

Diagnosis of Therapy-related Acute Myeloid Leukemia with t(8;21)(q22;q22.1) After Treatment for Mantle Cell Lymphoma and Oral Squamous Cell Carcinoma

ABSTRACT

Aims: We report a rare case of therapy-related AML with t(8;21)(q22;q22.1) that occurred after treatment for mantle cell lymphoma (MCL) and oral squamous cell carcinoma (OSCC). **Presentation of case:** A 52 years-old male patient was diagnosed with MCL in leukemic phase. The treatment consisted in R-CHOP rituximab, cyclophosphamide, doxorubicin, vincristine and prednisone, then patient experienced remission. Three months later, he presented a lump that was diagnosed as OSCC, which was surgically removed and treated with cisplatin and radiotherapy. Then, the patient's hemogram presented 35.0% of blasts and, after morphologic, phenotypic and molecular analysis, it was classified as AML with t(8;21)(q22;q22.1). However, due to the previous historic of chemotherapy and radiotherapy, the final diagnosis was t-AML. **Discussion:** The correct diagnosis of therapy related malignancies is important due to its severity as they are very aggressive and, usually, considered incurable. t-AMLs with t(8;21)(q22;q22.1) is considered as favorable karyotype, still, it has a poorer outcome compared with its *de novo* counterpart. **Conclusion:** t-AML with t(8;21)(q22;q22.1) is rare and few cases are described in the literature. More reports are necessary to better elucidate the mechanisms involved in this disease to define better treatment strategies to prevent these events and to improve the poor outcomes.

Keywords: Therapy-related neoplasms, mantle cell lymphoma, oral squamous carcinoma, t-AML.

1. INTRODUCTION

According to the *Classification of Tumours of Haematopoietic and Lymphoid Tissues* by the World Health Organization (WHO), therapy-related myeloid neoplasms (t-MNs) are a distinct class of hematological malignancies that occur after cytotoxic chemotherapy and/or radiation therapy (RT) administered for a previous neoplastic disorder. t-MNs include therapy-related acute myeloid leukemia (t-AML), myelodysplastic syndromes (t-MDS) and myelodysplastic/myeloproliferative neoplasms (t-MDS/MPN). These **diseases** carry high-risk karyotypes and have a significantly poorer outcome **when** compared with *de novo* hematopoietic malignancies [1,2].

t-MNs are **initiated by** mutations or changes in hematopoietic stem cells and/or in the bone marrow (BM) microenvironment **as a consequence of cytotoxic** treatments or the selection of a myeloid clone with a mutate phenotype. The most commonly cytotoxic agents implicated in t-MNs are alkylating agents (AA) (such as cyclophosphamide and cisplatin), topoisomerase II inhibitors (TPI) (like etoposide and doxorubicin), ionizing RT, antimetabolites and antitubulin agents (such as vincristine) [1]. The incidence of t-MNs is

35 expected to raise due to the increased survival rates of cancer patients. In fact, there are
36 nearly 12 million cancer survivors today only in the United States [3]. Therefore, considering
37 the poor outcome of t-MNs, the correct diagnosis of these malignant disorders is crucial to
38 better assist the patients.

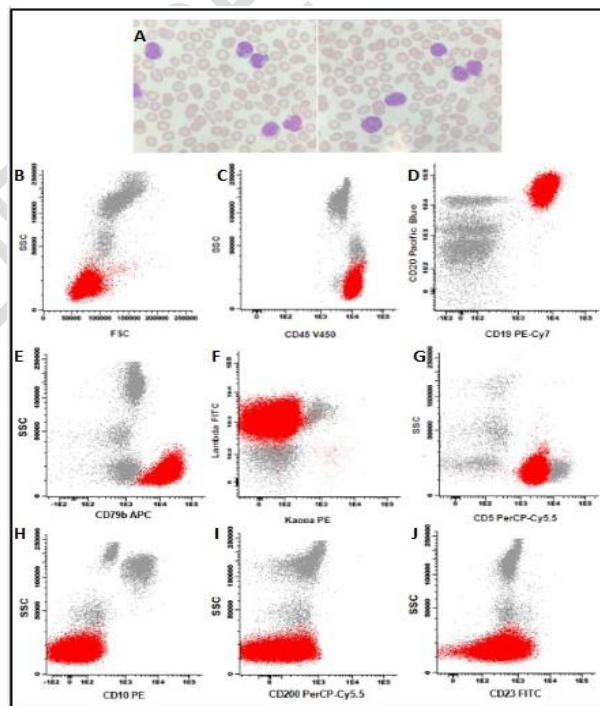
39 It is known that t-AML with cytogenetic abnormalities such as t(8;21), inv(16) and
40 t(15;17) have significantly better outcomes than other t-MNs, however, these cases are
41 uncommon and represent only 10% of all t-MNs [4]. AML with t(8;21)(q22;q22.1) usually has
42 a favorable prognosis, nevertheless, even AMLs with favorable karyotypes have a slightly
43 poorer outcome compared with their *de novo* counterparts [2]. In this study we report a rare
44 case of t-AML with t(8;21)(q22;q22.1) that occurred after treatment for mantle cell lymphoma
45 (MCL) and oral squamous cell carcinoma (OSCC). The Research Ethics Committee of the
46 Federal University of Santa Catarina approved this study (CAAE: 61598816.7.0000.0121).

