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3 **Assessment of Antiplasmodial Activity and Toxicity of**

4 **Crude Extracts and Isolated Compounds from *Oncoba***

5 ***spinosa* (Flacourtiaceae)**

6

7 **ABSTRACT**

Aims: The medicinal plant *Oncoba spinosa* is used by the local communities in Butebo County in Eastern Uganda for treatment of malaria and other diseases. *In vitro* antiplasmodial activities of the crude extracts and isolated compounds were screened against chloroquine sensitive 3D7 and resistant Dd2 strains. *In vivo* acute toxicity of the extracts and structure elucidation were also determined in the study.

Experimental: Crude extracts of: *n*-hexane, dichloromethane, ethyl acetate and methanol were prepared. Isolation and purification of these extracts were done using chromatographic techniques which consisted of column and thin layer chromatography. The structures were elucidated on the basis of spectroscopic evidence. *In vitro* antiplasmodial activity was performed on chloroquine sensitive 3D7 and resistant Dd2 strains of *Plasmodium falciparum* using SYBR Green 1 assay technique. Lorke's method of acute toxicity was used to determine the *in vivo* acute toxicity of the crude extracts in mice.

Results: The root ethyl acetate crude extract had highest antiplasmodial activity of IC_{50} : $4.69 \pm 0.01 \mu\text{g/mL}$ and $3.52 \pm 0.02 \mu\text{g/mL}$ against 3D7 and Dd2 strains respectively while the remaining three were inactive against both strains of *Plasmodium*. Isolation resulted in the identification of three known compounds which included: β -sitosterol, benzoic acid and chaulmoogric acid. Among the tested compounds β -sitosterol showed the highest activity of IC_{50} 3D7: $5.51 \mu\text{M}$. Dichloromethane and hexane extracts were non-toxic with $LD_{50} > 5000 \text{ mg/kg}$ while the EtOAc and MeOH extracts were slightly toxic with LD_{50} of 547.72 mg/kg . Statistically significance existed between the antiplasmodial

activity of the crude extracts and compounds when compared with the controls at ($p < 0.05$). Extracts and compounds exerted a significant ($P < 0.05$) decrease in antiplasmodial activity compared to the positive controls.

Conclusion: The findings confirm the ethnobotanical use of *O. spinosa* by the local communities in Butebo County for the treatment of malaria. The results also suggest that the crude extract of this plant is safe and possesses antimalarial activity which can be used as a basis for *in vivo* and clinical studies to be done. Therefore the plant can offer a potential drug lead for developing a safe, effective and affordable antimalarial.

8

9 *Keywords:* *Oncoba spinosa*, β -sitosterol, *in vitro*, antiplasmodial, acute toxicity, malaria

10

11 **1. INTRODUCTION**

12 Malaria remains a devastating scourge on the lives and livelihoods to the millions of global citizens
13 living in the tropics. Despite the significant advances made in lessening the burden of malaria in
14 recent years, the disease still remains a major public health problem affecting many people in tropical
15 and subtropical regions [1]. This is especially the case in sub-Saharan Africa where 90% of the
16 estimated annual global malaria deaths occur [2]. Most of the conventional drugs are no longer
17 effective due to the emergence of drug resistant strains.

18

19 In Uganda, malaria is the most common disease and accounts for 25-40% of out-patient attendance
20 at health facilities, and 20% of inpatient admissions. It also kills at least 9 to 14% of all in-patients.
21 Children aged five years and below as well as pregnant women are the most affected. In Uganda,
22 more than 200 children die daily from this disease [3] and people largely rely on traditional medicine
23 [4].

24

25 *Oncoba spinosa* belongs to the family Flacourtiaceae (Salicaceae). It is a small tree of about 13 m
26 high which grows under conditions of higher rainfall, deciduous, secondary and fringing forest from
27 Senegal to West Cameroon. It is widely distributed in tropical Africa and Arabia [5]. The leaves of the
28 plant are traditionally reputed for its medicinal potential particularly in South-West of Nigeria for the
29 treatment of diabetes and cancer, while the seed oil is drunk as a fever remedy. In Ivory Coast, the

30 plant has a good reputation as an aphrodisiac and in Tanzania the leaf sap is drunk as a remedy for
31 malaria cure [6].

32

33 *O. spinosa* is used in the treatment of malaria and fever by the local communities in Butebo County,
34 Pallisa District in Eastern Uganda. Investigations on the antiplasmodial and toxicity properties of crude
35 extracts of *O. spinosa* have not been determined. In our search for more effective drugs against *P.*
36 *falciparum* and as a continuation of our investigation of medicinal plants used traditionally in Uganda
37 to treat malaria [7], special attention was focused to *O. spinosa*.

38

39 In search for new antimalarial principles, *O. spinosa* normally used to treat malaria and other ailments
40 among the local communities in Butebo County Pallisa District, Eastern Uganda was investigated.
41 The crude extracts and pure compounds were screened against chloroquine (CQ susceptible and
42 resistant strains of *P. falciparum* (3D7 and Dd2, respectively) using the fluorescence-based SYBR
43 Green assay. Isolation and purification of bioactive principles present in the crude extracts was done
44 using chromatographic techniques (column and thin layer chromatography). Structure determination
45 was done using NMR spectroscopic techniques. The *in vivo* acute toxicity assay was also carried out
46 in mice using Lorke's method [8].

47

48 **2. MATERIAL AND METHODS**

49 **2.1 Plant material**

50 *Oncoba spinosa* (Figure 1) was identified and documented as an antimalarial remedy in an
51 ethnobotanical survey that was conducted in Butebo County to document antimalarial medicinal
52 plants. [7]. The plant was photographed, collected, dried and taken for identification by a taxonomist
53 at Makerere University, Department of Botany. The voucher specimen (KP 904) was deposited at the
54 Department of Botany herbarium for future specifications.

