Abnormalities of hemoglobin and Glucose-6-Phosphate-Dehydrogenase deficiency in children with uncomplicated malaria and living in Banfora and Saponé, two different malaria setting of Burkina Faso

# 8 ABSTRACT

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**Aims**: The aim of this study is to assess the prevalence of hemoglobin abnormalities and G6PD deficiency and their respective influence on anemia occurring in less than five years old children with clinical *P. falciparum* malaria living in Burkina Faso.

**Study design**: The study was a cross-sectional survey with descriptive focus conducted from December 2010 to January 2013 in Saponé health district and from May to October 2011 in Banfora health district. Clinical and laboratory data were collected. Blood smears on slides for malaria diagnosis by microscopy, hemoglobin level and filter paper for the detection of human genetic factors were performed.

**Methodology**: A total of 386 subjects from Saponé (131) and Banfora (255) were enrolled. DNA collected from each sample was extracted using chelex-100 method and the human genetic resistance factors background was assessed by RFLP-PCR. Abnormal hemoglobin patients were classified as NonAA while AA was defined the normal hemoglobin

**Results**: In this study, 70.98% (274/386) were classified normal hemoglobin (AA) while 29.02% (112/386) of subjects were carrying at least one abnormal (NonAA) allele: 24.35%AC, 3.63% AS, 0.78%CC and 0.26%SC. G6PD deficiency was 9.59% (37/386) among which, 4.92% for male and 4.66% in female. However, this gender difference was not statistically significant (p=1.00). 319/367 (86.92%) of the patients were anemic (59.4% with moderate anemia and 20.98% with mild anemia). The prevalence of anemia in G6PD deficient subjects was 83.33% (of which 58.33% were moderate anemia and 22.22% middle anemia). The difference between types of hemoglobin (p=0.64) in the occurrence of anemia (AA 87.64% and Non AA 85.18%) was not statistically significant.

**Conclusion**: This study showed that the prevalence of these genetic factors was relatively low among children with clinical *falciparum* malaria with high parasite density. In addition, these factors appear to have no effect on anemia.

#### 11 **1. INTRODUCTION**

The incidence rate of malaria is estimated to have decreased by 21% between 2010 and 2015. The global tally of malaria in 2015 was 212 million new cases and 429,000 deaths [1]. Sub-Saharan Africa still accounts for a disproportionate share of the global burden of malaria with 90% of cases and 92% of deaths due to malaria [1]. Children under five years and pregnant women represent the most affected targets [2]. Some genetic disorders are known to affect malaria development and the prevalence of disease such sickle cell disease (SCD), thalassemia, glucose-6-phosphate dehydrogenase (G6PD) deficiency, and other red blood cell (RBC) genetic anemia [3].

- 19 About 5% of the worldwide population are healthy carriers of a sickle cell or thalassemic gene: with this 20 figure reaching 25% in some regions [4] and more than 300,000 children with severe hemoglobinopathy 21 are born every year [5]. Of all the hemoglobinopathies, the S-form or sickle-cell remains the most 22 widespread. It mainly affects African and is currently present on several continents because of the 23 population migration. In Burkina Faso, the prevalence of the sickle cell trait varies from 8 to 10% [6,7]. 24 Several authors have shown that heterozygous hemoglobinopathies (AS, AC) rarely have malaria [7]. 25 These hemoglobinopathies also appear to confer protection against severe anemias [8,9]. Glucose-6-26 Phosphate Dehydrogenase (G6PD) which is an enzyme present in the cytoplasm of all cells in the body is 27 involved in the first step of the metabolic pathway of pentose phosphates, thus producing NADPH [10]. 28 The G6PD deficit affects more than 400 million people worldwide [11]. G6PD deficit represents the most 29 frequent erythrocytic enzymopathy [12,13]. The global distribution of this enzymatic deficiency is particular 30 and the highest frequencies are observed in hyper-endemic malaria setting [14]. In Burkina Faso, the 31 prevalence of G6PD deficiency is estimated between 8 to 9% [6]. Previous studies (in vitro or in vivo) were 32 carried out to characterize on molecular, biochemical and cellular basis the mechanism that could underlie 33 the protection of the G6PD deficient subject against malaria [15,16]. Then, both hemoglobin abnormality 34 and G6PD deficiency seem to confer protection against malaria and prevent anemia [17]. 35 The aim of this study is to assess the distribution of beta-globin abnormalities and G6PD deficiency and
- their influence on the prevalence of anemia in children with *Plasmodium falciparum* malaria and living in two different malaria-endemic areas in Burkina Faso. This will provide data on the prevalence of these two abnormalities in a population with uncomplicated malaria in Burkina Faso.
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#### 40 2. METHODOLOGY

