6	0.193
3.2	0.322
6.4	0.544

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Fig.3.0: Ethanol Calibration Curve

1 **Optimisation of process parameters using RSM**

2 **Table 4.0 *Saccharomyces cerevisiae* – Optimized variables for Calabash pulp juice**

Time	pH	Temp. (°C)	Volume (ml)	Cell density (OD)	Reducing sugar (g/l)	Ethanol conc. (%v/v)	Desirability (d _i)
Day 1	5.45	32.50	5.5	0.8646	3.1682	6.1941	1.00 (100.0%)
Day 2	5.50	31.40	5.5	0.8668	2.9440	4.8728	0.770 (77.0%)
Day 3	5.46	30.08	5.5	0.9016	2.9253	5.2018	0.792 (79.2%)
Day 4	5.47	31.16	5.5	0.9062	2.6651	5.3312	0.755 (75.5%)

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4 **Table 5.0 Goodness of fit or Coefficient of determination (R²) for *Saccharomyces***
 5 ***cerevisiae* for Calabash**

Fermentation period	Model	Cell density	Reducing sugar	Ethanol concentration
Day 1	Quadratic	0.9631	0.6537	0.9010
Day 2	Quadratic	0.9588	0.6683	0.7080
Day 3	Quadratic	0.8742	0.7078	0.7538
Day 4	Quadratic	0.6232	0.7245	0.8612

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7 The coefficient of determination R²(goodness of fit), measures the degree of variability in the
 8 response variable that could be explained by the control variables. R² lies between 0 and 1
 9 (0≤R²≤+1).The closer the R² value to 1, the more reliable or predictive is the model.

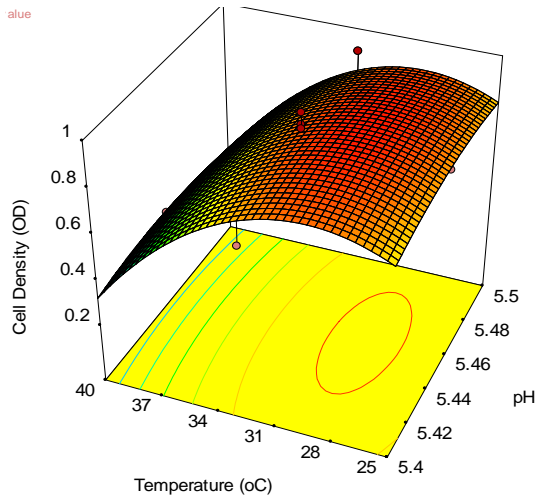
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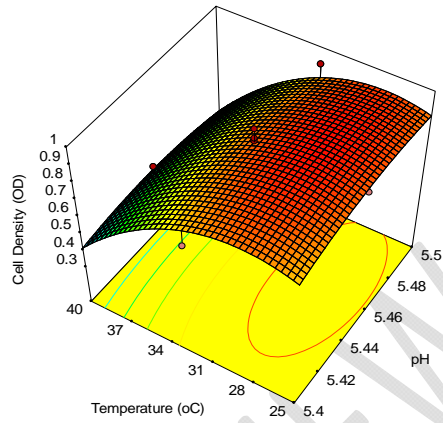
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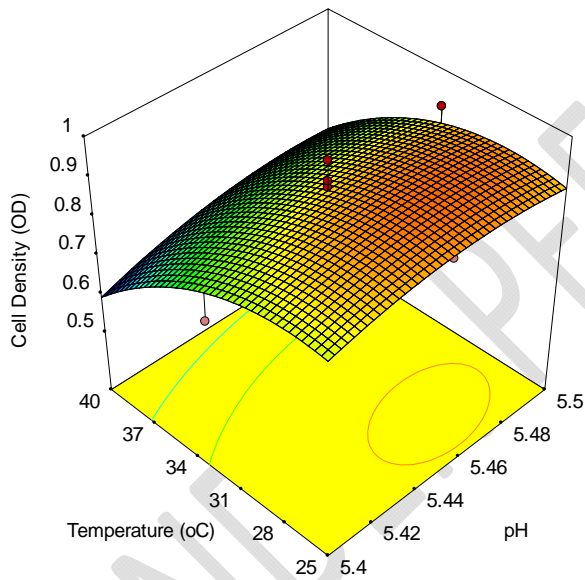
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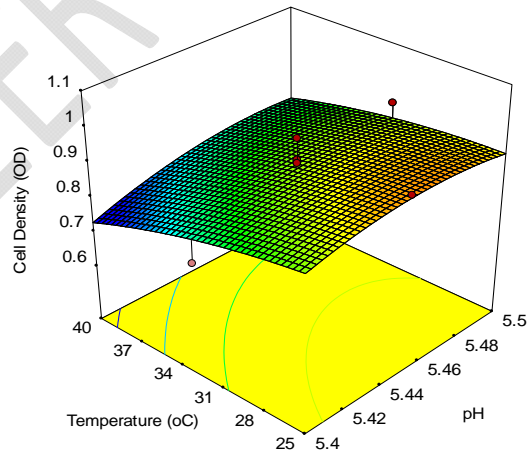
Day 1



