

1 **Azole Resistance and Detection of the *ERG11* gene in Clinical *Candida albicans* Isolated from Pregnant**
2 **women with vulvovaginitis**
3
4

5 **Abstract**
6

7 **Objective:** To investigate the azole susceptibility of *Candida albicans* (*C. albicans*) from pregnant
8 vulvovaginal candidiasis patients and to detect *ERG11* gene in these azole resistance isolates.

9 **Methods:** Forty-one clinical isolates of *C. albicans* were collected. Azole susceptibility was tested *in*
10 *vitro* in microdilution studies. The *ERG11* genes of 27 isolates of *C. albicans* (All resistant to azoles)
11 were amplified.

12 **Results:** Of the 67 isolates recovered, 41(61.19%) were *C. albicans*, of which 27 (65.85%) each, and
13 25(60.98%) were resistant to Fluconazole, Voriconazole, and Nystatin respectively. In total, *ERG11*
14 genes was detected among 24(88.89%) of 27 *C. albicans* azole resistant isolates

15 **Conclusions:** Twenty four *ERG11* gene were detected among 27 azole resistant *C. albicans* isolates,
16 which indicated a possible relation with the increase in resistance to azole drugs and the recurrence of
17 vulvovaginal candidiasis.

18
19 Key words: *Candida albicans*, *ERG11* gene, Azole resistance, Vulvovaginitis, Pregnant women.

20 **Introduction**

21 Of recent, there has been a marked increase in the frequency of azole treatment failures in patients
22 with candidiasis and are being treated for long-term antimycotic therapy, this has posed a serious
23 concern in its efficacious use in chemotherapy. Reasons been that *Candida* can acquire multidrug
24 resistance (MDR) during the course of the therapy [1, 2]. Various authors have documented that
25 *Candida* species possessed different mechanisms of resistance to azole antifungal agents and these
26 mechanisms are categorised mainly as (i) changes in the cell wall or plasma membrane, which can
27 lead to impaired drug (azole) uptake [3, 4]; (ii) alterations in the affinity of the drug target *Erg11p*
28 (lanosterol 14 α -demethylase) especially to azoles or in the cellular content of *Erg11p* due to
29 target site mutation or overexpression of the *ERG11* gene [4, 5, 6, 7] and (iii) the efflux of drugs
30 mediated by membrane transport proteins belonging to the ATP-binding cassette (ABC) transporters,
31 namely *CDR1* and *CDR2* or to the major facilitator superfamily (MFS) transporter, *CaMDR1* [8, 9].
32 Many such manifestations are associated with the formation of *Candida* biofilms including those
33 occurring on devices like indwelling intravascular catheters. According to Rodrigues and colleagues
34 (2017) [3], and Sardi *et al.* [10], biofilm-associated *Candida* show uniform resistance to a wide
35 spectrum of antifungal drugs. Furthermore, studies conducted by Ksiezopolska & Gabaldón [1]
36 revealed that a combination of different resistance mechanisms is responsible for drug resistance in
37 clinical isolates of *Candida* species.

38 Flowers *et al.* [6] reported that in the modulation of the *ERG11* gene in the ergosterol biosynthetic
39 pathway and the alteration of the *Erg11* protein targeted by azole antifungals contribute to azole
40 resistance in *C. albicans*. The overexpression of *ERG11* transcripts, either by gain-of-function
41 mutations (GOF) in the transcriptional regulator, *Upc2*, or increased chromosome 5 copy number (on
42 which *ERG11* resides), can result in reduced azole susceptibility [11, 12, 13]. In addition, mutations

