# Azole Resistance and Detection of the ERG11 gene in Clinical *Candida albicans* Isolated from Pregnant women with vulvovaginitis <mark>attending Federal Medical Centre, Yenagoa, Nigeria</mark>

- 4 **Type of Article**: Original Research Article.
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- 6 Abstract
- 7 Introduction: Candida albicans is one of the most important aetiological agents causing vaginal
- 8 candidiasis in pregnant women. Most women will experience at least one episode during their
- <sup>9</sup> reproductive years. Antifungal resistance is a particular problem with Candida infections. Some types
- 10 of Candida are increasingly resistant to the first-line and second-line antifungal medications.
- 11 **Objective**: To investigate the azole susceptibility of *Candida albicans* (*C. albicans*) from pregnant
- 12 vulvovaginal candidiasis patients and to detect ERG11 gene in these azole resistance isolates.
- 13 **Methods**: Forty-one clinical isolates of *C. albicans* were collected. Azole susceptibility was tested *in* 14 *vitro* using microdilution techniques. The *ERG11* genes of 27 isolates of *C. albicans* (All resistant to
- 14 *vitro* using microdilution techniques. The *ERG11* genes of 2
   15 azoles) were amplified using PCR method.
  - 16 **Results**: Of the 67 isolates recovered, 41(61.19%) were *C. albicans*, of which 27 (65.85%) each, and
  - 17 25(60.98%) were resistant to Fluconazole, Voriconazole, and Nystatin respectively. In total, *ERG11*
  - 18 | genes were detected among 24(88.89%) of 27 *C. albicans* azole resistant isolates.
  - 19 Conclusions: Twenty four *ERG11* genes were detected among 27 azole resistant *C. albicans* isolates,
  - which indicates a possible relation with the increase in resistance to azole drugs and the recurrence of vulvovaginal candidiasis.
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  - 23 Key words: *Candida albicans*, *ERG11* gene, Azole resistance, Vulvovaginitis, Pregnant women.

## 24 Introduction

Of recent, there has been a marked increase in the frequency of azole treatment failures in patients 25 with candidiasis and are being treated for long-term antimycotic therapy, this has posed a serious 26 27 concern in its efficacious use in chemotherapy. Reasons had been that Candida can acquire multidrug resistance (MDR) during the course of the therapy [1, 2]. Various authors have documented that 28 Candida species possessed different mechanisms of resistance to azole antifungal agents and these 29 mechanisms are categorised mainly as (i) changes in the cell wall or plasma membrane, which can 30 lead to impaired drug (azole) uptake [3, 4]; (ii) alterations in the affinity of the drug target Ergl1p 31 (lanosterol 14alpha-demethylase) especially to azoles or in the cellular content of Ergl1p due to 32 target site mutation or overexpression of the ERG11 gene [4, 5, 6, 7] and (iii) the efflux of drugs 33 mediated by membrane transport proteins belonging to the ATP-binding cassette (ABC) transporters, 34 namely CDR1 and CDR2 or to the major facilitator superfamily (MFS) transporter, CaMDR1 [8, 9]. 35 Many such manifestations are associated with the formation of Candida biofilms, including those 36 occurring on devices like indwelling intravascular catheters. According to Rodrigues and colleagues 37 (2017) [3], and Sardi et al. [10], biofilm-associated Candida shows uniform resistance to a wide 38 spectrum of antifungal drugs. Furthermore, studies conducted by Ksiezopolska and Gabaldón [1] 39 revealed that a combination of different resistance mechanisms is responsible for drug resistance in 40 clinical isolates of Candida species. 41