Day 2



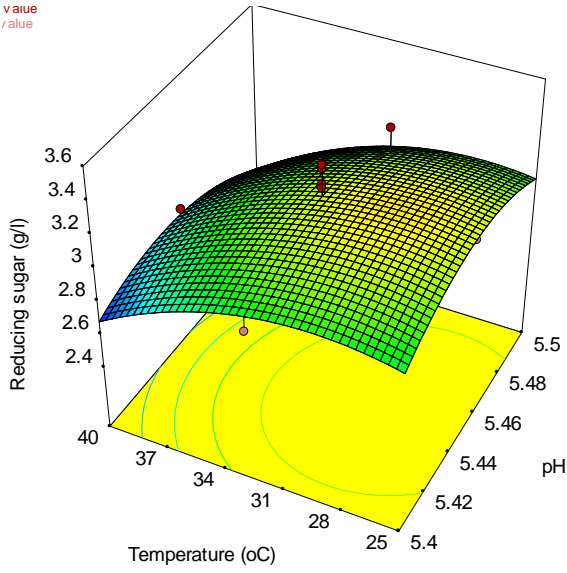
Day 3



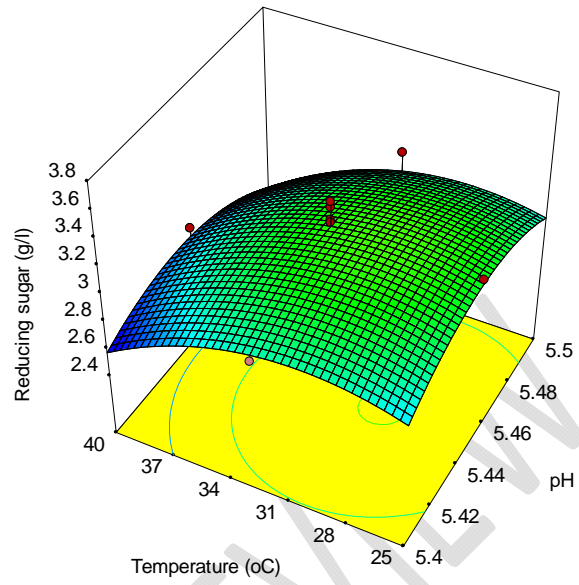
Day 4

Fig.4.0: Response surface attributes for Cell density for *Saccharomyces cerevisiae* for Calabash for 4 days