43 in the *Erg11* protein mediating lanosterol demethylation have been shown to alter the ability of azole
44 antifungals to bind to and inhibit its activity and to result in enhanced resistance to this class of
45 antifungal agents [14, 15, 16]. Previously, reports of mutations in *ERG11* have been demonstrated on
46 three hot spot regions analogous to amino acids 105 to 165, 266 to 287, and 405 to 488, which are
47 particularly tolerant to amino acid substitutions [17]. Investigators have also used several approaches,
48 which includes: heterologous expression of mutant *ERG11* alleles in other microbial species (e.g.
49 *Saccharomyces cerevisiae* and *Pichia pastoris*), enzyme inhibition with fluconazole (FLC) in cell
50 extracts, and biochemical analysis [15, 16, 17, 18, 19] to demonstrate that *ERG11* mutations can
51 contribute to azole resistance. While a number of different amino acid substitutions have also been
52 associated with azole resistance [18]. This study was undertaken to investigate the azole
53 susceptibility of the clinically isolated *Candida albicans* (*C. albicans*) from vulvovaginal candidiasis
54 (VVC) patients to three (3) antifungal routinely used in gynaecological clinics and also to detect the
55 presence of *ERG11* gene in these resistance isolates.

56 **Materials and Methods**

57

58 **Collection of Specimens, Isolation and Identification**

59 The study has been approved by the Research and Ethical Committee of The Federal Medical Centre,
60 Yenagoa. Informed consent was also obtained from all individual participants included in this study.

61 Aseptically specimens (Higher Vaginal swab “HVS”-66, and mid- stream urine catch-36) were
62 collected from 102 pregnant women attending the Obstetrics and Gynaecology outpatient clinics in
63 the Federal Medical Centre (a tertiary public health institution) in Yenagoa with genital infections
64 (vulvovaginitis) in accordance to the protocols of McGowan [20] and Wang *et al.* [21]. Patients using
65 any systemic or local antifungal therapy in the previous month were also included in this study.

66 Collected specimens were transported to the Laboratory unit of the Department of Medical
67 Microbiology and Parasitology, Faculty of Basic Medical Sciences, College of Health Sciences,
68 Niger Delta University, Wilberforce Island in accordance to standard procedures [20].

69 In the Laboratory, standard procedure was used in the inoculation of specimens. In brief, at the same
70 time, loop-full of the aseptically diluted HVS and urine specimen were aerobically cultured at 37⁰C
71 for 24–48 hour on Cystine-Lactose-Electrolyte Deficient (CLED) agar, Mannitol Salt (MSA) agar,
72 MacConkey agar, blood agar medium (Biotech Laboratories Ltd. UK) for bacteriological isolates,
73 while, the Sabouraud Dextrose Agar (SDA, Oxoid, UK), and CHROMagar Candida (CMA;
74 CHROMagar Company, Paris, France) were streaked for the fungi isolates. Isolates recovered from
75 both the higher vaginal swab and urine specimens were stored in 20% glycerol at -84°C.

76 Isolates (yeasts) on SDA were presumptively identified phenotypically as *Candida* by colony
77 morphology, Gram staining, chromogenic medium (CHROMagarCandida®), and were confirmed as
78 at the species level biochemically by the API 20C AUX yeast identification kit (bioMérieux SA,
79 Marcy l'Etoile, France), and genetically by PCR in accordance with procedures described by Santos
80 *et al.* [22] as briefly described below. *C. albicans* standard strain (ATCC 6258) was employed as the
81 control.

82 **DNA Extraction:**

83 The fungal DNA was extracted by boiling as described by Oliveira *et al.* [23]. Prior to extraction,
84 pure isolates were subcultured in Luria-Bertani (Merck, USA) broth and incubated for 24 hours.
85 Broth cultures were transferred to 2.00mL Eppendorf tubes. Then, tubes were centrifuged at 10,000
86 rpm for 1 min and the supernatant was discarded. To dislodge the sediments, 1.5mL sterile saline was
87 added to the sediments and vortexed for a few seconds. This procedure was repeated twice. The tubes

88 were then transferred to a heating block at 95⁰C and was heated for 20 minutes, after which they were
89 fast freeze in a freezer (Thermocool, Nigeria) for 10 minutes.

90 The tubes were spun again for 1minute and 300µg/L of the sediment was picked and transferred to a
91 new 1.5mL Eppendorf tube as the DNA extract. The extracted DNA concentration was quantified
92 by spectrophotometry. Two µg/L of DNA extracted from each sample were placed directly on the
93 spectrophotometer (NanoDrop, 2000, Thermo Cientific, USA) and measures in 260nm. The system
94 software provides the DNA concentration in ng/µg/L (software installed on a desktop computer).

