

NEWLY EMERGING CANDIDA SPECIE: SHOULD CLINICIANS AND MYCOLOGIST BE CONCERNED?

ABSTRACT

C. auris recently emerged as a global nosocomial pathogen associated with multidrug resistance and high mortality rates. Although animal studies indicate that *C. auris* has reduced pathogenicity and virulence compared to *C. albicans*, this emerging pathogen appears to be far more able to induce systemic infection and mortality than other potential multi drug resistance (MDR) yeast pathogens. Issues with regard to the identification of *C. auris* using both phenotypic and molecular techniques have raised concerns about detecting the true scale of the problem. This mini- review considers the literature available on *C. auris* and highlights the mechanism of pathogenesis and antifungal resistance, which will provide direction for further work in this field.

KEYWORDS: *Candida auris*, emerging infection, nosocomial pathogens, antifungal susceptibility, pathogenesis

Annually, an estimated 1.5 million people die from invasive fungal infections (Brown *et al.*, 2012). The advance of life expectancy, the rise of immunosuppressive treatments, higher survival of patients living with cancer or chronic disease and the use of catheters are all factors that attributed to the emergence of opportunistic fungal pathogens over the last decades (Pfaller, 2000; Pappas, 2018). *Candida albicans* is recognized as the main causative pathogen of candidiasis (Brown *et al.*, 2012). However, new species are on the rise, with the globally emerging multidrug-resistant *Candida auris* as one of the most concerning examples.

Candida auris is a recently identified multi-drug resistant *Candida* species, first reported in 2009 from the ear canal of a 70 year old female Japanese patient in Tokyo (Satoh *et al.*, 2009). Treatment options of *C. auris* are limited due to antifungal resistance, misidentification and its ability to persistently colonize hospital environments. It has been associated with infections and outbreaks in healthcare settings in Europe, Asia, North America, South America, and Africa (Lockhart *et al.*, 2017, Clancy and Nguyen, 2017). Recent reports have demonstrated that *C. auris* usually expresses fewer virulence factors than does *Candida albicans*. However, the tendency of *C. auris* transmission within and between healthcare facilities is unique among *Candida* spp. and is possibly promoted by virulence and pathogenicity factors that facilitate skin colonization and environmental persistence (Luana and Arnaldo, 2018).

37 The complete genome of *C. auris* was only recently investigated (Chatterjee et al., 2015; Sharma
38 et al., 2015), and we are far from fully understanding the role of different genes in the
39 pathogenicity and virulence of this emerging pathogen. The main problem is that the *C.*
40 *auris* genome sequence contains many uncharacterized and hypothetical proteins, and it is
41 unclear whether these proteins are involved in species-specific characteristics that promote its
42 aggressiveness as a pathogen (Chatterjee et al., 2015). This mini-review will attempt to provide
43 information regarding the pathogenesis and mechanism of antifungal resistance in *C. auris*.

44 **PHENOTYPIC TRAITS AND IMPLICATIONS FOR PATHOGENICITY**

45 Phenotypic switching and morphogenesis are well-known virulence attributes of *C.*
46 *albicans* (Polke et al., 2015). *C. albicans* deploys two typical switching systems, namely the
47 morphological yeast filament transition and phenotypic white-opaque switching. Recently, Bentz
48 and co-workers (Bentz *et al.*, 2018) reported phenotypic switching in *C. auris* when cultured
49 onto CHROMagar Candida, on which *C. albicans* and *C. tropicalis* can be relatively reliably
50 identified via a colony color change (*C. albicans*—green, *C. tropicalis*—navy blue). Other
51 clinically relevant *Candida* species, including *C. auris*, will have a pale appearance. Further
52 culturing of *C. auris* on CHROMagar Candida led to the description of three predominant colony
53 types: white, pale and sectorized (dark purple). No texture changes were observed, as all colonies
54 displayed a smooth and glossy phenotype (Bentz *et al.*, 2018).

55 The ability to produce hyphae is thought to promote virulence by giving the fungus the ability to
56 invade epithelial cell layers by exerting mechanical force, breaching and damaging endothelial
57 cells, and causing lysis of macrophages and neutrophils following phagocytoses (Thompson *et*
58 *al.*, 2011).