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48 2. PRESENTATION OF CASE

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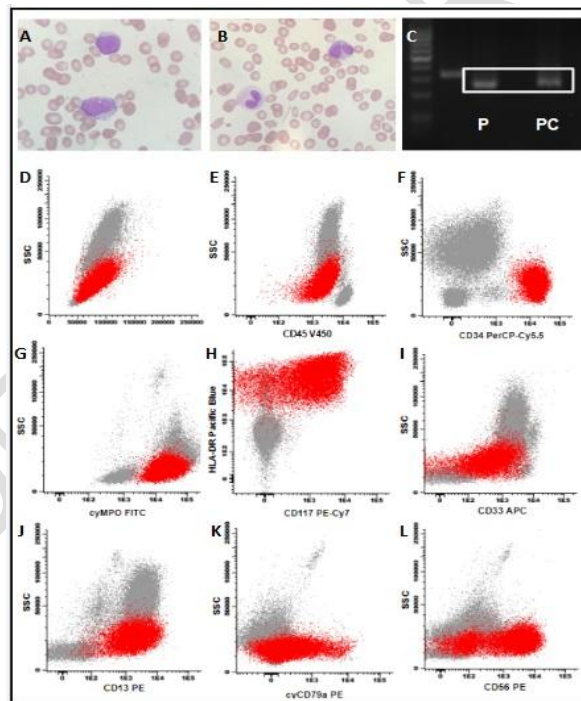
50 A 52 years-old male patient diagnosed with MCL was admitted to the University
51 Hospital Professor Polydoro Ernani de São Thiago (HU-UFSC) for lymphoma staging and to
52 start chemotherapy treatment. The patient's blood smear analysis revealed a predominance
53 of small cells with a high nucleus/cytoplasm ratio and slight nuclear chromatin condensation,
54 whereas some of these cells also presented cleaved nucleus (Figure 1A). In order to confirm
55 a possible peripheral blood (PB) involvement, immunophenotyping by flow cytometry was
56 required. The analysis presented 78.1% of lymphoid B (CD19+) mature (CD20+, CD45++)
57 cells, with low FSC and SSC, an aberrant expression of CD5 and no expression of CD10,
58 CD23 and CD200. Among these cells, 99% presented lambda light chain restriction. The
59 phenotype of these pathological cells was suggestive of MCL with PB involvement, which
60 characterizes MCL in leukemic phase (Figure 1B-J). The treatment consisted in 8 cycles of
61 R-CHOP rituximab (600mg), cyclophosphamide (1.230 mg), doxorubicin (82 mg), vincristine
62 (1 mg) and prednisone (20 mg) over 5 months. Then, the patient experienced remission.
63



65 Figure 1 - A) Morphology of PB smear presenting small cells with large nucleus,
 66 slight nuclear chromatin condensation, abnormal segmentation and some cleaved
 67 nucleus. B-J) **Representative** dot plots of pathological cells (red population): B)
 68 Small cells with low FSC x SSC. C) Expression of CD45. D) Expression of CD19
 69 and CD20. E) Expression of CD79b. F) Lambda restriction. G) Expression of CD5.
 70 H) No expression of CD10. I) No expression of CD200. J) No expression of CD23.
 71

72 About three months after **the** lymphoma remission, the patient presented a 1.5 cm
 73 tumor in the mucosa of **the** left molar trigone region. The tumor was biopsied and diagnosed
 74 as OSCC, which was surgically removed and treated with cisplatin and RT at the Oncology
 75 Research Center (CEPON).

