3

4

PROMOTION OF EFFICACY IN THE REGULATION OF NOISE POLLUTION IN KENYA THROUGH DEVOLUTION AND PUBLIC PARTICIPATION

5

7 8

9

10 11

12

13

14 15

16

17 18

19

20 21

22

23

2425

26

27

28

29

30

31

32

33

6 ABSTRACT

This research article examines the promotion of efficacy in the regulation of noise pollution in Kenya through devolution and public participation. The prevention of noise pollution has been recognised as a component of a clean and healthy environment. In many countries, Kenya included, comparatively little attention is paid to noise pollution, despite its importance in the urban and industrial scene. For example, although the provisions of sections 115 and 175 of the Public Health Act and the Penal Code respectively, prohibit and criminalise public nuisance, their enforcement is outside the competence of the individual. Rarely does one hear of a court action by public health or other officers yet pollution continue to occur. It appears that there has been total apathy by the officers concerned with the enforcement and the community affected by the nuisance. Lack of efficacy in the implementation and enforcement of the Regulations is a major reason for the existence of noise pollution in Kenya. Among the challenges faced is the lack of resources in terms of logistics to create awareness with regards to the problems associated with noise pollution. The Kenyan public are yet to appreciate and understand that noise is an unnecessary evil in the society. As the level of noise pollution rises every day at an alarming rate a serious problem is looming to the members of the public and the country in terms of the health issues, communication troubles, general nuisance, and its corresponding effects on wildlife. There is a limited research field study and gaps in this area regarding noise pollution control and how to enhance its efficacy in Kenya. New strategies, beyond the simple command and control instruments currently in place at the national level shall help in changing behaviours in ways that shall be beneficial to the society as a whole. This paper tends to look at how the various tiers of national and county governments have embraced these principles in the promotion of efficacy in the environmental governance in Kenya especially in noise pollution control.

1.1 INTRODUCTION

- Noise pollution can be defined as unwanted or offensive sounds that unreasonably
- intrude into our daily activities². Noise has in recent years emerged as one of the
- 36 important pollutant of environment, attracting attention from the local authorities
- and the international community around the world. Despite much having been

- written about the adversity of noise pollution, much of the information has not been
- appreciated by the medical community and the general public³. This has made noise
- 40 pollution to become a fact of life worldwide.
- The potential health effects of noise pollution are numerous and significant, both
- 42 medically and socially. Excessive noise can cause injury to the body⁴. It interferes
- with sleep, concentration, communication and recreation⁵. Noise, even at levels that
- are not harmful to hearing are perceived subconsciously as a danger signal even
- 45 during sleep⁶. The cumulative adverse effects of noise impairs health and degrade
- 46 residential, social, working and learning environment with corresponding real
- 47 (economic) and intangible (well-being) losses.
- 48 The aim of enlightened government control should be to protect citizens from the
- 49 adverse effects of airborne pollution, including those produced by noise. This is
- 50 because people have the right to choose the nature of their acoustical environment; it
- should not be imposed on them by others. In Kenya noise pollution is currently
- 52 regulated, mainly by the command and control instruments such as laws,
- 53 regulations, permits, standards etc. Specifically, Kenya's regulation of noise
- 54 pollution is in the form of laws and regulations such as *The Environmental*
- 55 Management and Coordination Act (Noise & Excessive Vibration pollution) (Control)
- 56 Regulations⁷, (hereinafter referred to as the 'Regulations'); The Factories and other Places
- of Work (Noise Prevention and Control) Rules, 20058; the Convention Concerning the
- 58 Protection of Workers against Occupational Hazards in the Working Environment
- 59 due to Air Pollution, Noise and Vibration, 19779, and the provisions of Sections 55
- and 58 of the Traffic Act¹⁰. These are national laws. The constitution of Kenya, 2010
- 61 at Schedule 4, Part 2 (3) ,made under articles 185 (2), 186(1) and 187(2), however,
- 62 allocates the function of control of air pollution, noise pollution, and public
- 63 nuisances and outdoor advertising on the devolved county governments¹¹.
- 64 Decentralised management of the environment and natural resources is therefore a
- 65 new paradigm in Kenya's environmental management scene because for a long time,
- 66 environmental management in Kenya has generally been undertaken by the national
- 67 government on behalf of the people of Kenya. The command-and-control approach
- 68 philosophy which has predominantly informed the development of Kenya's
- 69 environmental regime, according to Ochieng, however, requires a centralised
- authority for environmental management in the hands of public institutions, with
- 71 little, if any, delegation of responsibilities to other authorities or communities
- 72 thereby permitting little room for public participation¹².
- 73 The Constitution of Kenya at Article 69(1)(d), however, encourages public
- 74 participation in the management of the environment. It also provides for national
- 75 values and principles of governance in Kenya which at Article 10(2)(a) includes

84

85 86

87

88 89

90 91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113114

devolution and public participation. The research proposed herein shall seek to study the practical application of the national values and principles of devolution and public participation as one of the ways of enhancing community participation in, thereby enhancing the efficacy of the, noise pollution control mechanisms in Kenya. It shall assess the extent of devolution of noise pollution control in Kenya; and the adequacy of the legal frameworks to encourage community participation through devolution.

