

**NEWLY EMERGING CANDIDA SPECIE: SHOULD CLINICIANS AND MYCOLOGIST BE CONCERNED?**

**ABSTRACT**

The emergence of *C. auris* as a global nosocomial pathogen associated with multidrug resistance and high mortality rates has been recently discovered. This emerging pathogen appears to be far more able to induce systemic infection and mortality than other potential multi drug resistance (MDR) yeast pathogens even though it is found to have reduced virulence factors compared to *C. albicans*. There are issues with regard to the identification of *C. auris* using both phenotypic and molecular techniques; this has raised concerns about detecting the true scale of the problem. This mini- review elucidates on the literature available on *C. auris* and highlights the mechanism of pathogenesis and antifungal resistance, which will give further direction to extensive research in this field.

**KEYWORDS:** *Candida auris*, emerging infection, nosocomial pathogens, antifungal susceptibility, pathogenesis

**INTRODUCTION**

Reports show that an estimated 1.5 million people die annually from invasive fungal infections [1]. This is due to advance of life expectancy, the rise of immunosuppressive treatments, improved health care, higher survival of patients living with cancer or chronic disease and the use of catheters. These factors have all contributed to the emergence of opportunistic fungal pathogens over the last decades [2,3]. *Candida albicans* is recognized as the main causative pathogen of candidiasis [1]. However, with the rise of new species such as the globally emerging multidrug-resistant *Candida auris*, there might be a shift in focus. The first isolated multi-drug resistant *Candida auris* was in 2009 from the ear canal of a 70 year old female Japanese patient in Tokyo [4].

Treatment options of *C. auris* are limited mostly due to its ability to persistently colonize hospital environments, antifungal resistance, and misidentification. It has been associated with infections and outbreaks in healthcare settings in Europe, Asia, North America, South America, and Africa [5,6]. Recent reports have demonstrated that *C. auris* usually expresses fewer virulence factors than does *Candida albicans* [7]. However, *C. auris* possess the tendency to be transmitted within and between healthcare facilities which could possibly be promoted by its virulence and pathogenicity factors that allows it colonize the skin and persist in the environment [7].

37 Even though the complete genome of *C. auris* has been recently investigated,<sup>[8, 9]</sup> the role of  
38 different genes in the pathogenicity and virulence of this emerging pathogen is not yet fully  
39 understood.

40 The main problem is that the *C. auris* genome sequence contains many uncharacterized and un-  
41 researched hypothetical proteins; it is therefore unclear whether these proteins are involved in  
42 species-specific characteristics that promote its aggressiveness as a pathogen.<sup>[8]</sup> Four distinct  
43 clonal clades which was shown by whole genome sequencing emerged simultaneously on three  
44 continents, South America, Asia and Africa, and these four clades have been responsible for  
45 further spread around the world. Despite being a newly emerging pathogen, *C. auris* has been  
46 associated with large healthcare outbreaks around the world. The unique aspects of *C. auris*  
47 epidemiology is its ability to spread clonally from patient to patient as both a pathogen and a  
48 colonizer.<sup>[5,10]</sup> This mini-review will attempt to provide information regarding the pathogenesis  
49 and mechanism of antifungal resistance in *C. auris*.

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## 51 **PHENOTYPIC TRAITS AND ITS IMPORTANCE IN PATHOGENICITY**

52 *Candida. albicans* is well-known to undergo phenotypic white-opaque switching and  
53 morphological yeast filament transition [11]. In a recent study by Bentz and co-workers [12], a  
54 phenotypic switching in *C. auris* when cultured onto CHROMagar Candida, was reported. *C.*  
55 *albicans* and *C. tropicalis* can be relatively reliably identified via a colony color change (*C.*  
56 *albicans*—green, *C. tropicalis*—navy blue) while other clinically relevant *Candida* species,  
57 including *C. auris*, showed a pale appearance. When the *C. auris* isolate was further cultured, it  
58 led to the description three colony types: white, pale and sectorial (dark purple). However, there  
59 were no observed changes in the texture as all colonies displayed a smooth and glossy look [12].

60 Hyphae production by *C. auris* provides the fungus with the ability to invade epithelial cell  
61 layers by exerting mechanical force. This leads to the breaching and damaging of endothelial  
62 cells, which causes the lysis of macrophages and neutrophils following phagocytoses [13].

63 *C. auris* colonies may present pseudohyphae-like forms under high-salt stress [14] and,  
64 occasionally, in the biofilm community [15], although micromorphological studies suggest that it  
65 does not produce germ tubes, pseudohyphae or chlamydospores [16,17,18,19]. Pseudohyphae-  
66 like features show rudimentary growth, with an elongated shape and incomplete cell division  
67 [15, 14]. Its inability to produce hyphae could be linked to the absence of two genes,  
68 candidalysin (*ECE1*) and hyphal cell wall protein (*HWPI*). Transcriptions of these genes are  
69 strongly associated with hyphal formation and are highly expressed in *C. albicans* [19].