55



56

57

58

Figure 1: Photo of *O. spinosa*

59 **2.2 Extraction**

60 Plant materials (roots) were washed, cut into small parts and then air dried at room temperature in a
61 shade for 21 days [9]. The dried plant material was pounded using a clean mortar and pestle and then
62 blended into fine powder with electric blender (Thomas-Wiley Mill Model 4). Crude plant extracts were
63 prepared by maceration of 800 g of air dried powdered plant material. This was done in sequential
64 cold extractions with 1200-2000 mL of *n*-hexane, dichloromethane (DCM), ethyl acetate (EtOAc) and
65 methanol (MeOH) at room temperature (25 °C) for 72 hours in Winchester bottles (2.5 L) with
66 intermittent agitations [10]. The process was repeated twice and after the third extraction, the same
67 crude plant powder was air dried and further treated three times with the next solvent. In all the three
68 stages, the extracts were filtered through cotton wool, then Whatman filter paper (Whatman® No. 1).
69 Finally after filtration the crude extracts were concentrated, under reduced pressure in a water bath at
70 40-45 °C, by using a rotatory evaporator machine (BUCHI-R 205). The plant extracts were then
71 transferred to weighed containers and put in the oven to dry completely at 40° C to produce solid
72 materials. Their mass yields were calculated based on dry weight and expressed as percentage yield
73 of the crude extract (**Table 1**) using the equation: Extract yield (%) = $\frac{W_1}{W_2} \times 100$, where, W1 = net
74 weight of crude extracts (grams), W2 = total weight of medicinal plant powder (grams).

75

76 **2.3 Isolation and fractionation of bioactive compounds**

77 The isolation of the pure compounds was achieved by a combination of column chromatography and
78 thin layer chromatography (TLC). Column chromatography was run on silica gel 60 (70-230 mesh)

79 and analytical TLC was carried out on pre-coated silica gel 60 F254 (Merck). The detection of the
80 spots was accomplished by the TLC plates first being visualized with a UV lamp at 254 and 365 nm
81 wave lengths. This was followed by development with anisaldehyde spray reagent consisting of
82 anisaldehyde, conc. H₂SO₄ and methanol in a ratio of 1:2:97 followed by heating in an oven at a
83 temperature of 100° C.

84

85 The DCM crude extract (2.6 g) yellow in colour was eluted with hexane: ethyl acetate mixtures of
86 increasing polarity (0-100% EtOAc) in the ratios of 100:0 to 0:100 then washed with 100% MeOH. A
87 total of 12 broad fractions (S1-S12) were collected and combined on the basis of their TLC profiles.
88 Fraction (S2 and S3) were eluted with a gradient of EtOAc-hexane (2:3, 1:1) respectively then
89 washed with 100% EtOAc, to give 29 fractions labeled A1-A29. TLC analysis pooled combined
90 fractions of A2-A10, A11 and A12. Combined fraction A2-A10, was eluted with 100% hexane then
91 EtOAc: hexane (1:19, 1:4) respectively to give a white powder that was a pure compound (**OS2**)
92 (293.4 mg, 1.41%). Fractions (S6 and S7) were eluted with solvent system of increasing polarity of
93 EtOAc-hexane (2:3, 1:1) respectively followed by 100% EtOAc. This gave 28 fractions labeled G1-
94 G28). TLC profile analysis gave pooled combined fraction, G21 and G22 which was eluted with
95 EtOAc-hexane, 1:1 followed by 100% EtOAc to give a pure compound (**OS1**) (29.8 mg, 1.15%), of
96 white crystals.

97

98 The brown EtOAc crude (6.84 g), was eluted with hexane-EtOAc mixture of increasing polarity of (0-
99 100%), finally washed with 100% MeOH. This resulted in 13 broad fractions identified as OE1-OE13.
100 The fractions were combined according to their TLC profiles to give fraction OE3-OE12 that was
101 eluted with EtOAc-hexane (3:17, 1:4) respectively then followed by 100% EtOAc. This yielded 38
102 fractions labeled AE1-AE38. This pooled fraction AE1-AE24 was eluted with diethyl ether-DCM
103 (13:87, 4:21) respectively followed by 100% EtOAc to give compound (**OS3**) (40.9 mg, 0.60%) with
104 white crystals. Four pure compounds were isolated from this plant, three from the dichloromethane
105 and one from the ethyl acetate crude extracts.

106 **2.4 Structure elucidation of pure compounds**

107 Identification of the pure compounds was carried out by spectroscopic methods that included 1-D and
108 2-D NMR. The 1-D consisted of ¹H, ¹³C and DEPT NMR while the 2-D involved COSY, NOESY,

109 ROESY, HSQC and HMBC. This was analyzed using a Bruker avance ^1H NMR (500 MHz) and ^{13}C
110 NMR (125 MHz) to get the spectral data using TMS as the residual solvent signal reference. The
111 spectral data obtained was compared with that reported from literature in order to elucidate the
112 structures of the isolated compounds.

113 **2.5 Antiplasmodial bioassay activity**

114 The extracts and pure compounds were assayed using non-radioactive malaria SYBR Green I assay
115 technique [11] with modifications [12] to determine a concentration that inhibits growth of 50% of
116 parasites in culture (IC_{50}). Two different *P. falciparum* strains, chloroquine sensitive (3D7) and
117 chloroquine-resistant (Dd2) were used. These isolates were grown as described with minor
118 modifications [13, 14]. The RPMI 1640 medium was prepared accordingly as described [15]. %.
119 Chloroquine and mefloquine were used as standard controls while 0.4% DMSO was used as the
120 negative control. The IC_{50} values were given as mean of two or three independent experiments and
121 the results were presented as mean $\text{IC}_{50} \pm \text{SD}$ (standard deviation). The resistance index (RI) for each
122 crude extract and isolated compounds was also determined to assess the activity of the *Plasmodium*
123 on the CQ resistant strain. It was calculated as the ratio between IC_{50} of the resistant value of the
124 strain to the sensitive value of the strain. $\text{RI} = \text{IC}_{50}$ of resistant strain (Dd2) / IC_{50} of sensitive strain
125 (3D7). The RI value determines whether the test samples have activity against the resistant strain of
126 *P. falciparum*.