Theoretical Framework

The model on the Legal Realism theory of Karl Llewellyn which is an approach to thinking about and studying the results of the application of law, and subsequent social engineering through systematic and purposeful change of the law and the practical application of the law and the results¹³. Its main theme throughout all of these related philosophies is a belief in the potential for improvement of human society (and therefore the human condition) through purposeful change imposed via politics and law¹⁴. According to Karl Llewellyn, law, including regulations, is determined by actual practices and attitude of judicial officers, lawyers, and police officers, and other enforcement agencies, rather than as the rules and doctrines set forth in statutes¹⁵. Justice Oliver Wendell Holmes, another realist, adds that law is made by human beings and, thus, is subject to human foibles, frailties and imperfections¹⁶. Applying the above theory in noise pollution control, then I do believe that efficacious noise pollution control laws and policies, can best be improved when all the stakeholders, including the members of the communities, police, judiciary, lawyers etc are involved right from the formulation to the implementation stages. Such laws and policies if enforced, interpreted and/or implemented well, shall contribute positively to behavioural and attitudinal change towards noise pollution control, and shall have a purposeful change to the community as regards the prevention and control of noise pollution in our counties.

Based on the notion that communities will have little interest in noise pollution control if they are not allowed to fully participate in it and participation is best achieved if noise pollution control is fully devolved to the community level. This study is therefore based on the concept that devolution and public participation lead to the greatest levels of transfer of power to local levels¹⁷. Another concept upon which this study is based is the new governance concept. This concept advocates for decentralization and challenges the traditional focus on formal regulation mainly in the form of command and control as the dominant locus of change¹⁸. New governance is facilitated by such factors as devolution; increased public-private partnerships and the emergence of new managerial technologies¹⁹. Many policy initiatives in different fields are now employing new regulatory approaches in legal practice that reflect this concept. One such field is Environmental law which has

147

- been at the forefront of new governance through the concept of civic environmentalism, which confronts the failures of traditional regulatory schemes and promotes participatory and decentralized arrangements to better conserve the environment and natural resources. The new governance approach of civic environmentalism aims to be participatory, collaborative and decentralized and
- 120 focuses on problem solving. As such, policies must be integrated to allow those
- 121 closest to the problem to contemplate their effectiveness and reasonableness.
- 122 Government restricts its role to assisting in and providing incentives for self-
- implementation programs and encourages public participation.

Nature and Sources of Noise Pollution

- 125 According to other studies, the source of most outdoor noise worldwide are
- transportation systems, including road, air and rail traffic; generators, car alarms,
- 127 emergency service sirens, office equipment, factory machinery, grounds keeping
- 128 equipment, barking dogs, appliances, power tools, lighting hum, audio
- 129 entertainment systems, loudspeakers, neighbourhood noise and public address
- 130 system used by religious and social organisations. It was concluded that poor urban
- 131 planning may give rise to noise pollution since side-by-side industrial and
- residential buildings can result in noise pollution in the residential area. He further
- 133 concluded that road noise, especially at some distance from the road can be
- to the folder that rode holder, especially at some distance from the rode can be
- described as a steady state noise that does not fluctuate much, but rail and air craft
- noise are acoustically characterised by high noise levels of relative short duration.
- 136 Further that the speed and exhaust systems determine the noise released by road
- 137 traffic. Noise from industrial installations, construction sites and fixed recreation
- facilities, on the other hand, radiate from a point source and shape of exposure area
- is generally circular²⁰.
- 140 Indiscriminate use of horn by the vehicles and widespread use of loud speakers in
- 141 social and religious ceremonies cause several health hazards such as deafness,
- nervous breakdown, mental disorder, heart troubles and high blood pressure, head-
- aches, dizziness, inefficiency and insomnia²¹. The adverse effects of noise have not
- even spared the birds and other bio species like robins, sparrows, wrens and
- blackbird as those living near roads may not be able to hear each other and thus
- unable to contact for propagation²².

The Concept of Public Participation in Environmental Decision Making in Kenya

- 148 Public participation, often called P2 by practitioners, according to Omondi and
- 149 Wanjiku, is the process by which an organisation consults with interested or affected
- 150 individuals, organisations, and government entities before making a decision. It is
- 151 sometimes used interchangeably with the concept or practice of stakeholder

- 152 engagement and/or popular participation. The 'public' are the people with an interest in or are likely to be affected, by a decision made, either positively or 153 negatively. According to Omondi and Wanjiku, civil society organisations, who on 154 155 their own, should not be confused with the 'public' as defined herein, have for long played a significant role in enhancing a culture of participation across the world²³. 156 157 According to Okidi, management of the environment should involve prominent 158 community participation and that the legal empowerment of individuals and public 159 participation is an essential condition of a good environmental law and practice²⁴.
- 160 There are many different public participation mechanisms, although these often 161 share common features. These include stakeholder engagement, large-scale 162 consultations, focus group research, online discussion forums, or deliberative 163 citizens' meetings. Civil society movements and organisations have embodied 164 various avenues to include: public hearings, forming lobby groups, citizen report 165 cards, social audits and citizen action groups²⁵. They often initiate the formation of 166 watchdog committees and citizen advisory groups and facilitate their activities. 167 Other avenues provided for by the law are the citizen's fora which are provided for 168 in section 22 of the Urban Area and Cities Act, No. 13 of 2011.
- For public participation to be effective, Omondi and Wanjiku proposed that public consultations should be open to all citizens, without discrimination, safeguards should be established to prevent consultative forums from being dominated by any one political group, organised interest, or politicians, public participation must have clear and specific purposes and the timeline and venues for public consultations be made known at least two weeks in advance of the consultation²⁶. Time dedicated for public response, in form of feedback and questions must also be set aside²⁷.
- 176 There have emerged a number of arguments in favour of a more participatory 177 approach, which stress that public participation is a crucial element in 178 environmental governance that contributes to better decision making. It is recognised that environmental problems cannot be solved by government alone²⁸. 179 180 By involving the public, who are at the root of both causes and solutions of 181 environmental problems, in environmental discussions, transparency and accountability are more likely to be achieved, thus secures the democratic legitimacy 182 183 of decision-making that good environmental governance depends on. Arguably, a 184 strong public participation in environmental governance could increase the commitment among stakeholders, which strengthens the compliance and 185 enforcement of environmental laws²⁹. In addition, some argue that the right to 186 187 participate in environmental decision-making is a procedural right that "can be seen as part of the fundamental right to environmental protection". From this ethical 188 189 perspective, environmental governance is expected to operate within a framework