Flowers *et al.* [6] reported that in the modulation of the *ERG11* gene in the ergosterol biosynthetic pathway and the alteration of the *Erg11* protein targeted by azole antifungals contribute to azole resistance in *C. albicans*. The overexpression of *ERG11* transcripts, either by gain-of-function mutations (GOF) in the transcriptional regulator, *Upc2*, or increased chromosome 5 copy number (on which *ERG11* resides), can result in reduced azole susceptibility [11, 12, 13]. In addition, mutations 47 in the *Erg11* protein mediating lanosterol demethylation have been shown to alter the ability of azole antifungals to bind to and inhibit its activity and to result in enhanced resistance to this class of 48 antifungal agents [14, 15, 16]. Previously, reports of mutations in *ERG11* have been demonstrated on 49 three hot spot regions analogous to amino acids 105 to 165, 266 to 287, and 405 to 488, which are 50 particularly tolerant to amino acid substitutions [17]. Investigators have also used several approaches, 51 which includes: heterologous expression of mutant ERG11 alleles in other microbial species (e.g. 52 Saccharomyces cerevisiae and Pichia pastoris), enzyme inhibition with fluconazole (FLC) in cell 53 extracts, and biochemical analysis [15, 16, 17, 18, 19] to demonstrate that ERG11 mutations can 54 contribute to azole resistance. While a number of different amino acid substitutions have also been 55 This study was undertaken to investigate the azole associated with azole resistance [18]. 56 susceptibility of the clinically isolated Candida albicans (C. albicans) from vulvovaginal candidiasis 57 (VVC) patients to three (3) antifungal routinely used in gynaecological clinics and also to detect the 58 presence of *ERG11* gene in these resistance isolates. 59

# 60 Materials and Methods

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# 62 Collection of Specimens, Isolation and Identification

Aseptically, specimens (Higher Vaginal swab "HVS"-66, and mid- stream urine catch-36) were collected from 102 pregnant women attending the Obstetrics and Gynaecology outpatient clinics in the Federal Medical Centre (a tertiary public health institution) in Yenagoa with genital infections (vulvovaginitis) in accordance to the protocols of McGowan [20] and Wang *et al.* [21].

Patients using any systemic or local antifungal therapy in the previous month were also included inthis study.

Collected specimens were transported to the Laboratory unit of the Department of Medical
Microbiology and Parasitology, Faculty of Basic Medical Sciences, College of Health Sciences,
Niger Delta University, Wilberforce Island for further analysis in accordance to standard procedures
[20].

In the Laboratory, standard procedure was used in the inoculation of specimens. In brief, loop-full of the aseptically diluted HVS and urine specimen were aerobically cultured at 37<sup>o</sup>C for 24–48 hour on Cystine-Lactose-Electrolyte Deficient (CLED) agar, Mannitol Salt (MSA) agar, MacConkey agar, blood agar medium (Biotech Laboratories Ltd. UK) for bacteriological isolates, while, the Sabouraud Dextrose Agar (SDA, Oxoid, UK), and CHROMagar Candida (CMA; CHROMagar Company, Paris, France) were streaked for the fungi isolates. Isolates recovered from both the HVS and urine specimens were stored in 20% glycerol at -84°C.

Isolates (yeasts) on SDA were presumptively identified phenotypically as Candida by colony morphology, Gram staining, chromogenic medium (CHROMAgarCandida®), and were confirmed as at the species level biochemically by the API 20C AUX yeast identification kit (bioM'erieux SA, Marcy l'E toile, France), and genetically by PCR in accordance with procedures described by Santos *et al.* [22] as briefly described below. *C. albicans* standard strain (ATCC 6258) was employed as the control.

# 86 **DNA Extraction:**

The fungal DNA was extracted by boiling as described by Oliveira *et al.* [23]. Prior to extraction, pure isolates were subcultured in Luria-Bertani (Merck, USA) broth and incubated for 24 hours. Broth cultures were transferred to 2.00mL Eppendorf tubes. Then, tubes were centrifuged at 10,000 rpm for 1 min and the supernatant was discarded. To dislodge the sediments, 1.5mL sterile saline was added to the sediments and vortexed for a few seconds. This procedure was repeated twice. The tubes were then transferred to a heating block at 95°C and were heated for 20 minutes, after which they
were fast freeze in a freezer (Thermocool, Nigeria) for 10 minutes.

The tubes were spun again for a minute and 300µgL of the sediment was picked and transferred to a new 1.5mL Eppendorf tube as the DNA extract. The extracted DNA concentration was quantified by spectrophotometry. Two µg/L of DNA extracted from each sample were placed directly on the spectrophotometer (NanoDrop, 2000, Thermo Cientific, USA) and measures in 260nm. The system software provides the DNA concentration in ng/µgL (software installed on a desktop computer).