#### 41 2.1 Study sites

The study was conducted in two areas covering the health district of Banfora and Saponé. Banfora health district in the Comoé province is located in southwestern part of Burkina Faso, at about 450 km from Ouagadougou, the capital city of Burkina Faso, where malaria is endemic. Malaria transmission in that area is permanent with seasonal peaks during the rainy season from May to November. The health district of Saponé is located 50 Km south-west of Ouagadougou. In this area malaria transmission even seasonal

- 47 is short compared to the one of Banfora health district (June to October). According to the Ministry Health,
- 48 in Burkina Faso malaria incidence was 364‰ in 2010 and 413‰ in 2013 [18,19].

## 49 2.2 Study Population, design and period

50 A total of 386 children aged between 6 to 59 months were recruited. It was cross-sectional surveys with 51 descriptive focus conducted from December 2010 to January 2013 in Saponé health district and from May 52 to October 2011 in Banfora health district. The study was part of a clinical trial study, assessing the 53 efficacy of two Artemisinin combination therapies (ACT). The inclusion criteria were as followed : 1) fever 54 (axillary temperature  $\geq$  37.5°C) and/or a history of fever within the past 48 hours; 2) asexual *P. falciparum* 55 mono-infection identified microscopically on blood smears with parasite density between 2000 and 200000 56 parasites/µl of blood; 3) no history of anti-malarial drug administration in the last two weeks; 4) no history 57 of serious adverse effects to the study drugs (mefloquine, quinine, artesunate, chloroquine and sulphadoxine-pyrimethamine); 6) no evidence of a concomitant febrile illness; 7) no sign/symptoms of 58 59 severe malaria as defined by WHO.

#### 60 2.3 Ethical considerations

The study received approval from the Ethics Committee for Health of Burkina Faso before its implementation (DELIBERATION N<sup>0</sup>2011-9-59). It was conducted in accordance with good clinical and laboratory practice. In addition, written informed consent was obtained from the parents or guardians of all participating children before enrolment. Confidentiality of information was ensured by assigning identification numbers to subjects.

#### 66 2.4 Samples collection

For each subject included in this study, physical examination, capillary blood samples on slides, venous blood samples (1mL) and filter papers were collected. Slides were used for the diagnosis of malaria parasites, venous blood samples for hemoglobin concentration and the filter papers for the analysis of human genetic factors.

#### 71 **2.5 Malaria diagnosis by microscopy**

After making the thick and thin blood smears, the slides were air-dried. The thick and thin blood films were stained with Giemsa 6% for 35 min. The parasites were counted against 200 leukocytes and then extrapolated to parasites per microliter of blood. At least one hundred power film fields were examined before assigning a negative malaria diagnosis. The number of parasites per microliter of blood was calculated using the last full blood count of the patient or the theoretical value of 8000 leucocytes/µl. The Parasite Density (PD) was estimated using the following formula:

- 78 PD = N x 8000/X
- 79 With N = number of parasites counted and X = number of counted leucocytes or the value of the full blood
- 80 count.

Two expert microscopists who read each blood slide were blinded from each other's reading. All
 discordant readings were re-read by a third microscopist who was blinded from the previous results.

## 83 2.6 Hemoglobin concentration

84 Hemoglobin levels were determined using an ABX Pentra 60 hematology analyzer (HORIBA ABX SAS,

85 France) according to the CNRFP SOP. Daily internal quality controls were followed as quality measures

86 [20]. Analysis of samples was performed within 8 hours of blood draw.