127 **2.6 In vivo acute toxicity (LD_{50}) test**

128 The present work was approved by the Ethical Committee for using animals at Makerere University,
129 Department of Pharmacology (number 1250). The estimated lethal dose (LD_{50}) of the crude extracts
130 in mice was performed using the method described [8]. A total of 86 mice weighing (13.0-30.0) g
131 obtained from Department of Pharmacology Makerere University were used to carry out the *in vivo*
132 acute toxicity experiments. The mice were kept in cages in a ventilated room and fed with a pelletized
133 grower mash. They were also provided with clean drinking water. The weight of each mouse was
134 measured and the dose calculated for all the dose levels. The tests were done in two phases. In the
135 first phase, nine (9) mice were divided into 3 groups of 3 mice per group. After overnight fast (24
136 hours) the animals in the first phase received doses of 500, 1000, and 2000 mg/kg weight body. The
137 remaining surviving animals were sacrificed under chloroform anesthesia. When no death was
138 observed in the first phase, then higher doses were administered in the second phase. In the second

139 phase, also 9 mice, 3 per group were treated with doses of 3000, 4000 and 5000 mg/kg body weight.
140 One mouse was used as control and received an equivalent volume of distilled water. When death
141 occurred in the first phase then four groups of four animals each was used. These group of animals
142 received doses of 600, 700, 800, and 900 mg/kg body weight. The stock solution was prepared by
143 dissolving 0.2 g of the crude extract in 2 mL of distilled water to give a concentration of 100 mg/mL.
144 The crude extracts were then administered using a cannula attached to a graduated syringe. The
145 animals were given food and water four hours post drug administration. Toxicity signs such, writhing,
146 decreased motor activity, decreased body/limb tone, decreased respiration, loss of appetite, feeling
147 sleep, depression, gasping for air, palpitation and mortality (death) that occurred within 24 hours was
148 recorded. This was followed by determination of the lethal dose (LD₅₀).

149 **2.7 Data analysis**

150 **2.7.1 Antiplasmodial bioassay activity tests**

151 Differential counts of relative fluorescence units (RFUs) were used in calculating 50% inhibition
152 concentration (IC₅₀) for each drug by an equation generating a sigmoidal concentration-response
153 curve (variable slope), with log transformed drug concentrations on the X-axis and relative fluorescent
154 units (RFUs) on the Y-axis (Graphpad Prism for Windows, version 4.0; Graphpad Software, Inc., San
155 Diego, CA) [13, 16]. IC₅₀ values above 100 µg/mL were considered inactive [17]. This is in line with
156 World Health Organization guidelines [18] and basic criteria for antiparasitic drug discovery. In
157 describing *in vitro* antiplasmodial activities of natural products, pure compounds are considered to be
158 inactive when they have IC₅₀ > 200 µM, whereas those with an IC₅₀ of 100-200 µM are considered to
159 have low activity; IC₅₀ of 20-100 µM, moderate activity; IC₅₀ of 1-20 µM good activity; and IC₅₀ < 1 µM
160 excellent/potent antiplasmodial activity [19]. Similarly activities of crude extracts are classified into five
161 classes according to their IC₅₀ values: high activity (IC₅₀ < 5 µg/mL); promising activity (5 µg/mL < IC₅₀
162 < 15 µg/mL); moderate activity (15 µg/mL < IC₅₀ < 50 µg/mL); weak activity (50µg/mL < IC₅₀ < 100
163 µg/mL), inactive IC₅₀ > 100 µg/mL [18].

164

165

166 **2.7.2 Toxicity bioassay activity tests**

167 The LD₅₀ values were calculated as the geometric mean of the highest non-lethal dose (with no
 168 deaths) preceding the lowest lethal dose (where deaths occurred). $LD_{50} = \sqrt{(D_o \times D_{100})}$, Where LD₅₀ =
 169 median lethal dose, D_o = highest dose that gave no mortality, D₁₀₀ = lowest dose that produced
 170 mortality. The general toxicity activity was considered: ≤ 1 mg/kg (extremely toxic), 1-50 mg/kg
 171 (highly toxic), 50-500 mg/kg (moderately toxic), 500-5000 mg/kg (slightly toxic), 5000-15000 mg/kg
 172 (practically non-toxic) and ≥ 15000 mg/kg (relatively harmless) [18].

173

174 2.7.3 Statistical analysis

175 Data on *Parasitemia*, was analyzed using windows SPSS version 16. Statistical significance was
 176 determined with the Biostat 1.0 software package using one way ANOVA and student's *t*-test. The
 177 experimental results were expressed as mean ± standard deviation (SD) for each group of
 178 experiments. These were transformed in *P*-values to compare results at 95% confidence level ($\alpha =$
 179 0.05). This was used to compare results between doses, among treatment and control dose levels.
 180 The differences between means was considered significant when $P < 0.05$ [20].

181

182 3. RESULTS AND DISCUSSION

183 3.1 Test samples for bioassay activity screening

184 The yields of the four crude extracts ranged between (0.51-3.01) percent while for the pure
 185 compounds the yields were (0.10-11.28) percent. These were calculated based on the weight of
 186 medicinal plant powder (for crude extracts) and crude extracts (pure compounds). Among the extracts
 187 the MeOH extract gave the highest yield (3.01%) and the dichloromethane had the lowest with 0.51%.
 188 Chaulmoogric acid (**OS2**) (11.28%) was the most abundant while **OS3** had the lowest yield of 0.60%
 189 among the isolated pure compounds (**Table 1**).