# 99 PCR amplification for *Candida albicans* and of the *ERG11* gene

For genetic confirmation of the identified Candida isolates, the amplification reaction was performed following protocols reported by Vijayakumar *et al.* [24]. The ITS-1 and ITS-2 regions of *Candida spp.* were amplified using universal primers (Table 1). The amplification was performed in GeneAmp PCR Systems 9700 Thermal cycler (AB Applied Biosystem, USA) as previously published with modifications in the concentration of each primer (50 pmol/ reaction) and DNA template (5 IL extracted DNA/reaction), in addition to change the annealing temperature (53<sup>o</sup>C).

The amplification of the *ERG11* gene was made using the following primers (Table 1). A  $25\mu$ g/mL PCR mix was amplified with the following conditions: Initial denaturation at  $94^{0}$ C for 4 minutes, denaturation at  $94^{0}$ C for 30 seconds, annealing at  $55^{0}$ C for 30 seconds, extension at  $72^{0}$ C for 1 minute and final extension at  $72^{0}$ C for 4 minutes. Amplified PCR products were run on 1.5% agarose gel electrophoresis and the DNA bands were visualized by UV transilluminator (BiometraTi 3) and photographed. The polymerase chain reaction (PCR) method was performed for amplification of genes with specific primers shown in table 1.

Gene	Orientation	Sequence 5' to 3'	Reference
rDNA	ITS1 FW	5'-TCC GTA GGT GAA CCT GCG G-3'	White <i>et al</i> . [25]
	ITS4 RV	5'-TCC TCC GCT TAT TGA TAT GC-3	
ERG11	FW	5'-GTTGAAACTGTCATTGATGG-3'	Martínez et al.[26]
	RV	5'-TCAGAACACTGAATCGAAAG-3'	

## 116 Antimycotic susceptibility tests

The broth dilution susceptibility test was performed as recommended in the Clinical Laboratory
Standards Institute (CLSI) M27-A3 reference document [27]. The antifungal agents used were
Fluconazole (Sigma, UK), Nystatin (Sigma Aldrich, Steinheim, Germany) and Voriconazole (Sigma,
UK).

The interpretive breakpoints for susceptibility assays were as follows. *C. albicans* strains showing minimum inhibitory concentrations (MICs) of  $\leq 8\mu g/mL$ ,  $\leq 16\mu g/mL$  and  $\leq 1\mu g/mL$  with fluconazole, nystatin, and voriconazole, respectively were considered as susceptible (S). Strains with MIC values of  $\geq 64 \mu g/mL$ ,  $\geq 16\mu g/mL$  and  $\geq 4 \mu g/mL$  with fluconazole, nystatin and voriconazole, respectively were considered as resistant (R). *C. albicans* ATCC6258 is used as control strains.

## 126 Ethical Clearance

127 The study was approved by the Research and Ethical Committee of The Federal Medical Centre,

Yenagoa (Ref. No. FMC/REC/19/013). Informed consent was also obtained from all individual
participants included in this study.

- 130 Statistical Analysis
- 131 SPSS for Windows (version 20.0; SPSS) software was used for the analysis. Frequency distribution,
- 132 mean, harmonic mean, standard deviation, analysis of variance (ANOVA) were determined.
- 133 Categorical variables were compared by using Pearson's chi-squared test ( $\chi$ 2) or Fisher's exact
- 134 probability tests. P-values were calculated and P≤0.05 was considered statistically significant

#### 135 **Results**

Sixty-seven (65.69%) of the genitourinary specimens collected from the 102 pregnant outpatients' 136 women attending the facility for suspicion of having vulvovaginitis during the period of study yielded 137 138 significant microbial growth. As shown in Figure 1, of these 67 recovered isolates, 41 (61.19%) were identified and genetically confirmed as Candida albicans (Figure 2) and, the remaining ones 139 (38.81%, n = 26) were identified to be bacteria such as *Escherichia coli* 10(14.93\%), *Staphylococcus* 140 aureus 8(11.94%), Klebsiella spp., 6(8.96%), and Pseudomonas spp. 2(2.99%). The mean age of 141 these women was  $32 \pm 9.88$  years. As illustrated in Table 2, 19 (46.3%) of these isolates were 142 recovered from HVS, while 22(53.7%) were from urine specimens. As shown in the table, the ratio of 143 recovery of C. albicans from urine (21.52%) specimens was not significantly higher than that from 144 the HVS (18.59%) (P < 0.05). Age-distribution wise, C. albicans were more frequent among age-145 group of 31-35 years with 35(34.3%) isolates. This is followed by 26-30 years, 21-25 years, and 15-20 146 years with recovery rate of 31(30.4%), 22(21.6%) and 6(5.9%) respectively, while the recovery rate 147 for age 36-40, and >40 were with 4(3.9%) each. 148