## 87 2.7 Blood spots samples and DNA extraction

88 Blood from finger prick spotted onto Whatman filter Papers (Whatman 3 mm, GE Healthcare, Pittsburg, 89 USA), was labeled with patients' study numbers, air-dried, and individually placed into plastic bag marked 90 and containing a desiccant to protect against humidity. The bags were stored at room temperature until 91 DNA extraction. Parasite DNA was extracted using Chelex methods [21]. Briefly, three pieces of filter 92 paper was soaked overnight in a solution of 10% saponin in PBS and was subsequently washed in PBS. 93 Thus, 50 µl of 20% Chelex® 100 solution (Bio-Rad Laboratories) were added to 1.5 ml microcentrifuge 94 tube containing 3 fragments of filter paper sample. Then, 100 µl of sterile water were added and the 95 microcentrifuge tube placed onto a heating block at 95-100°C for 10 minutes of incubation. During the 96 incubation phase, the tube was gently whirled and returned to the heat block every two minutes. The 97 samples were centrifuged twice and the final supernatant about 150 µl was conserved in a new labeled 98 tube and stored at -20°C until it was used for the amplification reaction.

# 99 **2.8 Human genetic factors genotyping**

## 100 2.8.1 Hemoglobin genotyping

101 The hemoglobin in the  $\beta$ -chain of the globin gene at codon six was determined by using polymerase chain 102 reaction-restriction fragment length polymorphism (PCR-RFLP). The PCR conditions were as follows: one 103 (1) cycle of 5 min at 96°C, 30 cycles of 96°C for 30 secs, 60°C for 1 min, 72°C for 30 secs and 72°C for 7 104 min. DNA samples were amplified. The expected fragment length was 358 bases pairs (bp). The fragment obtained was digested for three hours at 37°C with MnII for discrimination between HbAA (173 bp, 109 bp, 105 106 and 60 bp), HbSS/HbCC and HbSC (173 bp, 109 bp, and 76 bp), HbAS/HbAC (173 bp, 109 bp, 76 bp and 107 60 bp). A second digestion with Ddel allowed for further discrimination for ambiguous results between 108 HbSS (331 bp), HbCC (201 bp and 130 bp), HbSC (130 bp, 201 bp and 331 bp), HbAS (130 bp, 201 bp 109 and 331 bp) and HbAC (201 bp and 130 bp). PCR products were analyzed by electrophoresis in a 1.5 % 110 agarose gel.

# 111 2.8.2 G6PD genotyping

112 DNA was amplified and analyzed for the presence or absence of one of the common G6PD mutations 113  $G \rightarrow A$  202 using Polymerase chain reaction-restriction fragment length polymorphism (PCR-RFLP) 114 method. PCR amplification was done using primers forward and reverse (Table 1). Details of the PCR-115 RFLP process are described elsewhere [22].

Genes	Primer name	Allelic-specific primers
Hemoglobin type	Forward	AGGAGCAGGGAGGGCAGGA
	Reverse	TCCAAGGGTAGACCACCAGC
G6PD type	Forward	GTGGCTGTTCCGGGATGGCCTTCG
	Reverse	CTTGAAGAAGGGCTCACTCTGTTTG

## 116 **Table 1: Primers sequences for hemoglobin type and G6PD amplification**

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# 118 2.9 Statistical Analysis

- 119 Double entry of data in Excel 2010 was performed and analyzed using R version 3.5.1 (2018-07-02). The
- 120 statistical tests done based on Pearson chi-square for the comparison of proportions and frequencies or
- 121 the Fisher test for the comparison of proportions when the theoretical number is less than 5; the Student
- test for comparison of means. P values were reported, with differences considered significant at p < .05.
- 123 First, we determined the prevalence of hemoglobin and G6PD type in the study area. After we compared
- 124 *P. falciparum* parasite density between normal subjects and those with abnormality, then we analyzed the
- 125 link between human genetic factors and anemia.
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# 128 **3. Results**

# 129 **3.1 Socio-demographic characteristics**

- A total of 386 subjects were enrolled, of whom 190 (49.22%) were male and 196 (50.78%) female. There is no predominance by gender (p = 0.72). The sex ratio M/F is 0.97. The majority of the population was 12-23 months old and 24-35 months old (28.50% and 27.20% respectively). On the other hand, children
- aged 6-11 months were the least represented, with 12.43%. The mean age was  $28.87 \pm 1.44$  months.