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71 Using the *Galleria mellonella* model of infection of *C. auris*, isolates did not undergo significant  
72 filamentation at 18 h or at any time post infection. This confirmed the failure of *C. auris* to form  
73 chlamydospores after growth on cornmeal agar when incubated for 3 days at 30°C and its  
74 inability to germinate when cultured with fetal bovine serum (FBS) [20].

75 Certain isolates of *C. auris* grow in clumps (i.e., budding occurs, but daughter cells are not  
76 released), these isolates are called “aggregate” strains. This aggregate strains result in large  
77 aggregates of organisms that cannot be easily disrupted *in vitro* [20]. There are suggestions that  
78 aggregation might be a mode of immune evasion and persistence in tissues. This is because yeast  
79 cell aggregates were observed in the kidneys of mice infected with *C. auris* in a study conducted  
80 [21]. However, this warrants further investigations. It is obvious that phenotypic traits could be  
81 of great importance in the pathogenicity and virulence of *C. auris*.

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### 83 **ABILITY TO TOLERATE STRESS**

84 Survival and growth at physiologic temperature are prerequisites for microbial invasion and  
85 pathogenicity. *C. auris* exhibits thermotolerance, growing optimally at 37°C and maintaining  
86 viability up to 42°C. In addition, this pathogen is salt tolerant, and cells aggregate into large,  
87 difficult-to-disperse clusters, which may promote persistence in the hospital environment [4,19].  
88 The ability of *C. auris* isolates to grow at 37°C and 40°C appears to be similar to that of *C.*  
89 *albicans*, and certain isolates also grow at 42°C [21]. *C. auris* can also grow at high temperature  
90 (40°C) and salinity (10% wt/vol) when cultured in Sabouraud (SAB) or yeast nitrogen base  
91 (YNB) broth with dulcitol or mannitol as the carbon source [22].

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### 93 **PRODUCTION OF HYDROLYTIC ENZYMES**

94 Extracellular hydrolytic enzymes produced by *Candida* species is an important virulence trait  
95 contributing to its pathogenicity. The ability to produce lytic enzymes has been demonstrated  
96 in *C. auris* isolates, and the production of these enzymes is strain dependent [23, 24]. The most  
97 common virulence associated enzymes are the proteinase, hemolysins, lipases and  
98 phospholipases, which play crucial roles in the virulence of the species[23,24]. These enzymes  
99 are considered to play a role in the degradation of host tissue to provide nutrients for pathogen  
100 propagation. Proteinases has in recent times been associated with cell wall maintenance, the  
101 formation of polymicrobial biofilms, adhesin to external protective barriers of the host,  
102 deregulation of the complement system, inactivation of host antimicrobial peptides, evasion of  
103 the immune responses and the induction of inflammatory mediator release from host cells [23,24  
104 45].

105 Findings suggest that *C. auris* isolates are not only well able to adapt to temperature stress but  
106 can maintain their ability to secrete hydrolytic enzymes even at higher temperatures. This was  
107 demonstrated by Wang et al. (2018) [17] whose findings showed that the level of aspartyl

108 proteinase (Saps) secreted by *C. auris* isolates at 42°C was higher than that exhibited by *C.*  
109 *albicans* at the same temperature [17]. The largest group of enzymes (42%) found in the *C.*  
110 *auris* (strain 6684) genome, are the hydrolases. This is followed by transferases (25%) and  
111 oxidoreductases (19%) [8]. Similar numbers of lipases have also been revealed by genome  
112 analyses [23, 24].

113 The secretion of hemolysin by *C. auris* promotes survival in mammalian host, conferring a high  
114 capacity for iron acquisition, growth, and invasiveness leading to widespread infection [25, 27].  
115 Hemolysin activity enables *C. auris* assimilate iron from the hemoglobin-heme group [28]. *C.*  
116 *albicans*, *C. dubliniensis*, *C. glabrata* and *C. tropicalis* also display hemolysin activity  
117 [28,29,30,31]. Hemolysin production can be seen as an important virulence factor because it is  
118 higher in strains isolated from hospital infections compared to those from environmental sources  
119 [1]. However, further investigation is warranted to reveal to what extent these enzymes are  
120 involved in *C. auris* virulence and pathogenicity.

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## 122 **COLONIZATION OF HUMAN HOST AND THE ENVIRONMENT**

123 *C. auris* can extensively contaminate health care environment. It possess the ability to adhere to  
124 and persist on abiotic surfaces, including dry and moist surfaces, bedding material, floors, sinks  
125 and beds, as well as human skin, ears, and nasal cavities [32, 33, 34, 22]. This characteristic  
126 enables it to be colonize and spread through hospital environments. *C. auris* can remain viable  
127 for at least 14 days on health care surfaces [21], there has been reports of Nosocomial *C. auris*  
128 outbreaks in hospitals globally, some of them persisting up to 16 months [34]. A case of *C. auris*  
129 sternal osteomyelitis in a patient who was colonized by *C. auris* 3 years prior to clinical disease  
130 manifestation has been described [35].

