

Mixed Compounds of $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) and their Optoelectronic Properties

ABSTRACT

The engineering of bandgap in materials is desired to develop new optoelectronic and photonic devices. The structure, electronic and optical properties of MgO (an insulator) mixed with CdO (a semiconductor) in the stoichiometry $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) are calculated using the ab initio density functional theory. The bond character changes from partial covalent to a more stronger covalent bond as Cd concentration increases in MgO. The dominant covalent bond, coupled with high bulk modulus values predicts that the mixed compounds are hard materials and that Cd and Mg compliments each other to increase the hardness. All the mixed compounds are indirect bandgap materials. The dielectric function and the refractive index shifts to lower energy domain as Cd concentration increases, indicating that the optoelectronic property of the compounds is Cd dependent. The evaluated optoelectronic property predicts the material to be effective for applications in the visible and UV regions of the energy spectrum.

Keywords: Bandgap, covalent bond, dielectric function, refractive index

1. INTRODUCTION

Due to their distinctive physical properties and wide application areas, considerable attention have been devoted to the understanding of the oxides of group II-VI elements. A member of this group is magnesium oxide (MgO). Stoichiometrically, MgO is an insulator with a cubic sodium chloride (NaCl) rock-salt structure. Its band gap (E_g) is 7.3 eV. It has high thermal conductivity and melting temperature [1-4]. Another member of this group is cadmium oxide (CdO). It is a semiconductor with a band gap of 0.85 eV at room temperature. Its normal structure is also the cubic sodium chloride (NaCl) rock-salt (see Fig. 1(a)), which can under pressure, undergo a first-order structural phase transition from the NaCl to the cesium-chloride (CsCl) structure [5]. Because CdO is optically transparent and electrically conductive, it is widely used as window for solar cells. It is also used to manufacture thin-film resistors [6,7]. On the other hand, MgO have shown prospect for high-temperature superconductor and ferroelectric material production [8-11]. Thin layers of MgO are used as dielectrics both to improve discharge characteristics and lifetime in plasma screens [2, 12]. It

33 is a material of choice as antireflection layer in solar cells and as the insulating material for
34 the gates [13] in Insulated Gates Field Effect Transistors (IGFETs).
35

36 In materials science, it is a common knowledge that the magnitude/size of E_g , will affect the
37 optoelectronic as well as the photonic properties of the material. With addition of element(s)
38 into a lattice (through doping or full/partial substitution), a change in E_g can be achieved. The
39 adjustment of E_g in insulating/semiconducting compounds and the impact of such
40 adjustment on the electronic, optoelectronic and the photonic properties of the material must
41 be understood in order to design new functional devices. In the present theoretical work, the
42 bandgap of the rock salt MgO (an insulator) is varied systematically by alloying with that of
43 CdO (a semi-metal). In order to understand the optoelectronic nature of these alloys, their
44 structural, electronic and optical properties are investigated.
45

46 2. CALCULATION METHODS

47 All calculation is performed using the plane-wave pseudo-potential Density Functional
48 Theory (DFT), of which its one particle Schrödinger equation is written as [14, 15]:
49

$$50 \left[-\frac{1}{2}\nabla^2 + V_c(r) + \mu_{xc}(r) \right] \psi_i(r) = \varepsilon \psi_i(r), \quad (1)$$

51
52 where $-\frac{1}{2}\nabla^2$ is the kinetic energy, $V_c(r)$ is the Coulomb energy and $\mu_{xc}(r)$ is the exchange-
53 correlation. The solutions to (1) are one-particle wave-functions related to the total electron
54 density as:
55

$$56 \rho(r) = \sum_i n_i |\psi_i(r)|^2, \quad (2)$$

57
58 where n_i is the i^{th} state occupation number. The unknown wave-function $\psi_i(r)$, is usually
59 expanded in terms of known basis functions $\varphi_j(r)$ with unknown linear expansion coefficients
60 c_{ij} as:
61

$$62 \psi_i(r) = \sum_j c_{ij} \varphi_j(r), \quad (3)$$

63
64 The unknown coefficients c_{ij} are obtained by applying variational procedure to solve a matrix
65 of the form:
66

$$67 (H - \varepsilon S)c = 0, \quad (4)$$

68 where H and S , have matrix elements:
69

$$70 H_{ij} = \int \varphi_i^* \left[-\frac{1}{2}\nabla^2 + V_c(r) + \mu_{xc}(r) \right] \varphi_j(r) dr \quad (5)$$

$$71 S_{ij} = \int \varphi_i^*(r) \varphi_j(r) dr \quad (6)$$

72
73
74 in which ε is the eigenvalue and c is the coefficients that are solution to the column vector.
75 To obtain the eigenvalues and the coefficients, the matrix in (4) has to be diagonalized. All
76 the equations in (1) – (6) are solved using the Quantum Espresso (QE) computer code [16].
77 The Vanderbilt-type ultra-soft pseudo-potential (USPP) [17] is used for electron-ion
78 interactions. The exchange and correlation effect in the DFT are treated with the generalized
79 gradient approximation (GGA) of Perdew–Burke–Ernzerhof [18]. A convergence threshold of
80 10^{-3} Ry/a.u. is placed on the ground-state energy during structure optimization. The adopted
81 integration scheme over irreducible Brillouin zone is that of Monkhorst and Pack [19]. The
82 lattice parameter and bulk modulus of $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) are evaluated from a fit of the
83 energy-volume data to an equation of state [20] given as:

84

85

$$E(V) = E_0 + \frac{B_0 V}{B'_0} \left(\frac{(V_0/V)^{B'_0}}{B'_0 - 1} + 1 \right) - \frac{B_0 V_0}{B'_0 - 1}, \quad (7)$$

86

where E_0 is the total energy of the supercell, V is the unit volume, B_0 is the bulk modulus at zero pressure and B'_0 is the derivative of bulk modulus with pressure.