- 190 coinciding the "constitutional principle of fairness (inclusive of equality)", which
- inevitably requires the fulfilment of "environmental rights" and ultimately calls for 191
- the engagement of public³⁰. Further, in the context of considerable scientific 192
- 193 uncertainties surrounding environmental issues, public participation helps to
- 194 counter such uncertainties and bridges the gap between scientifically-defined
- 195 environmental problems and the experiences and values of stakeholders³¹.

The Legal Regime of Public Participation in Kenya

- 197 The Constitution of Kenya now recognises public participation, a political principle,
- 198 as a right. Article 10(2)(a) of the Constitution of Kenya provides that the national
- 199 values and principles of governance include participation of the people. Others co-
- 200 related values and principles provided for therein include patriotism, national unity,
- 201 sharing and devolution³². Further, Part 1 of Chapter 13 of the Constitution of Kenya
- 202 sets out the values and principles for public participation in the public service, which
- 203 includes noise pollution control function as devolved to the counties. Specifically,
- 204 Article 232(1) provides that the national values and principles of public service
- 205 include, "...(d) Involvement of the people in the process of policy making...and (f)
- transparency and provision to the public of timely, accurate information." Article 232(2)(a) 206
- 207 provides that the values and principles of public service apply to public service in all
- 208 State organs in both levels of government.
- 209 Another secondary, but related concept to direct public participation is the issue of
- 210 transparency. In this regard, Article 35 of the Constitution provides for the right to
- access to information. Access to information safeguards the right for every person to 211
- 212 obtain information on environment in custody of a public authority without need for
- justification or proof of citizenship. Importantly, what counts as "environmental 213
- 214 information" is widely defined to afford the right of access to information the widest
- 215 construction. According to Omondi and Wanjiku, this right to know is an important
- 216 guarantee of accountability in institutional activities³³.
- Article 69 of the Constitution provides for the obligations of the state in respect to 217
- 218 the environment and encourages public participation in the management, protection
- 219 and conservation of the environment. It establishes systems of EIA, environmental
- 220 audit and monitoring of the environment. Other aspects of public participation may
- be found in Articles 48 and 50 of the Constitution which provide for the right to 221
- 222 access to Justice and to a fair hearing. The Environment Management and Co-
- 223 ordination Act, 1999 (EMCA) has created a unique institutional framework for
- 224
- environmental management and coordination that has the public play an important
- 225 role. EMCA provides for public participation in environmental matters. EMCA
- 226 establishes various institutions, such as National Environment Council (NEC),
- 227 NEMA, NET, Public Complaints Committee, Provincial and District environment

- committees, National Environmental Action Plan Committee (NEAPC), all of which
- 229 allow the public participation and/or stakeholder consultation and engagement in
- 230 environmental decision-making.
- The practice of Environmental Impact Assessment (EIA) as provided for in the Act
- 232 enhances environmental democracy. It engages the public in vetting projects that
- 233 impact on the environment. The requirement for publication of EIA study
- reports/advertisement allows the public for participation in reviewing an envisaged
- public project³⁴. Section 123 of EMCA provides that any person may have access to
- any record transmitted to NEMA. The Environmental Impact Assessment/ Audit
- 237 Regulations, 2013, are anchored under Section 147 of the Environmental
- Management and Co-ordination Act (EMCA). The EIA Regulations are said to apply
- to all policies, plans, programmes, projects and activities specified in Part IV, Part V
- 240 and the Second Schedule of EMCA. The EIA regulations require the authority
- 241 (NEMA) to invite the public to make oral or written comments on the report.
- 242 EMCA laid to rest the stringent requirement as to standing which had been a prime
- constraint to environmental litigation in Kenya. Under section 3(3), everyone whose
- environmental rights have been violated can apply to the High Court of Kenya for
- 245 redress and remedy without having to establish that the action or omission
- complained against caused or is likely to cause a personal injury or loss to him or
- 247 her. The judiciary in deciding environmental matters is obliged to be guided by
- 248 principles of sustainable development including public participation in development
- of policies, plans and process in management of environment.
- 250 One crucial aspect of public participation is decentralisation, de-concentration and
- devolution of decision-making and implementation powers³⁵. This could be the
- 252 reason why section 87 of the County Government Act 2012 provide that citizen
- 253 participation in County governments, where noise pollution control functions has
- been devolved, shall be based upon the principles of timely access to information,
- data, documents, and other information relevant or related to policy formulation
- and implementation; reasonable access to the process of formulating and
- 257 implementing policies, laws, and regulations, including the approval of
- 258 development proposals, projects and budgets, the granting of permits and the
- establishment of specific performance standards; protection and promotion of the
- 260 interest and rights of minorities, marginalised groups and communities and their
- access to relevant information; legal standing to interested or affected persons,
- 262 organisations, and where pertinent, communities, to appeal from or, review
- 263 decisions, or redress grievances, with particular emphasis on persons and
- 264 traditionally marginalised communities, including women, the youth, and
- 265 disadvantaged communities; reasonable balance in the roles and obligations of
- 266 county governments and non-state actors in decision-making processes to promote

