

1 **The effect of phosphodiesterase type5 inhibitors on the development of**
2 **retinopathy of prematurity in Ahvaz preterm infants: A Randomized Clinical**
3 **Trial**

4
5 **Running title:** Effect of sildenafil on the development of Retinopathy of prematurity

6 **List of abbreviation:** Acute respiratory distress syndrome (ARDS), Arterial Blood Gas (ABG),
7 birth weight (BW), Continuous positive airway pressure (CPAP), Fraction of inspired oxygen
8 (FiO₂), gestational age (GA), HIF-1 α -like factor (HLF), Hypoxia-Inducible Factor (HIF),
9 International Classification of Premature Retinopathy Revisited (ICROP), Intubate-
10 SURfactant-Extubate (INSURE), Mechanical ventilation (MV), Millimeter of mercury(mmHg),
11 Partial Pressure of Oxygen (PaO₂), Nasal continuous positive airway pressure (NCPAP),
12 phosphodiesterase inhibitors (PDEs), Phosphodiesterase type 5 inhibitors (PDE5-Is), Positive
13 end-expiratory pressure (PEEP), Retinopathy of prematurity (ROP), Statistical Package for the
14 Social Sciences version (SPSS), Vascular endothelial growth factor (VEGF).

15 **Abstract**

16 **Background:** Retinopathy of prematurity (ROP) affects premature infants, and it is
17 characterized by the development of vascular proliferation due to hyperoxia, down regulation of
18 Vascular endothelial growth factor(VEGF) and death of endothelial cells. We hypothesized that
19 inhibition of Phosphodiesterase 5 enzyme suppresses retinal vasoconstriction and prevent ROP.

20 **Study design:** 109 newborns with respiratory distress syndrome treated with oxygen with
21 early gestational age (GA) \leq 30 weeks and birth weight (BW) \leq 1500g were randomized into two
22 groups, Group sildenafil (as case group) and placebo Group (as control group), sildenafil was
23 administered via nasogastric tube. Occurrence of ROP phase 1 as primary outcome and stage 2-5
24 ROP, duration of mechanical ventilation, oxygen therapy and duration of hospitalization as
25 secondary outcomes were assessed.

26 **Result:** 52 patients in sildenafil and 50 patients in placebo group were studied. There
27 were no differences between the two groups in demographic characteristics. ROP phase 1 was
28 seen in 11(22%) and 7(14%) of placebo and interventional group, respectively. Stage 3 ROP was
29 not seen in any of the patients

30 **Conclusion:** Sildenafil therapy did not affect ROP development in premature infants
31 treated with oxygen. May be due to our exclusion criteria (BW less than 1000g) and this fact that
32 there is a high incidence of ROP in extremely low birth weight neonates, we didn't find any
33 significant difference. More studies with larger population and expanded criteria are needed to
34 find the effect of sildenafil on ROP.

35 **Key Words:** Retinopathy of Prematurity; Premature infants; Sildenafil; Oxygen therapy;
36 Respiratory Distress Syndrome

37

38 **Introduction**

39

40 Visual impairment classified at 4 levels of visual function according to the WHO
41 definition includes: normal vision, moderate visual impairment, severe visual impairment, and
42 blindness. The term "low vision" refers to moderate and severe visual impairment (1).
43 Retinopathy of prematurity (ROP) is a leading cause of childhood blindness worldwide, and it is
44 characterized by the development of vascular proliferation due to hyperoxia causing down
45 regulation of VEGF and death of endothelial cells (2-4).