88

89

There is a relationship between the optical properties of a material and its dielectric function (ϵ). The two parts to the dielectric function; the real and the imaginary parts are given as:

90

91

92

$$\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega) \quad (8)$$

93

94

For the $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) mixed compounds, the imaginary and the real parts can be calculated using [21, 22]:

95

96

$$\epsilon_2(\omega) = \frac{8}{2\pi\omega^2} \sum_{nn'} \int |pnn'(k)|^2 \frac{dSk}{\nabla\omega nn'(k)} \quad (9)$$

97

98

99

$$\epsilon_1(\omega) = 1 + \frac{2}{\pi} p \int_0^\infty \frac{\omega' \epsilon_2(\omega')}{\omega'^2 - \omega^2} d\omega' \quad (10)$$

The refractive index can be calculated in terms of the real and the imaginary parts of the dielectric function by the following relation:

100

101

102

103

$$n(\omega) = \frac{1}{\sqrt{2}} \left[\{\epsilon_1(\omega)^2 + \epsilon_2(\omega)^2\}^{1/2} + \epsilon_1(\omega) \right]^{1/2} \quad (11)$$

104

105

and the absorption coefficient can be calculated by:

106

107

$$\alpha(\omega) = \frac{\omega \epsilon_2(\omega)}{c} \quad (12)$$

108

109

Since all the functions in (8) – (11) depend on the electronic band structure, they can thus be easily evaluated from DFT calculations.

110

111

112

3. RESULTS AND DISCUSSION

113

114

115

116

117

118

119

120

121

122

The calculated lattice constant (LP), bulk modulus (B_0) and the band-gaps (E_g) are presented in Table 1. The experimental data on the binary compounds (MgO and CdO) deviates from theoretical results. This is not surprising because theoretical bandgap data are usually underestimated by DFT calculation methods. The trend seen in LP as Cd substitutes Mg is expected, as the atomic radius of Cd (144 pm) is slightly higher than for Mg (141 pm). However, despite the systematic variation in the LP, a huge difference can be seen in the bulk modulus as Cd supplants Mg in MgO. When 25% Mg is substituted with Cd in MgO ($\text{Cd}_{0.25}\text{Mg}_{0.75}\text{O}$), B_0 rose from 149.3 GPa to 614.2 GPa (an increment that is well above 300%). On the Vickers scale, B_0 relates directly with materials hardness [23]. Thus, it

123

124

125

126

127

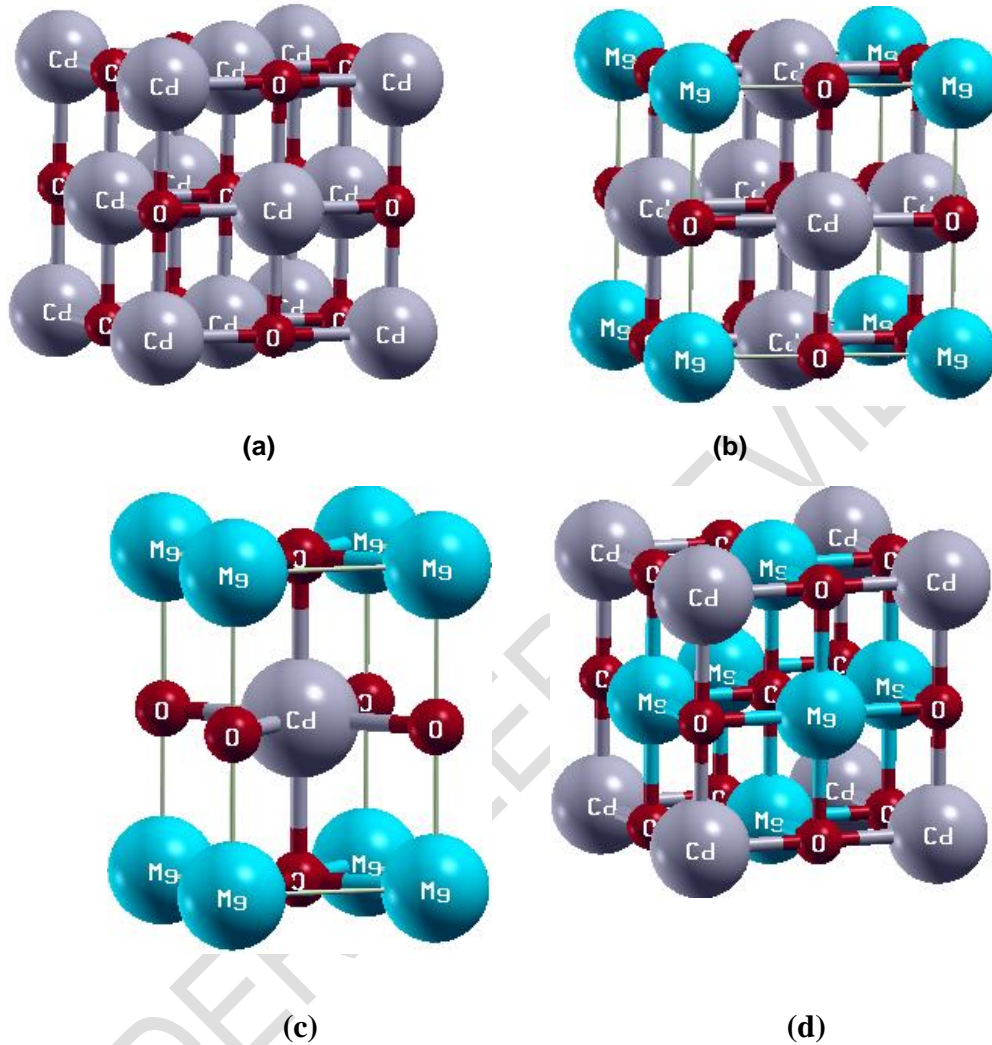
128

129

130

131

132 can be concluded that Cd in MgO is an excellent hardener, where the level of hardness
133 depends majorly on Cd content.