59 Although micromorphological studies of *C. auris* colonies suggest that this pathogen does not
60 produce germ tubes, pseudohyphae or chlamydo spores (Lee et al., 2011; Chowdhary et al.,
61 2014; Borman et al., 2016; Wang et al., 2018), this yeast may present pseudohyphae-like forms
62 under high-salt stress (Wang et al., 2018) and, occasionally, in the biofilm community (Sherry et
63 al., 2017). Pseudohyphae-like forms are characterized by rudimentary growth, with an elongated
64 shape and incomplete cell division (Sherry et al., 2017; Wang et al., 2018). Indeed, comparative
65 genome analyses have confirmed that *C. auris* and closely related species do not have two genes,
66 candidalysin (*ECE1*) and hyphal cell wall protein (*HWPI*), both of which are highly expressed
67 in *C. albicans* and the transcription of both is strongly associated with hyphal formation (Munoz
68 et al., 2018).

69 *C. auris* fails to form chlamydo spores after growth on cornmeal agar when incubated for 3 days
70 at 30°C and does not germinate when incubated with fetal bovine serum (FBS). This fact was
71 confirmed in the *Galleria mellonella* model of infection of *C. auris*, during which the isolates did
72 not undergo significant filamentation at 18 h or at any time post infection (Borman et al., 2016).

73 An interesting observation regarding the growth of *C. auris* is that certain isolates grow in
74 clumps (i.e., budding occurs, but daughter cells are not released), which results in large
75 aggregates of organisms that cannot be easily disrupted *in vitro* (Borman et al., 2016). These
76 isolates are called “aggregate” strains, and certain aggregate strains are too large for *G.*
77 *mellonella* larval inoculation, hindering accurate yeast cell counting.

78 Yeast cell aggregates were also observed in the kidneys of mice infected with *C.*
79 *auris*, suggesting that aggregation might be a mode of immune evasion and persistence in tissues,
80 which warrants further investigation (Ben-Ami et al., 2017). Taken together, phenotypic
81 switching in *C. auris* could have important implications for its pathogenicity, virulence, sexual
82 reproduction and ability to colonize distinct host niches, which warrants further investigation.

83

84 **TOLERANCE TO THERMIC AND OSMOTIC STRESSES**

85 Survival and growth at physiologic temperature are prerequisites for microbial invasion and
86 pathogenicity. *C. auris* exhibits thermotolerance, growing optimally at 37°C and maintaining
87 viability up to 42°C. In addition, this pathogen is salt tolerant, and cells aggregate into large,
88 difficult-to-disperse clusters, which may promote persistence in the hospital environment (Sato
89 et al., 2009; Borman et al., 2016). The ability of *C. auris* isolates to grow at 37°C and 40°C
90 appears to be similar to that of *C. albicans*, and certain isolates also grow at 42°C (Ben-Ami et
91 al., 2017). *C. auris* can also grow at high temperature (40°C) and salinity (10% wt/vol) when
92 cultured in Sabouraud (SAB) or yeast nitrogen base (YNB) broth with dulcitol or mannitol as the
93 carbon source (Welsh et al., 2017).

94

95 **IMPORTANCE OF LYTIC ENZYMES FOR FUNGAL INVASIVENESS**

96 The production of extracellular hydrolytic enzymes has been recognized as an important
97 virulence trait contributing to the pathogenicity of *Candida* species. Proteinases are by far the
98 most commonly virulence associated enzymes. In addition, also hemolysins, lipases and
99 phospholipases seem to play a crucial role. Secreted aspartyl proteinases (SAPs) are one of the
100 most significant extracellular enzymes produced in *C. albicans*. Classically, these enzymes were
101 considered to play a role in the degradation of host tissue to provide nutrients for pathogen
102 propagation. However, in recent decades, proteinases have also been associated with cell wall
103 maintenance, the formation of polymicrobial biofilms, adhesion to external protective barriers of
104 the host, deregulation of the complement system, inactivation of host antimicrobial peptides,
105 evasion of the immune responses and the induction of inflammatory mediator release from host
106 cells (Rapala-Kozik et al., 2018; Naglik et al., 2003). In fact, a recent study conducted by Wang
107 et al. (2018) demonstrated that the level of aspartyl proteinase (Saps) secreted by *C. auris* isolate
108 at 42°C was higher than that exhibited by *C. albicans* at the same temperature (Wang et al.,
109 2018). These findings suggest that *C. auris* isolates are not only well adapted to temperature
110 stress but also maintain their pathogenicity at higher temperatures.

111

112 Hydrolases are the largest group of enzymes (42%) found in the *C. auris* (strain 6684) genome,
113 followed by transferases (25%) and oxidoreductases (19%) (Chatterjee et al., 2015).
114 Furthermore, comparative genome analyses have revealed similar numbers of lipases in the *C.*
115 *auris* relative to those of *C. albicans* and *C. dubliniensis* (Munoz et al., 2018). The ability to
116 produce lytic enzymes has been demonstrated in *C. auris* isolates, and the production of these
117 enzymes is strain dependent (Kumar et al., 2015; Larkin et al., 2017).