76 One month after the end of OSCC treatment, in a following medical appointment, the
 77 patient's hemogram showed 4400 leucocytes/mm³, 35.0% of blast cells, hemoglobin of 6.9
 78 g/dL and a platelet count of 2000/mm³. The immature cells presented large size, basophilic
 79 cytoplasm, slight nuclear chromatin condensation and visible nuclei (**Figure 2A**); besides,
 80 some granulocytic cells showed abnormal nuclear segmentation (pseudo-Pelger-Huët
 81 nuclei) (Figure 2B). The immunophenotyping of PB showed 38.60% of blasts (CD34++
 82 CD45+), medium to large sized, committed with the myeloid lineage (MPO+, CD13+,
 83 CD33+, CD117+, HLA-DR+) and with aberrant expression of CD19 (70%), CD79a (30%)
 84 **and** CD56 (80%) (Figure 2D-L). The aberrant expression of CD19 in myeloid blasts suggests
 85 the presence of t(8;21)(q22;q22.1), which was confirmed by **the** karyotype and Nested RT-
 86 PCR (Figure 2C).



87
 88 Figure 2. A) Large blasts with high cytoplasm/nucleus ratio, visible nuclei and
 89 basophilic cytoplasm. B) Granulocytic cells presenting abnormal segmentation
 90 (pseudo-Pelger-Hüet nuclei). C) Nested RT-PCR for t(8;21): P: patient's PB band, PC:
 91 positive control band. D-L) Demonstrative dot plots of blasts immunophenotyping (red
 92 population): D) Large cells with high FSC x SSC. E) Expression of CD45. F)
 93 Expression of CD34. G) Expression of cyMPO. H) Expression of CD117 and HLA-
 94 DR. I) Weak expression of CD33. J) Expression of CD13. K) Aberrant expression of
 95 CD19 and CD79a. L) Aberrant expression of CD56.

96 After morphologic, phenotypic and molecular analysis, the disease was classified as
97 AML with t(8;21)(q22;q22.1); however, due to the previous historic of chemotherapy and
98 radiotherapy, the correct diagnosis was t-AML with t(8;21)(q22;q22.1); which has a worse
99 prognosis.

102 3. DISCUSSION

104 MCL is a mature B cell neoplasm characterized by PB and/or BM involvement. The
105 correct diagnosis of MCL is important due to its severity as it has been considered incurable,
106 very aggressive and associated with a poor prognosis. The laboratorial diagnosis of MCL is
107 established by the WHO and, overall, the histological confirmation is mandatory. However,
108 the variant morphology observed in MCL can difficult the differential morphological diagnosis
109 between MCL and other B cell neoplasms. Thereby, immunophenotyping important to
110 differentiate between the lymphoma subtypes. Additionally, cytogenetics has a key role in
111 MCL diagnosis by detecting t(11;14), the molecular hallmark of MCL, which is found in more
112 than 95% of cases [1].

113 According to the WHO, the characteristic MCL immunophenotype includes the
114 expression of B-cell markers (CD19+, CD20+, CD22+, CD79a+, PAX5) and intense IgM/IgD,
115 mostly with lambda light chain restriction. The commonest immunophenotypic markers are
116 CD5+, FMC7+, CD45+, CD43+ and intranuclear cyclin D1+ [1]. In this clinical report, the
117 patient's neoplastic cells presented a classic MCL morphology and immunophenotype
118 (CD19+, CD20+, CD45++, CD5+, CD23-, CD10- and CD200-) (Figure 1A-J).

119 The adopted treatment regimen was 8 cycles of R-CHOP. According to the literature,
120 cyclophosphamide is an AA and doxorubicin is a TPI, and they are both known to be
121 particularly mutagenic and to have a strong leukemogenic potential [5]. Despite the recent
122 advances in cancer treatment, the currently available chemotherapy regimens, associated or
123 not with monoclonal antibodies, have potential to cause many side effects, including
124 secondary malignant neoplasms. There are many studies that investigate the influence of
125 chemotherapy in the development of leukemia and solid tumors [6,7]. However, the etiology
126 of t-AML and secondary solid cancers after administration of cyclophosphamide and
127 doxorubicin as well as rituximab has not yet been completely elucidated [5].

128 In this study, about three months after a lymphoma remission, the patient was
129 diagnosed with OSCC, a malignant neoplasm derived from the squamous epithelium of the
130 oral cavity [8]. The lesion was found in the mucosa of the left molar trigone and the diagnosis
131 was determined by histopathology. For OSCC treatment, surgery remains the best option,
132 but chemotherapy and radiotherapy are also applied in combinations to obtain a better
133 response [8]. In this case, the patient's treatment consisted of RT combined with cisplatin;
134 and both methods have potential for the development of AML and SMD [1]. However, one
135 study of meta-analysis [9] found no increased risk of secondary cancers associated with
136 cisplatin compared with non-cisplatin-based chemotherapy. Nevertheless, one month after
137 the end of OSCC treatment, the patient was diagnosed with t(8;21)(q22;q22.1) AML.