168 **Fig. 1. Crystal structure of (a) CdO, (b) $\text{Cd}_{0.75}\text{Mg}_{0.25}\text{O}$ (c) $\text{Cd}_{0.5}\text{Mg}_{0.5}\text{O}$ and (d)**
169 **$\text{Cd}_{0.25}\text{Mg}_{0.75}\text{O}$**

171 The band structure for MgO and CdO are shown in figure 2. The projected density of states
172 is also presented alongside in order to understand bonding and the origin of these bands. It
173 is evident from Fig. 3(a) that MgO is an insulator. Its bands are scanty both in the conduction
174 and the valence band. Also, a wide bandgap is seen in its band diagram. On the other hand,
175 a narrow bandgap coupled with denser bands are seen in the band diagram of CdO (see
176 figure 3(b)). Both MgO and CdO are direct bandgap materials. The conduction and valence
177 bands of CdO are influenced by Cd-2s, Cd-3p and O-2p orbitals. In MgO, the activities at the
178 valence band are influenced solely by O-2p while it is influenced at the conduction band by

179 Mg-3s and O-2p orbitals. Going by the Pauling Scale, the electro-negativity difference
 180 between Cd (1.69), Mg (1.31) and O (3.04) indicates that covalent bond dominates in CdO
 181 than in MgO. When this information is combined with their respective high B_0 values, CdO
 182 and MgO are hard and brittle materials.

183

184 Table 1: Calculated lattice constants (LP), bulk modulus (B_0) and bandgap (E_g) for mixed
 185 compounds of $Cd_{1-x}Mg_xO$ ($0 \leq x \leq 1$). Experimental data are in bracket and are from [24].

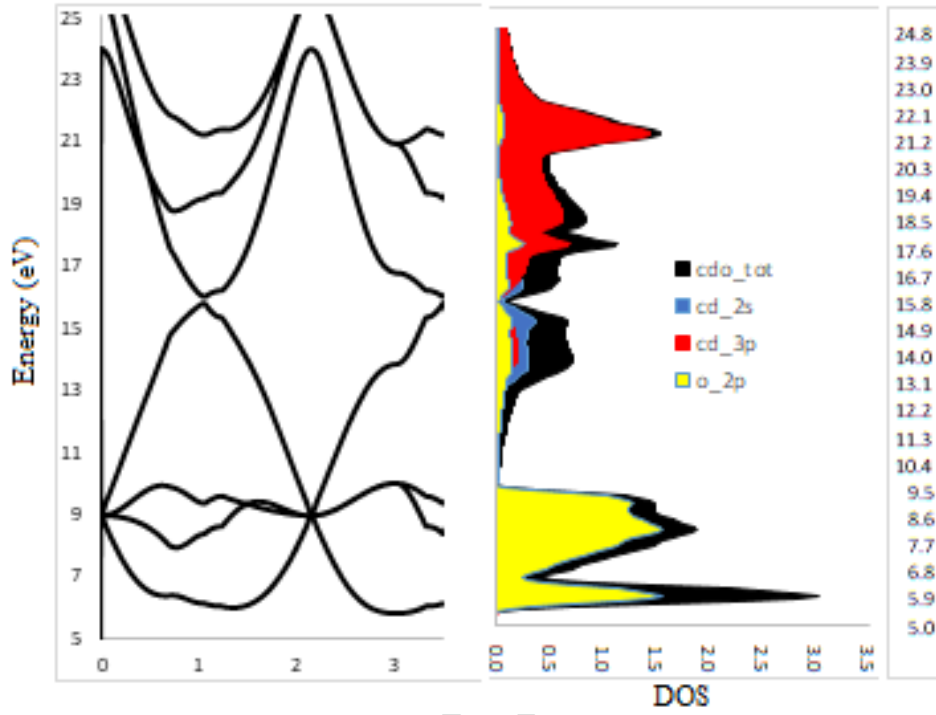
186

Alloy	LP (Å)	B_0 (GPa)	E_g (eV)
MgO	4.249	149.3	3.216 [7.00]
$Cd_{0.25}Mg_{0.75}O$	4.391	614.2	1.185
$Cd_{0.5}Mg_{0.5}O$	4.431; 4.775	600.0	0.684
$Cd_{0.75}Mg_{0.25}O$	4.476	689.3	0.013
CdO	5.372	29.30	0.303 [0.85]

193

194 The band diagram for the compounds in which the ratio of Mg to Cd is 1:3 ($Cd_{0.75}Mg_{0.25}O$)
 195 and 3:1 ($Cd_{0.25}Mg_{0.75}O$) are shown in Fig. 3, while that for which Mg to Cd is in ratio 1:1
 196 is shown in Figure 4. It can be predicted from Figures 3 and 4 that $Cd_{1-x}Mg_xO$ ($0.25 \leq x \leq$
 197 0.75) mixed compounds are indirect bandgap materials. The nature of the bandgap is not
 198 affected even as Cd substitutes Mg. Rather, the bandgap decreases from 3.216 eV (for
 199 MgO) down to 0.134 eV (for $Cd_{0.75}Mg_{0.25}O$). In Figure 3(a), O-2p orbital is solely responsible
 200 for bonding and the band character at the valence and conduction bands. It is therefore
 201 interesting to see that while Cd-2s, Cd-3p and O-2p are responsible for orbital hybridization
 202 in CdO, the story is quite different when one Cd is replaced with Mg (giving $Cd_{0.75}Mg_{0.25}O$).
 203 The interchange of state seen is due to a change in the nature of the bonding. The
 204 electronegativity of Cd > Mg, hence, a decrease in the dominant nature of covalent bonding
 205 is expected with increase in Mg content. In this light, O-2p dominates at the valence band,
 206 while Cd-3p and O-2p are responsible for the band character at the conduction band in
 207 $Cd_{0.25}Mg_{0.75}O$ (Fig. 3(b)). Likewise, at 50% composition of Mg to Cd in $Cd_{0.5}Mg_{0.5}O$, O-2p
 208 dominates at the valence band, while Cd-3p and O-2p are responsible for the band
 209 character at the conduction band. In comparison with MgO, partial covalent bonding is