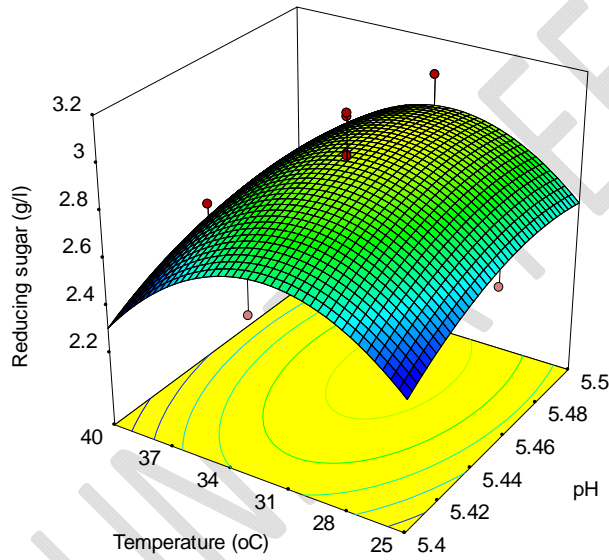
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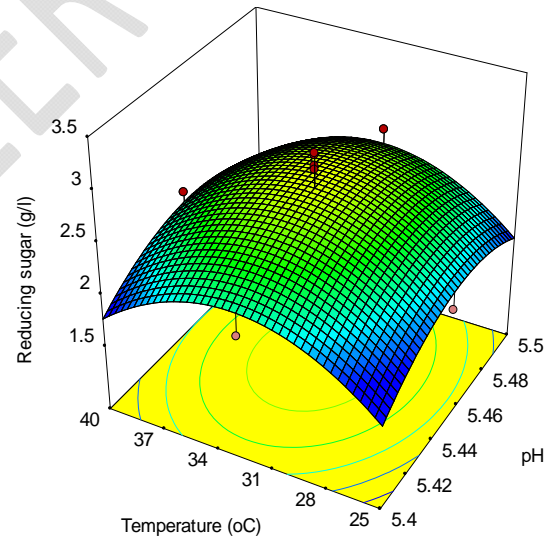
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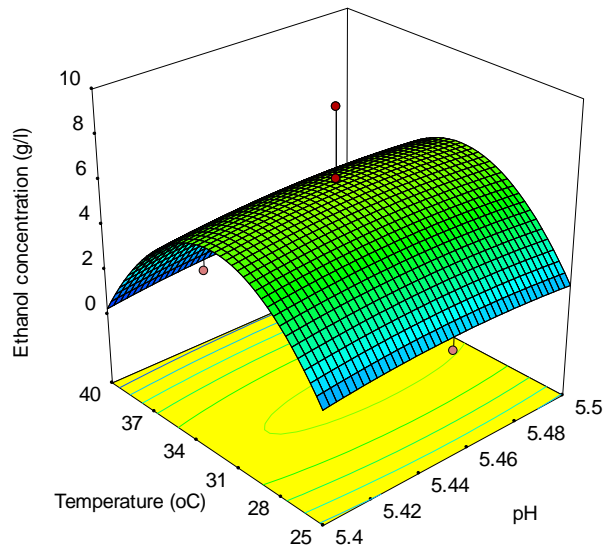


Day 3

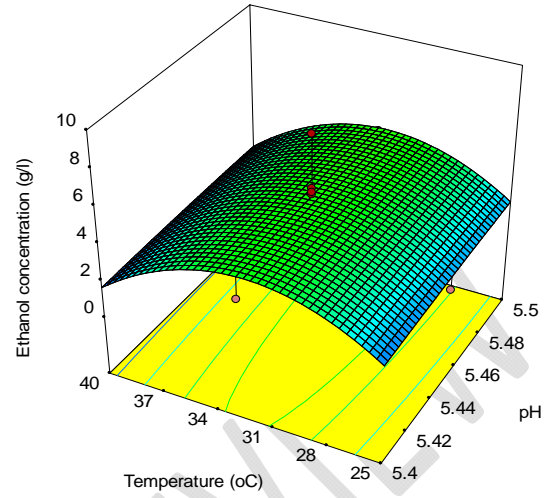


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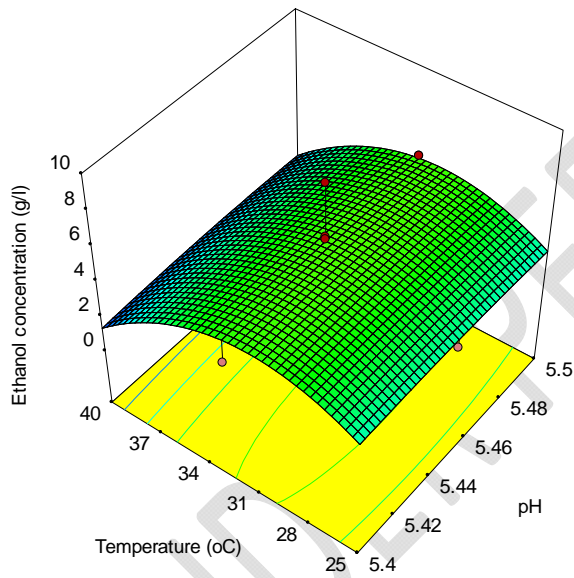
Fig.5.0: Response surface attribute for reducing sugar for *Saccharomyces cerevisiae* for Calabash for 4 days