190

191 **Table 1: Percentage yields of crude extracts and pure compounds**

| Species name | Weight of Plant material (g) | Extraction solvent | Crude extract | | Pure compounds | | |
|--------------|------------------------------|--------------------|---------------|------------|----------------|------------|------------|
| | | | Weight (g) | Yield% w/w | Name | Weight (g) | Yield% w/w |

| | | | | | | | |
|-------------------|-----|-------|-------|------|------------------------------------|--------|-------|
| <i>O. spinosa</i> | 800 | Hex | 9.50 | 1.19 | | | |
| | | DCM | 4.11 | 0.51 | β -sitosterol (OS1) | 29.80 | 1.15 |
| | | | | | Chaulmoogric acid (OS2) | 293.40 | 11.28 |
| | | EtOAc | 10.46 | 1.33 | Benzoic acid (OS3) | 40.90 | 0.60 |
| | | MeOH | 24.11 | 3.01 | | | |

192

193 3.2 Elucidation of compounds from the root extract

194 The phytochemical analysis of the roots of *O. spinosa* led to the isolation of three compounds
 195 identified as a steroid, β -sitosterol (**OS1**), Chaulmoogric acid (**OS2**) and benzoic acid (**OS3**) (**Figure**
 196 **2**). The three compounds obtained, as mentioned in the experimental section, were subjected to
 197 spectroscopic analysis for identification. The details of the spectral peaks were noted as follows:

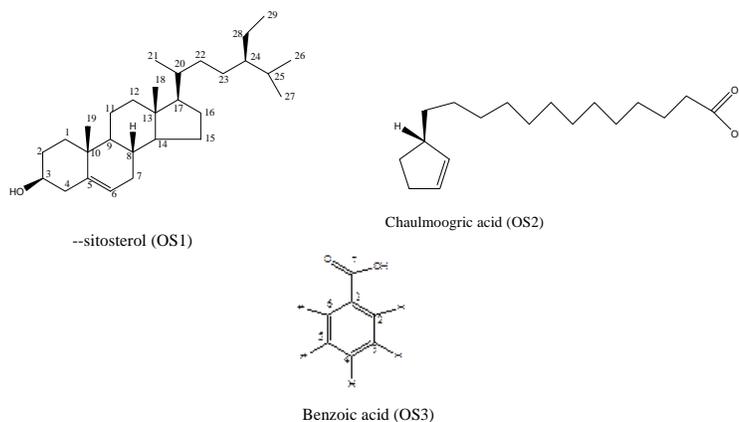
198

199 Compound (**OS1**): β -sitosterol, 29.8 mg, white crystals. ^1H NMR (500 MHz, CDCl_3). δ_{H} : 0.91-2.29 (22
 200 H, m, 2H-1, 2, 4, 7, 11, 12, 15, 16, 22, 23, 28), 0.92-1.66 (7 H, m, H-8, 9, 14, 17, 20, 24, 25), 0.68
 201 (3H, s, H-18), 0.81 (3H, s, d, $J = 6.78$ Hz, H-26), 0.82 (3H, t, $J = 6.9$ Hz, H-29), 0.84 (3H, s, H-19),
 202 0.92 (3H, d, $J = 6.55$ Hz, H-21), 1.01 (3H, s, H-27), 3.52 (1H, tdd, $J = 4.42, 11.11$ Hz, H-3), 5.35 (1H,
 203 br, d, $J = 5.2$ Hz, H-6). ^{13}C NMR (125 MHz, CDCl_3). δ_{C} : 37.5 (C-1), 31.9 (C-2), 72.0 (C-3), 42.5 (C-4),
 204 141.0 (C-5), 121.9 (C-6), 34.2 (C-7), 32.1 (C-8), 50.4 (C-9), 36.7 (C-10), 21.3 (C-11), 40.0 (C-12),
 205 42.5 (C-13), 57.0 (C-14), 24.5 (C-15), 28.5 (C-16), 56.3 (C-17), 12.1 (C-18), 19.6 (C-19), 36.4 (C-20),
 206 19.0 (C-21), 35.9 (C-22), 26.3 (C-23), 46.1 (C-24), 29.4 (C-25), 20.0 (C-26), 19.3 (C-27), 23.3 (C-28),
 207 12.2 (C-29).

208 Compound (**OS2**): Chaulmoogric acid, 186.9 mg, white crystals. ^1H NMR (500 MHz, CDCl_3). δ_{H} : 5.68
209 (1H, tdd, $J = 2.05, 4.02$ Hz, H-2'), 5.68 (1H, tdd, $J = 2.05, 3.94$ Hz, H-3'), 2.61 (1H, br, s, H-1'), 2.35
210 (2H, t, $J = 7.49$ Hz, H-2), 2.24 (2H, m, H-4'), 2.02 (2H, m, H-5'), 1.63 (2H, qn, $J = 7.49$ Hz, H-3), 1.26
211 (26H, br s, H-4-H-13), 10.30 (1H-OH). ^{13}C NMR (125 MHz, CDCl_3). δ_{C} : 178.8 (C-1), 33.9 (C-2), 24.7
212 (C-3), 29.2 (C-4), 29.4 (C-5), 29.6 (C-6), 29.7 (C-7), 29.6 (C-8), 29.9 (C-9), 29.7 (C-10), 28.0 (C-11),
213 29.1 (C-12), 36.2 (C-13), 45.6 (C-1'), 135.5 (C-2'), 130.0 (C-3'), 32.0 (C-4'), 29.9 (C-5')

214 Compound (**OS3**): Benzoic acid, 40.9 mg, white crystalline solid. ^1H NMR (500 MHz, CDCl_3). δ_{H} : 8.08
215 (1H, dd, $J = 7.89, 1.70$ Hz, H-6, H-2), 7.46 (1H, dd, $J = 7.15, 1.13$ Hz, H-5, H-3), 7.47 (1H, t, 7.15 Hz,
216 H-4). ^{13}C NMR (125 MHz, CDCl_3). δ_{C} : 130.6 (C-1), 130.3 (C-2, 6), 129.2 (C-3, 5), 134.0 (C-4), 169.6
217 (C-7).