46 The International Classification of Retinopathy Prematurity (ICROP) through the
47 collaboration of experts from different countries was first developed in 1984 and later updated in
48 1987 and 2005 to facilitate a standardized the clinical finding of ROP(5). The elements identified
49 consist of the location (zone), the severity (stage), extent of the abnormal peripheral
50 vascularization, and the presence or absence of plus disease(6). The highest stage and the lowest
51 zone determines the status of ROP. The ROP located in Zone 1 which Zone I is the small circle
52 of retina around the optic disc has the worst prognosis, whereas Zone III which is a crescent-
53 shaped area of temporal retina will in general be mild(6). The stages of ROP are scaled from
54 Stage 1 ROP to Stage 5 ROP five. Stage 1 is marked by the presence of a demarcation line
55 between the normally vascularized retina and the peripheral retina in which there are no blood
56 vessels. Stage 2 is characterized the demarcation line develops into a ridge, with height and
57 width, between the vascular retina and peripheral retina. Stage 3 consists of a ridge and Blood
58 vessels grow and proliferate and are visible in the ridge. In Stage 4, there is a subtotal retinal
59 detachment Vitreoretinal surgery may be indicated and in Stage 5 a total retinal detachment and
60 No treatment is usually possible(7). The aggressive posterior ROP (AP -ROP) was added to
61 ICROP in 2005. This particularly aggressive form of ROP was observed with increasing
62 frequency in the smallest premature neonates(6, 8).

63 Premature retinopathy is a biphasic condition comprising an initial phase of vessel loss
64 followed by a second phase of vessel proliferation(9). It is believed that this process is
65 responsible for the relative hyperoxia of the extra-uterine environment as well as the additional
66 oxygen given to premature infants. Regularly in utero Partial Pressure of Oxygen (PaO₂) is 30
67 mm Hg and the blood is only ~70 percent saturated as opposed to 100 percent full-term
68 newborns in room air with 60–100 mm Hg PaO₂ (9, 10). The non-vascularized retina turns out to
69 be progressively metabolically active as the newborn child develops and leads to tissue hypoxia
70 without a sufficient vascular framework. The first phase of ROP occurs about 30–32 weeks from

71 birth to postmenstrual age. The second phase is retinal neovascularization induced by hypoxia
72 and begins around the postmenstrual age of 32–34 weeks(11).

73 As premature births increase and survival rates improve in view of advances in neonatal
74 consideration, the number of infants at risk for ROP has been expanding around the world,
75 particularly in middle-income countries(12) The incidence of ROP is different from country to
76 country depending on the economy and social conditions, in 2010, an expected 184,700 babies of
77 14.9 million premature babies developed any phase of ROP; 20,000 of them became blind or
78 severely visually impaired from ROP(3).

79 ROP is a multifactorial disease and different studies report several risk factors associated
80 with this condition, some of which can cause severe ROP including, early gestational age (GA)
81 at ≤ 30 weeks, low birth weight (BW) at ≤ 1500 g, supplemental oxygen, prolonged mechanical
82 ventilation, Apgar score, pulmonary complications, anemia, interventricular hemorrhage (IVH),
83 necrotizing enterocolitis and sepsis (13-15)

84 The transcription factors HIF-1 α (Hypoxia-Inducible Factor) (HIF), HLF (HIF-1 α -like factor)
85 and HIF-2 α play important roles in the body's response to low oxygen concentrations and
86 embryonic vascularization plays an integral role and one the most important of its function
87 during hypoxia is to promote angiogenesis by regulation of expression of genes such as vascular
88 endothelial growth factor (VEGF) (16).

89 Although conflicting reports on the effects of phosphodiesterase inhibitors (PDEs),
90 Phosphodiesterase type 5 inhibitors (PDE5-Is) have a potential therapeutic strategy for different
91 disorder such as, neurodegenerative diseases and ROP(17). The PDE superfamily consists of 11
92 subtypes (PDE1–PDE11)(18). PDE5 is an enzyme strongly expressed in cerebellum, When
93 PDE5 is inhibited the vasodilatory effect of NO is enhanced(17). Expression of elevated HIF1 α
94 exerts proangiogenic effects through several downstream effectors, including VEGF. Regulating
95 the expression of HIF1 α through PDE5 inhibition could have a beneficial vasoprotective effect
96 on ROP(19). VIAGRA (sildenafil citrate), an oral therapy for erectile dysfunction, is the citrate
97 salt of sildenafil, a selective inhibitor of cyclic guanosine monophosphate (cGMP)-specific
98 phosphodiesterase type 5 (PDE5). Sildenafil citrate is designated chemically as 1-[[3-(6,7-
99 dihydro-1-methyl-7-oxo-3-propyl-1H-pyrazolo[4,3d]pyrimidin-5-yl)-4-ethoxyphenyl]sulfonyl]-
100 4-methylpiperazine citrate(20). In this clinical trial study, we assess the effect of sildenafil, a
101 PDE5 inhibitor, on the development of phase 1 ROP as primary effect and stage 2-5 ROP,
102 duration of mechanical ventilation, Nasal continuous positive airway pressure (NCPAP) oxygen
103 therapy and duration of hospitalization as secondary outcomes. We hypothesized that Phase 1
104 retinopathy and thereby phase 2 ROP can be suppressed by preventing degradation of HIF-1 and
105 VEGF.