# 134 **3.2 Prevalence of human genetic factors**

- 135 The table 2 compares within each group the genotypic frequency observed with that expected from the
- 136 calculated allelic frequencies. The study population follows the Hardy-Weinberg equilibrium

Genotyp	e	Theoretical	Theoretical	Observed	Observed	р	IC (95%)
		number	frequency (%)	Number	frequency (%)		
AA		278	71,65	274	70,98	0,90	[66,13-75,41]
Non AA		110	28,35	112	29,02	0,90	[24,59-33,87]
	AC	85	21,90	94	24,35	0,47	[20,22-29,01]
Non AA	AS	15	3,86	14	3,63	1,00	[2,08- 6,15]
	CC	7	0,43	3	1,80	0,34	[0,20-2,45]
	SC	2	0,51	1	0,26	1,00	[0,01-1,66]

## 137 **Table 2: Genotypic frequencies of hemoglobin type**

All	388	100,00	386	100,00	0,47	[98,77-100,00]

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139 The prevalence of the normal hemoglobin type was higher than abnormal type (p<0.001). Overall, 274

- 140 (70.98%) children did not have abnormal hemoglobin type and 94 (24.35%) were HbAC carriers.
- 141 Hemoglobin types were similar among sites (Table 3).

# 142 **Table 3:** Distribution of subjects by hemoglobin type

Hemoglobin type	Site	All		
	Banfora	Saponé	p-value	
HbAA (n) (%)	100 (76.34%)	174 (68.23)	0.12	274 (70.98%)
HbAC (n) (%)	30 (22.90%)	64 (25.10)	0.72	94 (24.35%)
HbAS (n) (%)	1 (0.76%)	13 (5.10%)	0.06	14 (3.63%)
HbCC (n) (%)	0 (0.0)	3 (1.18%)	0.52	3 (0.78%)
HbSC (n) (%)	0 (0.0)	1 (0.39%)	1.00	1 (0.26%)
Total (n) (%)	131 (33.94%)	255 (66.06%)	NS	386 (100.00%)

143 The estimated prevalence of G6PD deficiency frequency (Table 4) in our population was 9.59% (37/386),

144 with a statistically significant difference between G6PD Deficient and G6PD Normal (P<0.001).

## 145 Table 4: Distribution of subjects by G6PD type

Parameters	G6PD type	G6PD type		
	G6PD Deficient	G6PD Normal	p-value	
Frequency (n) (%)	37 (9.59%)	349 (90.41%)	<0.001	386 (100.0%)
Male (n) (%)	19 (10.00%)	171 (90.00%)	<0.001	190 (49.22%)
Female (n) (%)	18 (9.18%)	178 (90.82%)	<0.001	196 (50.78%)

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## 147 3.3 Human genetic factors and *P. falciparum* parasite

There was no significant difference in the *P. falciparum* parasite means densities between hemoglobin and G6PD types (p=0.94 and p=0.87 respectively). However, the results showed (Table 5) significant difference in the means of gametocytes density Hemoglobin genotypes carriers (p<0.001).

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# 152 **Table 5:** Distribution of subjects by human genetic factors, gametocyte carriage and *P. falciparum*

#### 153 parasite density

Parameters		Gametocyte	Parasite	density	(parasites/µl)	95%
		carriage(n) (%)	confidenc	e interval (	CI)	
Hemoglobin type	HbAA (274)	22 (8.03%)	52167.93	[46745.80-	57590.06]	
	HbAC (94)	10 (10.64%)	47075.59	[38666.80-	55484.37]	
	HbAS (14)	3 (21.43%)	50084.64	[19602.83-8	80566.45]	

	HbCC (3)	1 (33.33%)	31527.67 [-33535.00-96590.34]
	HbSC (1)	1 (100.0%)	14081.00 NS
	p-value	0.005	0.94
G6PD type	Deficient (37)	4 (10.81%)	50407.26 [45722.75-55091.76]
	Normal (349)	33 (9.45%)	52346.89 [36934.72-67759.06]
	p-value	1.00	0.87
	All (386)	37 (9.58%)	50593.18 [46129.26-55057.09]

154 Note: HbAA: homozygous wild type genotype, HbAS: heterozygote sickle cell hemoglobin, HbAC: heterozygote 155 hemoglobin C, HbSC: heterozygote hemoglobin S and C, HbCC: homozygote hemoglobin C.