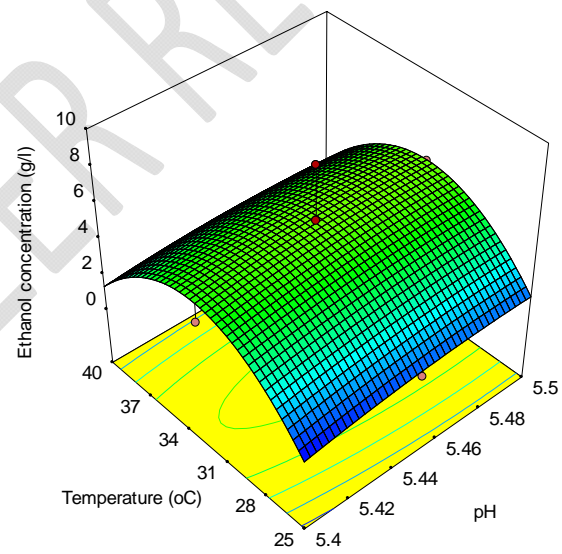
Day 1



Day 2

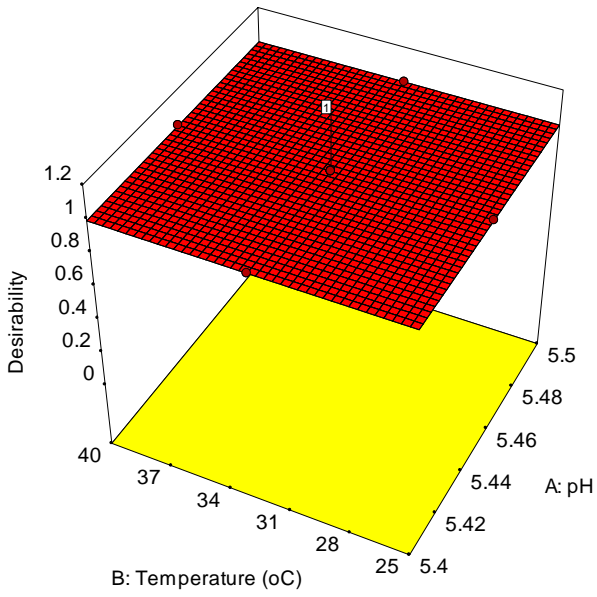


Day 3

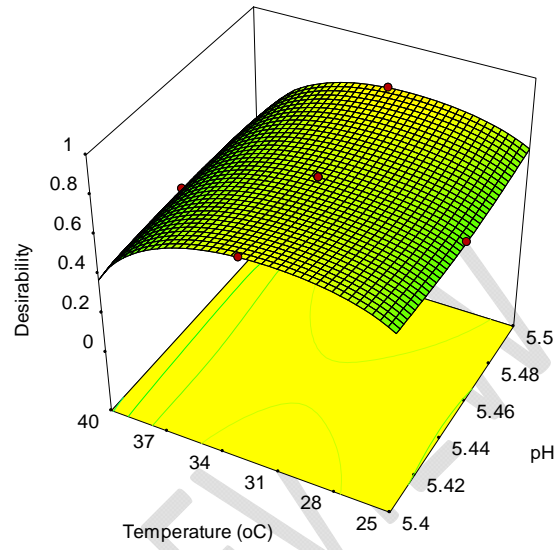


Day 4

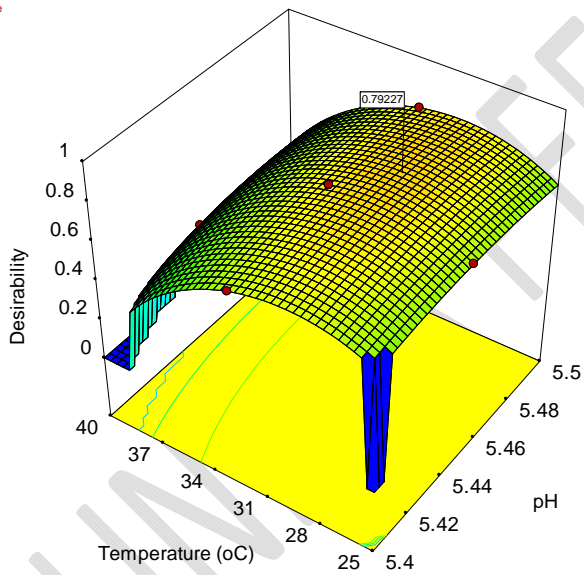
Fig.6.0: Response surface attributes of Ethanol concentration for *Saccharomyces cerevisiae* for calabash for 4 days