106 **Material and methods**

107 **Study design and participants**

108 A total of 109 subject have been enrolled in this randomized, double-blind, placebo-controlled
109 clinical trial at Imam Khomeini Hospital's Neonatal Intensive Care Unit, Ahvaz Jundishapur
110 Medical Science University, Ahvaz, IRAN, from March 2014 through December 2015. An
111 informed consent was obtained from patients' parents.

112 **Inclusion and exclusion criteria:**

113 In this investigation, babies were all those weighing <1200 g at birth, born in or transferred to, a
114 regional neonatal intensive care unit on the first postnatal day, plus those weighing 1200–1499 g,
115 breathing distress and requiring mechanical ventilation within 24 hours were qualified. Babies
116 were excluded if they had major congenital anomalies, weighing less than 1000 g at birth, 150
117 mg/dl blood sugar for more than 7 days and 10ml / kg blood transfusion for the first four weeks
118 of life.

119 **Randomization, Blinding, data recording and Intervention**

120 ROP screening was performed by an expert ophthalmologist on the basis of International
121 Classification of Premature Retinopathy Revisited (ICROP). The same ophthalmologist
122 followed the patients until the 45th post-conceptual age. The doctor and caretaker were blinded
123 to the vial content and the patients were enrolled according to the computerized randomization
124 list table in the study. Surfactant doses; blood volume transfusion; analyzes of Arterial Blood
125 Gas (ABG) numbers; duration of Mechanical ventilation (MV), NCPAP and oxygen therapy;
126 blood sugar; and doses of antenatal betamethasone were recorded in all babies with respiratory
127 distress at 6 cm H₂O. Children were treated with 200mg / kg surfactant (survanta) when the
128 requirements for Fio₂ were 40 %. Technique for surfactant therapy INTubate–SURfactant–
129 Extubate (INSURE) to Continuous positive airway pressure (CPAP)(21). Mechanical ventilation
130 was considered in babies with PaO₂ < 50 mmHg or PaCO₂ > 55 mmHg and pH < 7.25 while
131 being treated with Fraction of inspired oxygen (FiO₂) > 0.4 and Positive end-expiratory pressure
132 (PEEP) > 6 cm H₂o; or those with increased breathing work including severe intercostal
133 retractions on PEEP > 7 cm H₂o; or prolonged (> 20 s) or recurrent apneas and bradycardia (> 2
134 episodes within 24 h) need bag and mask ventilation (22, 23). In newborns with respiratory
135 distress, additional doses of surfactant were administered while being treated with NCPAP or
136 M.V and requiring a concentration of oxygen of about 40% (17). Ventilated newborns with
137 appropriate ABG (Pao₂ 60–80 Millimetre of mercury(mmHg), Paco₂ 40–55 mmHg and pH
138 7.25–7.45) and without increasing breathing work were moved to NCPAP when they received
139 low PIP (10–12 cm H₂o), less than 40 percentFio₂ and 10–15/min(24). Based on the
140 computerized randomization list, placebo (control group) or Sildenafil (interventional group)
141 were given in each patient group. In the same volume and color with clinical pharmacist, a
142 solution containing Sildenafil 1 mg / ml or placebo was prepared. Placebo and Sildenafil solution

143 vials were marked with A and B, respectively. A volume equal to 1 ml / kg of solution (solution
144 A or B) was given every 8 hours in each patient group. Through a nasogastric tube. The
145 nasogastric tube was subsequently washed with distilled water. During oxygen therapy,
146 sildenafil or placebo was administered.