#### 156 3.4 Human genetic factors and anemia

157 Anemia types were classified following that of the WHO definition. The different ranges are: Normal

>11g/dl; Middle 10-10.9g/dl; Moderate 7-9.9g/dl; Severe <7g/dl. The mean values of hemoglobin rate</li>
 permit us to have all subjects' groups (when the population was subdivided by human genetic factors) with



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#### Fig. 1: Mean hemoglobin rate by human genetic factors

178 Note: HbAA: homozygous wild type genotype, HbAS: heterozygote sickle cell hemoglobin, HbAC: heterozygote
179 hemoglobin C, HbSC: heterozygote hemoglobin S and C, HbCC: homozygote hemoglobin C.

180 The prevalence of anemia was 87.64% (227/259) and 85.18% (92/108) in subjects with normal and 181 abnormal hemoglobin, respectively. For subjects with G6PD deficiency, a total of 83.33% (30/36) were 182anemic or 58.33% (21/36) had moderate anemia and 22.22% (8/36) middle anemia (Table.6). After183analysis of anemia type, there were no differences (p>0.05) between normal subjects and those with

abnormality (G6PD deficiency and abnormal hemoglobin).

Parameters		Hemoglobin type			G6PD type		
	All (367)	AA (259)	NonAA (108)	p-value	Normal (331)	Deficient (36)	p-value
Normal n(%)	48 (13.08%)	32 (12.35%)	16 (14.81%)	0.64	42 (12.69%)	6 (16.67%)	0.68
Mild n(%)	77(20.98%)	61 (23.55%)	16 (14.81%)	0.08	69 (20.84%)	8 (22.22%)	1.00
Moderate n(%)	218 (59.40%)	146 (56.37%)	72 (66.67%)	0.08	197 (59.52%)	21 (58.33%)	1.00
Severe n(%)	24 (6.54%)	20 (7.72%)	4 (3.70%)	0.24	23 (6.95%)	1 (2.78%)	0.54

## 185Table 6: Anemia type in the population by human genetics factors

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187 AA: homozygous wild type genotype, NonAA: Abnormal hemoglobin (HbAC, HbAS, HbCC, HbSC), Deficient: G6PD

188 Deficient and Normal: G6PD normal

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## 190 4. Discussion

191 Children aged 6 -11 months are the least represented in our study population. Born from mothers living in 192 high transmission areas, the study population has a certain level of clinical and parasitological immunity 193 for a period of 3 to 6 months after birth. A study in older children confirmed the protective effect of passive 194 transfer of antibodies [23].

195 In this study, 29.02 % of subjects had hemoglobinopathy. A higher frequency of AC hemoglobin 196 carriers (24.35%) had been obtained in our population compared to that identified in Mali (13%) by 197 Travassos in 2015 [24]. This could be explained by the fact that hemoglobin C has a maximum frequency 198 in Burkina Faso [25]. The prevalence of hemoglobin AS 3.63 % is low to findings already reported in 199 Ghana (9.10%) in 2014 [26] and in Burkina Faso (8.07%) in 2015 [27]. No homozygous SS was met in our 200 study but the prevalence of hemoglobin S was low. These low rates may be due to our sampling or to the 201 fact that sickling is a hindrance to parasite development. This prevalence is comparable to that reported 202 by Modiano et al in 2001 in malaria infected population.

203 The prevalence of G6PD deficient subjects in our population was low. This frequency could be 204 explained not only by sampling but also by the fact that the relatively high parasitemia (>2000 parasites) 205 cause us to lose positive subjects. Malaria Atlas Project data show a prevalence of G6PD deficiency in 206 Burkina Faso which is 9.38% [5.6-15]. Similar studies in other regions give lower or higher frequencies. 207 Carter in 2011 found frequencies of 17.4% in Ghana and 19.7% in Mali [28]. A prevalence of 15.8% was 208 found in Tanzania [29]. In our study, there were no distributions of G6PD deficiency according to the sex. 209 This is in contrast with previous studies where high prevalence's among men was observed. Ouattara and 210 colleagues in 2014 had a male prevalence of 14.3% and 6.0% among women [30].