218



219
220
221

Figure 2: Structures of isolated compounds

222 Compound (**OS1**): This compound showed a phenomenon that is seen by the frame work of steroids
223 [21].

224 The ^{13}C NMR signals seen are also in agreement with that reported in literature [22, 23] (**Table 2**).

225 Therefore based on the 1-D and 2-D NMR experiments and comparison with data reported from
226 literature [24, 23], the structure of compound (**OS1**) was proposed to be that of β -sitosterol also
227 known as stigmast-5-en-3 β -ol. This investigation reports for the first time the isolation of β -sitosterol
228 from *O. spinosa*.

229

230 **Table 2: ^1H and ^{13}C NMR spectroscopic data for β -sitosterol (**OS1**) in CDCl_3**

231 **compared against literature values. Literature [23] [^1H NMR 400 MHz, ^{13}C NMR**

232 **100 MHz, CDCl_3 , J in Hz]**

| Position of caebron | ^{13}C NMR (125 MHz) | ^{13}C NMR (100 MHz) literature | ^1H NMR (500 MHz) | ^1H NMR (400 MHz) Literature |
|---------------------|-------------------------------|--|--------------------------------------|---------------------------------------|
| 1 α | 37.5 (CH_2) | 37.6 | 1.82 m | - |
| 1 β | | | 1.85 m | - |
| 2 α | 31.9 (CH_2) | 31.5 | 1.95 m | - |
| 2 β | | | 1.99 m | - |
| 3 | 72.0 (CH) | 71.6 | 3.52 (1H, tdd, $J = 4.42, 11.11$ Hz) | 3.52 m |
| 4 α | 42.5 (CH_2) | 42.8 | 2.24 m | - |
| 4 β | | | 2.29 m | - |
| 5 | 141.0 (C) | 140.5 | - | - |
| 6 | 121.9 (CH) | 121.5 | 5.35 (1H, br, d, $J = 5.20$ Hz) | 5.37 m |
| 7 α | 34.2 (CH_2) | 33.9 | 1.00 m | - |
| 7 β | | | 1.51 m | - |
| 8 | 32.1 (CH) | 31.8 | 1.51 m | - |
| 9 | 50.4 (CH) | 50.4 | 0.92 m | - |
| 10 | 36.7 (C) | 36.7 | - | - |
| 11 α | 21.3 (CH_2) | 21.1 | 1.46 m | - |

| | | | | |
|-------------|-------------------------|------|-----------------------------|-----------------------------|
| 11 β | | | 1.50 m | - |
| 12 α | 40.0 (CH ₂) | 39.9 | 1.99 m | - |
| 12 β | | | 2.02 m | - |
| 13 | 42.5 (C) | 42.8 | - | - |
| 14 | 57.0 (CH) | 56.5 | 1.00 m | - |
| 15 α | 24.5 (CH ₂) | 24.5 | 1.06 m | - |
| 15 β | | | 1.58 m | - |
| 16 α | 28.5 (CH ₂) | 28.5 | 1.25 m | - |
| 16 β | | | 1.84 m | - |
| 17 | 56.3 (CH) | 57.3 | 1.11 m | - |
| 18 | 12.1 (CH ₃) | 12.0 | 0.68 (3H, s) | 0.75 (3H, s) |
| 19 | 19.6 (CH ₃) | 19.6 | 0.84 (3H, s) | 1.09 (3H, s) |
| 20 | 36.4 (CH) | 35.9 | 1.36 m | - |
| 21 | 19.0 (CH ₃) | 18.7 | 0.92 (3H, d, $J = 6.55$ Hz) | 0.98 (3H, d, $J = 6.50$ Hz) |
| 22 α | 35.9 (CH ₂) | 34.2 | 0.91 m | - |
| 22 β | | | 1.35 m | - |
| 23 α | 26.3 (CH ₂) | 26.3 | 1.15 m | - |
| 23 β | | | 1.83 m | - |
| 24 | 46.1 (CH) | 46.4 | 0.93 m | - |
| 25 | 29.4 (CH) | 29.2 | 1.66 m | - |
| 26 | 20.0 (CH ₃) | 19.8 | 0.81 (3H, d, $J = 6.78$ Hz) | 0.85 (3H, d, $J = 6.70$ Hz) |
| 27 | 19.3 (CH ₃) | 19.2 | 1.01 (3H, s,) | 0.81 (3H, d, $J = 6,7$ Hz) |
| 28 α | 23.3 (CH ₂) | 23.5 | 1.22 m | - |
| 28 β | | | 1.25 m | - |
| 29 | 12.2 (CH ₃) | 11.8 | 0.82 (3H, t, $J = 6.90$ Hz) | 0.92 (3H, t, $J = 7.4$ Hz) |
| | | | | |

233

234 Compound (**OS2**): The spectroscopic analysis results of ¹H and ¹³C NMR assignments were in correct
235 agreement with that reported in literature [25, 26, 27] (**Table 3**). The complete assignment of protons
236 was achieved by the HSQC, HMBC COSY and NOESY spectroscopic data. Basing on reported

237 spectral data the structure of compound (**OS2**) was proposed to be of chaulmoogric acid also known
 238 13-(2-cyclopentenyl) tridecanoic acid. This is the first report on the isolation of this compound from *O.*
 239 *spinosa*.