210 predominant in $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0.25 \leq x \leq 0.75$), hence the reason for their respective high B_0



211 values.

212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233

234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262

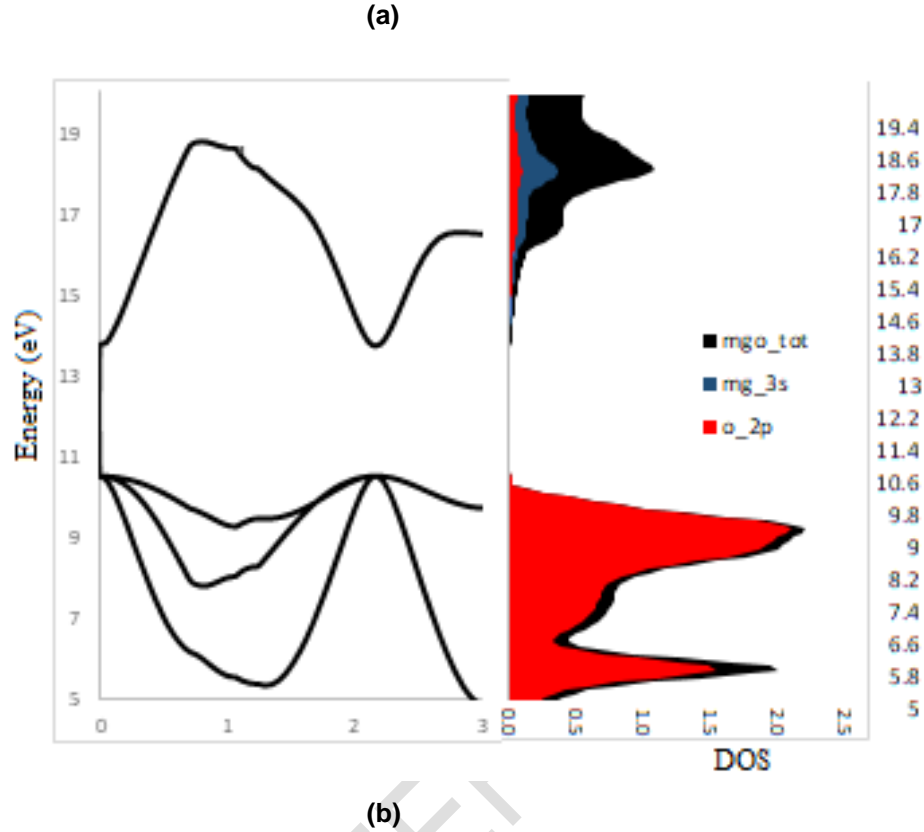
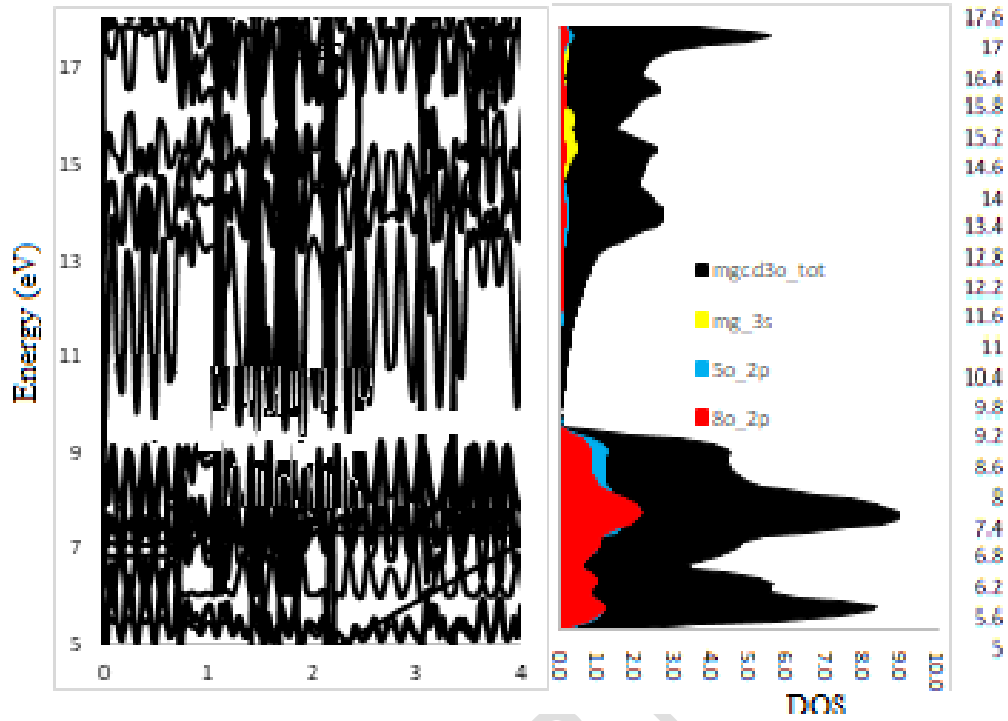
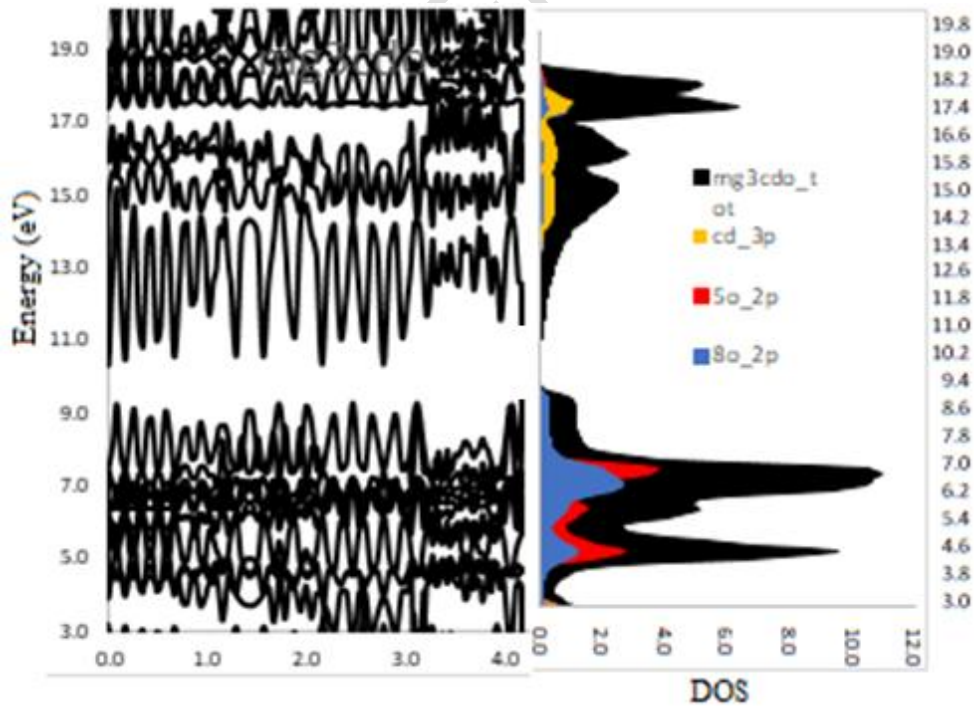