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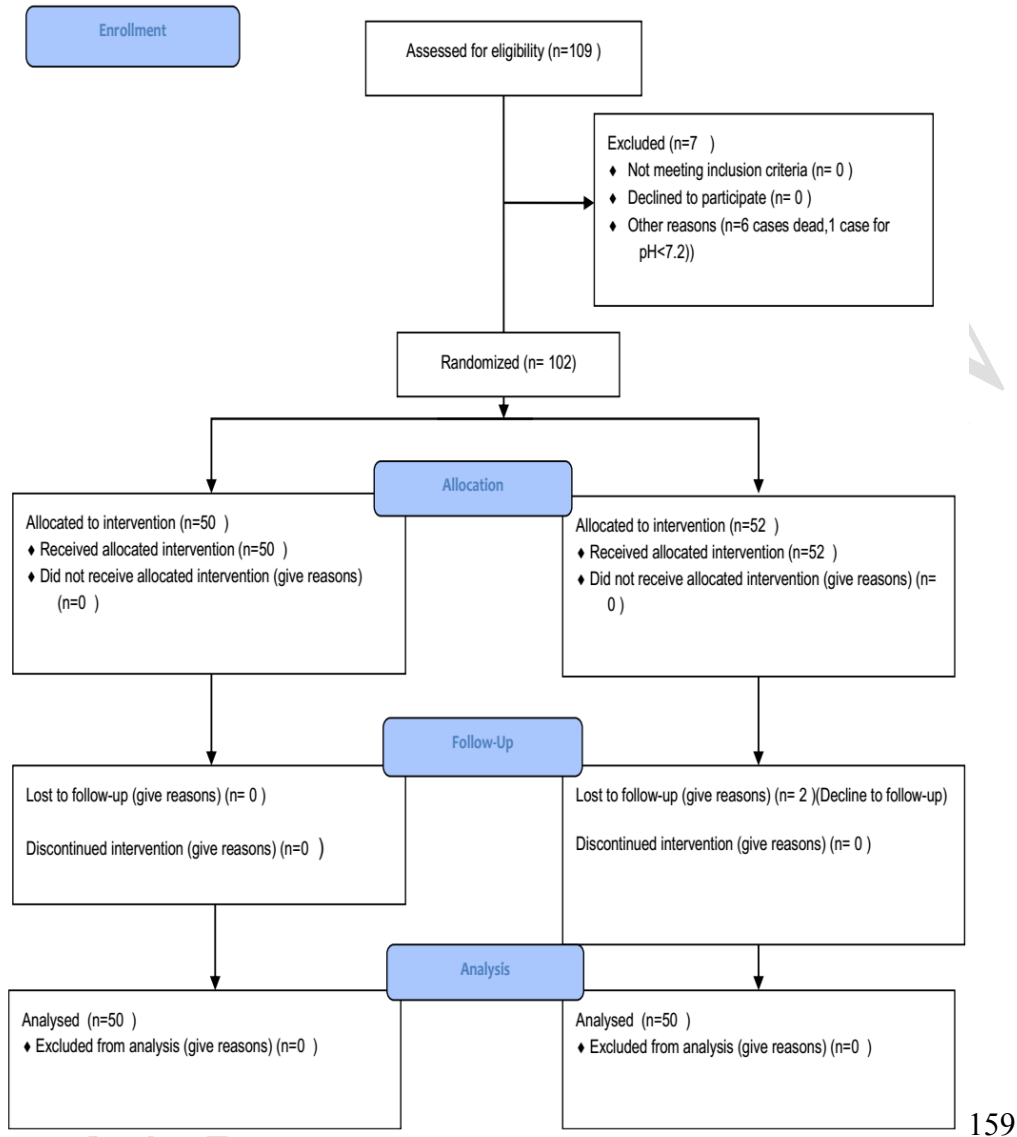
148 **Statistical analysis**

149 Comparison between continuous and independent variables was performed using Mann–
150 Whitney, and chi-square test. All the statistical analysis was performed using Statistical Package
151 for the Social Sciences version (SPSS) 16 (IBM, Armonk, New York). P Value <0.05 was
152 considered significant.