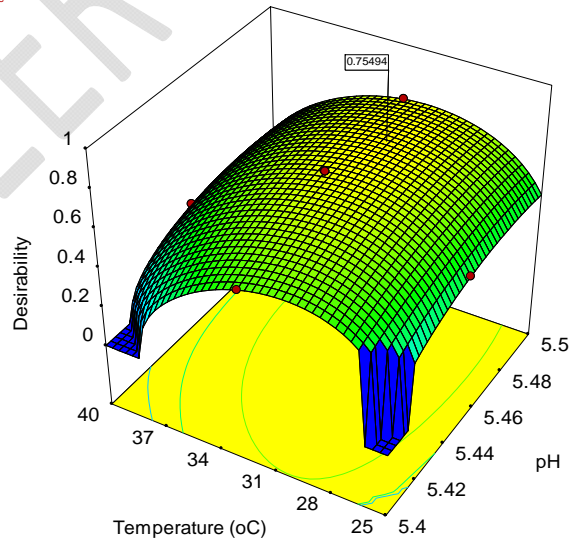
Day 1



Day 2



Day 3



Day 4

Fig.7.0: Response surface of desirability for optimized fermentation process for *S.cerevisiae* for Calabash for 4 days

1 Discussion

2 The reducing sugar content of Calabash juice determined using glucose calibration curve was
3 3.22mg/ml. The choice of substrate in this study was in line with reports by Gernah *et al.*,
4 (2014). He said that reducing sugars such as glucose and other hexoses and pentoses are easily
5 metabolised by several genera of microorganisms to industrial products such as biofuels.

6 *Saccharomyces cerevisiae* has widely been used in bioethanol production from carbohydrate
7 sources;and in the food industry(Nwachukwu *et al.*, 2006a).This yeast was isolated from natural
8 source and characterised using molecular characteristics and gene sequencing. This was to
9 establish the credibility of the fermentation, and the entire process.

10 Calabash pulp used in this study has carbohydrate content of 87.62%, hence can be hydrolysed to
11 smaller units for bioethanol production (Nwogwugwu, 2017).

12 The average concentration of bioethanol produced after 4 days from calabash pulp juice using *S.*
13 *cerevisiae* was 8.60%v/v. The optimization process by RSM revealed maximum ethanol
14 concentration of 6.19%v/v. Bioethanol production from corn cobs using co-culture of
15 *Aspergillus niger* and *Saccharomyces cerevisiae* yielded 10.08% v/v from 0.63 mg/ml reducing
16 sugar concentration, after 7 days(Itelima *et al.*, 2013).

17 Also optimisation of fermentation with *S.cerevisiae* on fruit pulp substrate yielded 63g/l ethanol
18 (Togarepi *et al.*, 2012). The average yield from guinea corn and millet husks were 26.83g/l and
19 18.3g/l respectively, representing concentrations of 67.7 and 63.8% respectively too (Oyeleke
20 and Jibrin, 2009).

21 *Sacchromyces cerevisiae* had optimal performance at pH 5.4, temperature 25-280C and 5.5%
22 volume of inoculum. These characteristics corroborate reports by Karhumaa *et al.*,(2005), that
23 *S.cerevisiae* has low nutrient requirement, resistance to high ethanol concentrations, tolerance to
24 pH, and general robustness.

25 GC-FID analysis of distillates from *S.cerevisiae* fermentation revealed a concentration of
26 1.25mg/l at 32.5⁰C.This was greater than that for the control sample. Bhatia *et al.*, (2013)
27 reported values of 4.38g/l ethanol from fruit rinds by GC- analysis using *S.cerevisiae*.

28

29 Conclusion:

30 *Saccharomyces cerevisiae* isolated from plant source, palm wine could ferment Calabash juice to
31 bioethanol. Response surface methodology (RSM) model used in this study revealed that this
32 fermentation could take place on a range of temperatures and pH,keeping the volume of
33 inoculum constant. This fermentation procedure is economically feasible because the substrates
34 are wastes, and the fermenting strain was sourced from the environment.

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