- 267 shared responsibility and partnership, and to provide complementary authority and
- 268 oversight; and promotion of public-private partnerships, such as joint committees,
- technical teams, and citizen commissions, to encourage direct dialogue and 269
- 270 concerted action on sustainable development; and the recognition and promotion of
- 271 the reciprocal roles of non-state actors' participation and governmental facilitation
- 272 and oversight.
- 273 There are several other areas of interest of note where citizens have been given an
- 274 avenue to participate in their governance at the county level. Section 15 of the
- 275 County Government Act, 2012 grants any person power to petition the county
- 276 assembly to consider any matter within its authority, including enacting, amending
- 277 or repealing any of its legislation. In addition, section 88 of the County Government
- 278 Act, 2012, gives the people the right to petition the County government on any
- matter under the responsibility of the County government. Section 89 makes it a 279
- 280 duty to County government authorities, agencies and agents to respond
- 281 expeditiously to petitions and challenges from citizens. Moreover, section 90 of the
- 282 County Government Act, 2012 allows the conduct of referendum on local issues such
- 283 as County laws and petitions; or planning and investment decisions affecting the
- 284 County for which a petition has been raised and duly signed by at least 25% of the
- 285 registered voters where the referendum is to take place.
- Thus Public participation in the County planning process is mandatory as indicated 286
- 287 in section 113 of the County Government Act, 2012. It even goes on further to list the
- 288 various avenues that the county should make available for the people to participate.
- 289 These include Information communication technology based platforms; town hall
- 290 meetings; budget preparation and validation fora; notice boards: announcing jobs,
- appointments, procurement, awards and other important announcements of public
- 292 interest; development project sites; avenues for the participation of peoples'
- 293 representatives including but not limited to members of the National Assembly and
- 294 Senate; and, establishment of citizen fora at County and decentralised units. Section
- 295 115(2) of the act provides that each county assembly shall develop laws and
- 296 regulations giving effect to the requirement for effective citizen participation. These
- 297 laws and regulations include those on noise pollution control which is a devolved
- 298 function.

- 299 The Urban Areas and Cities Act, 2011 also has provisions that allow for citizen
- 300 participation. The act at schedule 1, and pursuant to section 5, provides that such
- 301 urban areas and cities should be able to manage air noise pollution control services.
- 302 Section 22 of the act provides for the citizen for where residents of a city or urban
- 303 area have the right to: contribute to the decision-making processes of the city or
- 304 urban area by submitting written or oral presentations or complaints to a board or

305 town committee through the city or municipal manager or town administrator; prompt responses to their written or oral communications; be informed of decisions 306 307 of a board, affecting their rights, property and reasonable expectations; regular 308 disclosure of the state of affairs of the city or urban area, including its finances; demand that the proceedings of a board or committee and its committees or sub 309 310 committees be: conducted impartially and without prejudice and untainted by 311 personal self-interest; the use and enjoyment of public facilities; and, have access to 312 services which the city or municipality provides. These rights have been elaborately 313 provided for in the second schedule to the Act. Section 24 of the Act provides for the 314 publication of important information, and for access of the information by a resident 315 upon request. These information may include those relating to the policies and 316 programmes, relating to the control, and or management of air noise pollution.

The International Law Regime for Public Participation in Environmental

318 Decision-Making in Kenya

- As early as 1948, the Universal declaration on Human Rights (UDHR) provided the
- 320 framework for generalized access to information. The International Covenant on
- 321 Civil and Political Rights promulgated in 1966 sought to guarantee the right of
- access and dissemination of information by securing the freedom of citizens of the
- member countries to seek, receive and impart information and ideas of all kinds
- including information on environmental issues. Closer home, the African Charter on
- Human and Peoples' Rights in 1981 guaranteed that citizens have the rights of access
- to information, participation and justice. These rights, under the charter, were
- granted in addition to the right of the citizens to a general satisfactory environment
- favourable to their development. By virtue of Articles 2(5) and (6) of the Kenyan
- Constitution, these conventions have become part of our laws⁴.
- Principle 19 of the Stockholm Declaration advocates for education in environmental
- 331 matters for the younger generation as well as adults giving due consideration to the
- 332 underprivileged in order to broaden the basis for an enlightened opinion and
- 333 responsible conduct by individuals enterprises and communities in protecting and
- improving the environment in its full human dimension. The call for an enlightened
- opinion presupposes participation in decision-making. The enlightened opinion is to
- be taken on board in decisions affecting the environment. The 1992 Rio Declaration
- makes provisions for public participation in Principles 10, 20, 21 and 22.
- 338 The 1988 United Nations Economic Commission for Europe Convention on Access
- 339 to Information, Public Participation in Decision-Making and Access to Justice in
- Environmental matters (or the "Aarhus Convention") is an international agreement
- that lays down an elaborate set of basic rules to promote public involvement in
- 342 environmental matters and improve enforcement of environmental law. It grants

354 355

356

357

358

359

360

361

362

363

364

365

366 367

368

369

370 371

372

373 374

375

376

377378

379

380

the public access to environmental information, provides for participation in 343 environmental decision-making, and allows the public to seek judicial redress when 344 environmental laws are infringed. As such, it represents a milestone in strengthening 345 346 democracy in environmental policy-making and environmental protection, and improves the effectiveness of environmental policies and laws. The AARHUS 347 convention is founded on the pillars of access to information, public participation in 348 349 decision-making and access to justice. Articles 14(1)(a) of Convention on Biological 350 Diversity encourages public participation in environmental impact assessment of proposed projects that are likely to have significant adverse effects on biological 351 352 diversity. It implores the promotion of exchange of publicly available information.