131 Although, *C. auris* is able to persist on health care surfaces, Catheter-associated candidiasis  
132 caused by *C. auris* is reduced relative to *C. albicans* because the fungus shows a weak ability to  
133 adhere to catheter surfaces made of silicone elastomer [26].

134 There are several reports on the suboptimal efficacy of commonly used hospital environment  
135 disinfectants against *C. auris*. Quaternary ammonium-based disinfectants seem to be  
136 significantly less effective against *C. auris*, but also against *C. albicans* and *C. glabrata* [38].  
137 CDC recommends EPA-registered hospital-grade disinfectants effective against *Clostridium*  
138 *difficile* spores (primarily chlorine-based products) be used to combat this infection [36, 37, 38].  
139 Strict infection control guidelines should be instituted to establish effective infection prevention  
140 and transmission of *C. auris* via contaminated surfaces

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## 142 **BIOFILM PRODUCTION LEADING TO ANTIFUNGAL RESISTANCE**

143 *C. auris* is able to form biofilms (architecturally complex microbial community encased in a  
144 matrix of exopolymeric material). Biofilm production is crucial for the development of broad

145 spectrum of infections in the host. It is also useful for defending pathogens from invasion and in  
146 the development of antifungal resistance [39].

147 Upregulation of seven highly conserved genes (*PLB3*, *IFF4*, *PGA52*, *PGA26*, *CSA1*,  
148 *HYR3*[46], and *PGA7*) is responsible for biofilm production across isolates representative of *C.*  
149 *auris*, *C. albicans*, *C. haemulonii*, *C. duobushaemulonii*, and *C. pseudohaemulonii* [40]. The *C.*  
150 *auris* biofilms mostly consists of budding yeasts and occasionally pseudohyphae embedded in a  
151 limited amount of extracellular matrix. Biofilm formation contributes not only to *C. auris*  
152 virulence but also to resistance in hospital environment. This biofilms display lower  
153 susceptibility against antifungals, including caspofungin, micafungin and amphotericin B [41].

154 *C. albicans* biofilms consists of basal yeast cell polylayer and an upper region of hyphae  
155 encapsulated in extracellular matrix, whereas *C. glabrata* forms a thin biofilm with yeast cells  
156 only, lacking extracellular matrix [41].

157 *Candida* biofilms are able to show intrinsic resistance against antifungals due to several  
158 mechanisms: (1) the high cell density within the biofilm; (2) decreased growth rate and nutrient  
159 limitation; (3) sequestration of drugs by the extracellular matrix (ECM); (4) the high expression  
160 of resistance genes, especially those encoding efflux pumps; and (5) the presence of ‘persister’  
161 cells [41,42].

## 162 CONCLUSION

163 The recent emergence of *C.auris* as a global nosocomial pathogen associated with multidrug  
164 resistance and high mortality rates is a cause for concern. Although several studies show that  
165 *C.auris* has reduced virulence compared to the more popular *C. albicans*, this emerging pathogen  
166 ability to persist in the environment and colonize surfaces makes it more able to induce systemic  
167 infection and mortality than other potential MDR yeast pathogens, such as *C. glabrata* and *C.*  
168 *haemulonii* [43].

169 This is likely due to the tolerance of *C. auris* strains to osmotic and high-temperature stress as  
170 well as to its ability to produce several lytic enzymes and biofilm [21, 22].

171 There is however still some questions to be answered such as the origin of this unprecedented  
172 emergence. Genomic analyses revealed *C. auris* possesses many genes associated with virulence  
173 and reduced antifungal susceptibility, nonetheless, many genes are still uncharacterized and  
174 further investigation is required to understand the molecular mechanism responsible for the high  
175 pathogenicity and antifungal resistance of this pathogen [8, 19, 3].

176 Adhesins and other molecules responsible for the capability of *C. auris* to persistently colonize  
177 abiotic and biotic surfaces should also be given due attention as its characterization will enable  
178 understanding on the ability of *C. auris* to survive and persist under different environmental  
179 conditions [21, 22].

180 In conclusion, there are many unanswered questions about the emergence of *C. auris* and its  
181 seemingly unique traits such as the ability to evade the innate immune system and persistently  
182 colonize the skin of human host [44]. With several researches ongoing, we are hopeful that in no  
183 distant time, we would fully be able to understand the various mechanisms for the emergence of  
184 *C. auris* as a nosocomial pathogen.

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UNDER PEER REVIEW