Fig. 2. Calculated band structure for (a) CdO and (b) MgO

263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313

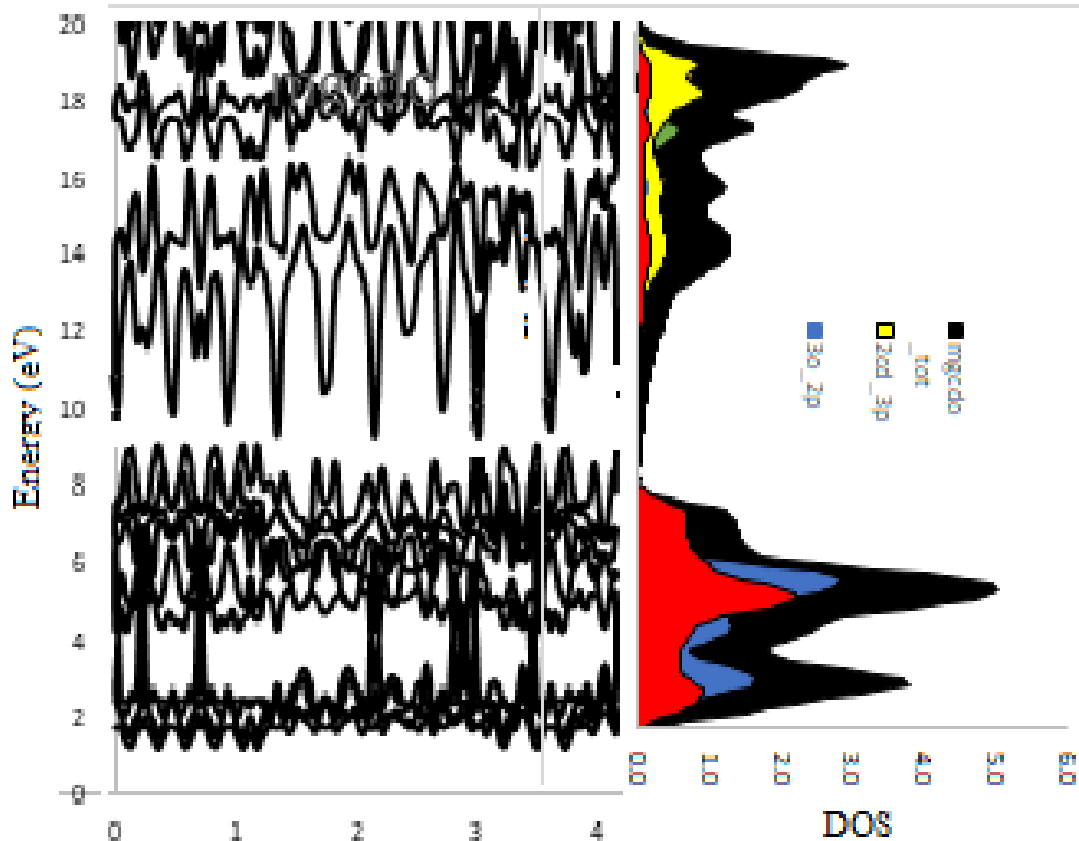


(a)



(b)

314 Fig. 3. Band structure calculation for (a) MgCd₃O, (b) Mg₃CdO and (c) MgCdO.



315
316
317

Fig. 4. Band structure calculation for MgCdO.