Conceptualizing Devolution as a Form of Governance

Devolution is a complex and wide subject with different connotations and meaning across time and space. It is often conceptualized as a sub-category or level of decentralization. Rondinelli, Nellis and Cheema define decentralization as having three levels; de-concentration, delegation and devolution. They define deconcentration as the handing over of administrative responsibility to lower levels within central government, delegation as being the transfer of managerial responsibility for specifically defined functions to organisations that are only indirectly controlled by central government and devolution as the strengthening of sub-national units of government which are outside the direct control of central government³⁶.

According to Odero, devolution is a form of decentralization in which the authority for decision making in respect to finance and management is transferred to quasiautonomous units of local government. For him, devolution is a political concept that denotes the transfer of political, administrative and legal authority, power and responsibility from the centre to lower levels³⁷. Cirelli takes a similar position on transfer of powers to local levels of government, albeit focusing on the environmental sector. He observes that there has been a growing tendency towards the devolution of powers of central government in the environmental sector to local authorities. It is his argument that increased devolution of power to the local level may facilitate adequate consultation of communities³⁸. These three works are important to the extent that, they discuss the meaning and importance of devolution in the decentralization of governments. The key rationales for decentralisation are well articulated by Musgrave³⁹ and Oates⁴⁰. They argue that decentralisation may improve governance in public service provision by improving the efficiency of resource allocation. They thus argue for decentralisation from an economic point of view. They, however, further observe that sub-national governments are closer to the people than the national government and as a result, have better knowledge

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

409

410

411 412

413

414

415

416

417

418

419

381 about local preferences. Thus local governments are therefore better placed to 382 respond to the diverse needs of the local people. In addition, devolution narrows down the social diversity and subsequently the variation in local preferences. This 383 384 reduces the opportunities for conflicts among different communities. Tie bout notes that devolution promotes competition among the county governments and thus 385 386 enhances the chances that governments will respond to local needs. As a result, 387 counties are able to attain higher levels of efficiency in public service and in 388 allocation of public resources. Musgrave⁴¹ further states that devolution can enhance 389 production efficiency by promoting accountability, reducing corruption, and 390 improving cost recovery.

Arguments favouring devolution of resources to local levels of governance emphasise that the enhanced decision-making power, authority and control over resources play a pivotal role in economic and social development. They contend that devolution will result in increased citizens' participation in local governance where 'local governments are perceived to have the capacity to make political and financial decisions affecting their economic and social welfare'42. The improved allocation of resources is the most common theoretical argument for devolution43. By bringing government closer to local people, it is asserted that the government will be better informed to local needs and preferences, resulting in increased accountability and enhanced responsiveness of officials and government at the empowered local or regional level44.

There are however few studies on legislation at the county levels. There is no consensus on the perceived benefits of legally recognised self-government. There are also conflicting perspectives in the academic realm regarding the desirability and potential consequences of devolution and political decentralisation. Arguments against decentralisation fall into two categories, focusing either on national effects or local effects⁴⁵. At the national level, scholars have argued that the establishment of sub-national (or sub-provincial/ territorial) governments can lead to fiscal deficits, as local government debts are reluctantly absorbed by the national government⁴⁶. At the local level, rather than increasing democratic accountability, it has been argued that local elites can benefit disproportionately from devolution; effectively creating 'authoritarian enclaves' in local settings⁴⁷. Ochieng argues that there is always a possibility of tension between the central and local government in attaining a reasonable balance of power in managing the environment and natural resources. If such tensions result in adversarial relationships that undermine the application of the subsidiary principle, the ultimate outcome is the ineffectiveness of both the decentralisation and the environment or natural resource policy. Similar outcome may result from lack of effective co-ordination and synergy among various institutions responsible for environmental management. Ochieng further argues that

- 420 coming from the background of command-and-control regime; the citizenry still
- 421 consider environmental management as the preserve of the governmental
- 422 institution. Thus there is need to create greater awareness about the emerging
- 423 environmental issues such as noise pollution control¹².
- 424 Other scholars look more specifically at devolution. For example, Dilys Roe et al.
- 425 (eds) posit that there is increasing focus on devolution and on creating local level
- 426 conservation responsibility. Devolution for them is the way forward for natural
- 427 resource management in many countries⁴⁸. The gap does not provide for the
- 428 mechanisms of the said devolution through legal frameworks.