95 **PCR amplification for *Candida albicans* and of the *ERG11* gene**

96 For genetic confirmation of the identified *Candida* isolates, the amplification reaction was performed
97 in accord to the protocols reported by Vijayakumar *et al.* [24]. The ITS-1 and ITS-2 regions of
98 *Candida spp.* were amplified using universal primers (Table 1). The amplification was performed in
99 GeneAmp PCR Systems 9700 Thermal cyler (AB Applied Biosystem, USA) as previously published
100 with modifications in the concentration of each primer (50 pmol/ reaction) and DNA template (5 IL
101 extracted DNA/reaction), in addition to change the annealing temperature (53⁰C).

102 The amplification of the *ERG11* gene was made using the following primers (Table 1). A 25µg/mL
103 PCR mix was amplified with the following conditions: Initial denaturation at 94⁰C for 4 minutes,
104 denaturation at 94⁰C for 30 seconds, annealing at 55⁰C for 30 seconds, extension at 72⁰C for 1
105 minute and final extension at 72⁰C for 4 minutes. Amplified PCR products were run on 1.5% agarose
106 gel electrophoresis and the DNA bands were visualized by UV transilluminator (BiometraTi 3) and
107 photographed. The polymerase chain reaction (PCR) method was performed for amplification of
108 genes with specific primers shown in table 1.

109

110 Table 1: Primers used in PCR

Gene	Orientation	Sequence 5' to 3'	Reference
<i>rDNA</i>	ITS1 FW	5'-TCC GTA GGT GAA CCT GCG G-3'	White <i>et al.</i> [25]
	ITS4 RV	5'-TCC TCC GCT TAT TGA TAT GC-3	
<i>ERG11</i>	FW	5'-GTTGAAACTGTCATTGATGG-3'	Martínez <i>et al.</i> [26]
	RV	5'-TCAGAACACTGAATCGAAAG-3'	

111

112 Antimycotic susceptibility tests

113 The broth dilution susceptibility test was performed as recommended in the Clinical Laboratory
 114 Standards Institute (CLSI) M27-A3 reference document [27]. The antifungal agents used were
 115 Fluconazole (Sigma, UK), Nystatin (Sigma Aldrich, Steinheim, Germany) and Voriconazole (Sigma,
 116 UK).

117 The interpretive breakpoints for susceptibility assays were as follows. *C. albicans* strains showing
 118 minimum inhibitory concentrations (MICs) of $\leq 8\mu\text{g/mL}$, $\leq 16\mu\text{g/mL}$ and $\leq 1\mu\text{g/mL}$ with fluconazole,
 119 nystatin, and voriconazole, respectively were considered as susceptible (S). Strains with MIC values
 120 of $\geq 64\mu\text{g/mL}$, $\geq 16\mu\text{g/mL}$ and $\geq 4\mu\text{g/mL}$ with fluconazole, nystatin and voriconazole, respectively
 121 were considered as resistant (R). *C. albicans* ATCC6258 is used as control strains.

122 Results

123 Sixty-seven (65.69%) of the genitourinary specimens collected from the 102 pregnant outpatients'
 124 women attending FMC for suspicion of having vulvovaginitis during the period of study yielded
 125 significant microbial growth. As shown in Figure 1, of these 67 recovered isolates, 41 (61.19%) were
 126 identified and genetically confirmed as *Candida albicans* (Figure 2) and, the remaining ones
 127 (38.81%, n = 26) were identified to be bacteria such as *Escherichia coli* 10(14.93%), *Staphylococcus*
 128 *aureus* 8(11.94%), *Klebsiella spp.*, 6(8.96%), and *Pseudomonas spp.* 2(2.99%). The mean age of

129 these women was 32 ± 9.88 years. As illustrated in Table 2, 19 (46.3%) of these isolates were
130 recovered from HVS, while 22(53.7%) were from urine specimens. As shown in the table, the ratio of
131 recovery of *C. albicans* from urine (21.52%) specimens was not significantly higher than that from
132 the HVS (18.59%) ($P < 0.05$). Age-distribution wise, *C. albicans* were more frequent among age-
133 group of 31-35 years with 35(34.3%) isolates. This is followed by 26-30 years, 21-25 years, and 15-20
134 years with recovery rate of 31(30.4%), 22(21.6%) and 6(5.9%) respectively, while the recovery rate
135 for age 36-40, and >40 were with 4(3.9%) each.