Table 3, shows the *in vitro* antifungal susceptibility patterns of the isolated *Candida albicans*. As shown, 27(65.85%) of the 41 isolates were resistant to Fluconazole and Voriconazole each, while 25(60.98%) were resistant to Nystatin. Resistance to both azoles was found in 27(65.85%) of the

- strains. There was no statistically significant difference in the susceptibility of the isolates to fluconazole, Voriconazole and Nystatin (P > 0.05).
- Twenty-four (88.89%) of the 27 isolates that were determined to be azole resistant were positive for *ERGII genes* (Figure 3).

### 156 Discussion

- The study was able to isolate and identified 41(61.19%) *Candida albicans* from the pregnant women with vulvovaginitis attending FMC, Yenagoa during the period of study. However, the presence of *E. coli, Klebsiella* spp., *Pseudomonas* and *S. aureus* in some vaginal samples (n = 26) agrees with prior
- reports presenting bacterial vaginitis as also a cause of vaginal infections [28, 29].
- The outcome of this present study is in consistency with earlier reports from different parts of the world were the rates of isolation of *C. albicans* in cases of VVC has been reported to range between 47 and 89%. For illustration, studies conducted in Egypt [30] recorded higher rate of *C. albicans* in VVC (86.6%), while rates of 59%, 65.95% and 73.9% were reported from Saudi Arabia [31], Yemen [32] and Kuwait [33] respectively. Furthermore, studies from Nicaragua [34], Australia [35, 36], Turkey [37], Iran [38], China [39], Nigeria [40] and India [41] collaborates this isolation range.
- Among the isolates studied, there was no significant isolation rate of *C. albicans* from the HVS when compared with the urine specimen among the patients with vulvovaginitis, thus, supporting species distribution isolation rates of *C. albicans* previously reported in India [42].
- The highest frequency of vaginal candidiasis was observed among age group of 31-35yrs, with the mean age of  $32 \pm 9.88$  years. However, the frequency of vaginal candidiasis in women aged  $\geq 40$ years was low. This finding is similar to the previous findings reported [43, 44]. Furthermore, supporting earlier observed reports that women of child bearing age groups are more susceptible to

174 vaginal candidiasis. Similarly, Achkar and Fries [45], reported that vaginal candidiasis is an extremely common infection in 60-70% women during their reproductive age, and that every women 175 will have candidiasis at least once in their life-time. Reasons have it that the high level of 176 reproductive hormones and increase glycogen content of vagina favours candidiasis in pregnancy 177 [46]. Hence this might be the common predisposing factor associated with vaginal candidiasis in the 178 present study. Furthermore, the level of social activities, such as drug abuse and sexual promiscuity, 179 may also be important in the distribution frequency of *Candida* species in different age groups and 180 locations. 181

182 Due to the increased antifungal resistance of C. albicans species, their emergence to antimycotic agents remains a concern and this is terrifying because the indiscriminate use of azoles for the 183 treatment of VVC over time has resulted in the selection of strains resistant to these compounds [47]. 184 The resistance rate of our isolates to Fluconazole and Voriconazole were 27(65.85%) each. This 185 recorded high rate is comparable to that earlier observed in various parts of the globe [28, 48, 49, 50, 186 51, 52, 53]. The level of fluconazole resistance found in this study was significantly higher, possibly 187 because fluconazole is more frequently used in our environment. Notwithstanding, the high 188 frequencies of strains resistant to fluconazole and Voriconazole in this study could further be 189 explained by the high use of these fluconazole in combination with clotrimazole as prophylaxis and 190 as the gold-standard treatment of fungal infections. Additionally, fluconazole is almost ineffective 191 against most moulds in our environment, given that this is the most commonly used therapy against 192 193 VVC. Our results are consistent with the observation that Candida species isolated in different geographical regions differ in their sensitivity to fluconazole [54]. With this outcome, our findings 194 negates earlier reports by Hazirolan *et al.* [55] that pronounces the activity of fluconazole weaker than 195

itraconazole and that itraconazole is weaker than Voriconazole. Because, there is no significant
difference in the frequency of resistance against fluconazole as observed to Voriconazole.