Practices in Noise Pollution Control with Respect to Devolution

- 430 Giving power of self-governance to the people and enhancing the participation of
- the people in the exercise of the powers of the state and in making decisions 431
- affecting them is one of the objectives of devolution provided for under Article 432
- 433 174(c) of the Constitution. One of the aims of devolution is to create more intense
- 434 community involvement in order to adjust service delivery models to the
- communities' specific needs⁴⁹. Most of the studies and literature on noise pollution 435
- 436 control practices are not local. Generally however, action to reduce environmental
- 437 noise has a lower priority than other environmental problems such as air and water
- 438 pollution. Recognising this as a prime issue, the European Commission adopted the
- 439 European Noise Directive requiring major cities to establish a noise management
- 440
- policy with the first step being to assess the current noise climate in the city by
- gathering real world data and building noise maps in order to better understand the 441
- 442 problem and support the creation of local action plans⁵⁰.
- 443 According to the United Kingdom's Department of Environment, Food and Rural
- 444 Affairs (DEFRA) and the Chartered Institute for Environmental Health (CIEH), local
- authorities have a range of roles involving responsibility for noise control. These 445
- 446 include investigation and abatement of statutory nuisance; land use planning;
- entertainment licensing; building control; and residential landlord⁵¹. Other local 447
- 448 authority departments with responsibility for noise services include the Local
- 449 Authority Building Control and approved private building inspection services -
- 450 ensure compliance with Part E of the Building Regulations 2000⁵² relating to sound
- 451 insulation between, and reverberation in the common parts of new and converted
- 452 residential buildings and acoustic conditions of schools. The proactive
- 453 implementation of national and local noise policy to a considerable extent is
- 454 achieved through the planning processes with external agencies who play a role in
- 455 controlling noise pollution⁵³.

482

483

484

485 486

487

488 489

490

491

492

493

494

495

In Northern Ireland, The Department of the Environment (DoE) is responsible for 456 457 planning control. The Planning Service, an agency within DoE, administers the development control and development plan functions. The Planning Service 458 459 considers noise issues to be material to the determination of planning applications and they are taken into account in preparing development plans. District council 460 461 Building Control Officers ensure compliance with the requirements of the Building 462 Regulations relating to sound insulation in new and converted buildings. The 463 Northern Ireland Housing Executive (NIHE) controls public sector housing and, as 464 landlord, ensures compliance with tenancy conditions. However, Environmental 465 Health Officers investigate noise complaints and enforce statutory noise nuisance 466 provisions relating to NIHE dwellings. The Department for Regional Development's 467 Roads Service must publish details of proposed trunk roads - and the public has the 468 right to object on any grounds, including noise. The Roads Service must also 469 consider the noise impact of road construction and similar works and administer noise insulation grant schemes. The police have controls to prevent the illegal use of 470 471 motor horns. They also enforce the provisions of the Motor Vehicles (Construction 472 and Use) Regulations (NI) 1989 regarding excessively noisy vehicles and they deal 473 with noisy activities which may constitute public order offences. Complaints about 474 noise from civil aircraft can be made to the Department for Regional Development, 475 Ports and Public Transport Division. Under the Airports (NI) Order 1994 the 476 Department of the Environment in Northern Ireland also has a role to play in 477 relation to civil aircraft noise at airports. It has power to instruct an airport operator 478 to limit noise and vibration and may make a scheme requiring them to pay grants 479 towards noise insulation. Complaints about military aircraft/helicopters should be 480 addressed to the Army Headquarters.

The development of effective noise services requires written policies and procedures which set out in clear, unambiguous terms how the service is to be scoped, organised and delivered⁷⁸. The local authority may delegate the formulation and adoption of noise management policy to officer level without need for ratification by members. That delegation must be by formal resolution otherwise it will be *ultra vires*. In addition an authority will routinely delegate the operation of that policy at case level to those same officers. In such circumstances the officer is entrusted with both formulation and implementation of policy. As a guide, DEFRI and CIEH suggested that the following elements should be addressed in strategy, policy and technical procedure documents for noise services: the title and commencement date of the document together with reference to any amendments; the title of the officer responsible for maintaining quality; details of the legal context in which the service operates; a description of the organisational structure, including specific posts or named officers as appropriate; details of how the service assures the competence of its authorised officers, including professional and technical qualifications, experience

497

498

499

500 501

502

503

504 505

506

507

508

509

510 511

512

513

514

515

525

527 528

534

and developmental training, etc.; a detailed description of the scope of the service, including provision for responding to service requests out of hours; a digest of service standards, including relevant performance indicators and targets, where these have been developed; a practical definition of what constitutes "resolution" of a complaint; an enforcement policy reflecting the national enforcement concordat; a review of stakeholder issues, including equal opportunities, ethnic monitoring and customer feedback; service level agreements and procedures for liaison with different local authority departments, police, the Environment Agency and other external agencies as relevant; a set of detailed, procedural guidance notes outlining how the service intends to achieve consistency in dealing with particular matters, such as: investigating a complaint, prioritisation of complaints, record sheets, the use of notebooks etc. - written evidence, the taking of witness statements, the use of alternative dispute resolution, checklist for assessing correct service of a noise notice, service of abatement notices, appeals against abatement notices, defences in proceedings for breach of abatement notices, etc. The procedures described above may form part of the noise service's quality management system. It is recommended that key elements of the strategy, i.e. policy and strategy/enforcement procedures, are subject to scrutiny and approval by elected members and that the strategy is formally adopted by the local authority, thereby ensuring corporate status and commitment.

516 Examples of imaginative and innovative pro-active local authority initiatives include: dedicated web sites detailing a range of information including descriptions 517 518 of the scope of the service; how to make a complaint; specific topics such as 519 construction site noise and publicising successful prosecutions, etc.; contributing to 520 the raising of the awareness of noise issues by participating in Noise Awareness 521 Week; improved advertisement and promotion of noise services leading to a significant increase in uptake; and drafting of guidance and advice on noise for 522 523 developers and licensees to increase the effectiveness of the Town Planning and 524 licensing regimes for prevention of noise problems.