136 Table 3, shows the *in vitro* antifungal susceptibility patterns of the isolated *Candida albicans*. As
137 shown, 27(65.85%) of the 41 isolates were resistant to Fluconazole and Voriconazole respectively,
138 while 25(60.98%) were resistant to Nystatin. Resistance to both azoles was found in 27(65.85%) of
139 the strains. There was no significant difference in the susceptibility of the isolates to fluconazole,
140 Voriconazole and Nystatin ($P > 0.05$).

141 Twenty-four (88.89%) of the 27 isolates that were determined to be azole resistant were positive for
142 *ERGII genes* (Figure 3).

143

144 **Discussion**

145 The study was able to isolate and identified 41(61.19%) *Candida albicans* from the pregnant women
146 with vulvovaginitis attending FMC, Yenagoa during the period of study. However, the presence of *E.*
147 *coli*, *Klebsiella* spp., *Pseudomonas* and *S. aureus* in some vaginal samples (n = 26) agrees with prior
148 reports presenting bacterial vaginitis as also a cause of vaginal [28, 29].

149 The outcome of this present study is in consistency with earlier reports from different parts of the
150 world were the rates of isolation of *C. albicans* in cases of VVC has been reported to range between
151 47 and 89%. For illustration, studies conducted in Egypt [30] recorded higher rate of *C. albicans* in
152 VVC (86.6%), while rates of 59%, 65.95% and 73.9% were reported from Saudi Arabia [31], Yemen
153 [32] and Kuwait [33] respectively. Furthermore, studies from Nicaragua [34], Australia [35, 36],
154 Turkey [37], Iran [38], China [39], Nigeria [40] and India [41] collaborates this isolation range.

155 Among the isolates studied, there was no significant isolation rate of *C. albicans* from the HVS when
156 compared with the urine specimen among the patients with vulvovaginitis, thus, supporting species
157 distribution isolation rates of *C. albicans* previously reported in India [42].

158 The highest frequency of vaginal candidiasis was observed among age group of 31-35yrs, with the
159 mean age of 32 ± 9.88 years. However, the frequency of vaginal candidiasis in women aged ≥ 40
160 years was low. This finding is similar to the previous findings reported [43, 44]. Furthermore,
161 supporting earlier observed reports that women of child bearing age groups are more susceptible to
162 vaginal candidiasis. Similarly, Achkar and Fries [45], reported that vaginal candidiasis is an
163 extremely common infection in 60-70% women during their reproductive age, and that every women
164 will have candidiasis at least once in their life-time. Reasons has it that the high level of reproductive
165 hormones and increase glycogen content of vagina favours candidiasis in pregnancy [46]. Hence this
166 might be the common predisposing factor associated with vaginal candidiasis in the present study.

167 Furthermore, the level of social activities, such as drug abuse and sexual promiscuity, may also be
168 important in the distribution frequency of *Candida* species in different age groups and locations.

169 Due to the increased antifungal resistance of *C. albicans* species, their emergence to antimycotic
170 agents remains a concern and this is terrifying because the indiscriminate use of azoles for the
171 treatment of VVC over time has resulted in the selection of strains resistant to these compounds [47].
172 The resistance rate of our isolates to Fluconazole and Voriconazole were 27(65.85%) each. This
173 recorded high rate is comparable to that earlier observed in various parts of the globe [28, 48, 49, 50,
174 51, 52, 53]. The level of fluconazole resistance found in this study was significantly higher, possibly
175 because fluconazole is more frequently used in our environment. Notwithstanding, the high
176 frequencies of strains resistant to fluconazole and Voriconazole in this study could further be
177 explained by the high use of these fluconazole in combination with clotrimazole as prophylaxis and
178 as the gold-standard treatment of fungal infections. Additionally, fluconazole is almost ineffective
179 against most moulds.in our environment, given that this is the most commonly used therapy against
180 VVC. Our results are consistent with the observation that *Candida* species isolated in different
181 geographical regions differ in their sensitivity to fluconazole [54]. With this outcome, our findings
182 negates earlier reports by Hazirolan *et al.* [55] that pronounces the activity of fluconazole weaker than
183 itraconazole and that itraconazole is weaker than Voriconazole. Because there is no significant
184 difference in the frequency of resistances against fluconazole as observed to Voriconazole.