153 **Results**

154 Figure 1 shows the flow diagram of this trial. The study was completed by a total of 102
155 subjects. At the baseline, the sildenafil group (n=56) and placebo group (n=53) were randomly
156 assigned to 109 participants. Of the 109 participants, 4 were from the group arm of sildenafil and
157 3 were dropped from the group of placebo. (Fig. 1).

158



159

160 Fig. 1. Flowchart showing recruitment of participants, randomization and completion.

161 **Effects of sildenafil treatment on ROP outcome**

162 Table I presents detailed demographic and morbidity information by sildenafil treatment.
 163 There were no differences between the two groups in demographic characteristics ($P > .05$).

164 Table 1. Demographic data and morbidities in cases and controls Characteristics.
 165 Sildenafil-treated (cases; $n = 52$) placebo (controls; $n = 50$).

characteristics	Sildenafil-treated (cases; n = 52)	placebo (controls; n = 50)	P value*
Birth weight, g, mean \pm SD; median (range)	1257 \pm 150	1285 \pm 142.7	0.338
Gestational age, wk, mean \pm SD;	27.17 \pm 1.94	28.19 \pm 1.82	0.959

median (range)			
Cesarean delivery, n (%)	32(62)	29(58)	0.789
Male sex, n (%)	22(42)	27(54)	0.624
Five-min Apgar score <7, n (%)	23(44)	24(48)	0.992
Receipt of postnatal steroids, n (%)	19(36)	17(34)	0.665
Patent ductus arteriosus requiring treatment, n (%)	36(69)	32(64)	0.552
Grade III or IV intraventricular hemorrhage, n (%)	5(9)	4(8)	0.423
Necrotizing enterocolitis, n (%)	6(11)	5(10)	0.687
Receipt of red blood cell transfusion, n (%)	42(80)	40(50)	0.774

166 Stage 1 and 2 ROP was seen in 11(22%) and 7(14%) of placebo and sildenafil groups
 167 respectively. Stage 3 ROP was not seen in any of the patients. There were no differences
 168 between groups in clinical course (Table2).

169 Patients with zone I retinopathy of ROP have poor outcomes despite treatment. We
 170 analyze the frequency of zone I, II and III in patients treated with sildenafil or placebo. In
 171 placebo group 2 patients were in Zone1 and in intervention group no case was in Zone1
 172 (Table3). From total patients that were in (Zone1+Zone2): 8 patients (73%) were in placebo
 173 group and 2 patients (27%) were in interventions group. Affection of Zone3 in sildenafil group
 174 was 5 patients (71.5%) and in control group was 3 patients (28.5%) that there were no
 175 significantly differences in two groups. The number of Arterial Blood Gas (ABG) sampling were
 176 not different between two groups.

177 Table 2. Frequency of severe ROP in sildenafil and placebo groups. Sildenafil-treated
 178 (cases; n = 52) placebo (controls; n = 50).

	Placebo (n, %)	Sildenafil (n, %)	Total (n, %)
Stage 1 and 2 ROP	11(22)	7(14)	18(17)

179

180

181 Table 3. The frequency of zone I, II and III in patients treated with sildenafil or placebo

Zone		group		Total
		Placebo (n, %)	Sildenafil (n, %)	
Zone	1	2(18)	0(0)	2
	2	6(55)	2(28.5)	8
	3	3(27)	5(71.5)	8
Total		11(100)	7(100)	18(100)

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Discussion

186 Despite current late-stage surgical treatment, premature retinopathy is still a major cause
187 of worldwide blindness in premature infants (25).). In the developing and developed world,
188 there are at least 50 000 blind children from ROP worldwide, which remains an important cause
189 of childhood blindness (1, 2).