318

319 Materials with bandgap (E_g) are required for optoelectronic applications. Available evidence
320 [25, 26] has shown that materials with $E_g \leq 3.1$ eV work well for devices working within the
321 visible region of energy spectrum while those with $E_g > 3.1$ eV are good for devices working
322 within the UV region. The mixture of MgO (an insulator) with CdO (a semiconductor) in
323 $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) stoichiometry should provide promising devices whose bandgap
324 would vary between 0.85 and 7.00 eV. The calculated E_g results in Table 1, especially for
325 the binary compounds (MgO and CdO) are lower compared to experimental E_g . This is to be
326 expected because DFT usually underestimate E_g . Despite the underestimation, it is
327 predicted that $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0.25 \leq x \leq 0.75$) compounds should suite optoelectronic
328 applications both in the visible and ultraviolet (UV) regions. To understand the prominent
329 variations in the optical absorption behavior of the materials, the calculated dielectric
330 function (the imaginary part) in the 0–25 eV energy range is shown in Fig. 5. It is evident
331 from this figure that the absorption of MgO is somewhat between 4.8 and 18 eV with its
332 critical point at about 11.2 eV. As the concentration of Cd increases, the width and critical
333 points of the absorption region shift toward lower energy, except for $\text{Cd}_{0.5}\text{Mg}_{0.5}\text{O}$ where the
334 critical point is maintained at almost 11.2 eV and this may be attributed to the structural
335 change (cubic to tetragonal) that occurred at that composition.

336

337 A plot of $n(\omega)$ for $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$) is shown in Fig. 6. There are two things that are
338 obvious here. First, a broad spectrum of $n(\omega)$ over wide energy range is noted. The

339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390

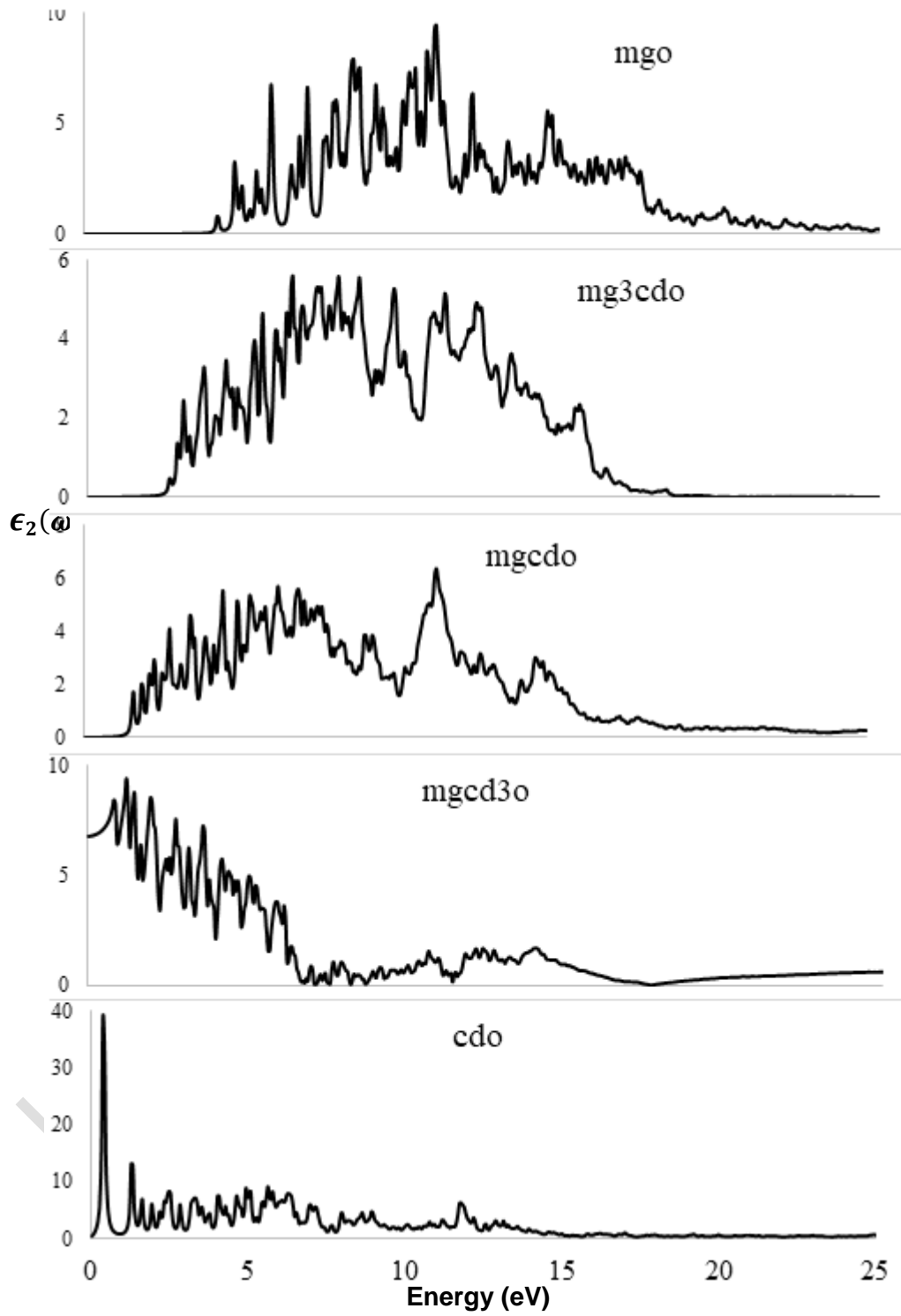


Fig. 5. Frequency dependent imaginary part of dielectric functions of $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$)