198 The C. albicans strains described in this study were resistant to nystatin (n = 25(60.98%)). This is in 199 sharp contrast to reports in other studies [21, 28, 56, 57] that found nystatin to be highly efficacious. This result outcome suggests that nystatin can neither be used as empirical therapy nor as an 200 201 alternative for the treatment of vaginal infections caused by strains of C. albicans which are resistant to azoles as earlier suggested by Achkar & Fries [45]. There is need to draw the attention of clinicians 202 in our environment to this situation so that they can sought improve treatment via different 203 204 approaches, which may include the combination (synergistic) of antifungals as evidence has shown that combinatory therapy contributes to reducing toxicity and could be an alternative for treatment of 205 candidiasis due to C. albicans [58, 59]. However, the possibility of some system bias cannot be 206 excluded due to the potential reasons of the different specimen, test method, and regional disparity 207 [60, 61]. 208

In this study, the association of azole resistance phenotypes (fluconazole/ Voriconazole) was identified in 27(65.85%) of the strains (Table 3), whereas *ERG11* was found in 24(88.89%) (Figure 3). The detection of *ERG11*genes conforms with several studies that have implicated this gene to azole resistances [11, 18, 28, 48, 62, 63, 64, 65, 66].

However, the gap difference of 3(11.11%) in the detection of this gene in the present study can be explained by the idea that azole resistance is not only conferred by *ERG11* gene alone, but also caused by *CDR1*, an ATP-binding cassette (ABC) transporter [63, 64] or by MFS-transporter, *CaMDR1* [8, 9]. A better understanding of this mechanism of resistance to these agents as well as detection of *ERG11* genes are essential for the patient management, as the *ERG11*gene has been linked to clinically-relevant increases to azoles and which is also correlated with the increase inrecurrence of VVC [21].

#### 220 Conclusion

This study found that *C. albicans* was associated with VVC among the pregnant women and that the strains that infects Yenagoa patients suffering from VVC are highly resistant to azoles, nystatin and that those resistant to the azoles are habouring *ERG11* genes. It is therefore vital that regimens should be adjusted according to local surveillance and *in vitro* susceptibility results, as high-level azole

resistance is a problem of critical importance in our setting.

# 226 Acknowledgment

- 227 We are grateful to members of staff of the Departments of Obstetrics & Gynecology and Medical
- 228 laboratory Science (Microbiology unit) of the Federal Medical Centre, Yenagoa for allowing us
- access to their patients and assisting in specimen collections. We are also grateful to the participating
- 230 patients for partaking in this study.
- 231 Conflict of interest statement
- 232 We declare that we have no conflict of interest
- All the authors read and approved the final manuscript.

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- **Figures and Tables:**
- 441 Table 2: Age distribution and recovery of Microorganisms from Genitourinary clinical

# 442 specimens of patients from whom Clinical Specimens were collected

(Years)	HVS	Urine	Total (%)
15-19	4	2	6(5.88)
20-24	15	7	22(21.57)
25-29	21	10	31(30.39)
30-34	21	14	35(34.31)
35-39	3	1	4(3.92)
40-44	2	2	4(3.92)
Total	66(64.71)	36(35.29)	102(100.00)

Key: HVS, Higher vaginal Swab

Antimycotic drugs	No (%) Resistant	No (%) Sensitive
Fluconazole	27(65.85)	14(34.15)
Nystatin	25(60.98)	16(39.02)
Voriconazole	27(65.85)	14(34.15)

Table 3. Susceptibility and Resistance of *Candida albicans* strains isolated to antimycotic drugs.









- Figure 2. Agarose Gel Electrophoresis showing ITS region of fungi (*Candida* species). Lanes 1-12
- represent the isolates while L represent the 100bp molecular ladder.



- 483 Figure 3. Agarose Gel Electrophoresis showing ERGII resistance gene in Candida albicans. Lanes 1-
- 10 represent the isolates, Lane 3, 5, 6, 8 and 9 were positive while L represent the 100bp molecular
  ladder.