185 The *C. albicans* strains described in this study were resistant to nystatin (n = 25(60.98%). This is in
186 sharp contrast to reports in other studies [21, 28, 56, 57] that found nystatin to be highly efficacious.
187 This result outcome suggests that nystatin can neither be used as empirical therapy nor as an
188 alternative for the treatment of vaginal infections caused by strains of *C. albicans* which are resistant
189 to azoles as earlier suggested by Achkar & Fries [45]. There is need to draw the attention of clinicians

190 in our environment to this situation so that they can sought improve treatment via different
191 approaches, which may include the combination (synergistic) of antifungals as evidence has shown
192 that combinatory therapy contributes to reducing toxicity and could be an alternative for treatment of
193 candidiasis due to *C. albicans* [58, 59]. However, the possibility of some system bias cannot be
194 excluded due to the potential reasons of the different specimen, test method, and regional disparity
195 [60, 61].

196 In this study, the association of azole resistance phenotypes (fluconazole/ Voriconazole) was
197 identified in 27(65.85%) of the strains (Table 3), whereas *ERG11* was found in 24(88.89%) (Figure
198 3). The detection of *ERG11* genes conforms with several studies that have implicated this gene to
199 azole resistances [11, 18, 28, 48, 62, 63, 64, 65, 66].

200 However, the gap difference of 3(11.11%) in the detection of this gene in the present study can be
201 explained by the idea that azole resistance is not only conferred by *ERG11* gene alone, but also
202 caused by *CDRI*, an ATP-binding cassette (ABC) transporter [63, 64] or by MFS-transporter,
203 *CaMDRI* [8, 9]. A better understanding of this mechanism of resistance to these agents as well as
204 detection of *ERG11* genes are essential for the patient management, as the *ERG11* gene has been
205 linked to clinically-relevant increases to azoles and which is also correlated with the increase in
206 recurrence of VVC [21].

207 **Conclusion**

208 In conclusion, this study found that *C. albicans* was associated with VVC among the pregnant women
209 and that the strains that infect Yenagoa patients suffering from VVC are highly resistant to azoles,
210 nystatin and that those resistant to the azoles are harbouring *ERG11* genes. It is therefore vital that
211 regimens should be adjusted according to local surveillance and *in vitro* susceptibility results, as high-
212 level azole resistance is a problem of critical importance in our setting.

213 **Conflict of interest statement**

214 We declare that we have no conflict of interest

215 All the authors read and approved the final manuscript.

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421

422 **Figures and Tables:**

423 Table 2: Age distribution and recovery of Microorganisms from Genitourinary clinical specimens of
424 patients from whom Clinical Specimens were collected.

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Age	HVS	Urine	Total (%)
15-19	4	2	6(5.88)
20-24	15	7	22(21.57)
25-29	21	10	31(30.39)
30-34	21	14	35(34.31)
35-39	3	1	4(3.92)
40-44	2	2	4(3.92)
Total	66(64.71)	36(35.29)	102(100.00)

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427 Key: HVS, Higher vaginal Swab