118 Similarly, most strains displayed hemolysin activity, conferring a high capacity for iron
119 acquisition, growth, and invasiveness leading to widespread infection (Tsang et al., 2007; Kumar
120 et al., 2015). Secretion of hemolysins is considered to promote survival within the mammalian
121 host by allowing assimilating iron from the hemoglobin-heme group (Furlaneto et al., 2018).
122 Many common pathogenic *Candida* species display hemolysin activity, including *C. albicans*, *C.*
123 *dubliniensis*, *C. glabrata* and *C. tropicalis* (Furlaneto et al., 2018; Rossoni et al., 2003; Luo et al.,
124 2001; Seneviratne et al., 2011). Hemolysin production seems to be higher in strains isolated from
125 hospital infections compared to those from environmental sources, indicating this trait to be an
126 important virulence factor (Brown et al., 2012). In *C. auris*, hemolysin production was also
127 observed (Kumar et al., 2015). Nonetheless, this was a single isolate only and variation in
128 hemolysin activity between the different *C. auris* clades still needs to be evaluated.

129 Production and secretion of a wide variety of enzymes is likely to contribute to rapid spread of *C.*
130 *auris*. However, further investigation is warranted to reveal to which extend these enzymes are
131 involved in *C. auris* virulence and pathogenicity.

132

133 **CANDIDA AURIS AND ITS ALARMING ABILITY TO PERSISTENTLY COLONIZE** 134 **HUMAN HOST AND THE ENVIRONMENT**

135 Studies have demonstrated the ability of *C. auris* to colonize and spread throughout hospital
136 environments. One of the most alarming characteristics of *C. auris* is the ability of this yeast to
137 adhere to and persist on abiotic surfaces, including dry and moist surfaces, bedding material,
138 floors, sinks and beds, as well as human skin, ears, and nasal cavities (Schelenz et al.,
139 2016; Piedrahita et al., 2017; Vallabhaneni et al., 2017; Welsh et al., 2017).

140 Nosocomial *C. auris* outbreaks have been reported in hospitals all around the world, some of
141 them persisting up to 16 months (Vallabhaneni et al., 2017). Moreover, *C. auris* supposedly has
142 the ability to cause low-grade disease years after colonization. Heath et al. (2019) described a
143 case of *C. auris* sternal osteomyelitis in a patient who was colonized by *C. auris* 3 years prior
144 clinical disease manifestation.

145 Although the ecological niches of *C. auris* remain unidentified, environmental sampling within
146 the hospital environment has demonstrated *C. auris* to colonize and persist on abiotic surfaces
147 such as bedding material, floors, sinks, as well as human skin, ears and nasal cavities
148 (Vallabhaneni et al., 2017, Piedrahita et al., 2017). After a period of 7 days, *C. auris* recovery
149 from dry or moist surfaces was shown to be similar to that of other clinically relevant *Candida*

150 species, including *C. albicans*, *C. glabrata* and *C. parapsilosis* (Piedrahita *et al.*, 2017).
151 Additionally, it was shown that *C. auris* is able to remain viable for at least 14 days on a plastic
152 health care surface, as measured by colony forming units (CFU) (Welsh *et al.*, 2017).

153 Even though *C. auris* seems to be able to colonize plastic health care surfaces, this fungus shows
154 a weak adherence ability to catheter surfaces made of silicone elastomer, compared to *C.*
155 *albicans* (Larkin *et al.*, 2017). Implying a reduced number of catheter-associated candidiasis
156 caused by *C. auris* relative to *C. albicans*. Several (review) articles report suboptimal efficacy of
157 commonly used hospital environment disinfectants against *C. auris* as one of the factors
158 contributing to its persistence within the hospital environment. However, multiple original
159 studies show high efficacy of a plethora of commercially available disinfectants against *C. auris*
160 compared to *C. albicans* (Rutala *et al.*, 2019, Abdolrasouli *et al.*, 2017, Cadnum *et al.*, 2017).
161 Only quaternary ammonium-based disinfectants seem to be significantly less effective against *C.*
162 *auris*, but also against *C. albicans* and *C. glabrata* (Cadnum *et al.*, 2017). However, most studies
163 did not assess the efficacy of disinfectants on hospital surfaces and other materials commonly
164 found in hospital settings, such as fabrics and polymer. To establish effective infection
165 prevention protocols preventing the transmission of *C. auris* via contaminated surfaces,
166 examination of *C. auris* disinfection on this kind of surfaces is needed.