391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442

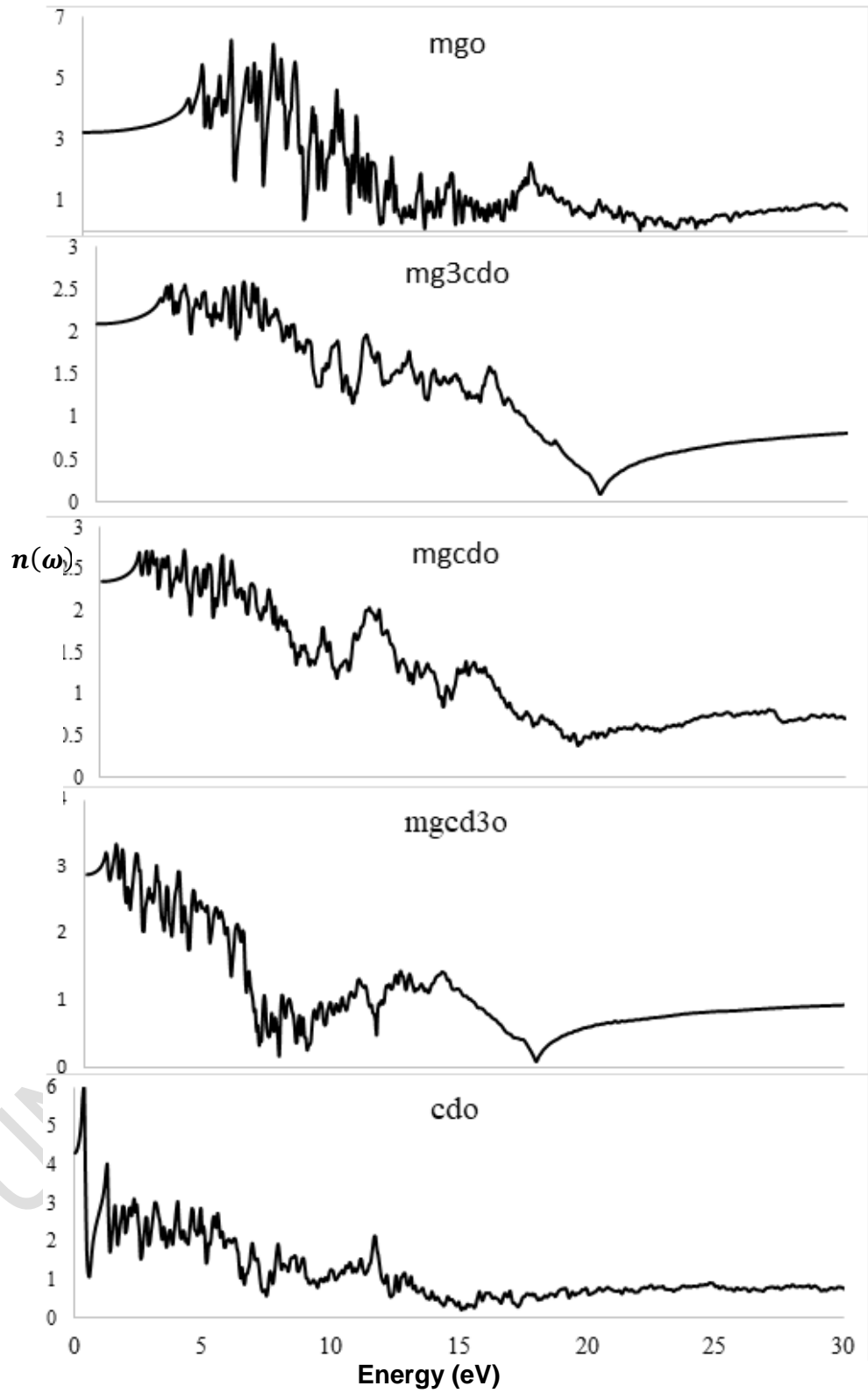


Fig. 6. Frequency dependent refractive indices of $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$)

443 $n(\omega)$ maxima shift to lower energy region with increase in Cd concentration. Secondly, $n(\omega)$
444 drops below unity at certain energy ranges. Any $n(\omega)$ lesser than unity means that v_g (the
445 group velocity) of the wave packet is larger than c ($v_g = \frac{c}{n}$). In other words, at $n(\omega) < 1$, v_g
446 would shift to the negative domain and hence, the material becomes superluminal for high
447 energy incident photons [27, 28].
448

449 **4. CONCLUSION**

450 For the first time, the Density functional calculation method has been performed to
451 investigate the structure and the optoelectronic properties of compounds formed from
452 systematic mixture of MgO and CdO in ratio $\text{Cd}_{1-x}\text{Mg}_x\text{O}$ ($0 \leq x \leq 1$). At equal concentration
453 of Cd to Mg, structure change from cubic to tetragonal is predicted. The bonding nature in
454 the materials significantly varies with Cd resulting in extremely hard materials. All the mixed
455 compounds have indirect bandgaps according to their calculated band structure. It can be
456 concluded that with appropriate experimental procedure, the material can be used in
457 optoelectronic applications working in the visible and UV regions of spectrum.
458