For a local authority to discharge its statutory duties, a minimum standard of service 526 needs to be resourced, monitored, achieved and documented. Service standards relevant to those duties and local policy should be established at least for the following: response policy including target response times; provision of technically 529 competent enforcement officers; administrative support at all stages of the complaint; complaint recording and priority criteria (screening); communications 530 within the service and with noise sufferers and makers; links with other local 531 532 authority service departments; liaison with police and other external agencies; health 533 and safety of officers; maintenance and calibration of measurement and recording instruments; individual case and overall service evaluation; and agency

- arrangements with other authorities. The standards must provide for specific and
- 536 measurable outputs

537 Conclusion

544

555

556

557 558

559 560

561 562

563

564

565 566

567

571

- In conclusion, it's clear that noise pollution has serious implication to health, medical
- and economic problems to the human being and even flora and fauna. Therefore,
- there is need to adverse effects of airborne pollution, including those produced by
- noise with emphasis with the best strategies, beyond the simple command and
- control instruments currently in place at the national level that will include change
- in behaviours in ways that shall be beneficial to the society as a whole.

Recommendations

- There is need to balance incentives to elicit compliance with, and, command and
- control mechanisms in the interest of environmental sustainability that requires the
- framing of the enforcement mechanisms that yield optimal compliance. On issue of
- 548 inclusive participation, need for strong public participation in environmental
- 549 governance should increase the commitment among stakeholders, which
- 550 strengthens the compliance and enforcement of environmental laws. As for the
- community support groups and government agencies need to increase awareness of
- 552 environmental rights and the benefits of sustainable environment regulation to
- safeguard a healthy environment for all Kenyans.

554 Bibliography

- 1. Kumar, B., Oberoi, S. V., & Goenka, A. (2004, April). A Brief Review of the Legislative Aspects of Noise Pollution. In Workshop On Environmental Pollution: Perspectives And Practices, Organized By Institute Of Engineering And Technology, Lucknow, India (pp. 53-65).
 - 2. Shapiro (1991) Godefroy, G., & Shapiro, J. H. (1991). Operators with dense, invariant, cyclic vector manifolds. *Journal of Functional Analysis*, 98(2), 229-269
 - 3. According to Majanja, J. in Pastor James Jessie Gitahi & 202 Others Vrs Attorney General¹ [2013]eKLR
 - 4. Berglund B, and Lindvall (Eds.). Community Noise. Archives of the Centre for Sensory Research. 1995; 2: 1 -195. This document is an updated version of the document published by the WHO in 1995. Available at: http://www.who.int/docstore/peh/noise/guidelines2.html.
- 5. Babisch, W. (2005) Noise and Health. *Environ Health Perspect*.113;A14-15.
- 6. Legal Notice No. 61 of 2009. *The Environmental Management and Coordination Act (Noise & Excessive Vibration pollution) (Control) Regulations*
- 7. Legal Notice No. 25 of 2005 . *The Factories and Other Places of Work Act*(Cap 514)
- 573 8. Cap 403 Laws of Kenya. The Traffic Act Cap 403

584

588

593

599

600 601

602

603

604 605

606

- The constitution of Kenya,2010 Part 2(3) of the 4th Schedule
 10. Ochieng B. O.(2008). 'Institutional Arrangement for Environmental Management in Kenya' in Okidi C. O. et al., Environmental Governance in Kenya: Implementing the Framework Law. East African Educational Publishers
 Ltd: Nairobi
- 581 11. Muthomi Thiankolu [2007] Landmarks for El Mann to the Saitoti Ruling; 582 Searching a Philosophy of Constitutional Interpretation in Kenya. Kenya Law 583 Review Vol 1: 188
- 585 12. Llewellyn, K. (1962). *Jurisprudence*. "A Lecture on Appellate Advocacy." *The*586 *University of Chicago Law Review* 29, no. 4 (1962): 627-639. Chicago: University
 587 of Chicago Press
- 13. Llewellyn K. (2009). *The Bramble Bush: On Our Law and Its Study*. Oxford: Oxford University Press.
- 591 14. Chafee, Zechariah (1919). "Freedom of Speech in Wartime". Harvard Law 592 Review 32 (8): 932–973
- 594 15. Dennis A. Rondinelli, John R. Nellis and G. Shabbir Cheema Decentralisation 595 in Developing Countries; AReview of Recent Experience (World Bank, 1983) 19. 596
- 16. Orly Lobel, 'The Renew Deal: The Fall of Regulation and Rise of Governance in Contemporary Legal Thought' (2004) 89 Minnesota Law Review 262, 264
 - 17. David M. Trubek and Louise G. Trubek, 'New Governance and Legal Regulation: Complementarity, Rivalry and Transformation' (2006) 13 Columbia Journal of European Law 1, 4
 - 18. Lobel (n26) 263.
 - 19. Bhargawa, G. (2001). 'Development of India's Urban and Regional Planning' *in 21st Century*. New Delhi: Gian Publishing House pp.115-116
 - 20. Deutche, Presse- Agentur (2003, May 10). Noisy cities make them dumb. *Business Line*
- 21. Omondi, J.W. & Wanjiku, F. (2015). 'Public Participation in Counties' in *the*Bench Bulletin Issue 30 July September 2015. Kenya Law: Nairobi
- 609 22. Okidi C.O (2008). 'Concept, Function and Structure of Environmental Law' in 610 Okidi C. O. *et al.*, *Environmental Governance in Kenya: Implementing the* 611 *Framework Law*. East African Educational Publishers Ltd: Nairobi
- 23. Rowe, G. and Frewer, L.J. (2005). A typology of public engagement mechanisms, *Science, Technology, & Human Values*, 30 (2), 251-290.
- 24. Government of Kenya (2011). *Urban Area and Cities Act. Chapter 275*. NCLR:
 Nairobi. Available www.klr.org Accessed on 29.09.2016
- 25. National Taxpayers Association. *Public Participation in Budgeting*. Available www.nta.or,ke/reports/general Assessed 29.09.2016