240

241 **Table 3: ¹H and ¹³C NMR spectroscopic data for Chaulmoogric acid (OS2)**
 242 **in CDCl₃ compared against literature values. Literature [27] [¹H NMR**
 243 **400 MHz, ¹³C NMR 100 MHz, CDCl₃, J in Hz**

| Position of carbon | ¹³ C NMR (125 MHz) | ¹³ C NMR (100 MHz) literature | ¹ H NMR (500 MHz) | ¹ H NMR literature (400 MHz) |
|------------------------------|-------------------------------|--|------------------------------|---|
| 1 | 178.8 (C) | 172.4 | - | - |
| 2α | 33.9 (CH ₂) | 34.1 | 2.35 (2H, t, J = 7.49 Hz) | 2.30 (2H, t, J = 7.2 Hz) |
| 2β | | | 2.36 | - |
| 3α | 24.7 (CH ₂) | 24.9 | 1.63 (2H, qn, J = 7.49 Hz) | 1.60 (2H, s) |
| 3β | | | 1.7 m | - |
| 4 | 29.2 (CH ₂) | 29.1 | 1.26 (br, s) | 1.26 (br, s) |
| 5 | 29.4 (CH ₂) | 29.4 | 1.26 (br, s) | 1.26 (br, s) |
| 6 | 29.6 (CH ₂) | 29.6 | 1.26 (br, s) | 1.26 (br, s) |
| 7 | 29.7 (CH ₂) | 29.6 | 1.26 (br, s) | 1.26 (br, s) |
| 8 | 29.6 (CH ₂) | 29.6 | 1.26 (br, s) | 1.26 (br, s) |
| 9 | 29.9 (CH ₂) | 29.8 | 1.26 (br, s) | 1.26 (br, s) |
| 10 | 29.7 (CH ₂) | 29.8 | 1.26 (br, s) | 1.26 (br, s) |
| 11 | 28.0 (CH ₂) | 28.0 | 1.26 (br, s) | 1.26 (br, s) |
| 12α | 29.1 (CH ₂) | 29.2 | 1.26 (br, s) | 1.26 (br, s) |
| 12β | | | 1.37 m | - |
| 13α | 36.2 (CH ₂) | 36.2 | 1.26 (br, s) | 1.26 (br, s) |
| 13β | | | 1.35 m | 1.35 |
| 1' | 45.6 (CH) | 45.5 | 2.61 (1H, br, s) | 2.60 (1H, br, s) |
| 2' | 135.5 (CH) | 135.1 | 5.68 (1H, tdd, J = 2.05, | 5.66 m |

| | | | | |
|------------------------------|-------------------------|-------|---|--------------|
| | | | 4.02 Hz | |
| 3' | 130.0 (CH) | 129.7 | 5.68 (1H, tdd, $J = 2.05$, 3.94 Hz) | 5.66 m |
| 4'α | 32.0 (CH ₂) | 32.0 | 2.24 m | 2.21 m |
| 4'β | | | 2.36 m | - |
| 5'α | 29.9 (CH ₂) | 29.9 | 1.26 (br, s) | 1.26 (br, s) |
| 5'β | | | 2.02 m | 2.01 m |

244

245 Compound (**OS3**): ¹H and ¹³C NMR spectral data was in agreement with that reported in literature [26,
246 28] (**Table 4**). The structure of compound (**OS3**) was proposed to that of a phenolic compound known
247 as benzoic acid.

248

249 **Table 4:** ¹H and ¹³C NMR spectroscopic data for benzoic acid (**OS3**) in CDCl₃

250 Compared against literature values. Literature [28] [¹H NMR 600

251 MHz, ¹³C NMR 150 MHz, MeOD, J in Hz.

| Position of carbon | ¹³ C NMR (125 MHz) | ¹³ C NMR (150 MHz) Literature | ¹ H NMR (500 MHz) | ¹ H NMR (600 MHz) literature |
|--------------------|-------------------------------|--|---|---|
| 1 | 130.6 (C) | 129.4 | - | - |
| 2 | 130.3 (CH) | 130.3 | 8.08 (1H, dd, $J =$ 7.89, 1.70, H-6) | 8.12 (1H, dd, $J = 7.68, 1.68,$ H-6) |
| 3 | 129.2 (CH) | 128.4 | 7.46 (1H, dd, $J =$ 7.15, 1.13, H-5) | 7.45 (1H, dd, $J = 7.20, 1.08,$ H-5) |
| 4 | 134.0 (CH) | 133.8 | 7.57 (1H, t, $J = 7.15$) | 7.62 (1H, t) |
| 5 | 129.2 (CH) | 128.4 | 7.46 | 7.45 |
| 6 | 130.3 (CH) | 130.3 | 8.08 | 8.12 |
| 7 | 169.6 (C) | 172.8 | - | - |

252

253 **3.3 Antiplasmodial activity of crude extracts and pure compounds**

254 *In vitro* antiplasmodial activity the root extracts of hexane, dichloromethane and methanol with IC₅₀ >
 255 50 µg/mL against both 3D7 and Dd2 strains were considered inactive. The root EtOAc crude extract
 256 had high activity of 4.69 ± 0.01 µg/mL and 3.52 ± 0.02 µg/mL against 3D7 and Dd2 strains
 257 respectively. This extract had the highest antiplasmodial activity out of the four crude extracts tested
 258 for this plant against both strains of *Plasmodium*. The root ethyl acetate extract of was found to be
 259 active against both CQ sensitive 3D7 and CQ resistant Dd2 strains with resistance index of 0.75 than
 260 the hexane, dichloromethane and methanol extract which showed resistance indices of > 1. The
 261 resistance indices of all the crude extracts were better than the reference standards which had 2.88
 262 and 4.73 for mefloquine and chloroquine respectively (Table 5).