428

429 Table 3. Susceptibility and Resistance of *Candida albicans* strains isolated to antimycotic drugs.

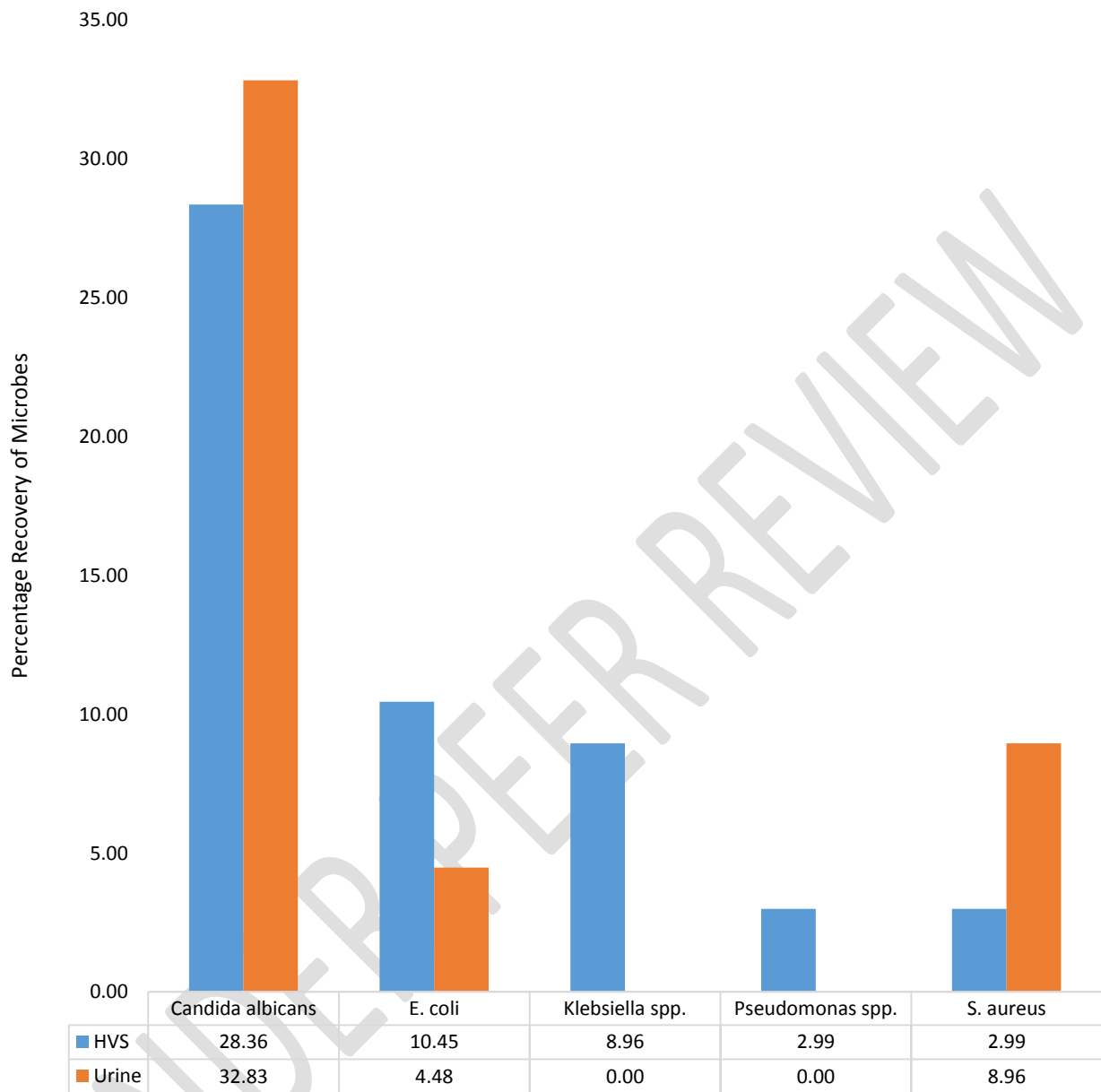
430

Antimycotic drugs	No (%) Resistant	No (%) Sensitive
Fluconazole	27(65.85)	14(34.15)
Nystatin	25(60.98)	16(39.02)
Voriconazole	27(65.85)	14(34.15)