627

628

- 26. Pring, G. and Noé, S.Y. (2002). 'The Emerging International Law of Public Participation Affecting Global Mining, Energy, and Resource Development' in Zillman, D.M., Lucas, A. and Pring, G.(eds) *Human Rights in Natural Resource Development: Public Participation in the Sustainable Development of Mining and Energy Resources*. Oxford: Oxford University Press
- 623 27. Bulkeley, H. and Mol, A.P.J. (2003). 'Participation and Environmental Governance: Consensus, Ambivalence and Debate', *Environmental Values* 12 (2): 143–54.
 - 28. Du Plessis, A. (2008). 'Public Participation, Good Environmental Governance and Fulfilment of Environmental Rights', *Potchefstroom Electronic Law Journal*, 11(2): 170-201.(4)
- 29. Fischer, F. (2000.) *Citizens, Experts and the Environment,* Durham, NC: Duke University Press.
- 30. World Bank (2014). *Delivering Primary Health Services in Devolved Health*Systems of Kenya: Challenges and Opportunities. World Bank Group:
 Washington D.C.
- 31. Ojwang J. B. J. (2007). "The Role of the Judiciary in Promoting Environmental Compliance and Sustainable Development," 1 Kenya Law Review Journal 19
- 32. Western Cape on Public Participation. Available https://www.westerncape.goy.za/
 Accessed on 29.09.2016
- 33. Environmental Management Coordination Act, 1999 (Cap 387)
- 34. Ojwang J. B. J. (2007). "The Role of the Judiciary in Promoting Environmental Compliance and Sustainable Development," *1 Kenya Law Review Journal* 19
- 35. Dennis A. Rondinelli, John R. Nellis and G. Shabbir Cheema *Decentralisation* in *Developing Countries; A Review of Recent Experience* (World Bank, 1983) 19.
- 36. Steve O. Odero 'Devolved Government', in PLO Lumumba et al., *The*Constitution of Kenya: Contemporary Readings (Law Africa Publishing (K) Ltd.,
 2011) 203.
- 37. M. T. Cirelli, Legal Trends in Wildlife Management (Legislative Study 74, FAO 2002)
- 38. Musgrave, R. A. (2009). *The Theory of Public Finance*: A Study in Public Economy. New York: McGraw-Hill
- 39. Oates W. E. (2002). Fiscal Federalism. New York: Harcourt Brace Jovanovich
- 40. Tiebout, C. M. (2006). *A Pure Theory of Local Expenditures*. Journal of Political Economy. Vol. 64(5), 416 424
- 41. Cheema, Shabbir & Rondinelli, D. A (Eds.) (2007). *Decentralizing Governance:*654

 Emerging Concepts and Practices. Washington: Brookings Institution Press
- 42. Azfar, C. O., Kahokonen, S., Lanyi, A., Meagher, P. & Rutherford, D. (2004).
 Decentralization, Governance and Public Services: The Impact of Institutional
- 657 *Arrangement*. Hants: Ashgate Publishing Ltd. 2
- 43. Brinkerhoff, D. W., Brinkerhoff, J. M. & McNulty, S. (2007). Decentralisation and Participatory Local Governance: A Decision Space Analysis and Application to

- Peru. Washington: Brookings Institute Press Government of Kenya (2012).
 Transition to Devolved Government Act, 2012. Nairobi: Government Printer
- 44. The Institute for Social Accountability (TISA). June, 2012. Countdown to
 Counties: Are We Ready? Status Report No. 2. Nairobi.
- 45. Tiebout, C. M. (2006). *A Pure Theory of Local Expenditures*. Journal of Political Economy. Vol. 64(5), 416 424
- 46. Diamond, L. (2009). Developing Democracy: Towards Consolidation. Baltimore:
 John Hopkins University Press
- 47. Dilys Roe *et al.* (eds), Evaluating Eden; Exploring the Myths and Realities of Community-Based Wildlife Management (Series No. 8, IIED 1994) 30.
- 48. KPMG (2013). Devolution of Health Care Services in Kenya: Lessons Learnt from other Countries.
- 49. European Commission 2002, Directive 2002/49/EC: Assessment and management of environmental noise.
- 50. DEFRA and CIEH (2005). Neighbourhood Noise Policies and Practice for Local
 Authorities a Management Guide. London: Chartered Institute of
 Environmental Health (CIEH).
- 51. "Building Regulations 2000: Approved Document E Resistance to the Passage of Sound (2003 Edition)", ISBN 0117536423, Office of the Deputy Prime Minister
- 52. DEFRA and CIEH (2005). *Neighbourhood Noise Policies and Practice for Local*Authorities a Management Guide. London: Chartered Institute of
 Environmental Health (CIEH)