190 During the 1990s, significant advances in ROP treatment came when cryotherapy and
191 laser photocoagulation of avascular retina appeared to be mostly successful in counteracting
192 visual impairment in newborn children with ROP. Although these therapies may decrease the
193 rate of visual impairment by 25 percent in late-organized babies, the patients still have poor
194 visual acuity after treatment on a regular basis. Preventive and less harmful treatments for ROP
195 would be much more attractive, and understanding of ROP's molecular mechanisms is essential
196 for improving such medicinal interventions (9).

197 It is hypothesized that if the amount of production of HIF-1 α does not reduce in the body
198 after birth and oxygen therapy, it can prevent the development of ROP in preterm infants.
199 Phosphodiesterase-inhibiting (PDE-5) drugs by inhibiting cGMP hydrolysis increase the
200 production of HIF-1 α and subsequently increase VEGF and accelerate angiogenesis. Sildenafil is
201 reversible and potent PDE5 inhibitor that effectively inhibits cGMP hydrolysis (26). In this
202 investigation we evaluate the developing of ROP in preterm infants in south-west of Iran.

203 In present study ROP developed in 18% of patients, 7(14%) and 11(22%) of control and
204 sildenafil groups, respectively. However, the differences between two groups was not significant,
205 but ROP developed lesser in sildenafil group. Fawzi et al showed that in a mouse OIR model,
206 Sildenafil significantly reduced retinal vaso-obliteration and neovascularization (19). In previous
207 study the incidence of stage 3 ROP was 8%, while in this present study stage 3 ROP was not
208 seen in any of the studied cases. Thus we were unable to assess the effect of sildenafil on the
209 progression of stage 1 ROP toward stage 3-5 ROP(27).

210 Yassen et al in a study in 2012 showed sildenafil Enhanced oxygenation and reduced
211 mortality without an important clinical complication in infants with pulmonary arterial
212 hypertension(28). Marsh and colleagues in 2004 reported a 26-wk baby was treated with
213 sildenafil. At 34wk, he was afflicted to ROP Stage 3(29). Kehat et al., in 2010, studied 22
214 neonates with a gestational age of more than 34 weeks and a weight of more than 2100 grams
215 that received more than 2 weeks of sildenafil and were evaluated by the pediatric
216 ophthalmologist for possible side effects. They concluded that babies who have received
217 sildenafil do not need a routine ophthalmologic examination(30).

218

219 Through the past 4 year's relative improvement of neonatal intensive care and monitoring
220 of oxygen therapy result in decreasing incidence of ROP in our center. However neonatal
221 intensive care in our center is still suboptimal. So the number of Arterial Blood Gas sampling
222 was low in our patients and monitoring of oxygen therapy were substantially depended on pulse
223 oximetry. Sildenafil did not effect on the duration of mechanical ventilation, NCPAP, oxygen
224 therapy and hospitalization. Sildenafil improved survival and echocardiographic finding of
225 persistent pulmonary hypertension in term newborn(31, 32) but does not improve oxygenation
226 during Acute respiratory distress syndrome (ARDS) (33). Because of high incidence of ROP in
227 extremely low birth weight neonates (less than 1000g) exclusion of them was the major
228 limitation of our study.

229 **Conclusion:**

230 In conclusion, this study shows that sildenafil administration did not significantly affect
231 the incidence of ROP in premature infants treated with oxygen. Our study has some limitations
232 like as the sample size was small, Perhaps, if the population size was bigger a better result could
233 be observed. We matched the control group as close as possible to the index cases by matching
234 for gestation, birth weight, gender and place of birth. Further work on the retinal effects of
235 sildenafil may be useful in determining whether it truly is a good therapy for preventing of
236 pathogenesis of ROP and Prospective trials may be useful to establish a definite safety profile.

237

238 Conflict of interest: The authors declare that they have no conflict of interests.

239 **Informed consent and ethics committee approval**

240 This study was approved by Ahvaz Jundishapur University of Medical Sciences ethics
241 committee (AJUMS.REC.1393.405). The trial was also registered in the Iranian Registry of
242 Clinical Trials with registration number IRCT2015102314215N3. The informed written consent
243 was obtained from each patient.

244

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