211 Normal hemoglobin carriers (AA) have a higher parasitic density than carriers of abnormal 212 hemoglobin. The mechanisms by which the HbS trait protects against *P. falciparum* are still only partially 213 understood, but the implication of two factors seems to dominate [25]. The first line of defense is the 214 acceleration of the falciformation of the parasitized cells, which facilitates their withdrawal from the 215 circulation. The parasites which have escaped this process then see their growth hampered when the host 216 cell is subjected to hypoxia and adheres to the endothelium of the venules. Several authors have shown 217 that heterozygous sickle cells and AC carriers rarely have malaria attacks [6,7] and low parasitaemias [6]. 218 Among subjects with abnormal hemoglobin, AS subjects have a higher parasitaemia. All subjects with 219 hemoglobin CC and SC had the lower parasitaemia. This is consistent with the in-vitro tests that 220 demonstrate that Plasmodium develops poorly in HbCC erythrocytes. Indeed, studies carried out in vitro 221 on oxygenated CC cells have produced the following results [31]: invasion by the parasite is normal; the 222 first growth cycle is normal, but the number of ring forms is substantially reduced after the schizont stage, 223 schizonts are seen to degenerate on the fourth day; in comparison with normal parasitic cells, resistance 224 to osmotic lysis is increased. These cells have trouble breaking and releasing merozoites in a normal 225 manner.

The highest parasitic density is observed in G6PD normal subjects. This difference was not statistically significant (p = 0.87). One might think that the intra-erythrocytic replication of *P. falciparum* is not affected by the existence of a G6PD deficiency. Studies have shown that parasitic density does not differ as a function of G6PD status. Martin's work in 1994 had questioned the hypothesis that G6PD deficiency would greatly impede the development of the parasite [32]. Indeed, *in vitro*, it has shown that the parasite develops well in G6PD deficient erythrocytes in the absence of oxidative stress.

The gametocyte index obtained in our study was lower (9.58%) than that obtained in Uganda by Bwayo (22.0%) in 2014 [10] in children aged from six months to nine years and in Burkina Faso by Bougouma (30.5%) in 2012 [6] with children under five years. This may be due to the several efforts made since 2005 including provision of artemisinin-based combinations treatments (ACTs), distribution of long-lasting insecticidal nets (LLINs) and scale-up of seasonal malaria chemoprevention with amodiaquinesulfadoxine-pyrimethamine (AQ-SP) in children aged between 6 to 59 months [33].

238 A moderate anemia has been observed in all hemoglobin type group. However, Diop in Senegal 239 [34] with subjects aged 3 to 53 years had AS subjects with normal hemoglobin and SC subjects with mild 240 anemia. The majority of G6PD deficient subjects were anemic. This may suggest that the G6PD 241 deficiency is an anemic factor. It should be noted that G6PD plays an important role in the maturation of 242 erythroids [35]. In 2008, in a study by Capelli with G6PD deficient subjects showed that, in the absence of 243 hemolytic seizures and triggering factors, G6PD deficiency was not related to anemia or hemoglobin [36]. 244 After analyzing of these human genetic factors effect, malaria infection has probably a bigger role on the 245 malaria level in our study (we have an average of repeated infestation with Plasmodium of 2-3 246 episodes/year of malaria per child less than 5 years of age). Also, malnutrition and iron deficiency that 247 affects these children from lower socioeconomic classes may be the cause of the different types of 248 anemia encountered in this study. However, other nutritional deficiencies (folic acid, vitamin B12 and 249 vitamin A), acute or chronic inflammation, and parasitic infections can also cause anemia.

250

## 251 5. Conclusion

- In our study the prevalence of abnormal hemoglobin and G6PD is relatively low, probably because of
- study population specified by a high parasite density. In addition, G6PD deficiency does not appear to
- influence parasitaemia or to be associated with the occurrence of anemia. The abnormality of hemoglobin,
- although influencing parasitaemia, does not seem to have any effect.

#### 256

## 257 Competing interests

- 258 Authors have declared that no competing interests exist
- 259

# 260 Consent

- All authors declare that written informed consent was obtained from the patient (or other approved parties)
- 262 for publication of this case report and accompanying images. A copy of the written consent is available for
- 263 review by the Editorial office/Chief Editor/Editorial Board members of this journal.

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