138 AML is a heterogeneous malignancy and cases with t(8;21)(q22;q22.1) represent a
139 group with specific clinical and biological characteristics. The diagnosis of AML with
140 t(8;21)(q22;q22.1) is based on cytomorphology, cytogenetics and immunophenotyping
141 according to the WHO classification. The commonest morphological features include the
142 presence of large blasts with abundant basophilic cytoplasm, sometimes containing
143 azurophilic granules and perinuclear clearing. Some blasts may contain pseudo-Chédiak-
144 Higashi large granules suggesting the presence of the fused gene. Concomitant with the
145 large blasts, some smaller blasts with pseudo-Pelger-Huet abnormal nuclear segmentation
146 can also be found [1]. These abnormalities were observed in the patient's blood smear
147 (Figure 2B).

148 The PB immunophenotyping showed myeloid blasts with partial expression of CD19,
149 CD79a and CD56 (Figure 2J-L), which are lymphoid-associated markers. The expression of
150 CD56 is known to be associated with a poorer prognosis [1]. These results are suggestive of
151 AML with t(8;21)(q22;q22.1) according to the WHO classification, as its characteristic
152 immunophenotypic profile also included a strong expression of CD34, HLA-DR,
153 myeloperoxidase (MPO) and CD13; and a relatively weak expression of CD33. Molecular
154 cytogenetic methods, such as karyotype and PCR, are considered as the gold standard for
155 this malignancy diagnosis, as they allow the identification of t(8;21)(q22;q22.1). In this case
156 report, this translocation was detected by nested RT-PCR (Figure 2C).

157 Blasts of *de novo* AML with t(8;21)(q22;q22.1) and t-AML share morphological,
158 immunophenotypic, cytogenetic and molecular features, although t-AML with
159 t(8;21)(q22;q22.1) seems to have more dysplastic changes than *de novo* AML [10]. These
160 dysplastic characteristics were observed in the patient's granulocytic cells (Figure 2A-B).
161 Based on these morphologic, phenotypic and molecular analyses and on the previous
162 historic of chemotherapy and RT, this case was finally classified as t-AML.

163 Studies demonstrated that patients with t-AML with t(8;21)(q22;q22.1) are usually old,
164 have lower white blood cells (WBC) counts and an inferior overall survival than their *de novo*
165 counterparts [2-10]. The patient in this study was 52-years old and had a poor response after
166 treatment with (7+3) cytarabine (100 mg/m²) and daunorubicin (60 mg/m²). He presented
167 persistent blasts in the PB and passed away few months after the final diagnosis.

168 A short latent period without a previous myelodysplastic phase is observed in t-AML
169 with t(8;21)(q22;q22.1) patients and it is associated with prior TPI therapy or RT alone [1].
170 The mechanisms responsible for such mutations remain unknown, but may involve several
171 chromatin structural elements such as topoisomerase II, which might present preferential
172 breakage sites after exposure to damages such as TPis [10]. The patient's t-AML was
173 diagnosed four months after the administration of doxorubicin, a TPI, for MCL treatment; and
174 no sign of myelodysplasia was observed before the t-AML diagnosis, which is compatible
175 with the literature description.

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177 4. CONCLUSION

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179 t-AML with t(8;21)(q22;q22.1) is rare and few cases are described in the literature. It is
180 a fatal complication of cancer treatment and its incidence is expected to rise due to the
181 increasing number of cancer survivors. It shares the same morphological, molecular and
182 immunophenotypic features than *de novo* AML with t(8;21)(q22;q22.1), though presenting a
183 worse prognosis. Thus, the correct diagnosis of this disease is crucial due to its severity and
184 low overall survival rates. For that reason, more reports and studies are necessary to better
185 elucidate the mechanisms involved in the development of t-AMLs in order to define better
186 treatment strategies, preventing these events and improving the poor outcomes presented in
187 such cases.

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192 **COMPETING INTERESTS**

193

194 Authors have declared that no competing interests exist.

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197 **CONSENT**

198

199 All authors declare that written informed consent was obtained for publication of this case
200 report and accompanying images.

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203 **ETHICAL APPROVAL (WHERE EVER APPLICABLE)**

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205 All authors hereby declare that all experiments have been examined and approved by the
206 appropriate ethics committee and have therefore been performed in accordance with the
207 ethical standards laid down in the 1964 Declaration of Helsinki. The Research Ethics
208 Committee of the Federal University of Santa Catarina approved this study (CAAE:
209 61598816.7.0000.0121).

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UNDER PEER REVIEW