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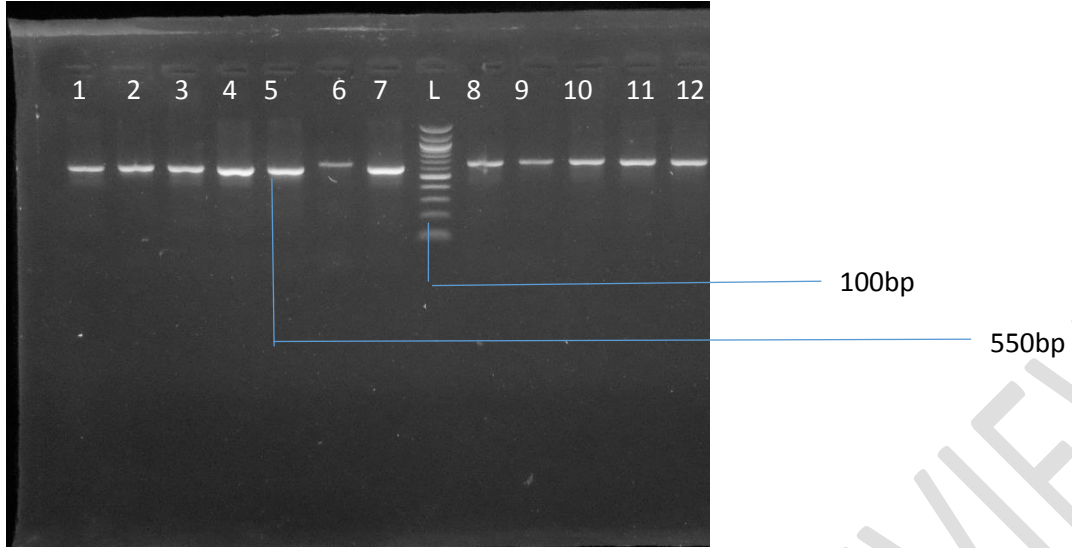


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Figure 1. Recovery of Microorganisms isolated from genitourinary clinical specimens

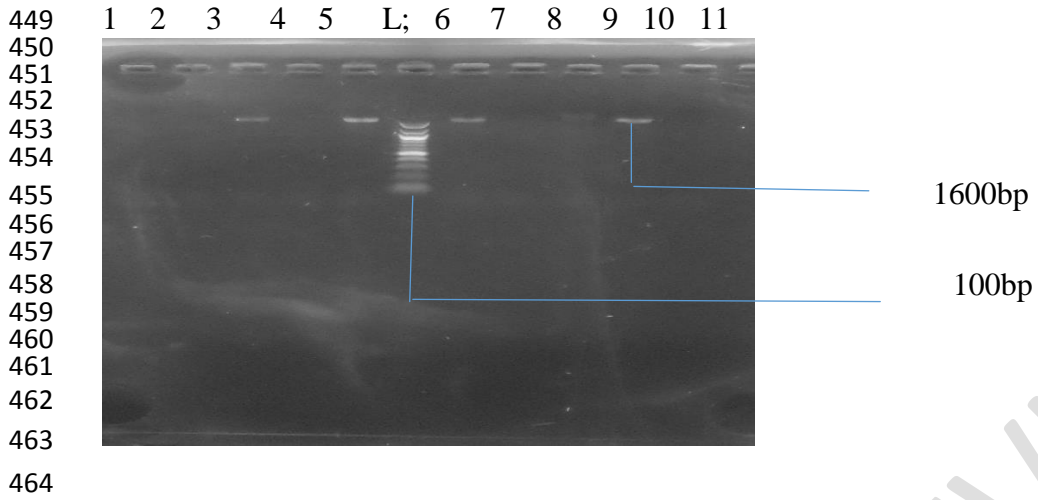
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446 **Figure 2.** Agarose Gel Electrophoresis showing ITS region of fungi (*Candida* species). Lanes 1-12
447 represent the isolates while L represent the 100bp molecular ladder.

UNDER PEER REVIEW

448



465 Figure 3. Agarose Gel Electrophoresis showing *ERGII* resistance gene in *Candida albicans*. Lanes 1-
466 10 represent the isolates, Lane 3, 5, 6, 8 and 9 were positive while L represent the 100bp molecular
467 ladder.