263

264 **Table 5: *In vitro* antiplasmodial activities of crude extracts and isolated**

265 **compounds against 3D7 and Dd2 strain of *P. falciparum***

| Name | Test samples | Antiplasmodial activity IC ₅₀ (µg/mL) | |
|---------------------|----------------------------------|--|---|
| | | 3D7 strain (CQ sensitive) | Dd2 strain (CQ Resistant) |
| <i>O. spinosa</i> | Hex | > 50 ^a | > 50 ^b (> 1) |
| | DCM | > 50 | > 50 (> 1) |
| | EtOAc | 4.69 ± 0.01 ^a | 3.5 ± 0.0 (0.75) |
| | MeOH | > 50 | > 50 (> 1) |
| Pure compounds | β-sitosterol (OS1) | 2.28 ± 0.01 [5.51] ^{*a} | > 50 [120.77] ^{*b} (> 21.93) |
| | Chaulmoogric acid (OS2) | > 50 [> 178.57] [*] | 18.76 ± 3.23 [67.00] ^{*b} (< 0.38) |
| Reference standards | Chloroquine diphosphate (CQ) | 0.0093 ± 0.0099 ^a | 0.0440 ± 0.0102b (4.73) |
| | Mefloquine hydrochloride (MQ) | 0.0056 ± 0.0011 ^a | 0.0161 ± 0.0132b (2.88) |

266 Hex-hexane, DCM-Dichloromethane, EtOAc-Ethyl acetate, MeOH-Methanol

267 Values are expressed as mean \pm SD (n = 3)

268 Values with the same superscript in the same column are significantly different ($P < 0.05$)

269 Values in *IC₅₀ are expressed in μ M (Micromolar)

270 Values enclosed in parenthesis represent resistance index ratio (RI) of CQ resistant strain Dd2 to IC₅₀

271 CQ sensitive strain 3D7

272

273 The pure compound with (IC₅₀) 3D7: 5.51 μ M (β -sitosterol (**OS1**)) showed good activity while

274 chaulmoogric (**OS2**) acid displayed moderate activity of IC₅₀ Dd2: 67.00 μ M. The two compounds

275 were found to be inactive on both strains of parasite with (IC₅₀) 3D7: $> 178.57 \mu$ M (chaulmoogric acid)

276 and Dd2: $> 120.77 \mu$ M (β -sitosterol (**OS1**)). The antiplasmodial activities of the isolated compounds

277 correlate well with the activities of the crude extracts from which they were isolated. Therefore they

278 were responsible for the various activities demonstrated by the crude extracts. One compound was

279 not very reactive against the CQ resistant strain as shown by its resistance index: β -sitosterol (**OS1**)

280 (> 21.93). Chaulmoogric acid showed the highest cross resistance against both strains with resistance

281 index of < 0.38 . Antiplasmodial activities of crude extracts of the plant (Hex, DCM, EtOAc, MeOH)

282 (IC₅₀: 3.52- > 50) μ g/mL and pure compounds (IC₅₀: 5.51- > 178.57) μ M showed significant difference

283 with the reference standards (IC₅₀: 0.0056-0.0440) μ g/mL (CQ and MQ) for both the two strains of

284 parasite CQ sensitive 3D7 and CQ resistant Dd2 at ($P < 0.05$). There was also significant difference

285 observed between the antiplasmodial activities of the crude extracts of different extraction solvents

286 (Hex, DCM, EtOAc, and MeOH). All the crude extracts and pure compounds exerted a significant ($P <$

287 0.05) decrease in antiplasmodial activity for the two strains of *Plasmodium* compared to the two

288 standard controls (CQ and MQ) (**Table 5**).

289

290 Similar studies on antiplasmodial activities of crude extracts have been investigated on the

291 Flacourtiaceae family which to *O. spinosa* belongs. Evaluation of antiplasmodial activity on the aerial

292 parts of *Scolopia zeyheri* (Flacourtiaceae) was studied [29]. The hexane, DCM and MeOH extracts

293 were tested against CQ resistant FcBI and CQ sensitive F32 strains of parasite. Their findings gave

294 (IC₅₀) FcBI: 24.5 \pm 2.12 μ g/mL (hexane extract), 29.3 \pm 6.7 μ g/mL (DCM extract), $> 50 \mu$ g/mL

295 (MeOH). The CQ resistant strain exhibited (IC₅₀) F32: $> 50 \mu$ g/mL (hexane and DCM extracts), 7.5 \pm

296 2.1 µg/mL (MeOH extract). Another *in vitro* antiplasmodial investigation was conducted in South Africa
297 on root DCM, MeOH/MeOH and water crude extracts of *Flacourtia indica* (Flacourtiaceae) against CQ
298 sensitive D10 *P. falciparum* strain using the parasite lactate dehydrogenase (pLDH) assay [30]. Their
299 findings gave IC₅₀: 86.5 µg/mL (DCM), 78 µg/mL (DCM/MeOH), 78 µg/mL (water) which showed that
300 the extracts were inactive. A study from the same Flacourtiaceae family was assessed on *Trimeria*
301 *grandifolia* DCM/MeOH leaf extracts against CQ sensitive 3D7 strain [31]. Their results also gave IC₅₀
302 >50 µg/mL, which was regarded inactive. These results are also in agreement with those reported in
303 which MeOH crude extracts of the seeds of *O. spinosa* had IC₅₀ >100 µg/mL and also regarded
304 inactive [32]. These results are in the same range to those got for *O. spinosa* in the current study
305 where the EtOAc crude extracts of *O. spinosa* had IC₅₀ values of 3.52 ± 0.02 µg/mL and 4.69 ± 0.01
306 µg/mL for 3D7 and Dd2 strains respectively, while other crude extracts were found inactive (IC₅₀: > 50
307 µg/mL for both strains of parasite (**Table 5**). The resistance index for EtOAc crude extract was 0.75
308 while that for hexane, DCM and MeOH were >1. Therefore, these extracts and the pure compound
309 may lack cross-resistance with CQ resistant strain. This phenomenon can be attributed to differences
310 in the mode of actions of the different bioactive compounds in the extracts and that of the pure
311 compound [33].