459 **Competing interests**

460 The authors hereby declare that no competing interest exists.
461

466

467 **REFERENCES**

- 468 [1] Li M, Wang X, Li H, Di H, Wu X, Fang C, et al. Preparation of photoluminescent
469 single crystalline MgO nanobelts by DC arc plasma jet CVD. Applied Surface
470 Science, (2013) 274. 188-194.
- 471 [2] Li YB, Bando Y, Sato T. Preparation of network-like MgO nanobelts on Si substrate
472 . Chemical Physics Letters. 2002; 359:141-145.
- 473 [3] Kim J, Gila BP, Mehandru R, Johnson JW, Shin JH, Lee KP et al. Electrical
474 characterization of GaN metal oxide semiconductor diodes using MgO as the gate oxide,
475 GaN and Related Alloys. Proc. Research Society Symposium. 2002; 693:699-713.
- 476 [4] Hayashi K, Matsuishi S, Kamiya T, Hirano M, Hosono H. Light-induced conversion of an
477 insulating refractory oxide into a persistent electronic conductor. Nature. 2002;
478 419(6906):462-5.
- 479 [5] Liu H, Tse JS, Mao H. Stability of rock salt phase of zinc oxide under strong compression
480 : synchrotron x-ray diffraction experiments and first-principles calculation studies. Journal of
481 Applied Physics. 2006;100(9):5.
- 482 [6] Ismail RA. Improved characteristics of sprayed CdO films by rapid thermal annealing.
483 J. Mater. Sci.: Mater. Electron. 2009;20:1219.
- 484 [7] Dakhel AA, Ali-Mohamed AY. Optical and transport phenomena in CdO:La films prepared
485 by sol-gel method. J. Sol-Gel Sci. Technol. 44 (2007) 241 - 247.
- 486 [8] Dyachenko AV, Opanasuyk AS, Kurbatov DI, Kuznetnov, Cheong H. Effect of substrate
487 temperature on substructural and properties of MgO thin films. Functional Materials, 22
488 (2015): 1 – 7.
- 489 [9] Wang WB, Yang Y, Yanguas-Gil A, Chang NN, Girolami GS, and Abelson JR. Highly
490 conformal magnesium oxide thin films by low-temperature chemical vapor deposition
491 from $\text{Mg}(\text{H}_3\text{BNMe}_2\text{BH}_3)_2$ and water. Appl. Phys. Lett. 2013;102:101605.

- 492 [10] Parkin SSP, Kaiser C, Panchula A. Rice PM, Hughes B, Samant M et al. Giant
493 tunnelling magnetoresistance at room temperature with MgO (100) tunnel barriers. Nat.
494 Mater. 2004;3:862.
- 495 [11] Ikeda S, Miura K, Yamamoto H, Mizunuma K, Gan HD, Endo M et al. A perpendicular-
496 anisotropy CoFeB–MgO magnetic tunnel junction. Nat. Mater. 2010;9:721.
- 497 [12] Fujii E, Tomozawa A, Takayama R, Nomura K, Murata A, Hirasawa T et al. Preparation
498 of (001)-oriented Pb(Zr,Ti)O/sub 3/ thin films and their piezoelectric applications. IEEE
499 Transactions on Ultrasonics, Ferroelectrics, and Frequency Control. 2007;4(12):2431.
- 500 [13] Peidong Y. and Lieber CM. Nanorod-Superconductor Composites: A Pathway to
501 Materials with High Critical Current Densities. Science. 1996;273:1836.
- 502 [14] Kohn W and Sham LJ. Self-Consistent Equations Including Exchange and
503 correlation effects. Physical Reviews A. 1965;140:1133-1139.
- 504 [15] Hohenberg P and Kohn W. Inhomogeneous Electron Gas. Physical Reviews B.
505 1964;136:864-875.
- 506 [16] Giannozzi P, Baroni S, Bonini N, Calandra M, Car R, Cavazzoni C et al. Quantum
507 Espresso: a modular and open-source software project for quantum simulations of
508 materials Journal of Physics:Condensed Matter. 2009;21:395502.
- 509 [17] Vanderbilt D. Soft self-consistent pseudopotentials in generalized eigenvalue formalism.
510 Phys. Rev. B. 1990;41:7892-7895.
- 511 [18] Perdew, JP, Burke K, and Ernzerhof M. Generalized Gradient Approximation
512 Made Simple. Physical Review Letters. 1996;77:3865.
- 513 [19] Monkhorst HJ and Pack JD. Special points for Brillouin-zone integrations.
514 Physical Reviews B. 1976;13:5188 – 5192.
- 515 [20] Murnaghan FD. The Compressibility of Media under Extreme Pressures Proc. Natl.
516 Acad. Sci. USA. 1994; 30(9):244-247.
- 517 [21] Wooten F. Optical Properties of Solids, Academic Press, New York, 1972.
- 518 [22] Fox M. Optical Properties of Solids, Oxford University Press, 2001.
- 519 [23] Tian Y, Xu B, Zhao Z. Microscopic theory of hardness and design of novel superhard
520 crystals Int. J. Refract. Met. Hard Mater. 2012;33:93–106.
- 521 [24] Khan I, Iftikhar Ahmad, Amin B, Murtaza G, Ali Z. Bandgap engineering of Cd_{1-x}Sr_xO.
522 Physica B. 2011;406:2509 – 2515.
- 523 [25] Gfroerer TH, Priestley LP, Weindruch FE, Wanless MW. Defect-related density of states
524 in low-bandgap In_xGa_{1-x}As/InAs_yP_{1-y} double heterostructures grown on InP substrates.
525 Appl. Phys. Lett. 2002; 80: 4570.
- 526 [26] Benkheldir ML, Aida MS, Stesmans A, Adriaenssens GJ. Experimental study of the
527 density of states in the band gap of a-Se. J. Optoelectron. Adv. Mater. 2005;7 329 - 332.
- 528 [27] Penn DR. Wave-Number-Dependent Dielectric Function of Semiconductors.
529 Phys. Rev. 1962;128: 2093.
- 530 [28] Wang LJ, Kuzmich A, Dogariu A. Gain-Assisted Superluminal Light Propagation
531 Nature, 2000;406:277-283.
- 532
533