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168 **BIOFILM PRODUCTION LEADING TO ANTIFUNGAL RESISTANCE**

169 Many microbes are found in biofilm ecosystems. The biofilm forms a structured microbial
170 community encased in a matrix of exopolymeric material. Biofilms are a common form of
171 microbial growth that is crucial for the development of a broad spectrum of infections in the
172 human host and also for defending pathogens from phagocytes and antimicrobial drugs (Fanning
173 and Mitchell, 2012). Seven highly conserved genes (*PLB3*, *IFF4*, *PGA52*, *PGA26*, *CSA1*,
174 *HYR3*, and *PGA7*) are upregulated during biofilm production across isolates representative of *C.*
175 *auris*, *C. haemulonii*, *C. duobushaemulonii*, and *C. pseudohaemulonii*. The same proteins are
176 associated with biofilm production and mechanisms of antifungal resistance in *C.*
177 *albicans* strains (Kean *et al.*, 2018). The *C. auris* biofilms mostly consists of budding yeasts and
178 occasionally pseudohyphae embedded in a limited amount of extracellular matrix. *C. albicans*
179 biofilms are formed by densely packed hyphae and yeast cells embedded in extracellular matrix,
180 whereas *C. glabrata* forms a thin biofilm with yeast cells only, lacking extracellular matrix
181 (Sherry *et al.*, 2017).

182 *Candida* biofilms show intrinsic resistance against antifungals. Several mechanisms were
183 proposed to contribute to this resistance: (1) the high cell density within the biofilm; (2)
184 decreased growth rate and nutrient limitation; (3) sequestration of drugs by the extracellular
185 matrix (ECM); (4) the high expression of resistance genes, especially those encoding efflux
186 pumps; and (5) the presence of ‘persister’ cells (Ramage *et al.*, 2005). Also *C. auris* biofilms

187 display lower susceptibility against antifungals, including caspofungin, micafungin and
188 amphotericin B (Sherry *et al.*, 2017).

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190 CONCLUSION

191 *C. auris* recently emerged as a global nosocomial pathogen associated with multidrug resistance
192 and high mortality rates. Although animal studies indicate that *C. auris* has reduced
193 pathogenicity and virulence compared to *C. albicans*, this emerging pathogen appears to be far
194 more able to induce systemic infection and mortality than other potential MDR yeast pathogens,
195 such as *C. glabrata* and *C. haemulonii* (Fakhim *et al.*, 2018). This finding is likely to be related
196 to the tolerance of *C. auris* strains to osmotic and high-temperature stress as well as to its ability
197 to produce several lytic enzymes and biofilm (Ben-Ami *et al.*, 2017; Welsh *et al.*, 2017).

198 However, the origin of this unprecedented emergence remains unclear. Genomic analyses
199 revealed *C. auris* possesses many genes associated with virulence and reduced antifungal
200 susceptibility, including genes encoding secreted aspartyl proteases, lipases, phospholipases,
201 hemolysins and drugs efflux pumps (Chatterjee *et al.*, 2015, Munoz *et al.*, 2018, . Pappas *et al.*,
202 2018). Nonetheless, many genes are still uncharacterized and further investigation is required to
203 understand the molecular mechanism responsible for the high pathogenicity and antifungal
204 resistance of this pathogen.

205 An important limitation of virulence analyses based on clonal strains cultured from patients
206 during outbreaks is that it remains unclear whether such findings may be safely extrapolated to
207 all isolates of the species or whether they are only representative of biological properties
208 exhibited by a few clonal strains.

209 An additional point that deserves more attention is the characterization of adhesins and other
210 molecules responsible for the capability of *C. auris* to persistently colonize abiotic and biotic
211 surfaces. Indeed, this pathogen is able to survive and persist under different environmental
212 conditions, including on dry materials, bedding material, floors, sinks and beds, and it exhibits
213 tolerance to temperature and osmotic stresses (Ben-Ami *et al.*, 2017; Welsh *et al.*, 2017).

214 In conclusion, *C. auris* expresses many important virulence traits, including traits that are well
215 characterized in other *Candida* species, and seemingly unique traits, such as the ability to evade
216 the innate immune system and persistently colonize the skin of human host. This together with
217 the high propensity to develop resistance to multiple antifungals likely contributed to its
218 emergence as a nosocomial pathogen.

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