312

313 In the present study three compounds were isolated and their structures elucidated, however only two
314 compounds were assessed for their *in vitro* antiplasmodial activities. These included β-sitosterol
315 (**OS1**), and chaulmoogric acid (**OS2**). Benzoic acid (**OS3**) had poor yields which only enabled the
316 determination of spectroscopic data. The *in vitro* antiplasmodial activity of compounds was assessed
317 on the two strains of *Plasmodium* (CQ sensitive 3D7 and CQ resistant Dd2). In the current
318 investigation β-sitosterol isolated from this plant had (IC₅₀) 3D7: 5.51 µM, Dd2: > 120.77 µM. Both the
319 DCM crude extract from which it was isolated and the β-sitosterol had resistance indices in the same
320 range > 1 and > 21.93 respectively. This indicates that both the crude and isolated compound were
321 not very active against the resistant Dd2 strain. *In vitro* antiplasmodial screening on β-sitosterol
322 isolated from MeOH crude extract of leaves of *Teclea trichocarpa* was assessed against CQ resistant
323 *P. falciparum* KI strain [34]. Their investigation gave IC₅₀ of 8.20 µg/mL (19.81 µM), which correlates
324 with the IC₅₀ value got from this study. However, the DCM extract from which it was isolated was
325 found to be inactive against both strains of parasite with IC₅₀ > 50 µg/mL. This shows that there was

326 antagonistic interaction of the compounds in its crude form, which explains the increased activity of pure
327 compound alone. Increased activity of compounds than their crude extracts was also cited [35]. In
328 their investigation on *S. pinnata* DCM/MeOH (1:1) whole plant crude extracts against CQ NF54
329 sensitive strain gave IC₅₀ of 2.19 µg/mL. This IC₅₀ value was less than those of the isolated
330 compounds schkuhrin I and schkuhrin II with (IC₅₀) NF54: 2.05 and 1.67 µg/mL respectively. A
331 bioassay-guided fractionation of the trunk bark extract of *Laetia procera* (Flacourtiaceae) that led to
332 the isolation of six clerodane diterpenoids: casearlucin A, casamembrol A and four laetiaprocerines
333 were investigated [36]. The diterpenoids exhibited antiplasmodial activity with IC₅₀ values of 0.57-6.04
334 µM on F32 strain and 0.54-27.5 µM on FCb1 strain. In another study on hexane and DCM extracts of
335 the bark of *Casearia grewiifolia* (Flacourtiaceae) four new clerodane diterpenes (caseargrewiins) and
336 two known clerodane diterpenes were isolated [37]. All compounds exhibited antimalarial activity
337 against *P. falciparum* K1 with IC₅₀ values of 3.6-7.9 µM, but they were also cytotoxicity. This shows
338 that the Flacourtiaceae family has some species that exhibit high antiplasmodial activity.

339

340 Another pure compound that was tested for antiplasmodial activity from this study was chaulmoogric
341 acid. This compound exhibited activity of IC₅₀ 3D7: > 178.57 µM and Dd2: 67.00 µM which was
342 different from the DCM crude extract from which it was isolated. The DCM crude extract was
343 completely inactive against both strains while this compound showed increased activity in its pure
344 form on Dd2 strain. This result is similar to a study in which the isolated compound showed increased
345 activity than the crude extract from which it was isolated [31]. The isolated compounds methyl
346 canadine, nitidine and chelerythine (IC₅₀) 3D7: 2.01, 0.17 and 1.35 µg/mL respectively from the
347 ethanol root bark of *Zanthoxylum chalybeum* had increased activity than its crude extract (IC₅₀ 42.5
348 and 41.5) µg/mL of MeOH and DCM respectively.

349

350 **3.4 Acute toxicity of crude extracts**

351 According to the LD₅₀ values of acute toxicity calculated, the crude extracts were classified into two
352 groups. The first group included extracts in which the LD₅₀ > 5000 mg/kg and considered to be
353 practically nontoxic. These extracts consisted of hexane and DCM extracts with LD₅₀ > 5000 mg/kg.
354 The second group was categorized as slightly toxic with LD₅₀ of 547.72 mg/kg, this consisted of
355 EtOAc and MeOH extracts.

356

357 There no observable change in behavior of the mice for hexane and DCM crude extracts for at all
358 doses 500-5000 mg/kg. The EtOAc extract recorded no observable change in behavior at a dose of
359 500 mg/kg. At doses of 1000 and 2000 mg/Kg, there was retarded movement, restless in breathing
360 and animals became less active and all the tested animals died. The same trends of results were
361 recorded for the MeOH crude extract. When doses were changed to 600, 700, 800 and 900 mg/kg the
362 EtOAc and MeOH extracts recorded mortality death.

363

364 Determination of acute toxicity is the first step in the toxicological analyses of herbal drugs. In the
365 present study Lorke's procedure was used because it offers the advantage that when doses are
366 correctly chosen adequate information is obtained using only few animals, irrespective of the material
367 tested and the route of administration [7]. In the current study the acute toxicity of most of the crude
368 extracts of the three plants had $LD_{50} > 5000$ mg/kg and these were considered nontoxic. The LD_{50}
369 was 547.72 mg/kg in only two crude extracts which were regarded as slightly toxic. The methanol
370 crude extract of the seeds of this plant was investigated [32]. Their results showed that the seed
371 crude extract was non-toxic to larvae of *brine shrimps* at IC_{50} of 250 μ g/mL. However, the
372 antiplasmodial activity of the MeOH seed extract was found to be inactive ($IC_{50} > 100$ μ g/mL), these
373 results correlate well with the findings from the current study.

374 4. CONCLUSION

375 The phytochemical study of the crude extracts of *O. spinosa* roots afforded known compounds
376 including β -sitosterol (**OS1**), chaulmoogric acid (**OS2**) and benzoic acid (**OS3**). The EtOAc crude
377 extract and compounds (**OS1, OS2**) possess significant antiplasmodial activity with the crude extracts
378 having no toxicity. This justifies the use of the plant in treating malaria and therefore it can be used as
379 a phytomedicine at low cost that is easily affordable by the local community a waiting clinical studies.

380

381

382 **CONSENT**

383 It is not applicable

384

385 **ETHICAL APPROVAL**

386 The present work was performed according to the approved guidelines of animal experiments of the
387 Research Ethical Committee at the Department of Pharmacology Makerere University, Kampala,
388 Uganda.

389

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